



# Microsatellite instability in Chinese gastric cancer and its correlation with clinical characteristics

Xinyu Li<sup>1#</sup>, Lan Zhang<sup>2#</sup>, Chunxiao Wang<sup>3#</sup>, Junli Huang<sup>4#</sup>, Jinfeng Zhu<sup>5</sup>, Yisen Huang<sup>6</sup>, Yifeng Chen<sup>7</sup>, Jing Jia<sup>1^</sup>, Guozhong Jiang<sup>2</sup>

<sup>1</sup>Department of Gastrointestinal Surgery, The First Hospital of Quanzhou Affiliated to Fujian Medical University, Quanzhou, China; <sup>2</sup>Department of Pathology, the First Affiliated Hospital of Zhengzhou University, Zhengzhou, China; <sup>3</sup> Shanghai Tongshu Biotechnology Co., Ltd, Shanghai, China; <sup>4</sup>Department of General Surgery, Army 73rd Group Military Hospital of the Chinese People's Liberation Army (Chenggong Hospital of Xiamen University), Xiamen, China; <sup>5</sup>Department of Medical Oncology, The First Hospital of Quanzhou Affiliated to Fujian Medical University, Quanzhou, China; <sup>6</sup>Department of Gastroenterology, The First Hospital of Quanzhou Affiliated to Fujian Medical University, Quanzhou, China; <sup>7</sup>Department of Pathology, The First Hospital of Quanzhou Affiliated to Fujian Medical University, Quanzhou, China

*Contributions:* (I) Conception and design: All authors; (II) Administrative support: All authors; (III) Provision of study materials or patients: All authors; (IV) Collection and assembly of data: All authors; (V) Data analysis and interpretation: All authors; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

<sup>#</sup>These authors contributed equally to this work.

*Correspondence to:* Guozhong Jiang. Department of Pathology, The First Affiliated Hospital of Zhengzhou University, Zhengzhou 450000, China. Email: guozhongjiang@zzu.edu.cn; Jing Jia. Department of Gastrointestinal Surgery, The First Hospital of Quanzhou Affiliated to Fujian Medical University, Quanzhou 362002, China. Email: jingjia\_01@163.com.

**Background:** Microsatellite instability (MSI) remains a focus of interest in cancer research, but the characteristics of MSI in gastric cancer (GC) are ambiguous.

**Methods:** In this retrospective study, we analyzed the prevalence of MSI and the expression of programmed death-ligand 1 (PD-L1) and cluster of differentiation 8 (CD8) cells in Chinese GC patients. A total of 393 GC patients admitted to two centers from January 2010 to December 2017 were enrolled.

**Results:** The prevalence of MSI in this cohort was 3.4% and most frequently occurred in females, patients aged between 59 and 69 years, and patients at a lower clinical stage. All MSI GCs had CD8 expression but lacked PD-L1 expression, indicating that MSI was related to CD8 expression but irrelevant to PD-L1 expression. However, there was no significant difference in the expression of CD8/PD-L1 between MSI GC and microsatellite stable (MSS) GC. Kaplan-Meier survival curves revealed that patients with MSI had a significantly longer overall survival (OS) than patients with MSS.

**Conclusions:** In Chinese GC patients, MSI frequently occurred in females, patients aged between 59 and 69, and patients with lower clinical stages. Patients with MSI-High (MSI-H) and MSI-Low (MSI-L) had a longer OS than patients with MSS. MSI was related to CD8 expression but irrelevant to PD-L1 expression.

**Keywords:** Gastric cancer (GC); microsatellite instability (MSI); immunotype; prognosis; CD8 expression

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<sup>^</sup> ORCID: 0000-0002-8525-7950.

## Introduction

Gastric cancer (GC) is one of the most common causes of cancer-related deaths globally (1). Surgical resection with adjuvant chemotherapy is widely acknowledged as an effective treatment for patients with early-stage GC (2-5). However, recurrence occurs in up to 30–40% of patients within 5 years, suggesting that GC is a clinically heterogeneous disease (6-8). According to Lauren's classification, GCs are classified into two histological types: intestinal and diffuse. Additionally, GCs are divided into papillary, tubular, mucinous (colloid), and poorly cohesive carcinomas by the World Health Organization (WHO) Classification (9,10). However, these classification systems have poor clinical utility. The Cancer Genome Atlas (TCGA) Research Network team conducted a landmark study that reported comprehensive findings of genetic mutations associated with GC, combining data from different platforms (11). Based on the integrated analysis of molecular information, GC was divided into four new molecular subtypes with clear genetic characteristics, including chromosome instability (CIN) type, microsatellite instability (MSI) type, gene stable (GS) type, and Epstein-Barr virus (EBV) positive type (11). This study hypothesized that tumor classification based on molecular data has more clinical influence in predicting treatment and patient prognosis than traditional pathological classification (11). The prognostic significance of these four molecular GC subtypes was validated by Sohn *et al.*, who found that patients with the MSI subtype were characterized by diagnosis at a median age of 60 years, a moderate prognosis, and a moderate benefit from adjuvant chemotherapy (12).

MSI refers to the phenomenon that the length of microsatellite sequence changes due to insertion or deletion mutations during DNA replication, often caused by mismatch repair (MMR) functional defects (13). Due to the large number of microsatellites and spread across the entire genome, the instability of microsatellites located on multiple genes will lead to the abnormal function of multiple genes in multiple signaling pathways related to tumors, which will lead to the occurrence and development of MSI-H tumors. The MSI phenomenon was first discovered in colorectal cancer by Jacobs *et al.* in 1993 (14). Several clinical trials, including the CLASSIC, MAGIC and ARTIST trials, indicated that GC with a high MSI (MSI-H) was correlated with a favorable prognosis (15-17). The meta-analysis of the prognostic role of MSI in patients with resectable GC enrolled in the MAGIC, CLASSIC, ARTIST, and ITACA-S

trials showed that MSI was a robust prognostic marker that should be adopted as a stratification factor by clinical trials and investigated prospectively (18). Adjuvant chemotherapy benefited GCs with MSI-Low (MSI-L) and microsatellite stable (MSS) tumors but not those with MSI-H tumors (15,16,18). MSI plays a role as a predictive biomarker for immunotherapy, and the Food and Drug Administration (FDA) has approved pembrolizumab for advanced GC with programmed death-ligand 1 (PD-L1)+ tumors or MSI-H tumors based on the results of KEYNOTE 059 (19-23). The PD-L1 expression percentage of TMB high and/or MSI-H samples will be higher than TMB low and/or MSS samples (24,25). The work of Thompson *et al.* suggested that GCs with a higher cluster of differentiation 8 (CD8)<sup>+</sup> T-cell densities also have higher PD-L1 expressions, and this subset of patients may be the ones who will derive the most benefit from checkpoint inhibition (26). Some studies used TCGA gastric cancer data to analyze the correlation between MSI status and PD-L1 or CD8, but few Chinese people were included in the TCGA database (27).

Here, we aimed to study the prevalence of MSI status and CD8/PD-L1 expression in Chinese patients with GC and to evaluate the relationship between MSI status and CD8/PD-L1 expression, to provide further information for PD-L1 immunotherapy in clinical settings. We present the following article in accordance with the REMARK reporting checklist (available at <https://dx.doi.org/10.21037/jgo-21-695>).

## Methods

### Patients

This multi-center retrospective study included 393 patients with GC admitted to The First Affiliated Hospital of Zhengzhou University (Henan Province, China) and The First Hospital of Quanzhou (Fujian Province, China) from 2010 to 2017. The participants were screened with the following criteria: GC confirmed by pathology; acceptance of radical or local surgical treatment; availability of detailed clinical and pathological data for statistical analysis, including age, sex, TNM stage, degree of differentiation, clinical stage, Lauren subtype, and the degree of infiltration. We collected paraffin-embedded tissues from each patient, including the tumor and adjacent normal tissues, and cut them into 5-micro-thick sections. This study was approved by the Ethics Committee of The First Hospital of Quanzhou (No. 2020-126). All participants provided written informed

**Table 1** Detection loci of NCI MSI panel loci (n=5)

Mononucleotide (n=2)	Dinucleotide (n=3)
BAT-25	D2S123
BAT-26	D5S346
	D17S250

NCI, The National Cancer Institute; MSI, microsatellite instability.

consent. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

### PD-L1 and CD8 detection

The expression of PD-L1 (22C3; Dako Inc., Carpinteria, California, USA) and CD8 (SP16; Gene Tech Co. Ltd., Shanghai, China) were detected by immunohistochemistry (IHC), assessed by two pathologists who were blinded to the clinical characteristics and outcomes of patients. The PD-L1 expression on tumor and immune cells was evaluated according to the National Comprehensive Cancer Network (NCCN) guideline: positive expression was defined as  $\geq 1\%$  of capsular polysaccharide (CPS) in tumor cells (TCs) or immune cells (ICs), and negative expression was defined as  $< 1\%$  of CPS in TC or IC. The CD8 expression on lymphocytes was reported as the proportion of positive cells in all nucleated cells in each nuclear compartment and was defined as negative ( $\leq 10\%$ ) or positive ( $\geq 10\%$ ).

### MSI detection

DNA was extracted from the formalin-fixed, paraffin-embedded sections using a DNA extraction kit (FD-50, Changzhou Tongshu Biotechnology Co. Ltd., China) according to the manufacturer's protocols and was eluted with 50  $\mu\text{L}$  of Tris buffer (pH 7.5). Subsequently, DNA was quantified on a Nanodrop 2000 (Thermo Fisher Scientific Inc, Massachusetts, USA). Samples with concentrations  $> 5 \text{ ng}/\mu\text{L}$  were used.

An MSI test was performed on 377 specimens collected by capillary electrophoresis with the NCI MSI panel kit (Tongshu BioTech., Shanghai, China) (Table 1). This panel contains two mononucleotide loci (BAT25, BAT26), three dinucleotide loci (D2S123, D5S346, D17S250), and one pentanucleotide repeat marker (Penta C) as the internal control.

Polymerase chain reaction (PCR) amplification was performed on an A200 Gradient Thermal Cycler

(LongGene, China) in a volume of 10  $\mu\text{L}$  containing 5  $\mu\text{L}$  2 $\times$  PCR Master Mix, 2  $\mu\text{L}$  5 $\times$  primer mix, 0.2  $\mu\text{L}$  Amplitaq Gold DNA polymerase (5 units/ $\mu\text{L}$ ), and 5 ng DNA templates. The Thermal Cycler conditions were as follows: 95  $^{\circ}\text{C}$  for 4 min, 30 cycles of 95  $^{\circ}\text{C}$  for 30 sec, 60  $^{\circ}\text{C}$  for 30 sec, 72  $^{\circ}\text{C}$  for 30 sec, and 60  $^{\circ}\text{C}$  for 45 min. PCR products were detected and analyzed by an ABI 3730 genetic analyzer (Applied Biosystems, CA, USA) following the manufacturer's protocol. Data analysis was performed using the GeneScan Analysis and Genotyper Software packages (Applied Biosystems, CA, USA). Finally, MSI status was determined by the number of allelic bases and the internal control index.

### Survival analysis

Disease-free survival (DFS) was defined as the time from the day of surgery to local recurrence, distant metastasis, or the last follow-up. Patients who died or were lost to follow-up were processed with censored data. Overall survival (OS) was defined as the time from the day of surgery to death for any reason or the last follow-up. The overall follow-up time was 60 months.

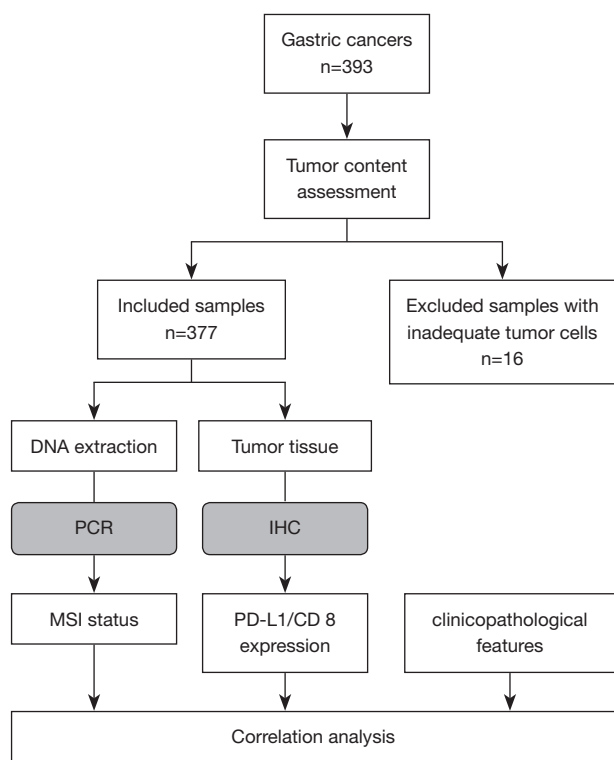
### Statistical analysis

Statistical analysis was performed using SPSS 21.0 (SPSS Inc., Chicago, IL, USA). A Chi-square test was applied to analyze the relationship between clinicopathologic data and MSI or MSS in GC. Survival analysis was estimated using the Kaplan-Meier method and Log-Rank test. A P value  $< 0.05$  was regarded as statistically significant.

## Results

### Clinicopathologic characteristics of GC patients

A total of 393 gastric cancer patients were enrolled in this study, and the patient samples were collected from Quanzhou (south China) and Zhengzhou (north China) hospitals. The number is really not enough to represent the MSI characteristics of the whole Chinese population. However, the characteristics of MSI in gastric cancer in China can be preliminarily studied and we will continue to collect gastric cancer samples for further research. According to the screening process (Figure 1), 16 patients were excluded after the tumor content assessment due to inadequate tumor cells for analysis. Finally, 377 patients were included for further analysis. The demographic and clinicopathological



**Figure 1** The flowchart of the screening process and the molecular testing. IHC, immunohistochemistry; PCR, polymerase chain reaction; MSI, microsatellite instability.

information of the enrolled patients is shown in *Table 2*.

Among the patients, there were 104 females and 273 males. The average age was  $59 \pm 11.3$  years, the median age was 60 years, and 147 patients (39.0%) were between 59 and 69 years. According to the TNM staging system, 51 patients were at lower stages (I or II), and 326 patients were at higher stages (III or IV); 115 patients (30.5%) had lymph node metastasis, and 15 patients (4.0%) had distant metastasis. The number of patients with low, medium, and high differentiation was 168, 195, and 14, respectively. There were 107 patients at clinical stage I or II and 270 patients at clinical stage III or IV. In addition, there were 114 patients with the intestinal subtype, 155 with the diffuse subtype, and 108 patients were mixed.

#### **The correlation between MSI status and clinicopathological parameters in patients with GC**

In this cohort, 3.4% of patients were MSI-H/L, and 2.1% were MSI-H. The occurrence of MSI in females (6.7%, 7/104) was significantly higher than that in males (2.2%,

6/273) ( $P=0.031$ ). The occurrence of MSI in patients aged from 59 to 69 (6.1%, 9/147) was markedly higher than in other age groups (1.7%, 4/230) ( $P=0.023$ ). The occurrence of MSI in patients with smaller tumors (T stage I–II, 7.8%, 4/51) was higher than that in patients with larger tumors (T stage III–IV, 2.8%, 9/326), but no significant difference was observed ( $P=0.064$ ). And the occurrence of MSI in lower clinical stages (I–II, 6.5%, 7/107) was significantly higher than that in higher clinical stages (III–IV, 2.2%, 6/270) ( $P=0.038$ ). However, no difference was observed between patients with lymph node metastasis (2.6%, 3/115) and GC patients without lymph node metastasis (3.8%, 10/262). The occurrence of MSI in patients with distant metastasis (6.7%, 1/15) was higher than those without metastasis (3.3%, 12/362), but there was no significant difference. MSI was not found in patients with high degrees of differentiation, and there was no significant difference between the various degrees of differentiation. Additionally, there was no difference in MSI occurrence among the different Lauren subtypes. Kaplan-Meier survival curves were generated according to the MSI status. The median overall survival (OS) of patients with MSI-H/MSI-L and MSS were 17.60 and 12.86 months, respectively. As shown in *Figure 2*, patients with MSI-H/MSI-L had a significantly longer OS compared with patients with MSS ( $P=0.029$ ).

#### **Clinical relevance of PD-L1 expression and CD8<sup>+</sup> T cell infiltration in GC patients with MSI**

To evaluate the relationship between PD-L1 expression and the presence of CD8<sup>+</sup> tumor-infiltrating lymphocyte (TIL), IHC was conducted to test PD-L1 expression and CD8<sup>+</sup> TILs (*Figure S1*). The results of PD-L1 and CD8 expression are summarized in *Table 3*. In this cohort, only 12 patients exhibited PD-L1 expression, but none was MSI. However, 359 of 377 patients (95.2%) had CD8 expression; moreover, all patients with MSI were CD8-positive. The relationship between PD-L1 and CD8 expression is shown in *Table 4*. We found that all patients with PD-L1 expression ( $n=12$ ) had CD8 expression, suggesting that CD8 plays a role in GC while PD-L1 does not.

#### **Discussion**

Microsatellites are the simple sequence repeats (SSR) of nucleotides in the genome and can be used for genetic markers, genetic linkage maps, and gene positioning. MSIs are SSR variations caused by replication errors, leading

**Table 2** The demographic and clinicopathological information of enrolled patients with gastric cancer

Characteristic	Total number	MSI status		$\chi^2$	P
		+	-		
Total	377	13 (3.4)	364 (96.6)		
Gender, n (%)				4.648	0.031
Female	104	7 (6.7)	97 (93.3)		
Male	273	6 (2.2)	267 (97.8)		
Age, years					
Mean $\pm$ SD	59 $\pm$ 11.3	63 $\pm$ 7.8	59 $\pm$ 11.4		
Median age	60	61.5	60		
59–69 years, n (%)	147	9 (6.1)	138 (93.9)	5.175	0.023
<59 or >69 years, n (%)	230	4 (1.7)	226 (98.3)		
TNM stage, n (%)					
T (I–II)	51	4 (7.8)	47 (92.2)	3.422	0.064
T (III–IV)	326	9 (2.8)	317 (97.2)		
N (no)	262	10 (3.8)	254 (96.2)	0.305	0.581
N (yes)	115	3 (2.6)	110 (97.4)		
M (no)	362	12 (3.3)	350 (96.7)	0.486	0.486
M (yes)	15	1 (6.7)	14 (93.3)		
Differentiation, n (%)				0.841	0.657
Low	168	7 (4.2)	161 (95.8)		
Medium	195	6 (3.1)	189 (96.9)		
High	14	0 (0)	14 (100.0)		
Clinical stage, n (%)				4.295	0.038
I–II	107	7 (6.5)	100 (93.5)		
III–IV	270	6 (2.2)	264 (97.8)		
Lauren subtypes, n (%)				0.045	0.978
Intestinal	114	4 (3.5)	110 (96.5)		
Diffuse	155	5 (3.2)	150 (96.8)		
Mixed	108	4 (3.7)	104 (96.3)		

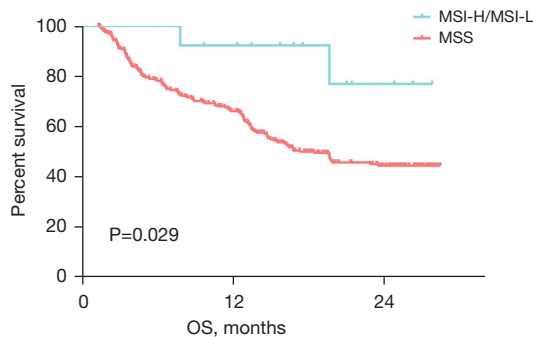
MSI, microsatellite instability; SD, standard deviation; T, tumor; N, lymph node; M, metastasis.

to tumorigenesis and tumor metastasis (28,29). Previous studies on Western populations have reported that the rate of GC patients with MSI was 9.0–22.0%, indicating that MSI could be used as a diagnostic marker of GC (11,30,31). The prevalence of MSI in Chinese GC has been reported to be 2.3–8.0%, which is consistent with our findings (2.1%) and suggests that the prevalence of MSI in Chinese

GC patients is lower than that in Western GC patients (32–34). Furthermore, consistent with other studies, our results demonstrated that MSI was correlated with patients' clinicopathological features, including gender, age, tumor size, and clinical stage. Female patients between 59 and 69 years with a smaller tumor size or an earlier clinical stage were more likely to have an MSI status and have a more

favorable prognosis than GC patients with an MSS status (35,36). Due to the small sample size of GC patients with MSI in this study, the data distribution between MSI-H and MSS samples was biased (13 vs. 364), which may have led to biased statistical results. Therefore, we will need to include more MSI-H GC patients in future studies to confirm our present findings.

Previous studies have shown increased lymphocyte infiltration in the MSI tumor microenvironment (37). The results of clinical trials of anti-PD-1/PD-L1 drugs suggested that patients with MSI tumors could obtain a better clinical response and a prolonged survival time compared with patients with MSS tumors, which may be related to the expression of various immune molecules in the MSI tumor microenvironment (38,39). In addition,



**Figure 2** The Kaplan-Meier estimate of OS in patients with MSI or MSS gastric cancer. MSI, microsatellite instability; MSS, microsatellite stabilization; OS, overall survival.

Thompson *et al.* reported that GCs with higher CD8<sup>+</sup> T cell densities also had higher PD-L1 expressions (26). Clear cell ovarian cancer (CCOC) patients with MSI showed higher CD8<sup>+</sup> TIL and PD-1<sup>+</sup> TIL numbers as well as an elevated CD8<sup>+</sup>/CD4<sup>+</sup> ratio. It is worth noting that PD-L1 expression in tumor cells or immune cells occurred in all CCOC patients with MSI (40). However, in our study, patients with CD8 expression accounted for 95.2%, and those with PD-L1 expression accounted for 2.5% of the total sample. The relationship between PD-L1 and CD8 expression indicated that GC patients with PD-L1 expression may be a subtype of patients with CD8<sup>+</sup> rather than CD8<sup>-</sup>. This may be because PD-L1 is only highly expressed in some patients with cancer. Except for PD-L1, other immune regulators also exert biological functions in the tumor microenvironment. For example, recent studies have shown that CD47 (41), FGL1 (42), and Siglec-15 (43), which belong to immunoregulatory factors in the microenvironment, are independent of the PD-1/PD-L1 pathway. Although our findings showed that there was no significant difference in the expression level of PD-L1 in Chinese GC patients with MSI and GC patients with MSS, the present results seemed to indicate that the expression of CD8 and PD-L1 were mutually exclusive in Chinese MSI GC patients, suggesting that the MSI status of GC patients in China may help differentiate the immunotypes of GC.

## Conclusions

As the first study to investigate the association between MSI

**Table 3** The results of PD-L1 and CD8 expression in gastric cancers with MSI/MSS

Characteristic	Total (n=377)	PD-L1 expression				CD8 expression			
		+	-	$\chi^2$	P	+	-	$\chi^2$	P
MSI	13	0	13	0.443	0.506	13	0	0.675	0.411
MSS	364	12	352			346	18		

MSI, microsatellite instability; MSS, microsatellite stabilization.

**Table 4** The relationship between PD-L1 and CD8 expression in gastric cancers

Characteristic	Total	PD-L1 expression, n (%)		$\chi^2$	P
		+	-		
CD8 expression (+)	359	12 (3.3)	347 (96.7)	0.621	0.431
CD8 expression (-)	18	0 (0.0)	18 (100.0)		

+, positive; -, negative.

and CD8 in GC, our results showed that the prevalence of MSI in GC differed significantly according to gender and age. Additionally, compared to patients with MSS, patients with MSI-H / MSI-L had a longer survival, and MSI expression was significantly correlated with CD8. However, the relatively small size of our MSI-H sample is not sufficient to draw firm conclusions, and further studies based on a larger cohort of GC samples are needed.

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### Footnote

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Ethics Committee of The First Hospital of Quanzhou (No. 2020-126). All participants provided written informed consent.

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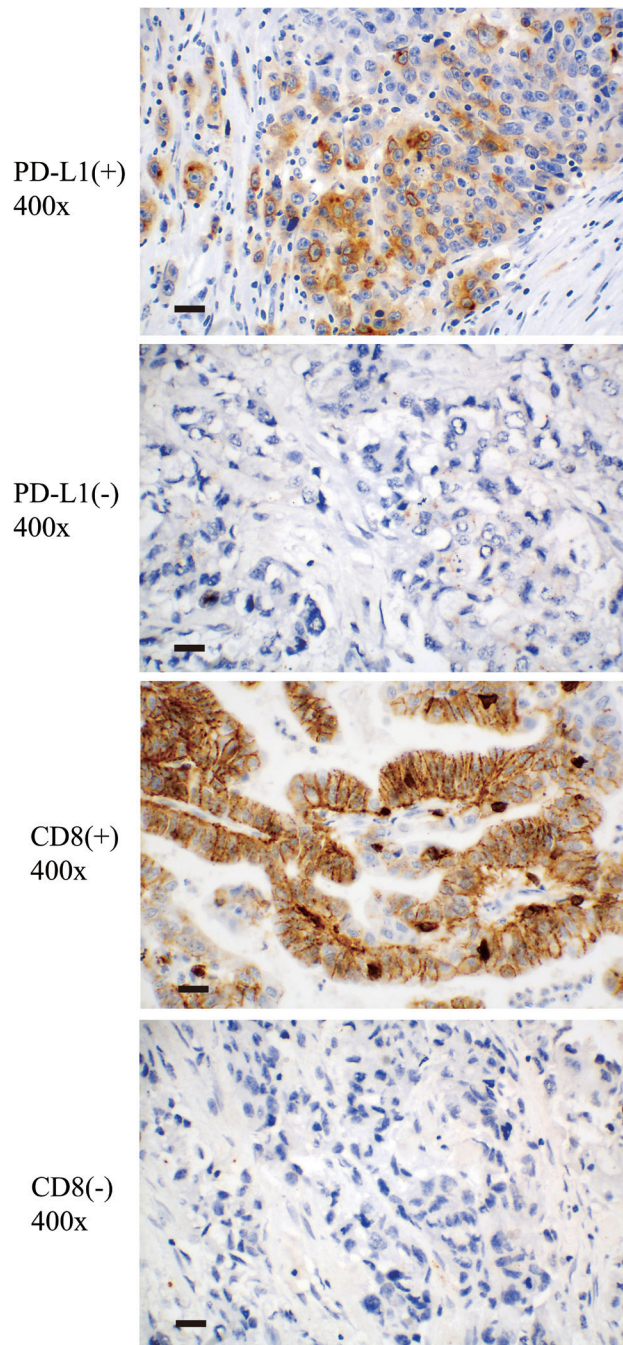
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**Figure S1** Representative images of PD-L1 and CD8 detection of gastric cancer tumor specimens (400×). CD8 and PD-L1 (SP142) antibodies were diluted at a ratio of 1:50 and incubated overnight at 4 °C. After PBS cleaning, biotin-labeled secondary antibody (1:100) was dropped and incubated at 37 °C for 30 minutes. DAB was used to develop the color for 15min and rinse with tap water, then hematoxylin was redyed, and the tablets were sealed with xylene transparent resin, and observed and photographed under light microscope.