



A three-phase trans-ethnic study reveals *B7-H3* expression is a significant and independent biomarker associated with colon cancer overall survival

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Background: There have been inconsistent results and conflicting conclusions among the existing prognostic studies of *B7-H3* expression in colon cancer patients. Therefore, the association between *B7-H3* expression and colon cancer survival has remained largely unclear.

Methods: We performed a three-phase and trans-ethnic prognostic study of *B7-H3* expression in colon cancer patients involving perhaps the largest population to date. In the discovery phase, we utilized a Cox proportional hazards model adjusted for covariates to test the association between *B7-H3* expression and colon cancer overall survival (OS) time in a European population from The Cancer Genome Atlas (TCGA) cohort (n=433). In the validation phase I, the association was replicated in a European population from Gene Expression Omnibus (GEO) cohort (n=811). In the validation phase II, we again confirmed the significant association in an Asian population from Fujian Medical University Union Hospital (UNION) cohort (n=179). Furthermore, a series of Kaplan-Meier analysis, bioinformatics analysis of tumor immune microenvironment (TIME), and immune checkpoint prognostic prediction analysis, as well as sensitivity analysis, were also conducted.

Results: Highly expressed *B7-H3* was a significant and robust biomarker to colon cancer survival, with a large hazard ratio (HR) [HR_{TCGA} =4.60, 95% confidence interval (CI): 2.15 to 9.83, P=8.37×10⁻⁰⁵; HR_{GEO} =1.47, 95% CI: 1.12 to 1.94, P=0.0056; HR_{UNION} =1.63, 95% CI: 1.36 to 1.95, P=7.91×10⁻⁰⁸]. We detected an involvement of *B7-H3* in the tumor immune microenvironment (TIME). Meanwhile, *B7-H3* was significantly and weakly correlated with 6 out of 27 well-recognized immune checkpoint genes. Even after adjusting for effects of other immune checkpoint genes, *B7-H3* still exhibited a harmful effect on colon cancer survival using samples from TCGA and GEO cohorts (HR =1.47, 95% CI: 1.07 to 2.02, P=0.0184), indicating that it was an independent prognostic factor of colon cancer. We also proposed an immune checkpoint prognostic risk score which possessed the capability to identify colon cancers with high risk of mortality.

Conclusions: The expression of *B7-H3* is a significant, robust, and independent prognostic factor to colon cancer OS.

Keywords: *B7-H3*; gene expression; colon cancer; overall survival; prognostic factor

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1 Introduction

2 Colon cancer is a common malignant tumor of the
3 digestive system, which is the third and second most
4 commonly diagnosed cancer in men and women worldwide,
5 respectively, with 1.15 million new cases and 576,858
6 deaths in 2020 (1). Even though the therapeutic effect of
7 colon cancer has greatly improved with the development
8 of medical technology, the prognosis of colon cancer is
9 still poor (2). Immunotherapy, which boosts the immune
10 system works to find and attack cancer cells, envisions a
11 novel approach to cancer therapy (3). The immune system
12 consists of a complex set of molecular interactions that
13 are regulated by immune checkpoints and are named
14 differentiated clusters based on their order of discovery (4,5).
15 Immune checkpoint pathways are often activated to inhibit
16 nascent anti-tumor immune responses to tumor cells, and
17 immune checkpoint therapy enhances the immune activity
18 against tumors by blocking or stimulating these pathways
19 (6,7), including the most widely studied and well-recognized
20 pathways of cytotoxic T lymphocyte-associated molecule-4
21 (CTLA-4), programmed cell death receptor-1 (PD-1),
22 and programmed cell death ligand 1 (PD-L1). Inhibitory
23 immune checkpoints (e.g., PD-L1, PD-1, CTLA-4, and
24 B7-H3) bind with their associated ligands to induce an
25 inhibitory response and prevent the cascade of stimulatory
26 immune checkpoint signals that activate T cell activity (8,9).
27 Therefore, those immune checkpoints are consequentially
28 associated with tumor prognosis and response to treatment.

29 Nevertheless, the association between *B7-H3* (B7
30 Homolog 3) and colon cancer survival still remains unclear.
31 Also known as CD276, *B7-H3* is a costimulatory molecule
32 belonging to the B7-CD28 family. It is a membrane
33 protein encoded on chromosome 15 (10,11), and is widely
34 expressed in heart, thymus, prostate, testis, uterus, placenta,
35 spleen, liver, pancreas, small intestine, and colon in both
36 normal and tumor tissues (12-14). An array of studies have
37 indicated that highly expressed *B7-H3* promotes tumor
38 progression and metastasis, as well as being associated with
39 poor prognosis in various of cancers, including glioma (15),
40 hepatocellular carcinoma (16), lung cancer (17), breast
41 cancer (18), osteosarcoma (19), cutaneous melanoma (20),
42 and pancreatic cancer (21). However, the results of
43 existing prognostic studies of *B7-H3* expression and colon
44 cancer (22-37) have been inconsistent and have presented
45 conflicting conclusions; thus, we believe there is an urgent
46 need to elaborate the effect of *B7-H3* on colon cancer
47 survival. Besides, various technical bottlenecks of previous
48

49 studies need to be addressed: (I) there have been very few
50 European studies (22,23,29), and majority of these studies
51 were conducted among Asian populations (24-28,30-37);
52 (II) no study so far has focused on the non-linear effects
53 of *B7-H3* on colon cancer survival, with previous studies
54 simply having tested its linear effect; (III) there has been
55 no independent validation of *B7-H3*, the association has
56 consistently been evaluated in a single population; and (IV)
57 there have been no trans-ethnic population studies of *B7-H3*
58 thus far.

59 Hence, we performed a 3-phase designed and trans-
60 ethnic study to test and confirm the prognostic effect of *B7-
61 H3* expression on colon cancer survival using 3 independent
62 cohorts comprising European and Asian populations,
63 followed by a series of Kaplan-Meier (K-M) analysis,
64 bioinformatics analysis of tumor immune microenvironment
65 (TIME), and immune checkpoint prognostic prediction
66 analysis, along with sensitivity analysis. We present
67 the following article in accordance with the REMARK
68 reporting checklist (available at [https://dx.doi.org/10.21037/
69 jgo-21-821](https://dx.doi.org/10.21037/jgo-21-821)) (38).

70 Methods

71 Study populations

72 We utilized European colon cancer patients from The
73 Cancer Genome Atlas (TCGA) and Gene Expression
74 Omnibus (GEO) cohorts, as well as Asian colon cancer
75 patients recruited from Fujian Medical University Union
76 Hospital (UNION) to evaluate the association between
77 gene expression level of *B7-H3* and overall survival (OS) of
78 colon cancer (*Table 1*).

79 TCGA

80 Gene expression profiles (platform: Illumina HiSeq 2000
81 RNA Sequencing; San Diego, CA, USA) and clinical
82 data of colon cancer patients were obtained from TCGA
83 (<https://portal.gdc.cancer.gov/>) database in July 2021,
84 including 471 tumor tissues and 41 adjacent-normal
85 tissues. A total of 433 participants (95 deceased and 338
86 alive) with complete clinical and OS time were reserved
87 for subsequent association analysis. The gene expression
88 level was measured by fragments per kilobase of transcript
89 per million fragments (FPKM) value and log₂ transformed
90 before analysis. Unqualified probes were excluded if they
91 meet any of the quality control (QC) criteria: (I) high
92 missing rates (>30%); or (II) coefficient of variance (CV)
93
94
95
96

Table 1 Demographic and clinical descriptions of colon cancer patients in TCGA, GEO, and UNION cohorts

Variable	TCGA (n=433)	GEO (n=811)	UNION (n=179)
Age (years), mean \pm SD	66.33 \pm 12.83	66.28 \pm 13.31	55.87 \pm 15.22
Age group, n (%)			
<65 years	169 (39.03)	325 (40.07)	126 (70.39)
\geq 65 years	264 (60.97)	485 (59.80)	53 (29.61)
Unknown	18	1	0
Gender, n (%)			
Female	200 (46.19)	370 (45.62)	89 (49.72)
Male	233 (53.81)	441 (54.38)	90 (50.28)
Height (cm), mean \pm SD	168.52 \pm 12.32	–	163.09 \pm 7.82
Weight (kg), mean \pm SD	81.34 \pm 20.72	–	59.63 \pm 10.57
T stage, n (%)			
T0/Tis	1 (0.23)	4 (0.69)	0 (0.00)
T1	11 (2.54)	12 (2.07)	0 (0.00)
T2	75 (17.32)	48 (8.29)	0 (0.00)
T3	296 (68.36)	376 (64.94)	34 (18.99)
T4	50 (11.55)	119 (20.55)	145 (81.01)
Unknown	0	252	0
N stage, n (%)			
N0	254 (58.66)	311 (53.71)	32 (17.88)
N1	102 (23.56)	136 (23.49)	50 (27.93)
N2	77 (17.78)	100 (17.27)	97 (54.19)
N3	0 (0.00)	6 (1.04)	0 (0.00)
NX	0 (0.00)	6 (1.04)	0 (0.00)
Unknown	0	252	0
M stage, n (%)			
M0	320 (73.90)	496 (85.66)	0 (0.00)
M1	61 (14.09)	61 (10.54)	179 (100.00)
MX	45 (10.39)	2 (0.35)	0 (0.00)
Unknown	7	252	0
Clinical stage, n (%)			
I	73 (16.86)	65 (8.01)	0 (0.00)
II	165 (38.11)	341 (42.05)	0 (0.00)
III	123 (28.41)	285 (35.14)	0 (0.00)
IV	61 (14.09)	116 (14.30)	179 (100.00)
Unknown	11	4	0

Table 1 (continued)

Table 1 (continued)

Variable	TCGA (n=433)	GEO (n=811)	UNION (n=179)
Adenocarcinoma, n (%)			
Yes	367 (84.76)	579 (100.00)	135 (75.42)
No	66 (15.24)	0 (0.00)	44 (24.58)
Unknown	0	232	0
Tumor location, n (%)			
Left	135 (31.18)	228 (39.38)	111 (62.01)
Right	204 (47.11)	351 (60.62)	68 (37.99)
Unknown	94	232	0
Complete tumor excision, n (%)			
Yes	53 (12.24)	–	57 (31.84)
No	13 (3.00)	–	122 (68.16)
Unknown	367	–	0
Carcinoma cell embolus, n (%)			
Negative	226 (52.19)	–	84 (46.93)
Positive	164 (37.88)	–	95 (53.07)
Unknown	43	811	0
Tumor size, n (%)			
<5 cm	–	–	66 (36.87)
≥5 cm	–	–	113 (63.13)
Unknown	433	811	0
Postoperative therapy, n (%)			
Yes	145 (33.48)	240 (41.45)	130 (72.63)
No	235 (54.27)	323 (55.79)	48 (26.82)
Unknown	53	248	1
CEA (median, Q ₁ –Q ₃)	3.00 (1.80–7.52)	–	8.00 (2.70–33.4)
CEA group, n (%)			
Normal	178 (41.11)	–	73 (40.78)
Elevated	97 (22.40)	–	106 (59.22)
Unknown	158	811	0
CA199, n (%)			
Normal	–	–	106 (59.22)
Elevated	–	–	73 (40.78)
Unknown	433	811	0

Table 1 (continued)

Table 1 (continued)

Variable	TCGA (n=433)	GEO (n=811)	UNION (n=179)
Median survival months			
Median (95% CI)	93 (66–NA)	135 (106–NA)	16 (11–20)
Deaths, n (%)	95 (21.94)	287 (35.39)	137 (76.54)

NA, not available; T, tumor; N, node; M, metastasis; CEA, carcinoembryonic antigen; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; UNION, Fujian Medical University Union Hospital.

97 <5% (Figure S1). The data used in this study comply with
98 the requirements of TCGA official published data and are
99 publicly available.

101 GEO

102 A total of 811 colon cancer patients with complete clinical
103 and OS information, as well as gene expression data, were
104 acquired from the GEO (<https://www.ncbi.nlm.nih.gov/geo/>)
105 database, including GSE39582, GSE17536, and
106 GSE17537. Gene expression data was profiled by GPL570
107 Affymetrix Human Genome U133 Plus 2.0 Array platform
108 (Affymetrix, Santa Clara, CA, USA). The raw intensity
109 values were background corrected, log₂ transformed, and
110 then quantile normalized. Next, the expression values were
111 derived by robust multi-array average (RMA) method,
112 an algorithm exclusively designed to create an expression
113 matrix from Affymetrix platform. Redundant probes were
114 collapsed, and then annotated to human gene symbols prior
115 to analysis. We applied the same QC criteria for the GEO
116 data.

118 UNION

119 Colon cancer patients in the UNION cohort were
120 recruited from January 2010 to January 2018. Patients
121 were admitted for surgical treatment of colorectal cancer.
122 Routine preoperative examinations were performed to
123 exclude patients with organic lesions of the heart, lung,
124 liver, and others. Additionally, patients (I) who did not
125 receive surgery but only a simple ileostomy; (II) who had
126 intestinal obstruction, intestinal perforation, intestinal
127 bleeding, or required emergency surgical resection;
128 (III) who had simultaneous polygenic carcinoma of the
129 colon, heterogeneous polygenic carcinoma of the colon,
130 and familial adenomatous polyposis; (IV) who presented
131 other malignant tumors or died due to postoperative
132 complications, were further excluded. Finally, 179 patients
133 were included in the study. The expression level of *B7-H3*
134 in tumor cells was quantified by immunohistochemistry

(IHC). Briefly, Slides (4- μ m thick consecutive paraffin 135
sections) from the blocks with the highest tumor content 136
for each sample were used for IHC staining, immersed 137
in xylene, rehydrated through graded concentrations of 138
ethanol followed by phosphate-buffered saline (PBS) buffer, 139
and deionized water for 5 min each. Slides were then heated 140
to 100 °C for 20 min in a pH 9 Tris-based solution. All slides 141
were incubated with the primary antibodies for 60 min at 142
37 °C for 1 h (dilutions: Human B7-H3 Antibody 1:300, 143
R&D Systems, Minneapolis, MN, USA) and were then 144
washed. A secondary antibody followed by incubation with 145
rabbit anti-goat IgG and an avidin-biotin complex (Boster, 146
Wuhan, China) was added for 30 min and the slides were 147
again washed. The sections were processed with the universal 148
SP Elivision-plus kit (Maixin Bio, Fuzhou, China). Finally, 149
the sections were counterstained with hematoxylin (39). The 150
percentages of *B7-H3* in 3 representative high-power fields 151
of individual samples were analyzed for intensity of *B7- 152*
H3 membranous expression and were scored as 0 (<5% of 153
B7-H3), 1 (5–25%), 2 (>25–50%), or 3 (>50%). Individual 154
samples were evaluated by at least 2 pathologists in a blinded 155
manner, and those samples with inconsistent scores were 156
further discussed and decided. A score of 0 or 1 with *B7-H3* 157
on IHC was regarded as low *B7-H3* expression group, and 158
2 or 3 staining as high *B7-H3* expression group. This study 159
was approved by the institutional review board of Fujian 160
Medical University Union Hospital (No. 2018YF024-01). 161
All participants or their surrogates provided their written 162
informed consent. All procedures performed in this study 163
involving human participants were in accordance with the 164
Declaration of Helsinki (as revised in 2013). 165

167 Statistical analysis

169 Three-phase study of B7-H3 expression and colon 170 cancer OS

171 The analysis workflow is presented in Figure 1. We adopted
172 a 3-phase trans-ethnic study to evaluate the association

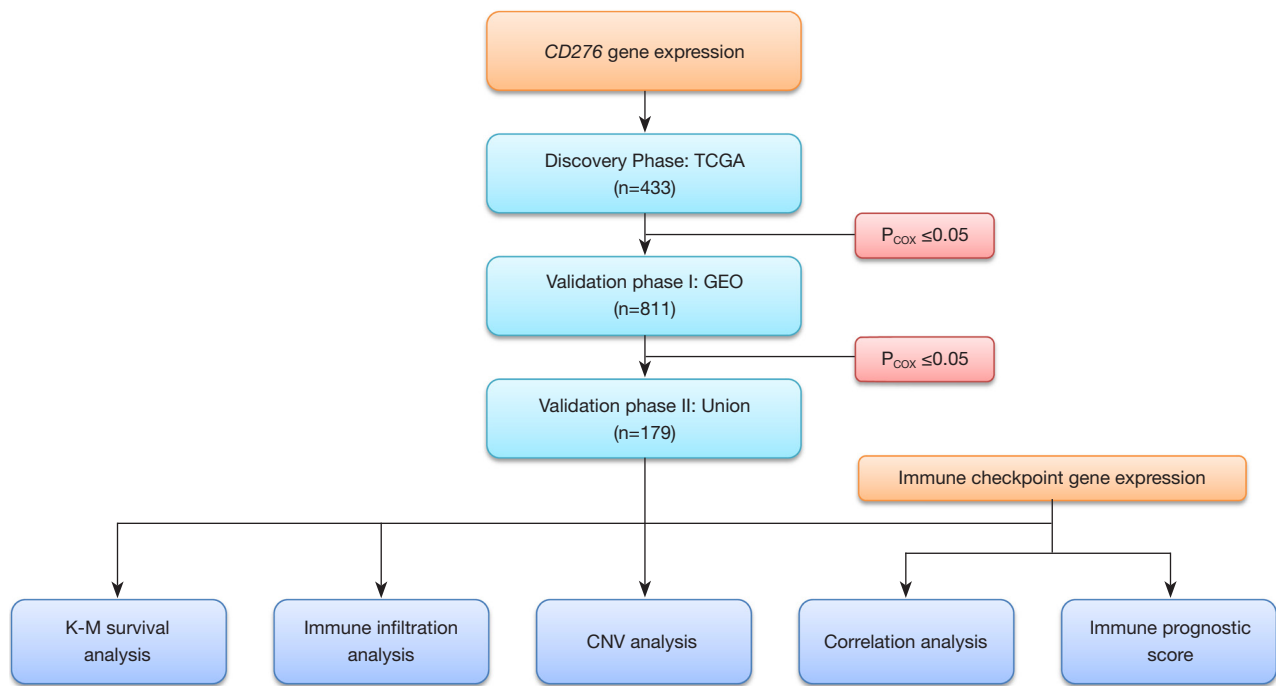


Figure 1 Flow chart of study design and statistical analysis.

173 between expression of *B7-H3* and colon cancer OS. In the
 174 discovery phase, we used a restricted cubic spline (RCS)
 175 regression model by setting the median expression of *B7-H3*
 176 as a reference point to investigate the potential non-linear
 177 effect of *B7-H3* on OS using a European population from
 178 the TCGA cohort. The RCS are a smoothly joined sum
 179 of polynomial functions that do not assume linearity of
 180 the relationship between variable and the response (40).
 181 This technique provides great flexibility for fitting data
 182 and modeling complex relationships between survival
 183 outcome and the variable of interest, while adjusting for
 184 other covariates. Furthermore, an RCS regression model
 185 can avoid arbitrary categorization of the continuous
 186 measures and permit identification of the risk function
 187 inflexion point. Then, the *B7-H3* expression was stratified
 188 by knot information into different groups (41). Finally, the
 189 association between *B7-H3* and survival was tested using
 190 a Cox proportional hazards model adjusted for covariates.
 191 These covariates were significant variables screened out
 192 by step forward regression model with P value of entry
 193 ≤ 0.05 and P value of remove > 0.05 , among a series of
 194 demographic and clinical variables. As a result, age, gender,
 195 and clinical stage were adjusted in the Cox proportional
 196 hazards model (Tables S1,S2). Proportional hazard

assumption was confirmed based on Schoen's method (42).
 The association strength was measured by hazard ratio (HR)
 and 95% confidence interval (CI).

In the validation phase I, we used the RCS regression
 model again to evaluate the non-linear effect of *B7-H3* on
 colon cancer survival using a European population from
 the GEO cohort. The Cox proportional hazards model was
 utilized to test the association between *B7-H3* and survival,
 adjusted for the same covariates aforementioned in the
 discovery phase.

In the validation phase II, we directly tested the grade
 level of *B7-H3* using Cox proportional hazards regression
 model using an Asian population from the UNION
 cohort. Since all participants were in M1 stage, the model
 was adjusted for age, gender, tumor (T) stage, and node
 (N) stage. Finally, meta-analyses using both fixed-effects
 and random-effects models were applied in our study to
 combine results from 3 phases by R package *meta* ([https://
 cran.r-project.org/web/packages/meta/index.html](https://cran.r-project.org/web/packages/meta/index.html)).

Supplementary analyses of *B7-H3*

Furthermore, we performed a series of supplementary
 analyses. First, K-M survival curves were drawn to
 represent the survival difference between patients with

different expression levels (43). The cutoff values of *B7-H3* expression were defined based on using R package *survMisc* (<https://cran.r-project.org/web/packages/survMisc/index.html>), which used log-rank test to find the optimal cut-off value, in TCGA and GEO cohorts, respectively. According to these cutoff values, these participants were divided into a high and low expression group, their survival differences were further compared using Cox proportional hazards regression model adjusted for covariates.

Second, bioinformatics analysis of *B7-H3* including immune infiltration analysis, somatic copy number alteration (SCNA) analysis, and correlation analysis were performed using TIMER2.0, which is a freely available web server to the research community (44). The TIMER2.0 resource uses RNA-seq expression profiles to detect and quantify the situation of immune cell infiltration in tumor tissues, so as to determine the relationship between tumor and immune cells in TCGA colon cancer database (45). (I) In immune infiltration analysis, the scatterplots were displayed, showing the association between *B7-H3* expression and immune infiltration level in colon cancer tumors using Spearman correlation analysis. (II) In SCNA analysis, SCNAs were categorized into different groups, including deep deletion (-2), arm-level deletion (-1), diploid/normal (0), arm-level gain (+1), and high amplification (+2). Box plots were presented to show the distributions of each immune subset (B cells, CD8⁺ T cells, CD4⁺ T cells, macrophages, neutrophils, and dendritic cells) at each copy number status in colon cancer. The infiltration level for each category was compared with the normal using a 2-sided Wilcoxon rank-sum test. (III) In correlation analysis, we further evaluated the association between *B7-H3* and 27 well-recognized immune checkpoint genes (46).

Finally, we proposed an immune checkpoint prognostic score of colon cancer using overall participants from TCGA and GEO cohorts to distinguish patients at high risk of mortality. These well-recognized immune checkpoint genes associated with colon cancer survival were screened out by forward stepwise regression model adjusted for age, gender, clinical stage, study site and *B7-H3*, with P value of entry ≤ 0.05 and P value of remove > 0.05 . Patients were then categorized into high and low risk groups by median value, and K-M survival curves between 2 groups were tested. Sensitivity analyses were also performed stratified by age, gender, and clinical stage. The time-dependent receiver operating characteristic (ROC) curve and area under the ROC curve (AUC) were used to measure the prediction

ability (47). Statistical analyses were performed using R version 3.4.4 (The R Foundation of Statistical Computing, Vienna, Austria).

Results

After quality control, 3 datasets were composed of with 433 participants in TCGA cohort, 811 participants in the GEO cohort, and 179 participants in the UNION cohort for further association analysis. Demographic and clinical characteristics of these participants were described as mean \pm SD for continuous variables and number (%) for categorical variables in *Table 1*.

Clinical experimental studies of B7-H3 and colon cancer

First, the expression level of *B7-H3* in colon cancer and adjacent normal tissues were evaluated by differential analysis of gene expression data in TCGA and GEO cohort. Compared with the adjacent normal tissue, tumor tissue had high-expressed level of *B7-H3* ($P=1.18 \times 10^{-21}$). Besides, there was significant association between *B7-H3* expression and clinicopathological factors (age: $P=0.029$; clinical stage: $P=0.002$), which were shown in boxplot (*Figure S2*).

B7-H3 was significantly and robustly associated colon cancer OS

In the discovery phase, we observed the apparent non-linear association pattern between *B7-H3* and OS time of colon cancer patients from cubic spline regression model using European population in TCGA (*Figure 2*). This suggested that the inflection points were 3.7, 4.1, and 4.6. The covariates-adjusted Cox proportional hazards regression model indicated *B7-H3* was a significant risk factor of colon cancer survival ($HR_{TCGA}=4.60$, 95% CI: 2.15 to 9.83, $P=8.37 \times 10^{-05}$). In the validation phase I, there was an approximate linear association pattern between *B7-H3* and colon cancer survival derived from cubic spline regression model using European population in GEO. By Cox model, *B7-H3* was still significantly correlated with a worse prognosis ($HR_{GEO}=1.47$, 95% CI: 1.12 to 1.94, $P=0.0056$). In validation phase II, we again confirmed that *B7-H3* was a significant prognostic factor of colon cancer survival using an Asian population in UNION ($HR_{UNION}=1.63$, 95% CI: 1.36 to 1.95, $P=7.91 \times 10^{-08}$). Finally, meta-analysis of 3 phases ensured the significant association regardless of

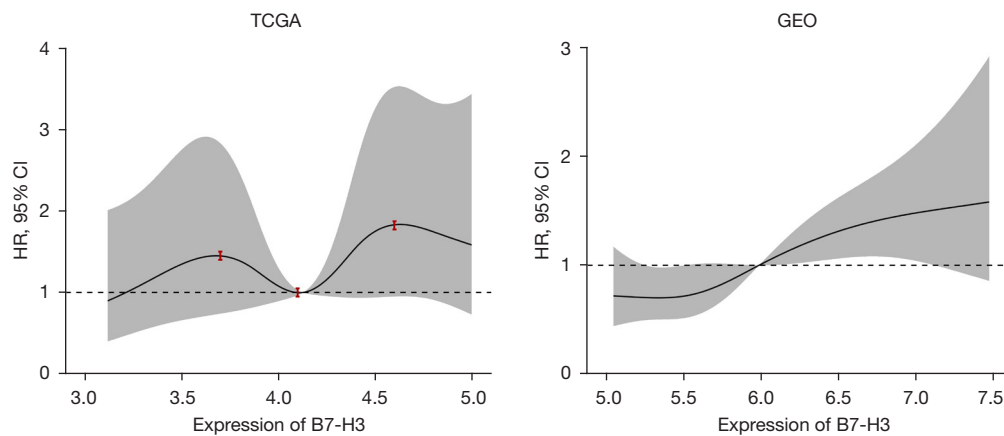


Figure 2 The apparent non-linear and approximate linear association pattern between *B7-H3* and OS time of colon cancer patients derived from cubic spline regression model. The inflection points of expression of *B7-H3* were 3.7, 4.1, and 4.6 in TCGA cohort. OS, overall survival; TCGA, The Cancer Genome Atlas.

Table 2 Association results of *B7-H3* expression and overall survival time of colon cancer patients derived from Cox proportional hazards model in TCGA, GEO, and UNION cohorts

Variable	Unadjusted model			Adjusted model		
	HR	95% CI	P value	HR	95% CI	P value
TCGA	3.240	1.514–6.933	0.0024	4.598	2.150–9.833	8.37E–05
GEO	1.332	1.016–1.746	0.0380	1.472	1.119–1.937	0.0056
UNION	1.553	1.317–1.831	1.7E–07	1.631	1.364–1.950	7.91E–08
Meta						
Fixed-effects model	1.529	1.331–1.756	1.91E–09	1.646	1.421–1.907	2.85E–11
Random-effects model	1.585	1.203–2.087	0.0011	1.846	1.281–2.661	0.0010
Test of heterogeneity			0.0919			0.0217

HR, hazard ratio; CI, confidence interval; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; UNION, Fujian Medical University Union Hospital.

315 a fixed-effects model ($HR_{\text{fixed}} = 1.65$, 95% CI: 1.42 to 1.91,
316 $P = 2.85 \times 10^{-11}$) or random-effects model ($HR_{\text{random}} = 1.85$,
317 95% CI: 1.28 to 2.66, $P = 0.0010$) (Table 2).

318

319 *K-M survival analysis*

320

321 The optimal cutoff value of *B7-H3* which corresponded
322 to the highest log-rank test score was 4.317 and 5.864 in
323 TCGA and GEO, respectively. Therefore, participants
324 in TCGA and GEO were divided into high and low
325 expression groups. In UNION, participants with the grade
326 levels (0 and 1) of *B7-H3* expression were categorized
327 into low expression group and the others were in high

expression group. The survival curves were significantly 328
separated between the 2 groups across 3 datasets (Figure 3), 329
indicating that high expression of *B7-H3* had harm effect 330
on colon cancer survival ($HR_{\text{TCGA}} = 1.63$, 95% CI: 1.07 to 331
2.49, $P = 2.22 \times 10^{-02}$; $HR_{\text{GEO}} = 1.75$, 95% CI: 1.26 to 2.42, 332
 $P = 7.62 \times 10^{-04}$; $HR_{\text{UNION}} = 2.36$, 95% CI: 1.64 to 3.40, 333
 $P = 4.21 \times 10^{-06}$). 334

335 *Bioinformatics analysis*

336
337
338 Cancer cells within the TIME and neighboring tumor-
339 associated noncancerous cells play an important role in
340 tumor biology. The immune infiltration analysis indicated

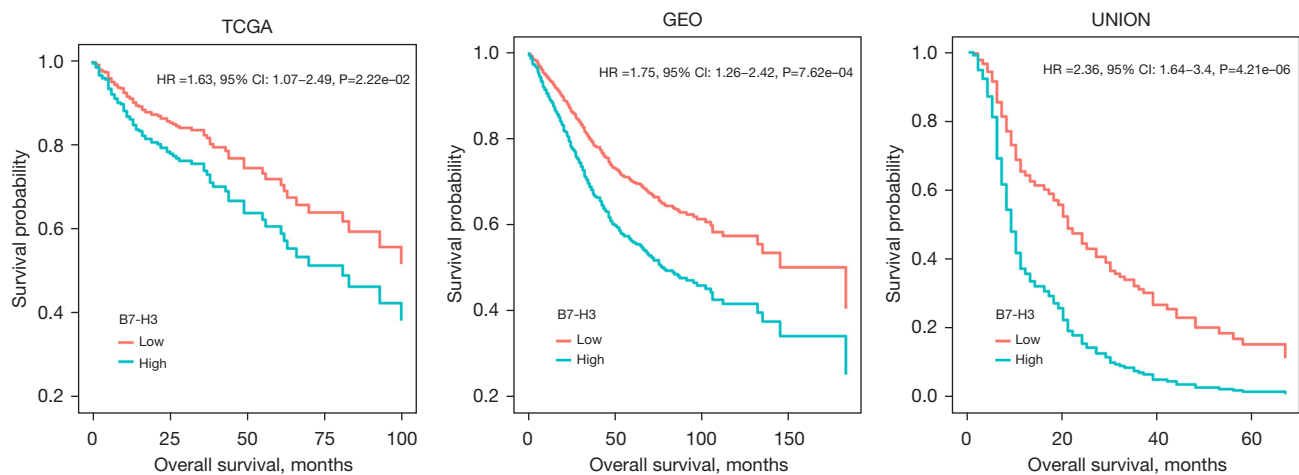


Figure 3 K-M survival curves of colon cancer patients with high and low gene expression level of *B7-H3* in TCGA, GEO, and UNION cohorts. K-M, Kaplan-Meier; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; UNION, Fujian Medical University Union Hospital.

341 that *B7-H3* expression had a significant negative correlation
 342 with immune infiltration level for tumor purity and B cells,
 343 but a significant positive correlation with that for CD4⁺
 344 T cells, macrophages, neutrophils, and dendritic cells
 345 (Figure 4). Besides, the infiltration levels were significantly
 346 distributed among different groups of SCNAs of *B7-H3*
 347 (Figure 5), including B cell ($P < 0.05$), CD8⁺ T cell ($P < 0.001$),
 348 neutrophil ($P < 0.01$), and dendritic cell ($P < 0.001$). In
 349 addition, *B7-H3* was significantly and weakly correlated
 350 with 6 out of 27 well-recognized immune checkpoint genes
 351 (Figure S3), including *PVRL2* ($\rho = 0.47$, $P = 1.90 \times 10^{-05}$), *PVR*
 352 ($\rho = 0.43$, $P = 8.31 \times 10^{-5}$), *IGSF11* ($\rho = 0.29$, $P = 9.74 \times 10^{-03}$),
 353 *CEACAM1* ($\rho = 0.35$, $P = 1.90 \times 10^{-03}$), *PTDSS1* ($\rho = 0.43$,
 354 $P = 1.04 \times 10^{-04}$), and *HMGB1* ($\rho = 0.49$, $P = 6.61 \times 10^{-05}$).

356 *Immune checkpoint prognostic score of colon cancer*

357 We performed stepwise regression model to screen other
 358 immune checkpoint genes using Cox model adjusted for
 359 covariates and *B7-H3* expression and identified 7 more
 360 genes associated with colon cancer survival (Table S3). In
 361 this multivariable Cox model, *B7-H3* was still significant
 362 (HR = 1.47, 95% CI: 1.07 to 2.02, $P = 0.0184$), indicating it
 363 was an independent risk factor of colon cancer prognosis.
 364 Then, we proposed an immune checkpoint prognostic score
 365 of colon cancer, which was a weighted linear combination
 366 of 8 significant genes. The weight of each gene was defined
 367 as the corresponding estimated log hazard ratio [ln(HR)]
 368

369 derived from the multivariable Cox model. The immune
 370 checkpoint prognostic score could distinguish patients at
 371 high risk of mortality in all subpopulations stratified by
 372 age (Figure S4), gender (Figure S5), and clinical stages
 373 (Figure S6). These 8 immune checkpoint genes slightly
 374 improved the prognostic prediction ability for 3-year
 375 survival (2.38%) and 5-year survival (1.63%), respectively.
 376 Hence, the prognostic model of colon cancer achieved an
 377 acceptable accuracy ($AUC_{3\text{-year}} = 0.74$ and $AUC_{5\text{-year}} = 0.72$)
 378 (Figure S7).

380 Discussion

381 In recent years, previous studies of *B7-H3* have mainly
 382 focused on the its expression and role in different types of
 383 cancers (48). Elevated expression of *B7H3* has been found
 384 in serum of patients with malignant tumors, which is related
 385 to clinical stage and progression of cancer (49,50), since
 386 *B7-H3* appears to be correlated with different proteins that
 387 contribute to tumor migration, invasion and angiogenesis
 388 (51,52). Besides, *B7-H3* blocking in combination with
 389 chemotherapy is a promising treatment option based on
 390 preclinical animal studies (53).

391 Currently, some previous studies have described a
 392 significant correlation between tumor expression of *B7-H3*
 393 and prognosis (14,54), whereas, majority of them reported
 394 that high tumor *B7-H3* expression was associated with more
 395 advanced disease (31), increased risk of recurrence (35) or
 396

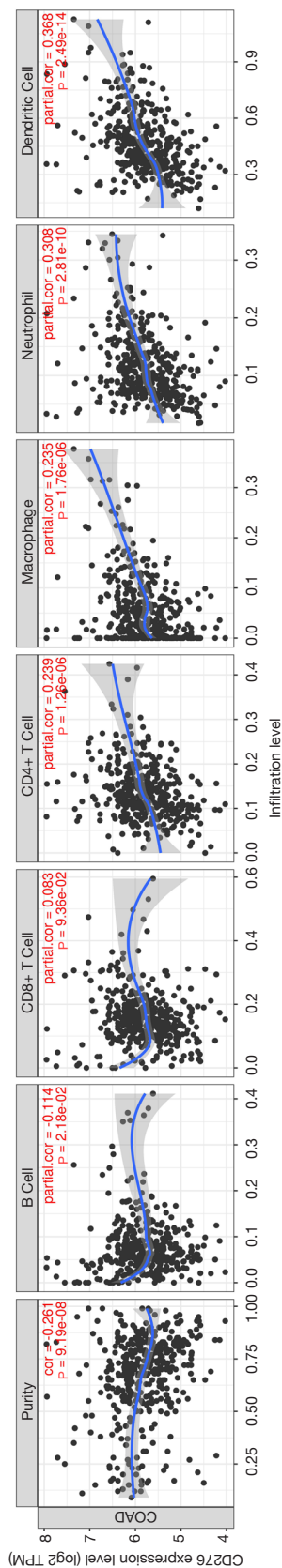


Figure 4 The correlation between *B7-H3* expression and immune infiltration level in colon cancer. The scatterplots were displayed, showing the purity-corrected partial Spearman's rho value and statistical significance.

397 shorter survival time (55), which was consistent with our
 398 results. Effective therapeutic strategies against colon cancer
 399 are scarce. Therefore, it is imperative to seek a promising
 400 therapeutic target for anti-tumor drugs and also important
 401 to develop a prognostic tool to identify patients at high risk
 402 of mortality who require more attention and aggressive
 403 treatment. By leveraging publicly available transcriptional
 404 data for European colon cancers in TCGA and GEO
 405 cohorts and our in-door data for Asian colon cancers in the
 406 UNION cohort, we performed a three-phase study, which
 407 perhaps was the first trans-ethnic prognostic study of *B7-H3*
 408 expression on this population level. We first tested the
 409 prognostic effect of *B7-H3* on survival in the European
 410 population, and then continuously validated its effect using
 411 another 2 independent European and Asian populations.
 412 Highly expressed *B7-H3* was a significant and independent
 413 harmful factor to colon cancer survival, even after adjusting
 414 for effects of other immune checkpoint genes, correlating
 415 with these genes, and joint involvement in TIME. We also
 416 proposed an immune checkpoint prognostic risk score
 417 which significantly stratified patients into high and low risk
 418 groups, regardless of their characteristics.

419 The mechanism of *B7-H3* expression affecting colon
 420 cancer survival still remains to be fully investigated. *B7-H3*
 421 expression is often induced on immune cells (56,57), which
 422 can mediate chemotherapeutic resistance and sensitivity
 423 to survival of patients (58,59). It was also shown that *B7-*
 424 *H3* promotes *VEGFA* expression and angiogenesis, which
 425 depends on the NF- κ B pathway in colorectal cancer
 426 (CRC), and CRC cell recruits regulatory T cells to promote
 427 chemoresistance via NF- κ B signaling pathway (60).
 428 Besides, *B7-H3* is also regulated by Th1, IL-4, IFN-gamma
 429 and TNF-alpha, which is an important cancer-promoting
 430 inflammatory molecule and significantly increases the
 431 release of soluble B7-H3 in colon cancer cell lines
 432 (61,62). Meanwhile, the *B7-H3* pathway has a dual role in
 433 contributing to the regulation of innate immune responses.
 434 On the one hand, *B7-H3* co-stimulates innate immunity
 435 by augmenting proinflammatory cytokines release from
 436 lipopolysaccharide-stimulated monocytes or macrophages
 437 in both a Toll like receptor 4- and 2-dependent manner
 438 (49,50,63). On the other hand, *B7-H3* provides an additional
 439 mechanism allowing neuroblastoma cells, which protect
 440 them from natural killer cell-mediated lysis, to escape
 441 the control of immune response which may be associated
 442 with the expression of B7-H3 and multiple possibly
 443 related molecules, such as programmed cell death protein
 444 1 (PD-1), cytotoxic T-lymphocyte-associated protein

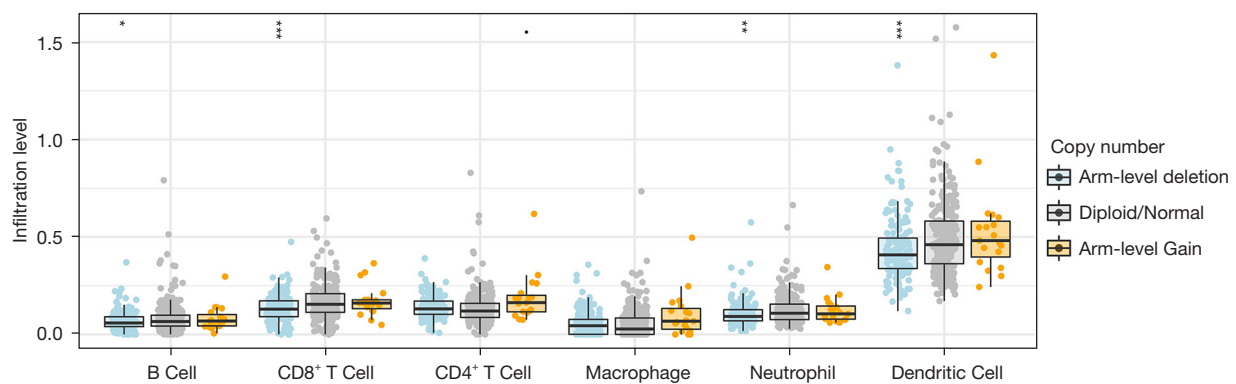


Figure 5 The comparison of tumor infiltration levels among tumors with different somatic copy number alterations of *B7-H3*. Copy number alterations were categorized into different groups, including deep deletion (−2), arm-level deletion (−1), diploid/normal (0), arm-level gain (+1), and high amplification (+2). Box plots are presented to show the distributions of each immune subset at each copy number status in COAD. The infiltration level for each category is compared with the normal using a two-sided Wilcoxon rank-sum test. *, $P < 0.1$; *, $P < 0.05$; **, $P < 0.01$; ***, $P < 0.001$. COAD, colon adenocarcinoma.

445 4 (CTAL-4) or the presence of different types of cells
 446 associated with immune responses (11,64). Nevertheless,
 447 the role of *B7-H3* in controlling the innate immunity
 448 is quite complex and requires more elucidation (65).
 449 Emerging evidence indicates that immune checkpoint
 450 blockade combined with radiotherapy acquires considerable
 451 success in multiple tumors (66,67). On account of its
 452 important role in immune evasion and cancer progression,
 453 *B7-H3* also possesses the capability to be a potential novel
 454 therapeutic target for colon cancer patients (68). Besides,
 455 *B7-H3* also plays a non-immunological role in promoting
 456 tumor invasion and progression (69,70). It was observed
 457 that the 3' UTR of *B7-H3* transcript contains a target site
 458 for miR29 microRNA, and there is an inverse correlation
 459 between the expression of *B7-H3* protein and miR29 levels,
 460 suggesting regulation of expression of this gene product
 461 by miR29. Experimental studies in mice showed that
 462 induction of colitis and water avoidance stress affect levels
 463 of Mir29a and Mir29b and intestinal permeability in wild-
 464 type mice (71). Despite inconsistent results and conflicting
 465 conclusions of existing prognostic studies of *B7-H3*
 466 (22,24-30,32-37), our study, with the largest sample size,
 467 confirmed that it was a significant and independent biomarker
 468 to colon cancer survival by several trans-ethnic validations.

469 Our study has several strengths. First, this was perhaps
 470 the largest prognostic study of *B7-H3* in colon cancer
 471 patients on a population level. A 3-phase design was applied
 472 to control false positives and ensure the robustness of
 473 our results. The significant association observed in the
 474 discovery phase was further replicated in the validation

475 phases I and II. Therefore, the probability of reporting the
 476 false positive result was strictly controlled under 0.0125%
 477 (5%×5%×5%). Second, though population heterogeneity
 478 exists even among those of the same ethnicity, taking
 479 advantage of the RCS model, we successfully elucidated
 480 the nonlinear effect of *B7-H3* expression on colon cancer
 481 survival in the TCGA European population and its
 482 approximate linear effect in GEO European population.
 483 Third, by trans-ethnic validation using an Asian population,
 484 we confirmed the robust association between *B7-H3*
 485 expression and colon cancer survival again, meaning that
 486 this potential therapeutic target can be uniformly applied
 487 to all colon cancer patients worldwide. Fourth, by adjusting
 488 for other well-recognized immune checkpoint genes, *B7-
 489 H3* was still a significant biomarker to colon cancer survival,
 490 indicating it was an independent prognostic factor. Finally,
 491 we proposed an immune checkpoint prognostic risk score
 492 of colon cancer using 8 genes, which can identify patients at
 493 high risk of mortality regardless of age, gender, and clinical
 494 stages, possible providing an effective tool aiding in clinical
 495 interventions.

496 We also acknowledge some limitations. First, the
 497 censoring rate is high in the TCGA and GEO cohorts,
 498 which resulted in a low statistical power in time-to-event
 499 data analysis. Regardless, we still observed significant
 500 results, indicating the association between *B7-H3* expression
 501 and colon cancer survival was quite conservative. Second,
 502 several important clinical variables (e.g., status of complete
 503 tumor excision and tumor size) were not available in the
 504 TCGA and GEO cohorts, therefore a limited number of

505 covariates were adjusted in the Cox proportional hazards
 506 regression model. We envision a better model adjusted for
 507 more clinical variables to achieve more precise estimates
 508 of *B7-H3* effect and more accurate prognostic prediction
 509 ability in future studies. Finally, functional studies of *B7-H3*
 510 are warranted to confirm its biological effect.

511

512 Conclusions

513

514 In summary, in the largest prognostic study of *B7-H3* in
 515 colon cancer patients on population levels, we utilized a
 516 3-phase design to confirm that *B7-H3* was a significant and
 517 independent biomarker of colon cancer prognosis. The
 518 proposed immune checkpoint prognostic risk score has
 519 the capability to identify colon cancers with high risk of
 520 mortality.

521

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537

538 Footnote

539

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551

552 *Ethical Statement:* The authors are accountable for all

553 aspects of the work in ensuring that questions related
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 557 in accordance with the Declaration of Helsinki (as revised
 558 in 2013). This study was approved by the institutional
 559 review board of Fujian Medical University Union Hospital
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562

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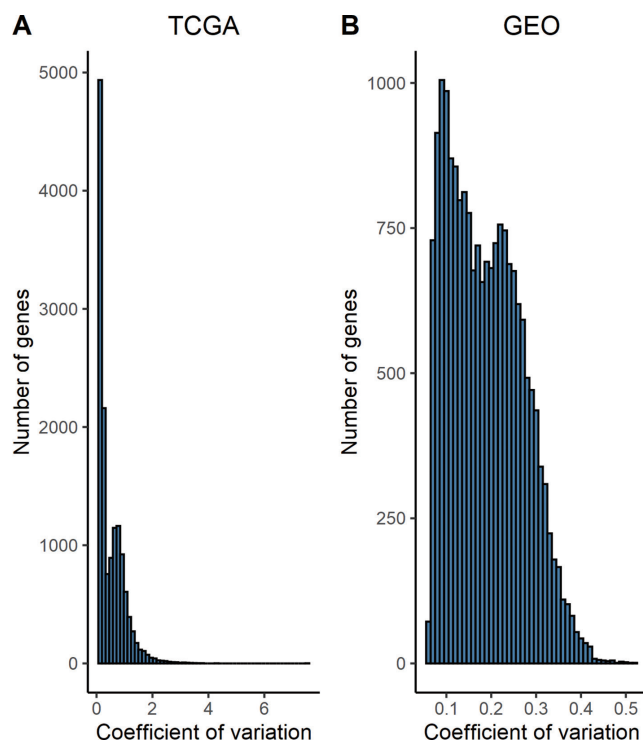


Figure S1 The distribution of coefficient of variation values of *B7-H3* expression in TCGA and GEO cohorts.

Table S1 Association results of each variable derived from univariate Cox proportional hazards model in TCGA, GEO and UNION cohorts

Variable	TCGA			GEO			UNION		
	HR	95% CI	P	HR	95% CI	P	HR	95% CI	P
Age	1.021	1.003–1.039	0.0225	1.018	1.009–1.028	0.00018	1.006	0.994–1.018	0.3257
Age <65 years	1.462	0.944–2.263	0.0886	1.275	1.001–1.623	0.049	1.439	0.995–2.080	0.0529
Gender	1.124	0.749–1.687	0.5733	1.198	0.9479–1.515	0.130	1.072	0.764–1.505	0.0529
Height	1.001	0.977–1.026	0.9195	–	–	–	0.987	0.966–1.008	0.6864
Weight	0.986	0.971–1.001	0.0746	–	–	–	0.988	0.972–1.004	0.1376
T stage	2.588	1.732–3.867	3.44E–06	–	–	–	1.392	0.900–2.152	0.0125
N stage	2.023	1.592–2.571	8.014E–09	–	–	–	1.327	1.063–1.658	0.3257
M stage	4.753	3.005–7.519	2.70E–11	–	–	–	–	–	–
Clinical stage	2.201	1.728–2.804	1.67e–10	2.274	1.948–2.655	<2E–16	–	–	–
Adenocarcinoma	0.752	0.445–1.272	0.2879	–	–	–	0.927	0.633–1.358	0.6966
Tumor location	0.832	0.529–1.309	0.4263	–	–	–	1.078	0.759–1.532	0.6754
Complete tumor excision	1.229	0.339–4.462	0.7538	–	–	–	1.471	1.046–2.067	0.0265
Carcinoma cell embolus	2.133	1.387–3.281	5.62E–04	–	–	–	1.331	0.929–1.906	0.1189
Tumor size	–	–	–	–	–	–	1.471	1.046–2.067	0.0265
Postoperative therapy	1.16	0.722–1.863	0.5398	–	–	–	0.414	0.283–0.604	4.83E–06
CEA	3.146	1.770–5.591	9.34E–05	–	–	–	0.994	0.708–1.396	0.9744
CA199	–	–	–	–	–	–	1.071	0.761–1.505	0.6949

T, tumor; N, node; M, metastasis; CEA, carcinoembryonic antigen; CA199, carbohydrate antigen 199; HR, hazard ratio; CI, confidence interval; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; UNION, Fujian Medical University Union Hospital.

Table S2 Association results of covariates derived from multivariate Cox proportional hazards model in TCGA, GEO and UNION cohorts

Variable	TCGA			GEO			UNION		
	HR	95% CI	P	HR	95% CI	P	HR	95% CI	P
Age	1.033	1.014–1.052	6.38E–04	1.027	1.016–1.039	1.37E–06	1.004	0.992–1.016	0.4969
Gender	0.964	0.631–1.473	0.8663	1.378	1.080–1.757	0.0098	0.987	0.699–1.393	0.9412
T stage	–	–	–	–	–	–	1.214	0.772–1.907	0.4012
N stage	–	–	–	–	–	–	1.286	1.018–1.626	0.0351
Clinical stage	2.386	1.866–3.052	4.34E–12	2.455	2.068–2.916	<2E–16	–	–	–

Clinical stage which composed of T stage, N stage and M stage was included in the model in GEO and TCGA cohort, respectively. T stage and M stage were included in UNION cohort, since all subjects were in M1 stage. Significant variables were screened out by step forward regression model with P value of entry ≤ 0.05 and P value of remove > 0.05 in TCGA, GEO and UNION, respectively. Covariates were defined as significant variables in any one of cohorts, along with two demographic variables (age and gender) common adjusted in COAD prognostic study. T, tumor; N, node; HR, hazard ratio; CI, confidence interval; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; UNION, Fujian Medical University Union Hospital.

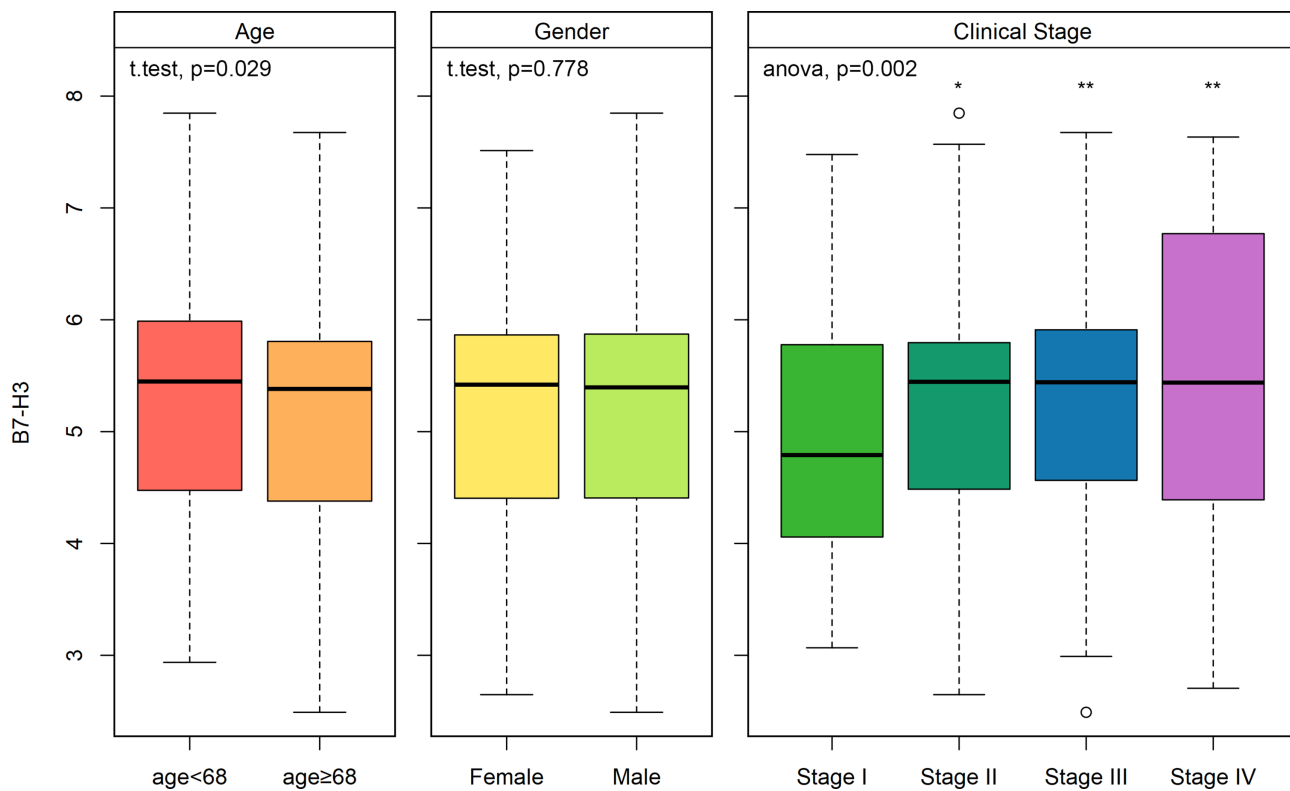


Figure S2 Boxplot of B7-H3 versus clinicopathological factors using all subjects from TCGA and GEO cohorts. The clinical stage for each category was compared with the stage I using a two-sided t test. *, $P < 0.05$; **, $P < 0.01$.

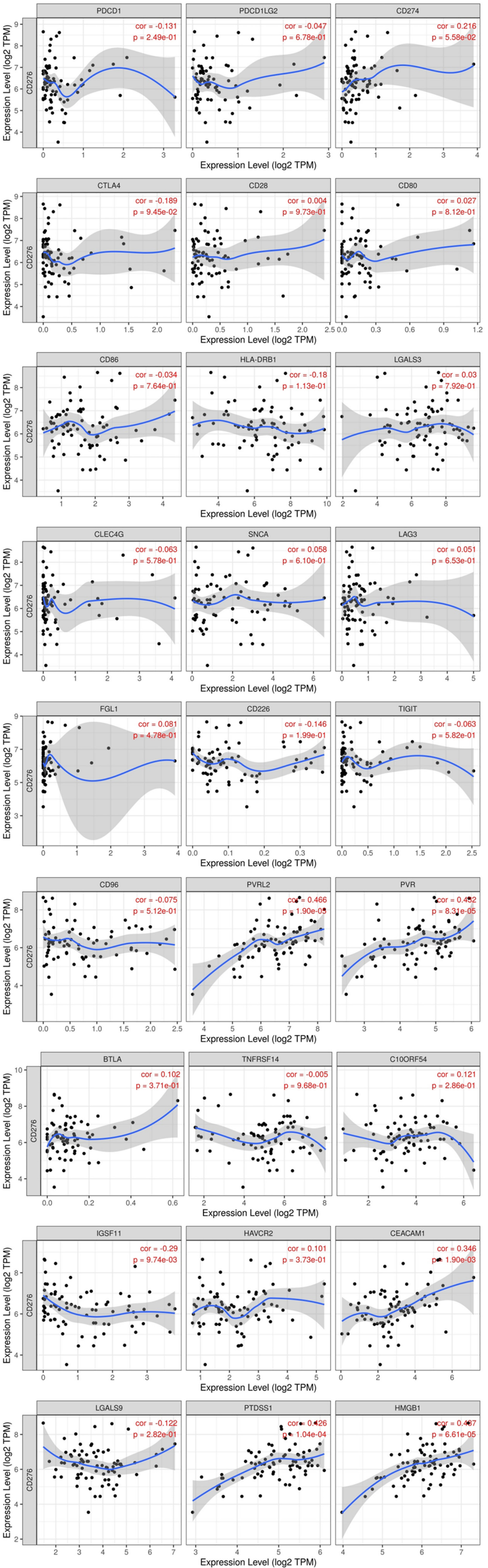


Figure S3 The correlation of between *B7-H3* expression and immune checkpoint genes in colon cancer patients.

Table S3 The multivariate Cox proportional hazards model using demographic, clinical characteristics and immune checkpoint genes

Variable	TCGA and GEO		
	HR	95% CI	P
Age	1.028	1.018–1.038	3.03E–08
Gender	1.302	1.031–1.642	0.0264
Clinical stage	2.187	1.880–2.543	3.05E–24
Study site	0.874	0.685–1.115	0.2779
<i>B7-H3</i>	1.469	1.067–2.022	0.0184
<i>CTLA4</i>	0.416	0.255–0.681	4.79E–04
<i>LAG3</i>	1.464	1.128–1.900	0.0042
<i>CD80</i>	1.762	1.080–2.875	0.0233
<i>LGALS9</i>	0.725	0.611–0.861	2.53E–04
<i>HMGB1</i>	0.795	0.64–0.988	0.0383
<i>HLA-DOA</i>	1.512	1.110–2.061	0.0088
<i>HLA-DPB1</i>	0.768	0.612–0.964	0.0226

All immune checkpoint genes were screened out by back forward stepwise regression model adjusted for age, gender, clinical stage, study site and B7-H3, with P value of entry ≤ 0.05 and P value of remove > 0.05 . HR, hazard ratio; CI, confidence interval; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; UNION, Fujian Medical University Union Hospital.

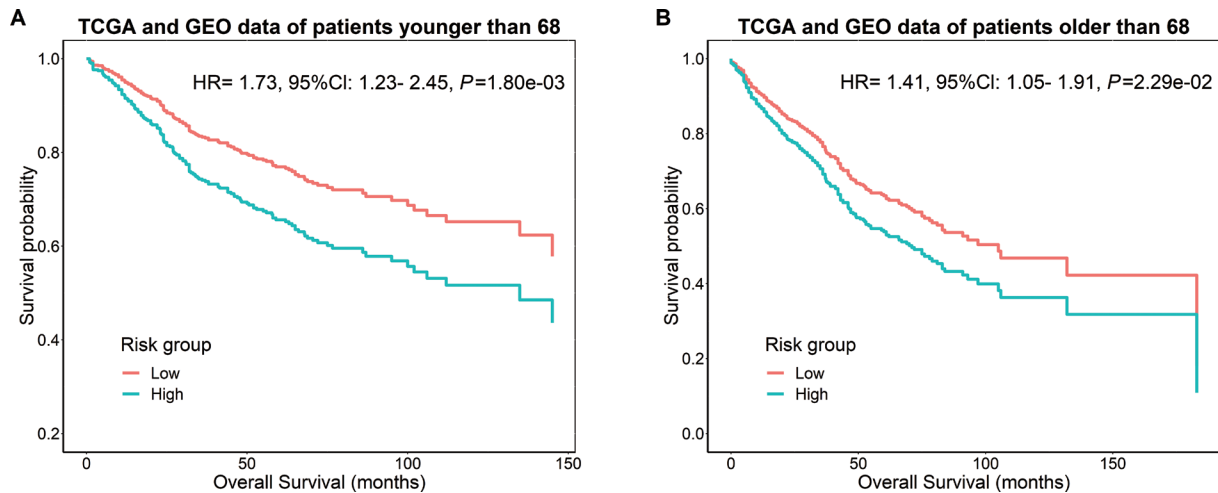


Figure S4 Kaplan–Meier survival curves for patients with high and low level of immune checkpoint prognostic risk score stratified by age using all subjects from TCGA and GEO cohorts.

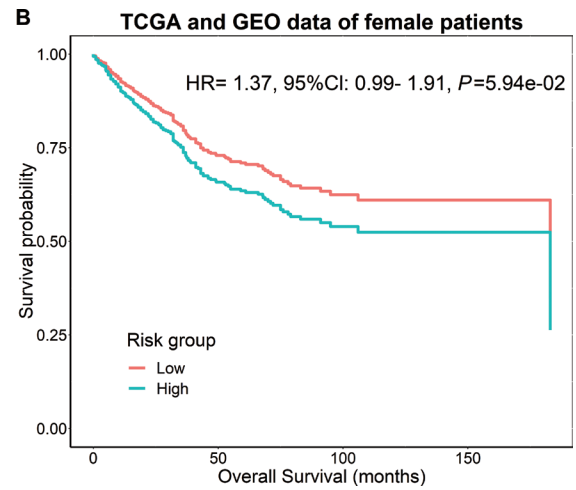
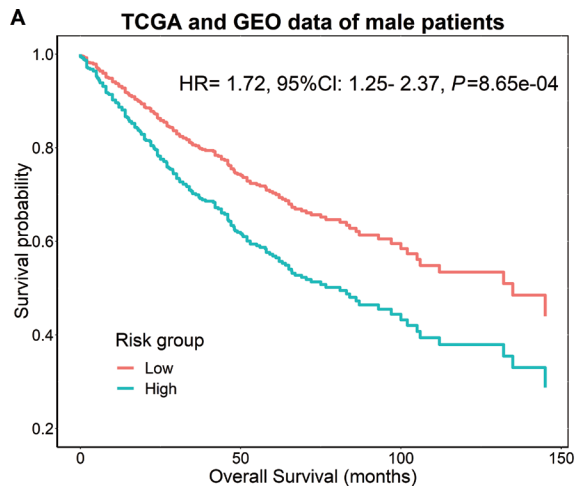


Figure S5 Kaplan-Meier survival curves for patients with high and low level of immune checkpoint prognostic risk score stratified by gender using all subjects from TCGA and GEO cohorts.

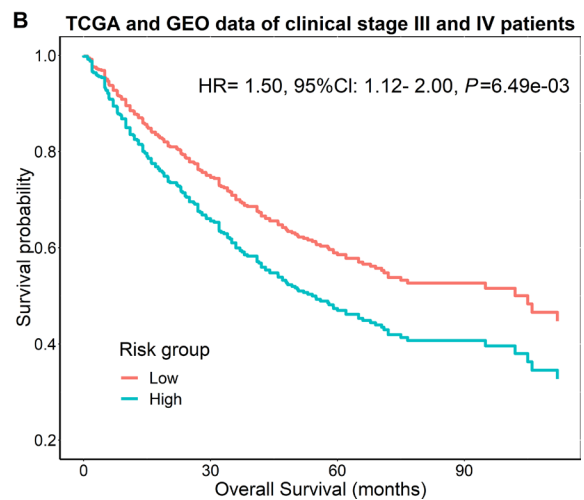
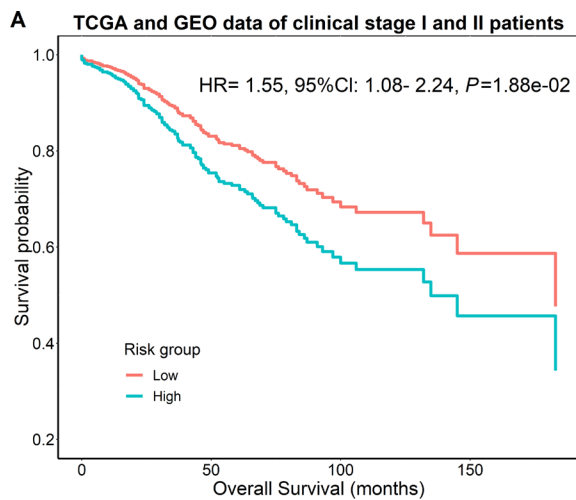
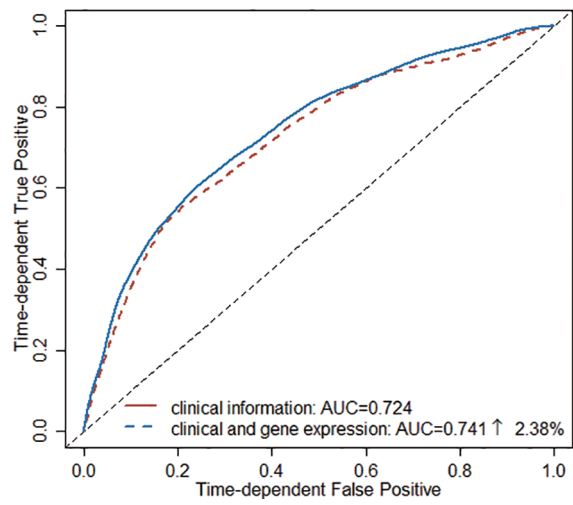


Figure S6 Kaplan-Meier survival curves for patients with high and low level of immune checkpoint prognostic risk score stratified by clinical stage using all subjects from TCGA and GEO cohorts.

(A) ROC curve for prediction model of 3-year survival



(B) ROC curve for prediction model of 5-year survival

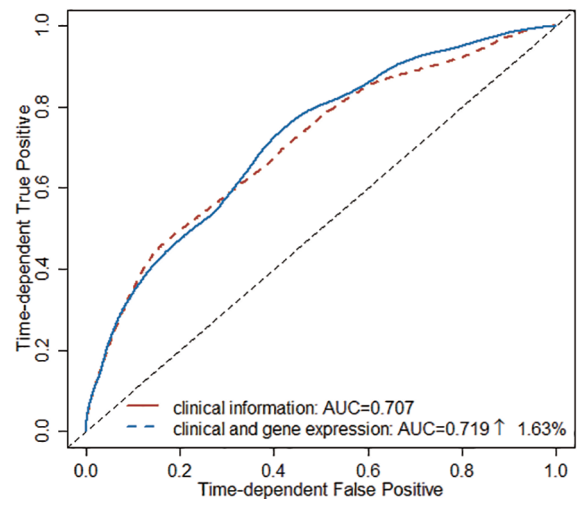


Figure S7 The time-dependent ROC of prognostic prediction model of 3- and 5-year overall survival, respectively.