



# Tumor mutation burden determined by a 645-cancer gene panel and compared with microsatellite instability and mismatch repair genes in colorectal cancer

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**Background:** Tumor mutation burden (TMB) assessed by tumor-related gene panels (CRGP), microsatellite instability (MSI), and mismatch repair (MMR) has been proven to be associated with prognosis, and these factors are prognostic indicators in predicting the benefits of immune checkpoint blockade (ICB) in solid tumors. However, whether the TMB calculated by CRGPs, MSI, and MMR is associated with overall survival (OS) in patients with colorectal cancer (CRC) remains to be explored.

**Methods:** The prognostic threshold of the panel-TMB was explored by a panel of 645 genes (*GP645*) from 41 CRC patients in Jiangsu Cancer Hospital (JCH dataset). The results were further validated using 531 CRC patients from The Cancer Genome Atlas (TCGA) database.

**Results:** Mutations of the *GP645* genes were distributed on 21 chromosomes. Spearman correlation analysis showed that the panel-TMB was positively correlated with TMB measured by whole-exome sequencing (WES) (wTMB) in the TCGA dataset ( $R=0.75$ ,  $P<0.001$ ). Kaplan-Meier survival analysis demonstrated that higher panel-TMB in CRC patients was significantly associated with a poor OS ( $P=0.0062$ ). MSI and MMR status were determined using the *GP645* by next-generation sequencing (NGS). The proportions of MSI-H and dMMR accounted for less than 10% in CRC, the vast majority of MSI-H/dMMR samples also had high TMB [positive predictive value (PPV) =66.6%], and only 13.3% of samples with high TMB were classified as MSI-high/dMMR. In addition, patients with low-TMB were associated with MSS/pMMR (96.2%), and these results are consistent with earlier studies.

**Conclusions:** GP645 was constructed to evaluate OS in Chinese CRC patients. Panel-TMB and MSI/MMR might be potential prognostic predictors of CRC patients using the *GP645*.

**Keywords:** Tumor mutation burden (TMB); microsatellite instability (MSI); mismatch repair (MMR); gene panel; colorectal cancer (CRC)

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## Introduction

Colorectal cancer (CRC) is the fourth leading cause of cancer-related death in China (1-3). With the improvement of surgical methods and the combination of chemotherapy drugs and other medical technology, the treatment level of CRC has improved, while the overall prognosis of patients has not significantly improved. Over the past 2 decades, many drugs, including targeted drugs such as antibodies targeting vascular endothelial growth factor (bevacizumab) and the epidermal growth factor receptor (EGFR; cetuximab and panitumumab), and immunotherapy drugs have been approved for the treatment of metastatic CRC (mCRC). The survival period of advanced CRC patients has increased from less than 1 to 3 years, and even 20% of patients can survive for more than 5 years (4-7). However, the optimal combination of these drugs is likely dependent on many factors, including the mutational status of the tumor cells. With the continuous development of genome sequencing, targeted therapy and immunotherapy for CRC have made great progress in recent years. Scientists have found several groups of biomarkers such as gene mutations (*KRAS*, *NRAS*, *BRAF*, *HER2*, *NTRK*), tumor mutation burden (TMB), and microsatellite instability/mismatch repair (MSI/MMR) which can be used as prognostic indicators of targeted therapy and immunotherapy (8-14).

MSI are DNA elements composed of repeating motifs that occur as alleles of variable lengths. It was first found in hereditary non-polyposis colorectal cancer (HNPCC) (15), and was then identified in a variety of sporadic tumors (such as gastric cancer, lung cancer, and endometrial cancer) (16). MSI has been associated with improved prognosis and immune checkpoint inhibitors (ICIs). Some evidence has shown that MSI-high (MSI-H) mCRC patients who received nivolumab and nivolumab + ipilimumab had a better response rate and survival time (17,18). MSI increases the probability of somatic mutation. The incidence of somatic mutation was 10–50 times higher than that of MMR proficiency (pMMR) (19). As the increase of mutation frequency would lead to the enhancement of tumor immunogenicity (20), patients with MMR deficiency (dMMR) had higher sensitivity to immunotherapy. Recent studies suggest that dMMR may also be a marker for predicting the efficacy of immunotherapy (21). Solid tumor patients with MSI-H/dMMR usually develop immunogenicity and extensive T-cell infiltration, which results in a high response to ICI treatment. These findings indicate that MSI/MMR

gene deletion may predict the efficacy of immunotherapy, however, the incidence of dMMR/MSI-H in CRC is only about 10–15% (22). Therefore, more molecular markers are needed to predict the efficacy of immunotherapy.

TMB measured by whole-exome sequencing (WES) is a novel prognostic biomarker for ICI therapy in cancers (12,23). However, TMB is difficult to popularize because of the cost, timeliness, and bioinformatics challenges of WES in the clinical setting (24,25).

Hence, in this study, 645 cancer-related genes and 5 MSI loci (BAT-25, BAT-26, NR-21, NR-24, MONO-27) were obtained for developing a panel for TMB estimation (panel-TMB) and predicting the efficacy of targeted therapy and immunotherapy in CRC. In this study, somatic and genetic mutations of patients were detected in the same experimental species, and TMB, HRR, MMR and MSI of patients were analyzed at the same time, which could provide patients with a better comprehensive treatment plan including targeted drugs, genetic and immunotherapy. The correlation between TMB, MMR and MSI was also analyzed, and multiple tests were combined in one experiment to shorten the detection cycle and cost. In addition, this study clinical samples of the department and TCGA database were comprehensively analyzed to verify the accuracy of the process and shorten the overall smooth testing development cycle. We present the following article in accordance with the REMARK reporting checklist (available at <https://dx.doi.org/10.21037/jgo-21-572>).

## Methods

### *Patient samples*

A total of 41 tumor biopsies and whole blood samples were collected from newly diagnosed patients at Jiangsu Cancer Hospital (JCH) between November 29, 2017 and March 18, 2020 for targeted sequencing using the 645 cancer gene panel (*GP645*). All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of Jiangsu Cancer Hospital (No. 2016-062-06). All participants provided written informed consent.

### *Library construction*

Genomic DNA was extracted from tumor biopsies and whole blood. Libraries were constructed by the KAPA

Hyper DNA Library Prep Kit (KAPA Biosystem). Finally, dual-index libraries were cleaned up with purification beads (AxyPrep Fragment Select-I kit, Corning). The concentration and quality of these libraries were measured using the Qubit 3.0 system (Invitrogen) and Bioanalyzer 2100 (Agilent HS DNA Reagent, Agilent), respectively.

#### **Hybrid selection and ultra-deep next-generation sequencing (NGS)**

5'-biotinylated probes for targeted sequencing covered exons, selected introns, MSI, MMR-related genes, and genetic genes in the 645 cancer-related genes, and were designed and synthesized by the Targetseq Enrichment Kit (Gensmile and iGeneTech, China) (Table S1) in a cohort of 41 patients. These libraries were hybridized to the *GP645* to capture targeted fragments according to the manufacturer's protocol. Then, these fragments were sequenced by the NovaSeq 6000 System (Illumina, USA), and the depth of sequencing was 1,000× for germline mutations and 5,000× for somatic mutations.

#### **Acquisition of mutation data**

The Cancer Genome Atlas (TCGA) database of CRC was obtained from the Genomic Data Commons (GDC) website (<https://portal.gdc.cancer.gov/>) using the University of California Santa Cruz (UCSC) Xena platform (<https://xenabrowser.net/datapages/>) (26), including CRC mutation data and clinical information, such as age, sex, AJCC-TNM stages, pathological stages, tumor stages, and survival outcomes. The statistical results of somatic mutations were visualized with the maftools software.

#### **Correlation analysis between TMB and overall survival (OS)**

First, we screened the TCGA dataset for dbSNP and ExAC-annotated germline mutations using *GP645*. Meanwhile, we filtered out the germline mutations via blood cell mutations for the JCH dataset using the *GP645*. Then, we calculated the panel-TMB for each sample as the total amount of coding variants/exons length (38 million) based on the number of non-synonymous somatic mutations, including frameshift deletion mutation, in-frame deletion mutation, frameshift insertion mutation, in-frame insertion mutation, missense mutation, nonsense mutation, nonstop mutation, and silent mutation. Using median as the threshold,

patients were divided into high TMB group and low TMB group (27).

#### **MSI status determined by NGS**

Five microsatellite loci (BAT40, BAT26, BAT25, NR27, NR21) were used to identify MSI in the *GP645*. The number of microsatellite loci was counted for each of the JCH patients. Only insertions or deletions that increased or decreased the number of repeats were considered. Samples with 2 or more MSIs were identified as MSI-H, samples with one MSI were classified as MSI-low (MSI-L), and samples without MSI were classified as microsatellite stable (MSS). In the outcome analysis, MSI-L samples were grouped with MSS tumors. We further identified MMR status by functional loss mutation of MLH1, MSH2, MSH6, PMS1, PMS2, MSH3, and MLH3.

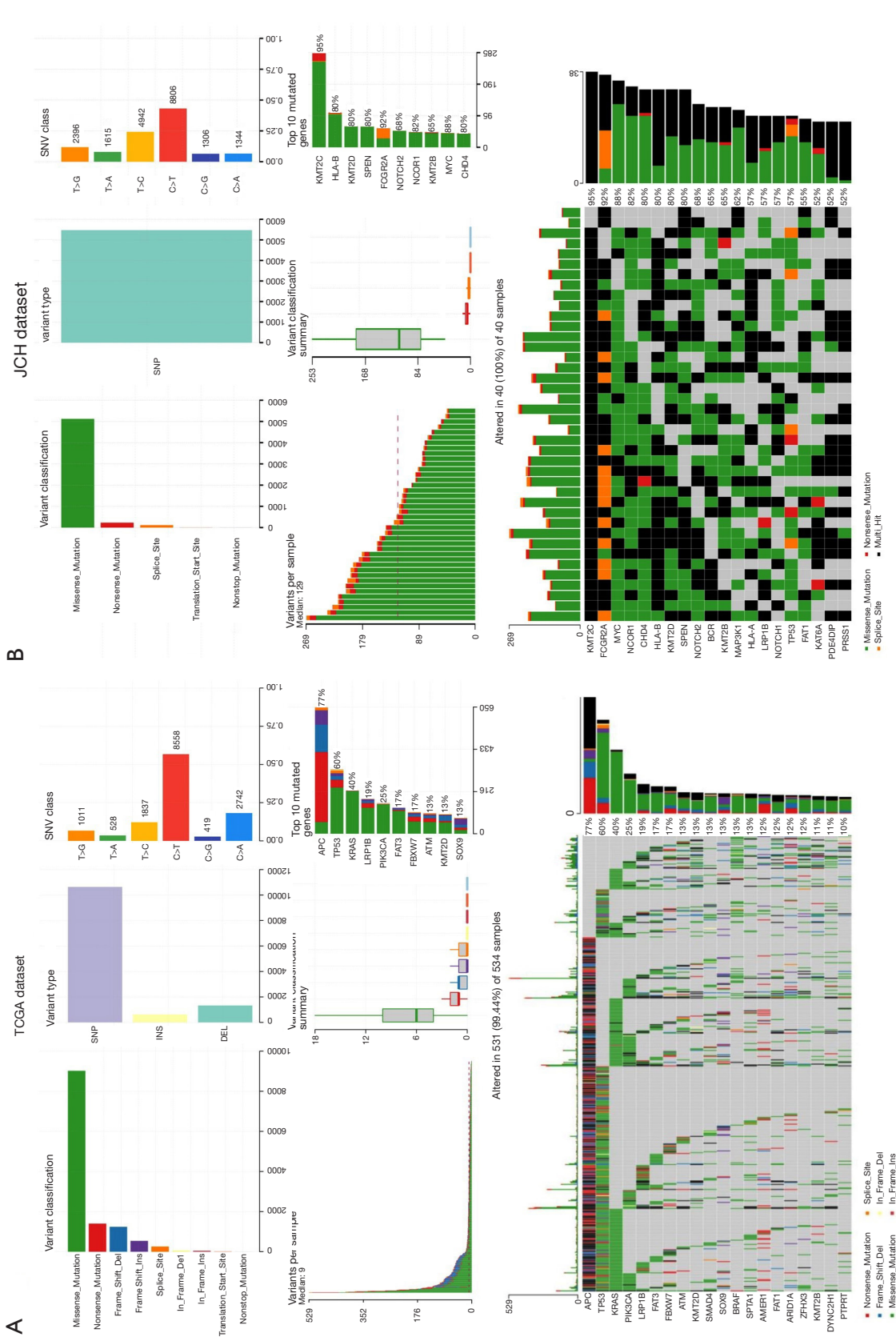
#### **Statistical analyses**

All statistical analyses were performed using R software (Version 3.5.2). The Benjamini-Hochberg method was used to conduct multiple test adjustments for P values based on false discovery rate (FDR), and P value <0.05 was considered statistically significant. Differential analysis and normalization were mainly carried out using the “limma” package of R software (version 3.5.2). Kaplan-Meier analysis with the log-rank test or Cox regression model was performed using the “survival” package. Student's *t*-test was used for continuous variables, while  $\chi^2$  test was used for categorical variables.

## **Results**

### **The mutation profiling of the *GP645* in CRC**

The somatic and germline mutation data of CRC patients from the JCH and TCGA datasets were processed as shown in Figure 1 and their clinical information is presented in Table 1. The mean age was 58.32 years, and 12 (29.3%) women and 29 (70.7%) men were included. Utilizing maftools software, we classified these mutations into various groups and depicted mutation groups in box plots using various colors (Figure 1). We compared the mutation profiling of the JCH and TCGA datasets using the *GP645* developed by us and found that the most common type was missense mutation (Figure 1). Single nucleotide polymorphism occurred more frequently than deletion or



**Figure 1** Statistics of the mutation information in the JCH and TCGA samples. (A) Statistical results of the different mutations in TCGA dataset, in which missense mutations accounted for the majority of mutation classifications, SNPs accounted for the main mutation type, and C>T was the main single nucleotide variants class. (B) Statistical results of the different mutations in the JCH dataset. JCH, Jiangsu Cancer Hospital; TCGA, The Cancer Genome Atlas.

**Table 1** Clinical data of CRC patients in the JCH (n=41) and TCGA (n=629) datasets in this research

Level	JCH dataset	TCGA dataset
N	41	629
Age [median (IQR)]	58.32	61.00
Gender (%)		
Female	12 (29.3)	335 (53.3)
Male	29 (70.7)	294 (46.7)
Status (%)		
Alive	NA	473 (75.2)
Dead	NA	124 (19.7)
Not reported	NA	32 (5.0)
Pathologic_T (%)		
T1	0 (0)	20 (3.2)
T2	3 (7.3)	109 (17.3)
T3	11 (26.8)	427 (67.9)
T4	10 (24.3)	70 (10.6)
TX	17 (43.4)	1 (0.2)
Pathologic_N (%)		
N0	9 (21.9)	356 (56.6)
N1	10 (24.3)	151 (24.0)
N2	4 (9.7)	NA
NX	18 (43.9)	118 (18.6)
Pathologic_M (%)		
M0	12 (29.2)	466 (74.1)
M1	15 (36.5)	75 (11.9)
M	1 (2.4)	NA
MX	0 (31.7)	64 (10.2)
Pathologic_stage (%)		
Stage I	NA	109 (17.3)
Stage II	NA	229 (36.4)
Stage III	NA	181 (28.8)
Stage IV	NA	90 (14.3)

CRC, colorectal cancer; JCH, Jiangsu Cancer Hospital; TCGA, The Cancer Genome Atlas.

insertion (INS) (Figure 1), and C>T transition was the most common form of single nucleotide variants in both the JCH and TCGA datasets (Figure 1). The mutation categories

are shown in box plots. We further found that the mutation frequencies of *APC*, *TP53*, *KRAS*, *PIK3CA*, *LRP1B*, *FAT3*, *FBXW7*, *ATM*, *KMT2D*, *SMAD4*, *SOX9*, *BRAF*, *SPTA1*, *AMER1*, *FAT1*, *ARID1A*, *ZFH3*, *KMT2B*, *DYNC2H1*, and *PTPRT* (Figure 1) were greater than 10% in both the JCH and TCGA datasets. Besides, the *GP645* genes were distributed on 21 chromosomes (Figure S1). The co-occurrences and exclusive associations between mutated genes of the JCH and TCGA databases are shown in Figure 2A (TCGA dataset) and Figure 2B (JCH dataset).

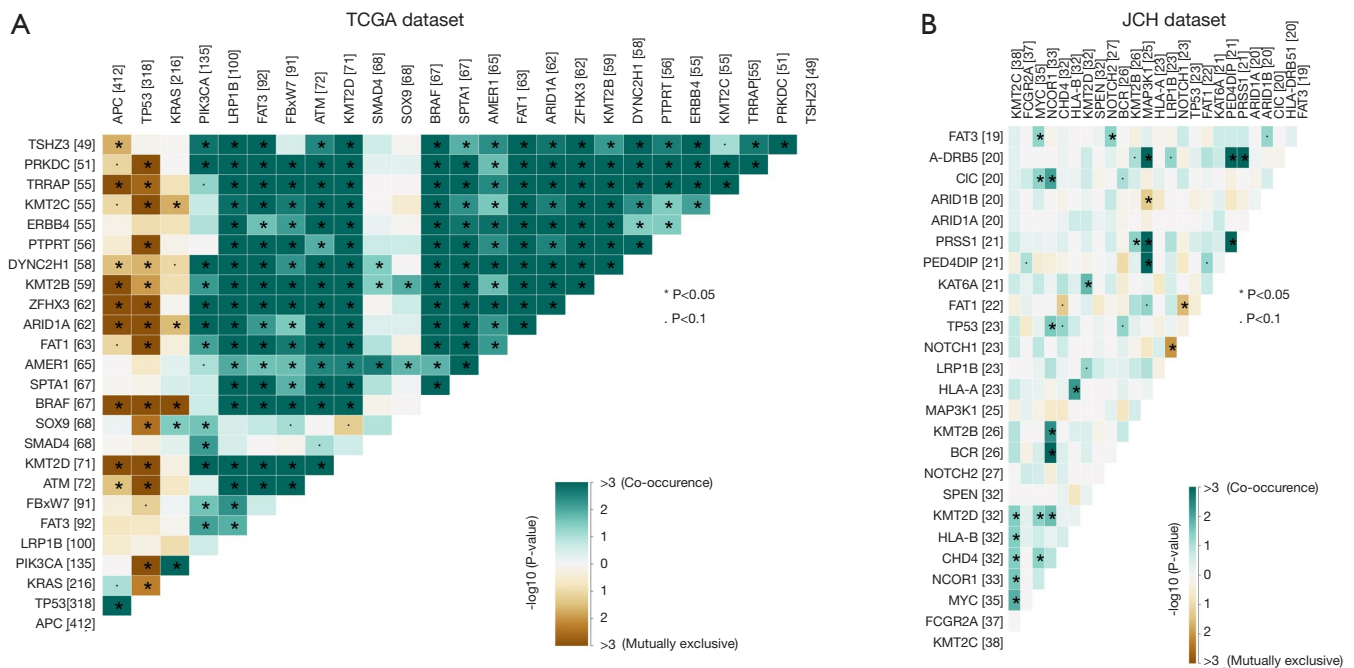
Next, the pathways of the *GP645* genes were investigated in both the JCH and TCGA datasets. As shown Figure 3, the genes in the *GP645* were involved in 10 pathways in both the JCH and TCGA databases, including RTK-RAS, PI3K, cell cycle, NOTCH, WNT, Hippo, TGF-Beta, MYC, TP53, and NRF2, and, respectively, the number of genes with mutations in each category was 46, 20, 13, 12, 10, 7, 6, 6, 5, and 3 in TCGA dataset (Figure 3A) and the number of samples with gene mutations in each category was 401, 236, 49, 172, 474, 147, 145, 66, 366, and 23 in JCH dataset (Figure 3C). Meanwhile, the number of genes in each pathway was 45, 18, 12, 11, 10, 5, 6, 5, 4, and 2 in the JCH dataset (Figure 3B), and the number of samples with gene mutations in each category was 40, 36, 26, 40, 35, 33, 16, 35, 29, and 11 in TCGA dataset respectively (Figure 3D). These results suggested that the *GP645* genes are primarily involved in important processes in tumor progression.

#### *The relationship between the panel-TMB database and TMB estimated by TCGA database*

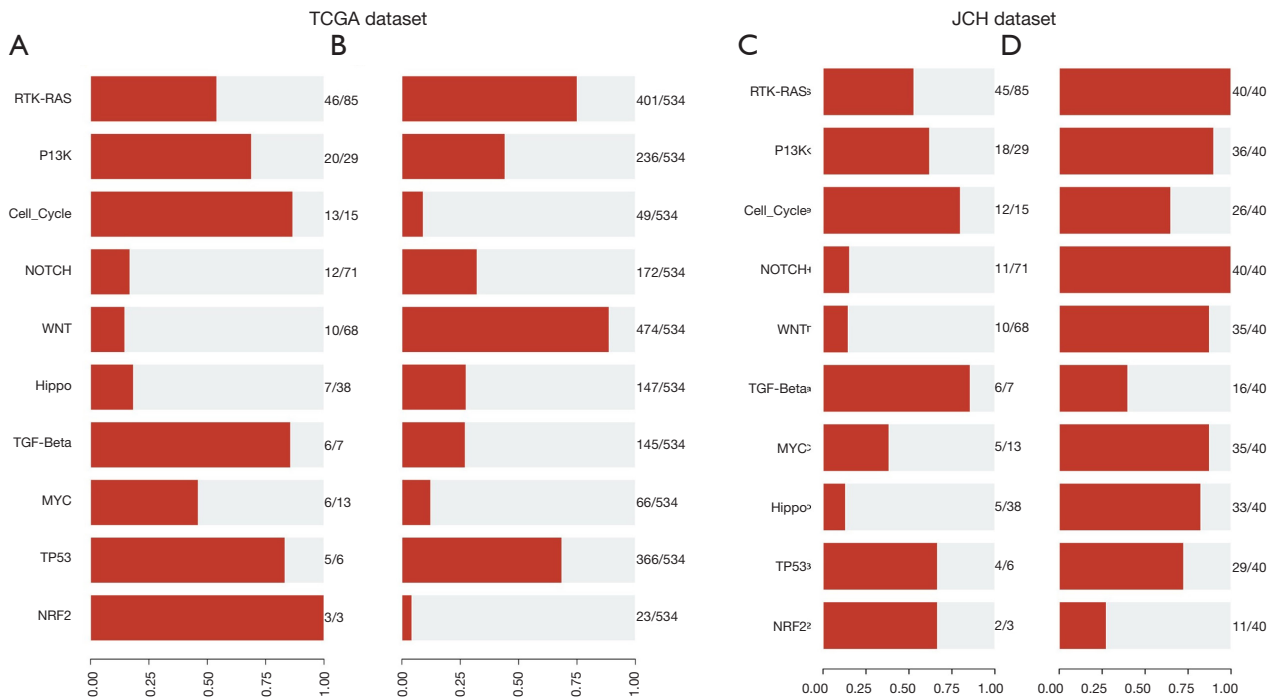
To evaluate whether the panel-TMB could reflect TMB estimated by WES (wTMB), we calculated the number of TMB per million bases for 531 CRC patients in TCGA dataset and analyzed the correlation between panel-TMB and wTMB. Non-synonymous mutations (NsMs) derived from WES and the *GP645* were relatively consistent in CRC (Figure 4A). Furthermore, panel-TMB and wTMB had a significant positive correlation ( $R=0.75$ ,  $P<0.001$ , 95% CI: 0.75 to 0.82, Figure 4B). These results suggested that the panel-TMB of the *GP645* could represent wTMB and might be a potential predictor of prognostic stratification for CRC patients.

#### *Higher TMB estimated by the panel-TMB is associated with improved OS*

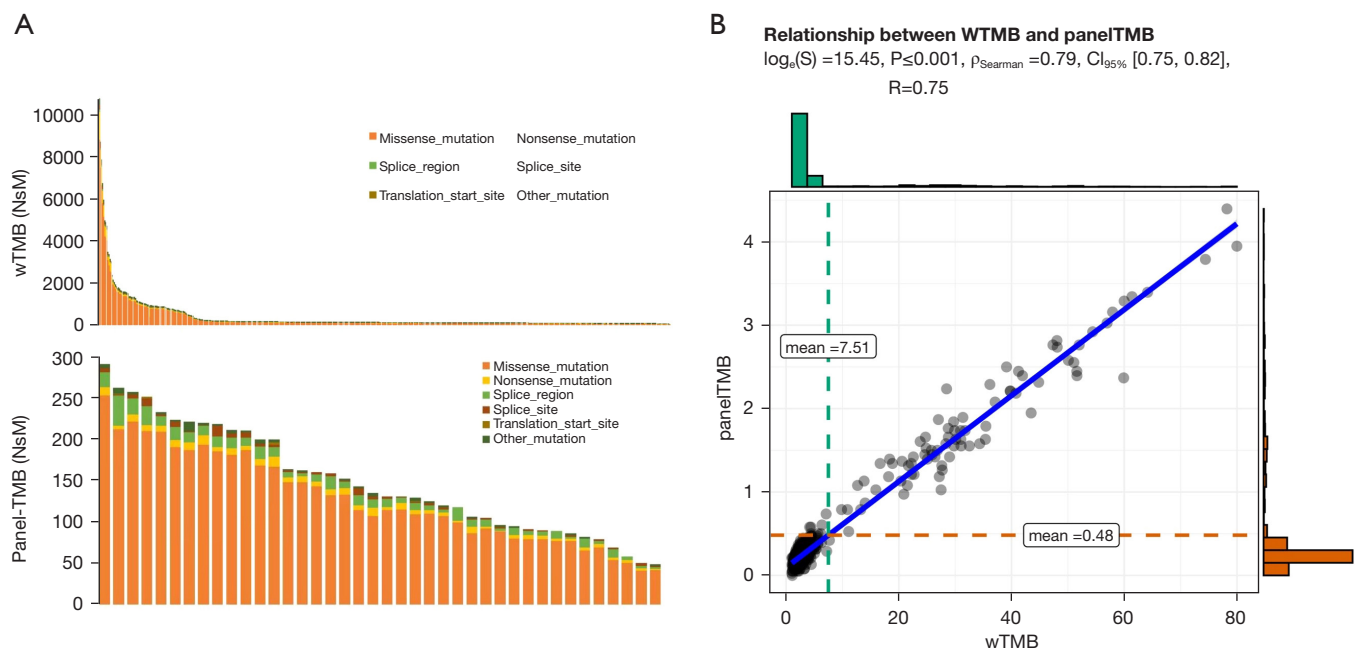
We determined the median value as the threshold for panel-



**Figure 2** The co-occurrences and exclusive associations between mutated genes of the JCH and TCGA databases. (A) The association between mutated genes in TCGA patients. (B) The association between mutated genes in the JCH patients. JCH, Jiangsu Cancer Hospital; TCGA, The Cancer Genome Atlas.



**Figure 3** The pathways of the *GP645* genes in both the JCH and TCGA datasets. (A,C) The numbers of genes with mutations in each category in TCGA and JCH cases. (B,D) The numbers of samples with gene mutations in each category in TCGA and JCH cases. JCH, Jiangsu Cancer Hospital; TCGA, The Cancer Genome Atlas.



**Figure 4** The relationship between panel-TMB and wTMB in TCGA dataset. (A) The distribution of NsMs was obtained by whole-exome sequencing (upper) for 531 CRC patients of TCGA dataset and a 645-gene panel (lower) for 41 CRC patients of the JCH dataset. (B) Panel-TMB and wTMB demonstrated a significant positive correlation in CRC patients. R, Spearman correlation coefficient. TMB, tumor mutation burden; wTMB, TMB by whole-exome sequencing; TCGA, The Cancer Genome Atlas; NsMs, non-synonymous mutations; CRC, colorectal cancer; JCH, Jiangsu Cancer Hospital.

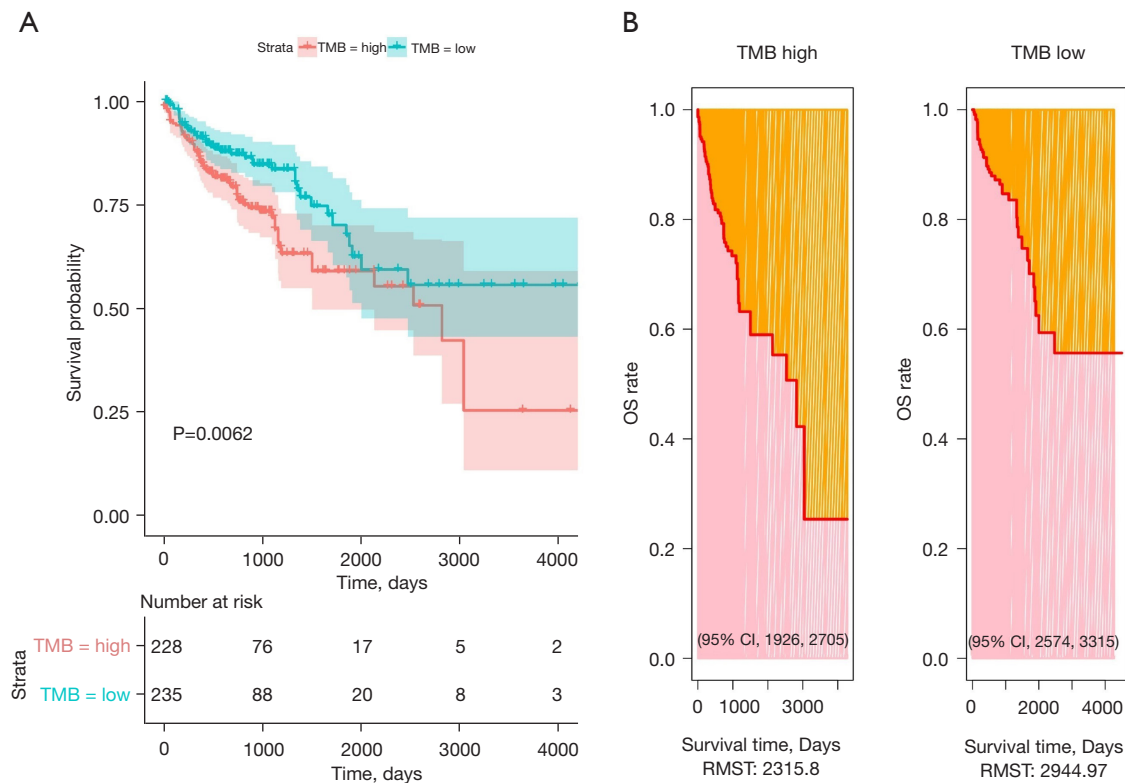
TMB to assess the impact of panel-TMB on the OS of CRC. Kaplan-Meier survival analysis indicated that patients with a higher panel-TMB had improved OS in TCGA dataset ( $P=0.0062$ ) (Figure 5A). Moreover, the low panel-TMB group had a longer 3-year restricted mean survival time (RMST) than the high panel-TMB group in TCGA dataset [2,944.97 (95% CI: 2,574 to 3,315) vs. 2,315.8 (95% CI: 1,926 to 2,705) days] (Figure 5B). Unfortunately, there was not enough clinical data to analyze the survival curve of panel-TMB in the JCH dataset.

#### Panel-TMB subgroup analysis in the JCH dataset

We calculated the number of TMB per million bases for 41 samples of the JCH dataset and classified them into high-TMB and low-TMB groups (Table 2, Figure 6), and also classified MSI status and MMR gene mutations for each of the 41 samples. A total of 15 patients (34.2%) were classified into the high-TMB group, and 26 (65.7%) were classified into the low-TMB group (Figure 6). Only 3 patients (7.3%) were identified as having dMMR, and the 3 patients (7.3%)

were also classified as MSI-H (Figure 6). The proportion of patients with pMMR was about 92.6% (Figure 6). Moreover, we analyzed the MMR and MSI of the high-TMB and low-TMB groups in the JCH dataset. We found that 3 patients were identified as MSI-H and dMMR, and 2 of them had a high TMB value (Table 2). Furthermore, dMMR status was identified in 3 cases (7.3%) (Table 3), while MSI-H was identified in the same patients (7.3%), and high-TMB was identified in 15 cases (34.2%).

Compared with MMR cases, MSI had a positive predictive value (PPV) of 100.0% and a negative predictive value (NPV) of 100.0%, and TMB had a PPV of 13.6% and an NPV of 96.2%. Compared with TMB, MSI had a PPV of 66.6% and an NPV of 65.8%, and MMR had a PPV of 66.6% and an NPV of 65.8%. Compared with MSI cases, TMB had a PPV of 13.6% and an NPV of 96.2%, and MMR had a PPV of 100.0% and an NPV of 100.0%. These results showed that patients with dMMR were associated with MSI-H, and patients with low-TMB were associated with pMMR and MSS. Meanwhile, patients with high-TMB were not associated with MSI status and MMR status.



**Figure 5** OS analysis of tumor mutation burden as estimated by a 645 cancer-related gene panel (panel-TMB) in TCGA dataset. (A) Panel-TMB was associated with poor OS in TCGA dataset. (B) The RMST was determined by the “survRM2” package in R. OS, overall survival; TMB, tumor mutation burden; TCGA, The Cancer Genome Atlas; RMST, restricted mean survival time.

**Table 2** Calculation of panel-TMB, MSI, and MMR for 41 patients in the JCH dataset

Sample	TMB	TMB-group	MSI	MMR
GS645-171130-01	6.9	L	MSS	pMMR
GS645-171214-02	2.3	L	MSS	pMMR
GS645-171226-01	0.26	L	MSS	pMMR
GS645-180131-01	5.38	L	MSI-H	dMMR
GS645-180319-04	5.38	L	MSI-L	pMMR
GS645-180428-01	3.85	L	MSS	pMMR
GS645-180606-01	4.62	L	MSS	pMMR
GS645-180621-03	13.85	H	MSS	pMMR
GS645-180621-01	8.46	L	MSI-L	pMMR
GS645-180711-03	11.54	H	MSS	pMMR
GS645-180711-03	10	H	MSS	pMMR
GS645-180716-02	5.38	L	MSS	pMMR

**Table 2** (continued)



Table 2 (continued)

Sample	TMB	TMB-group	MSI	MMR
GS645-180815-01	11.54	H	MSS	pMMR
GS645-180912-02	8.92	L	MSS	pMMR
GS645-181019-01	4.12	L	MSS	pMMR
GS645-181107-02	25.81	H	MSS	pMMR
GS645-181112-02	5.62	L	MSS	pMMR
GS645-181128-02	72.76	H	MSI-H	dMMR
GS645-181229-02	2.75	L	MSS	pMMR
GS645-190107-02	3.43	L	MSS	pMMR
GS645-190107-07	1.42	L	MSS	pMMR
GS645-190117-02	22.65	H	MSS	pMMR
GS645-190129-04	24.02	H	MSI-L	pMMR
GS645-190214-01	6.18	L	MSS	pMMR
GS645-190228-03	5.49	L	MSS	pMMR
GS645-190304-03	4.8	L	MSS	pMMR
GS645-190304-04	23.34	H	MSS	pMMR
GS645-190313-03	10.13	H	MSI-L	pMMR
GS645-190319-04	12.76	H	MSS	pMMR
GS645-190322-05	2.06	L	MSS	pMMR
GS645-190329-03	30.2	H	MSS	pMMR
GS645-190404-05	4.12	L	MSS	pMMR
GS645-190408-03	5.49	L	MSS	pMMR
GS645-190412-05	4.8	L	MSS	pMMR
GS645-190426-03	6.29	L	MSS	pMMR
GS645-190428-02	12.76	H	MSS	pMMR
GS645-190620-01	5.49	L	MSS	pMMR
GS645-190628-04	4.12	L	MSS	pMMR
GS645-190729-05	85.8	H	MSI-H	dMMR
GS645-200224-04	10.13	H	MSS	pMMR
GS645-200318-07	8.81	L	MSS	pMMR

TMB, tumor mutation burden; MSI, microsatellite instability; MMR, mismatch repair; JCH, Jiangsu Cancer Hospital; L, low-TMB group; H, high-TMB group; MSI-H, high-MSI group; MSI-L, low-MSI group; MSS, MSI-stability group; pMMR, mismatch repair proficiency; dMMR, mismatch repair deficiency.

Group	No. (%)	MSI-H (%)	MSI-L (%)	MSS (%)	PPV (%)	NPV (%)	dMMR (%)	pMMR (%)	PPV (%)	NPV (%)
TMB-H	15 (34.2)	2 (13.3)	2 (13.3)	11 (73.3)	66.6	65.8	2 (13.3)	13 (86.6)	66.6	65.8
TMB-L	26 (65.7)	1 (3.8)	2 (7.6)	23 (88.4)			1 (3.8)	25 (96.1)		
		MSI-H (%)	MSI-L (%)	MSS (%)	PPV (%)	NPV (%)	TMB-H (%)	TMB-L (%)	PPV (%)	NPV (%)
dMMR	3 (7.3)	3 (100.0)	0 (0.0)	0 (0.0)	100.0	100.0	2 (66.6)	1 (33.3)	13.3	96.2
pMMR	38 (92.6)	0 (0.0)	4 (10.5)	34 (89.4)			13 (34.2)	25 (65.7)		
		TMB-H (%)	TMB-L (%)	PPV (%)	NPV (%)	dMMR (%)	pMMR (%)	PPV (%)	NPV (%)	
MSI-H	3 (7.3)	2 (66.6)	1 (33.3)	13.3	96.2	3 (100.0)	0 (0.0)	100.0	100.0	
MSI-L	4 (9.7)	2 (50.0)	2 (50.0)			0 (0.0)	4 (100.0)			
MSS	34 (82.9)	11 (32.3)	23 (67.6)			0 (0.0)	34 (100.0)			

**Figure 6** Classification of MSI by next-generation sequencing for 41 patients of the JCH dataset compared with MMR and TMB. TMB, tumor mutation burden; MMR, mismatch repair; JCH, Jiangsu Cancer Hospital; L, low-TMB group; H, high-TMB group; MSI, microsatellite instability; MSI-H, high-MSI group; MSI-L, low-MSI group; MMS, MSI-stability group; pMMR, mismatch repair proficiency; dMMR, mismatch repair deficiency; NPV, negative predictive value; PPV, positive predictive value.

**Table 3** Mutations of *MMR* genes

Sample	Somatic mutation		Germline mutation		
	Gene	Loci	Gene	Loci	Frequency (%)
GS645-180131-01	<i>MSH6</i>	p.y394	<i>MLH3</i>	p.N932Y	18.9
GS645-181128-02	<i>MSH6</i>	p.R1068*	<i>MSH3</i>	p.K383Rfs*32	27.5
GS645-190729-05	NA	NA	<i>MSH3</i>	p.K383Rfs*32	11.6

MMR, mismatch repair.

## Discussion

To establish a prognostic system for cancer patients, cancer-related genes have been used to develop cancer panels in lung cancer (28), malignant lymphoma (29), melanoma (30), gastric cancer (31), and other cancers (32). In non-small cell lung cancer (27), TMB quantified by a gene panel was significantly correlated with WES results ( $P=0.81$ ), and panel/WES TMB could effectively predict the efficacy of immunotherapy in the high-TMB population. Meanwhile, using a cancer panel, the dynamic monitoring of ctDNA could indicate the efficacy of immunotherapy for gastric cancer, and showed potential clinical value in the analysis of drug resistance mechanisms and the prediction of immune-related side effects (31). In this study, to construct a prediction system for Chinese CRC patients, we

also developed a 2.1-Mb *GP645* which includes 5 MSI loci, 7 MMR genes, and 645 cancer-related genes distributed on 21 chromosomes. We found a positive correlation between the panel-TMB and the wTMB. These results suggest that the panel-TMB measured by the *GP645* is an accurate and clinically available tool for measuring TMB and represents the genomic instability in CRC patients, and can replace wTMB in evaluating prognosis. These results are in accordance with those in non-small cell lung cancer (33).

Furthermore, we performed a survival analysis of the panel-TMB measured by the *GP645* using TCGA database and found that high-TMB patients were strongly associated with poor OS in CRC. Previous studies confirmed that TMB measured by a cancer-related gene panel (CRGP) could be used for prognosis and to predict the benefits of immunotherapy (30-32). Thus, these findings indicated that

higher panel-TMB might be an adverse prognostic factor for CRC. However, the present study accounted for less than 40% of cases with high TMB in the JCH dataset.

Understanding genomic instability is also important to carcinogenesis and progression. MSI status has clear guiding significance for CRC patients of different stages. In addition, among CRC patients in China, the incidence of MSI-H/dMMR in right colon cancer is 20.5%, 9.2% in left colon cancer, and 5.1% in rectal cancer. Therefore, MSI/MMR should be tested for left/right colon cancer and rectal cancer (34). Both domestic and international guidelines and consensus recommend that all CRC patients be tested for MMR or MSI. This information is of great significance for patient prognosis, drug efficacy prediction and lynch syndrome screening (35). dMMR/MSI-H is an important molecular marker guiding immunotherapy in advanced patients. For early resectable CRC patients, dMMR/MSI-H patients generally have a good prognosis, but are less likely to benefit from 5-FU-based adjuvant chemotherapy (36). We identified MSI status and MMR genes which are markers of genomic instability to establish a prognostic system in CRC. Solid tumors with MSI-H/dMMR are usually immunogenic and have extensive T-cell infiltration, and are highly responsive to ICIs. Patients with MSI-H benefited from bevacizumab, while only 5% of mCRC patients with MSI-H benefited from ICIs (8,37). The NICHE clinical trial showed that patients with dMMR benefited from ICIs for early-stage colon cancers, and that neoadjuvant immunotherapy may be a potential defined standard for treating CRC patients (38). In this study, the proportions of MSI-H (7.3%) and dMMR (7.3%) accounted for less than 10% of CRC, and the vast majority of MSI-H/dMMR samples also had high TMB (PPV =66.6%). However, the converse was not true, as only 13.3% (PPV =13.3%) of samples with high TMB were classified as MSI-high/dMMR. In addition, patients with low-TMB were associated with MSS/pMMR (96.2%), and these results are consistent with earlier studies (8,32,37).

In summary, we analyzed TMB, MSI/MMR, and gene mutations and found that these biomarkers for clinical detection can provide new classifications for precision medicine in CRC, predict the prognosis of patients with CRC, and improve treatment methods to improve the survival rate of patients with CRC. The panel-TMB measured by the *GP645* targeting ~2.1 Mb of MSI loci, MMR genes, and cancer-related genes could replace wTMB, and higher panel-TMB is associated with poor OS. MSI-H/dMMR and high-TMB was fairly common

but MSI-high was very uncommon in CRC. Panel-TMB and MSI/MMR might be potential prognostic indexes in Chinese CRC patients.

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### Footnote

*Reporting Checklist:* The authors have completed the REMARK reporting checklist. Available at <https://dx.doi.org/10.21037/jgo-21-572>

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. All procedures performed in this study involving human participants were in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Ethics Committee of Jiangsu Cancer Hospital (No. 2016-062-06). All participants provided written informed consent.

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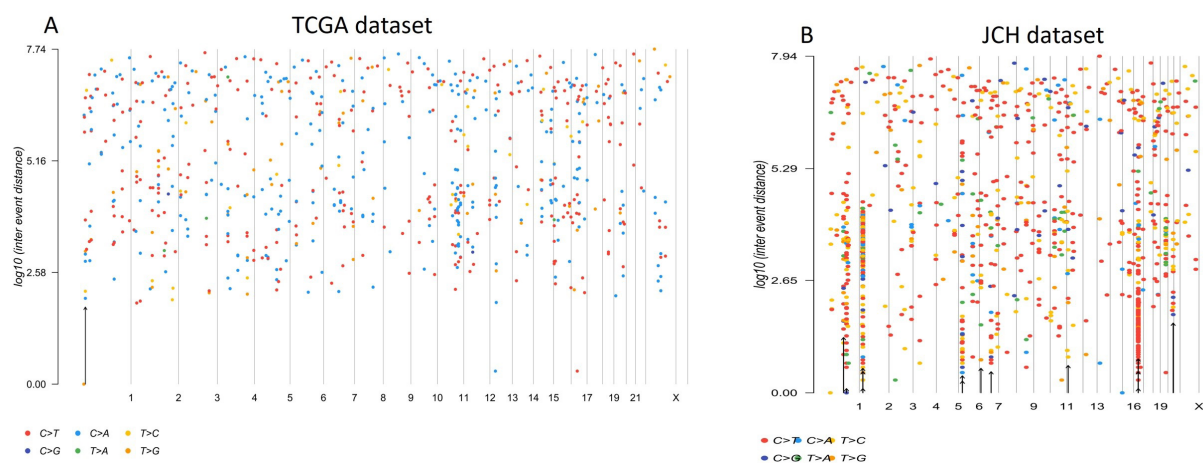
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Table S1 645 cancer-related gene list

ARFRP1	C11orf30	CYP2D6	FANCD2	GSTM1	JUN	MSH6	PDGFRA	RAD52	SMARCD1	TSC1
ABCB1	C8orf34	CYP4B1	FANCE	GSTP1	KAT6A	MSI1	PDGFRB	RAD54B	SMO	TSC2
ABCC3	CALR	CYSLTR2	FANCF	H3F3A	KDM3B	MSI2	PDK1	RAD54L	SMYD3	TSHR
ABL1	CARD11	DAXX	FANCG	H3F3B	KDM5A	MST1	PDPK1	RAF1	SNCAIP	TSHZ2
ABL2	CARM1	DCUN1D1	FANCI	H3F3C	KDM5C	MST1R	PGR	RANBP2	SOCS1	TSHZ3
ACVR1	CASP7	DDR1	FANCL	HAS3	KDM6A	MTAP	PHB	RARA	SOD2	TTF1
ACVR1B	CASP8	DDR2	FANCM	HDAC1	KDR	MTHFR	PHOX2B	RASA1	SOS1	TXN
AGO2	CBFB	DDX43	FAS	HDAC6	KEAP1	MTOR	PIK3C2B	RB1	SOX10	TXNRD2
AKT1	CBL	DICER1	FAT1	HGF	KEL	MTRR	PIK3C2G	RBM10	SOX17	TYMS
AKT2	CBR3	DIS3	FAT3	HIST1H1C	KIT	MUTYH	PIK3C3	RECQL	SOX2	TYRO3
AKT3	CCND1	DNAJB1	FBXW7	HIST1H2BD	KLF4	MXI1	PIK3CA	RECQL4	SOX4	U2AF1
ALK	CCND2	DNMT1	FCGR2A	HIST1H3A	KLHL6	MYC	PIK3CB	REL	SOX9	UGT1A1
ALOX12B	CCND3	DNMT3A	FCGR3A	HIST1H3B	KMT2A	MYCL	PIK3CD	RET	SPEN	UGT1A4
AMER1	CCNE1	DNMT3B	FGF10	HIST1H3C	KMT2B	MYCN	PIK3CG	RFWD2	SPOP	UMPS
ANKRD11	CD22	DOT1L	FGF12	HIST1H3D	KMT2C	MYD88	PIK3R1	RHBDF2	SPRED1	UPF1
APC	CD274	DPYD	FGF14	HIST1H3E	KMT2D	MYO3B	PIK3R2	RHEB	SPTA1	VEGFA
APEX1	CD276	DROSHA	FGF19	HIST1H3F	KNSTRN	MYOD1	PIK3R3	RHOA	SRC	VHL
AR	CD3EAP	DUSP4	FGF23	HIST1H3G	KRAS	NBN	PIM1	RICTOR	SRSF2	VTCN1
ARAF	CD44	DYNC2H1	FGF3	HIST1H3H	LATS1	NCOA3	PLAT	RIT1	STAG2	WHSC1
ARID1A	CD70	E2F3	FGF4	HIST1H3I	LATS2	NCOR1	PLCG2	RNF43	STAT3	WHSC1L1
ARID1B	CD79A	EED	FGF6	HIST1H3J	LIG4	NDRG1	PLK2	ROS1	STAT4	WISP3
ARID2	CD79B	EGFL7	FGFR1	HIST2H3C	LIMK1	NEGR1	PMAIP1	RPS6KA4	STAT5A	WT1
ARID5B	CDA	EGFR	FGFR2	HIST2H3D	LIN28B	NEIL1	PMS1	RPS6KB2	STAT5B	WWTR1
ASNS	CDC42	EIF1AX	FGFR3	HIST3H3	LMO1	NF1	PMS2	RPTOR	STK11	XIAP
ASXL1	CDC73	EIF4A2	FGFR4	HLA-A	LRP1B	NF2	PNRC1	RRAGC	STK19	XPC
ASXL2	CDH1	EIF4E	FH	HLA-B	LTK	NFE2L2	POLD1	RRAS	STK40	XPO1
ATIC	CDK12	ELF3	FLCN	HMMR	LYN	NFKBIA	POLE	RRAS2	SUFU	XRCC1
ATM	CDK4	EP300	FLT1	HNF1A	LZTR1	NKX2-1	PON1	RRM1	SUZ12	XRCC2
ATR	CDK6	EPAS1	FLT3	HOXB13	MAF	NKX3-1	PPARG	RSF1	SYK	XRCC3
ATRX	CDK8	EPCAM	FLT4	HRAS	MAGI2	NOS2	PPM1D	RTEL1	TAF1	YAP1
AURKA	CDKN1A	EPHA2	FOXA1	HSD3B1	MALT1	NOTCH1	PPP2R1A	RUNX1	TAP1	YES1
AURKB	CDKN1B	EPHA3	FOXL2	HSP90AA1	MAP2K1	NOTCH2	PPP2R2A	RUNX1T1	TAP2	ZBTB2
AXIN1	CDKN2A	EPHA5	FOXO1	HSPB1	MAP2K2	NOTCH3	PPP4R2	RXRA	TBX3	ZFHX3
AXIN2	CDKN2B	EPHA7	FOXP1	ICOSLG	MAP2K4	NOTCH4	PPP6C	RYBP	TCEB1	ZNF217
AXL	CDKN2C	EPHB1	FRS2	ID3	MAP3K1	NPM1	PRDM1	SDHA	TCF3	ZNF703
B2M	CEBPA	EPHB4	FSHR	IDH1	MAP3K13	NQO1	PRDM14	SDHAF2	TCF7L2	
BABAM1	CENPA	ERBB2	FUBP1	IDH2	MAP3K14	NQO2	PREX2	SDHB	TDG	
BAP1	CHD2	ERBB3	FYN	IFNGR1	MAPK1	NRAS	PRKAA1	SDHC	TEK	
BARF1	CHD4	ERBB4	GAB2	IGF1	MAPK3	NSD1	PRKAR1A	SDHD	TERC	
BBC3	CHEK1	ERCC1	GABRA6	IGF1R	MAPKAP1	NT5C2	PRKCI	SEMA3C	TERT	
BCL10	CHEK2	ERCC2	GALNT12	IGF2	MAX	NTHL1	PRKD1	SESN1	TET1	
BCL2	CIC	ERCC3	GATA1	IKBKE	MCL1	NTRK1	PRKDC	SESN2	TET2	
BCL2L1	CREBBP	ERCC4	GATA2	IKZF1	MDC1	NTRK2	PRSS8	SESN3	TGFB1	
BCL2L11	CRKL	ERCC5	GATA3	IL10	MDM2	NTRK3	PTCH1	SETD2	TGFBR1	
BCL2L2	CRLF2	ERF	GATA4	IL1A	MDM4	NUF2	PTEN	SETD8	TGFBR2	
BCL6	CSDE1	ERG	GATA6	IL4	MECOM	NUP93	PTP4A1	SF3B1	TIPARP	
BCOR	CSF1R	ERRFI1	GEN1	IL7R	MED12	OPRM1	PTPN11	SGK1	TLR2	
BCORL1	CSF3R	ESR1	GGH	IL8	MEF2B	P2RY8	PTPRD	SH2B3	TMEM127	
BCR	CTCF	ESR2	GID4	INHA	MEN1	PAK1	PTPRO	SH2D1A	TMPRSS2	
BIRC3	CTLA4	ETV1	GLI1	INHBA	MERTK	PAK3	PTPRS	SHOC2	TNF	
BIRC7	CTNNA1	ETV6	GNA11	INPP4A	MET	PAK7	PTPRT	SHQ1	TNFAIP3	
BLM	CTNNB1	EWSR1	GNA13	INPP4B	MGA	PALB2	QKI	SLCO1B1	TNFRSF14	
BMPR1A	CTTN	EXT1	GNAQ	INPPL1	MGMT	PARK2	RAB35	SLCO1B3	TNFSF11	
BRAF	CUL3	EZH1	GNAS	INSR	MITF	PARP1	RAC1	SLIT1	TOP1	
BRCA1	CUL4A	EZH2	GPR124	IRF2	MKNK1	PARP2	RAC2	SLIT2	TOP2A	
BRCA2	CXCR4	FADD	GPS2	IRF4	MLH1	PARP3	RAD21	SLX4	TP53	
BRD4	CYLD	FAM175A	GREM1	IRS1	MLH3	PAX5	RAD50	SMAD2	TP53BP1	
BRIP1	CYP17A1	FAM46C	GRIN2A	IRS2	MPL	PBRM1	RAD51	SMAD3	TP63	
BTG1	CYP19A1	FAM58A	GRM3	JAK1	MRE11A	PCAP	RAD51B	SMAD4	TRAF2	
BTG2	CYP1B1	FANCA	GSK3B	JAK2	MSH2	PDCD1	RAD51C	SMARCA4	TRAF7	
BTK	CYP2C8	FANCC	GSTA1	JAK3	MSH3	PDCD1LG2	RAD51D	SMARCB1	TRRAP	



**Figure S1** The distribution of mutation information in the JCH and TCGA samples. The mutations of *GP645* genes were distributed on 21 chromosomes in TCGA (A) and JCH datasets (B).