

# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Jiawei Li  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

In the interest of transparency, we ask you to disclose all relationships/activities/interests listed below that are related to the content of your manuscript. "Related" means any relation with for-profit or not-for-profit third parties whose interests may be affected by the content of the manuscript. Disclosure represents a commitment to transparency and does not necessarily indicate a bias. If you are in doubt about whether to list a relationship/activity/interest, it is preferable that you do so.

The following questions apply to the author's relationships/activities/interests as they relate to the current manuscript only.

The author's relationships/activities/interests should be defined broadly. For example, if your manuscript pertains to the epidemiology of hypertension, you should declare all relationships with manufacturers of antihypertensive medication, even if that medication is not mentioned in the manuscript.

In item #1 below, report all support for the work reported in this manuscript without time limit. For all other items, the time frame for disclosure is the past 36 months.

|                                                           |                                                                                                                                                                                | Name all entities with whom you have this relationship or indicate none (add rows as needed) | Specifications/Comments (e.g., if payments were made to you or to your institution) |
|-----------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| <b>Time frame: Since the initial planning of the work</b> |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 1                                                         | All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.)<br><b>No time limit for this item.</b> | <u>X</u> None                                                                                |                                                                                     |
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| <b>Time frame: past 36 months</b>                         |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 2                                                         | Grants or contracts from any entity (if not indicated in item #1 above).                                                                                                       | <u>X</u> None                                                                                |                                                                                     |
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|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
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|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 5  | Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events | <u>  X  </u> None |  |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 10 | Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid            | <u>  X  </u> None |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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| 12 | Receipt of equipment, materials, drugs, medical writing, gifts or other services                             | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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**Please summarize the above conflict of interest in the following box:**

None.

**Please place an “X” next to the following statement to indicate your agreement:**

  X   I certify that I have answered every question and have not altered the wording of any of the questions on this form.

# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Baofu Chen  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

In the interest of transparency, we ask you to disclose all relationships/activities/interests listed below that are related to the content of your manuscript. "Related" means any relation with for-profit or not-for-profit third parties whose interests may be affected by the content of the manuscript. Disclosure represents a commitment to transparency and does not necessarily indicate a bias. If you are in doubt about whether to list a relationship/activity/interest, it is preferable that you do so.

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| 2                                                         | Grants or contracts from any entity (if not indicated in item #1 above).                                                                                                       | <u>X</u> None                                                                                |                                                                                     |
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| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
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| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 10 | Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid            | <u>  X  </u> None |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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| 12 | Receipt of equipment, materials, drugs, medical writing, gifts or other services                             | <u>  X  </u> None |  |
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| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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**Please summarize the above conflict of interest in the following box:**

None.

**Please place an “X” next to the following statement to indicate your agreement:**

  X   I certify that I have answered every question and have not altered the wording of any of the questions on this form.

# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Xia Wang  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

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| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
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| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Congcong Xu  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

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| 2                                                         | Grants or contracts from any entity (if not indicated in item #1 above).                                                                                                       | <u>X</u> None                                                                                |                                                                                     |
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| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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|    |                                                                                                              |                   |  |
| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
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|    |                                                                                                              |                   |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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|    |                                                                                                              |                   |  |
| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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**Please summarize the above conflict of interest in the following box:**

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| <p>None.</p> |
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**Please place an “X” next to the following statement to indicate your agreement:**

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Date: 2022/4/18  
 Your Name: Dong Chen  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
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| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
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|    |                                                                                                              |                   |  |
| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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**Please summarize the above conflict of interest in the following box:**

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| None. |
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**Please place an “X” next to the following statement to indicate your agreement:**

  X   I certify that I have answered every question and have not altered the wording of any of the questions on this form.

# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Kanghao Zhu  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

In the interest of transparency, we ask you to disclose all relationships/activities/interests listed below that are related to the content of your manuscript. "Related" means any relation with for-profit or not-for-profit third parties whose interests may be affected by the content of the manuscript. Disclosure represents a commitment to transparency and does not necessarily indicate a bias. If you are in doubt about whether to list a relationship/activity/interest, it is preferable that you do so.

The following questions apply to the author's relationships/activities/interests as they relate to the current manuscript only.

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In item #1 below, report all support for the work reported in this manuscript without time limit. For all other items, the time frame for disclosure is the past 36 months.

|                                                           |                                                                                                                                                                                | Name all entities with whom you have this relationship or indicate none (add rows as needed) | Specifications/Comments (e.g., if payments were made to you or to your institution) |
|-----------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|
| <b>Time frame: Since the initial planning of the work</b> |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 1                                                         | All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.)<br><b>No time limit for this item.</b> | <u>X</u> None                                                                                |                                                                                     |
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| <b>Time frame: past 36 months</b>                         |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 2                                                         | Grants or contracts from any entity (if not indicated in item #1 above).                                                                                                       | <u>X</u> None                                                                                |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 5  | Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events | <u>  X  </u> None |  |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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|    |                                                                                                              |                   |  |
| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 10 | Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid            | <u>  X  </u> None |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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| 12 | Receipt of equipment, materials, drugs, medical writing, gifts or other services                             | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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**Please summarize the above conflict of interest in the following box:**

None.

**Please place an “X” next to the following statement to indicate your agreement:**

  X   I certify that I have answered every question and have not altered the wording of any of the questions on this form.

# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Zixian Jin  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

In the interest of transparency, we ask you to disclose all relationships/activities/interests listed below that are related to the content of your manuscript. "Related" means any relation with for-profit or not-for-profit third parties whose interests may be affected by the content of the manuscript. Disclosure represents a commitment to transparency and does not necessarily indicate a bias. If you are in doubt about whether to list a relationship/activity/interest, it is preferable that you do so.

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|                                                           |                                                                                                                                                                                | Name all entities with whom you have this relationship or indicate none (add rows as needed) | Specifications/Comments (e.g., if payments were made to you or to your institution) |
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| <b>Time frame: Since the initial planning of the work</b> |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 1                                                         | All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.)<br><b>No time limit for this item.</b> | <u>X</u> None                                                                                |                                                                                     |
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| <b>Time frame: past 36 months</b>                         |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 2                                                         | Grants or contracts from any entity (if not indicated in item #1 above).                                                                                                       | <u>X</u> None                                                                                |                                                                                     |
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| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
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|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 5  | Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events | <u>  X  </u> None |  |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 10 | Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid            | <u>  X  </u> None |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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| 12 | Receipt of equipment, materials, drugs, medical writing, gifts or other services                             | <u>  X  </u> None |  |
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| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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**Please summarize the above conflict of interest in the following box:**

None.

**Please place an “X” next to the following statement to indicate your agreement:**

  X   I certify that I have answered every question and have not altered the wording of any of the questions on this form.

# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Hongbin Qiu  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

In the interest of transparency, we ask you to disclose all relationships/activities/interests listed below that are related to the content of your manuscript. "Related" means any relation with for-profit or not-for-profit third parties whose interests may be affected by the content of the manuscript. Disclosure represents a commitment to transparency and does not necessarily indicate a bias. If you are in doubt about whether to list a relationship/activity/interest, it is preferable that you do so.

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| <b>Time frame: Since the initial planning of the work</b> |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 1                                                         | All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.)<br><b>No time limit for this item.</b> | <u>X</u> None                                                                                |                                                                                     |
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| <b>Time frame: past 36 months</b>                         |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 2                                                         | Grants or contracts from any entity (if not indicated in item #1 above).                                                                                                       | <u>X</u> None                                                                                |                                                                                     |
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| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
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|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 5  | Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events | <u>  X  </u> None |  |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 10 | Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid            | <u>  X  </u> None |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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| 12 | Receipt of equipment, materials, drugs, medical writing, gifts or other services                             | <u>  X  </u> None |  |
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| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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**Please summarize the above conflict of interest in the following box:**

None.

**Please place an “X” next to the following statement to indicate your agreement:**

  X   I certify that I have answered every question and have not altered the wording of any of the questions on this form.



# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Jianfei Shen  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

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| <b>Time frame: Since the initial planning of the work</b> |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 1                                                         | All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.)<br><b>No time limit for this item.</b> | <u>X</u> None                                                                                |                                                                                     |
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| <b>Time frame: past 36 months</b>                         |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 2                                                         | Grants or contracts from any entity (if not indicated in item #1 above).                                                                                                       | <u>X</u> None                                                                                |                                                                                     |
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|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 5  | Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events | <u>  X  </u> None |  |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
|    |                                                                                                              |                   |  |
| 10 | Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid            | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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|    |                                                                                                              |                   |  |
| 12 | Receipt of equipment, materials, drugs, medical writing, gifts or other services                             | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
|    |                                                                                                              |                   |  |
| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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**Please summarize the above conflict of interest in the following box:**

|       |
|-------|
| None. |
|-------|

**Please place an “X” next to the following statement to indicate your agreement:**

  X   I certify that I have answered every question and have not altered the wording of any of the questions on this form.

# ICMJE DISCLOSURE FORM

Date: 2022/4/18  
 Your Name: Minhua Ye  
 Manuscript Title: Prognosis of patients with esophageal squamous cell carcinoma undergoing surgery versus no surgery after neoadjuvant chemoradiotherapy: a retrospective cohort study  
 Manuscript number (if known): JGO-22-296

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| 1                                                         | All support for the present manuscript (e.g., funding, provision of study materials, medical writing, article processing charges, etc.)<br><b>No time limit for this item.</b> | <u>X</u> None                                                                                |                                                                                     |
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| <b>Time frame: past 36 months</b>                         |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 2                                                         | Grants or contracts from any entity (if not indicated in item #1 above).                                                                                                       | <u>X</u> None                                                                                |                                                                                     |
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|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 3                                                         | Royalties or licenses                                                                                                                                                          | <u>X</u> None                                                                                |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
|                                                           |                                                                                                                                                                                |                                                                                              |                                                                                     |
| 4                                                         | Consulting fees                                                                                                                                                                | <u>X</u> None                                                                                |                                                                                     |
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| 5  | Payment or honoraria for lectures, presentations, speakers bureaus, manuscript writing or educational events | <u>  X  </u> None |  |
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| 6  | Payment for expert testimony                                                                                 | <u>  X  </u> None |  |
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| 7  | Support for attending meetings and/or travel                                                                 | <u>  X  </u> None |  |
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| 8  | Patents planned, issued or pending                                                                           | <u>  X  </u> None |  |
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| 9  | Participation on a Data Safety Monitoring Board or Advisory Board                                            | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
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| 10 | Leadership or fiduciary role in other board, society, committee or advocacy group, paid or unpaid            | <u>  X  </u> None |  |
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| 11 | Stock or stock options                                                                                       | <u>  X  </u> None |  |
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| 12 | Receipt of equipment, materials, drugs, medical writing, gifts or other services                             | <u>  X  </u> None |  |
|    |                                                                                                              |                   |  |
|    |                                                                                                              |                   |  |
| 13 | Other financial or non-financial interests                                                                   | <u>  X  </u> None |  |
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**Please summarize the above conflict of interest in the following box:**

None.

**Please place an “X” next to the following statement to indicate your agreement:**

  X   I certify that I have answered every question and have not altered the wording of any of the questions on this form.