TRIPOD Checklist: Prediction Model Development and Validation

| Section | Item | | Checklist description | Reported on Page Number/Line Number | Reported on Section/Paragraph |
|---------------------------|------|-----|--|---|----------------------------------|
| Title and abstract | | | | | |
| Title | 1 | D;V | Identify the study as developing and/or validating a multivariable prediction model, the target population, and the outcome to be predicted. | | |
| Abstract | 2 | D;V | Provide a summary of objectives, study design, setting, participants, sample size, predictors, outcome, statistical analysis, results, and conclusions. | | |
| Introduction | | | | | |
| Background and objectives | 3a | D;V | Explain the medical context (including whether diagnostic or prognostic) and rationale for developing or validating the multivariable prediction model, including references to existing models. | | |
| | 3b | D;V | Specify the objectives, including whether the study describes the development or validation of the model or both. | | |
| Methods | | | | | |
| Source of data | 4a | D;V | Describe the study design or source of data (e.g., randomized trial, cohort, or registry data), separately for the development and validation data sets, ifapplicable. | | |
| | 4b | D;V | Specify the key study dates, including start of accrual; end of accrual; and, if applicable, end of follow-up. | | |
| Participants | 5a | D;V | Specify key elements of the study setting (e.g., primary care, secondary care, general population) including number and location of centres. | | |
| | 5b | D;V | Describe eligibility criteria for participants. | | |
| | 5c | D;V | Give details of treatments received, if relevant. | | |
| Outcome | 6a | D;V | Clearly define the outcome that is predicted by the prediction model, including how and when assessed. | | |
| | 6b | D;V | Report any actions to blind assessment of the outcome to be predicted. | | |
| Predictors | 7a | D;V | Clearly define all predictors used in developing or validating the multivariable prediction model, including how and when they were measured. | | |
| | 7b | D;V | Report any actions to blind assessment of predictors for the outcome and other predictors. | | |
| Sample size | 8 | D;V | Explain how the study size was arrived at. | | |

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|----------------------------|-----|-----|---|---|---|
| Missing data | 9 | D;V | Describe how missing data were handled (e.g., complete-case analysis, single imputation, multiple imputation) with details of any imputation method. | | |
| Statistical analysis | 10a | D | Describe how predictors were handled in the analyses. | | |
| methods | 10b | D | Specify type of model, all model-building procedures (including any predictor selection), and method for internal validation. | | |
| | 10c | V | For validation, describe how the predictions were calculated. | | |
| | 10d | D;V | Specify all measures used to assess model performance and, if relevant, to compare multiple models. | | |
| | 10e | V | Describe any model updating (e.g., recalibration) arising from the validation, if done. | | |
| Risk groups | 11 | D;V | Provide details on how risk groups were created, if done. | | |
| Development vs. validation | 12 | V | For validation, identify any differences from the development data in setting, eligibility criteria, outcome, and predictors. | | |
| Results | | | | | |
| Participants | 13a | D;V | Describe the flow of participants through the study, including the number of participants with and without the outcome and, if applicable, a summary of the follow-up time. A diagram may be helpful. | | |
| | 13b | D;V | Describe the characteristics of the participants (basic demographics, clinical features, available predictors), including the number of participants with missing data for predictors and outcome. | | |
| | 13c | V | For validation, show a comparison with the development data of the distribution of important variables (demographics, predictors and outcome). | | |
| Model | 14a | D | Specify the number of participants and outcome events in each analysis. | | |
| development | 14b | D | If done, report the unadjusted association between each candidate predictor and outcome. | | |
| Model specification | 15a | D | Present the full prediction model to allow predictions for individuals (i.e., all regression coefficients, and model intercept or baseline survival at a given time point). | | |
| | 15b | D | Explain how to the use the prediction model. | | |
| Model performance | 16 | D;V | Report performance measures (with CIs) for the prediction model. | | |
| Model-updating | 17 | V | If done, report the results from any model updating (i.e., model specification, model performance). | | |
| Discussion | | | | | , |
| Limitations | 18 | D;V | Discuss any limitations of the study (such as nonrepresentative sample, few events per predictor, missing data). | | |
| | | | | 1 | L |

| Interpretation | 19a | V | For validation, discuss the results with reference to performance in the development data, and any other validation data. | |
|---------------------------|-----|-----|--|--|
| | 19b | D;V | Give an overall interpretation of the results, considering objectives, limitations, and results from similar studies, and other relevant evidence. | |
| Implications | 20 | D;V | Discuss the potential clinical use of the model and implications for future research. | |
| Other information | | | | |
| Supplementary information | 21 | D;V | Provide information about the availability of supplementary resources, such as study protocol, Web calculator, and data sets. | |
| Funding | 22 | D;V | Give the source of funding and the role of the funders for the present study. | |

^{*} Items relevant only to the development of a prediction model are denoted by D, items relating solely to a validation of a prediction model are denoted by V, and items relating to both are denoted D;V. We recommend using the TRIPOD Checklist in conjunction with the TRIPOD Explanation and Elaboration document.

<u>Materials Design Analysis Reporting (MDAR)</u> Checklist for Authors

The MDAR framework establishes a minimum set of requirements in transparent reporting applicable to studies in the life sciences (see Statement of Task: doi:10.31222/osf.io/9sm4x.). The MDAR checklist is a tool for authors, editors and others seeking to adopt the MDAR framework for transparent reporting in manuscripts and other outputs. Please refer to the MDAR Elaboration Document for additional context for the MDAR framework.

Materials

| Antibodies | Yes (indicate where provided: section/paragraph) | n/a |
|--|--|-----|
| For commercial reagents, provide supplier | Methods / Assay of pull-down of experimental RNA | |
| name, catalogue number and RRID, if available. | | |

| Cell materials | Yes (indicate where provided: section/paragraph) | n/a |
|--|---|-----|
| Cell lines: Provide species information, strain. | Methods / Culture of Cells as well as Transfection of | |
| Provide accession number in repository OR supplier name, catalog number, clone number, OR RRID | Cells | |
| Primary cultures: Provide species, strain, sex of | | N/A |
| origin, genetic modification status. | | |

| Experimental animals | Yes (indicate where provided: section/paragraph) | n/a |
|--|--|-----|
| Laboratory animals: Provide species, strain, sex, age, | | N/A |
| genetic modification status. Provide accession | | |
| number in repository OR supplier name, catalog | | |
| number, clone number, OR RRID | | |
| Animal observed in or captured from the | | N/A |
| field: Provide species, sex and age where | | |
| possible | | |
| Model organisms: Provide Accession number | | N/A |
| in repository (where relevant) OR RRID | | |

| Plants and microbes | Yes (indicate where provided: section/paragraph) | n/a |
|---|--|-----|
| Plants: provide species and strain, unique accession number if available, and source (including location for collected wild specimens) | | N/A |
| Microbes: provide species and strain, unique accession number if available, and source | | N/A |

| Human research participants | Yes (indicate where provided: section/paragraph) | n/a |
|---|--|-----|
| Identify authority granting ethics approval (IRB or equivalent committee(s), provide reference number | Methods / Patient and tissue samples | |
| for approval. | | |
| Provide statement confirming informed consent | Methods / Patient and tissue samples | |
| obtained from study participants. | | |
| Report on age and sex for all study participants. | | N/A |

<u>Design</u>

| Study protocol | Yes (indicate where provided: section/paragraph) | n/a |
|---|--|-----|
| For clinical trials, provide the trial registration number OR cite DOI in manuscript. | | N/A |
| Laboratory protocol | Yes (indicate where provided: section/paragraph) | n/a |
| Provide DOI or other citation details if detailed step- by-step protocols are available. | | N/A |
| Experimental study design (statistics details) | Yes (indicate where provided: section/paragraph) | n/a |
| State whether and how the following have been done, or if they were not carried out. | | |
| Sample size determination | | N/A |
| Randomisation | | N/A |
| Blinding | | N/A |
| Inclusion/exclusion criteria | | N/A |
| Sample definition and in-laboratory replication | Yes (indicate where provided: section/paragraph) | n/a |
| State number of times the experiment was replicated in laboratory | The control of the co | N/A |
| Define whether data describe technical or biological replicates | | N/A |
| Ethics | Yes (indicate where provided: section/paragraph) | n/a |
| Studies involving human participants: State details of authority granting ethics approval (IRB or equivalent committee(s), provide reference number for approval. | Footnote/ Ethical Statement | |
| Studies involving experimental animals: State details of authority granting ethics approval (IRB or equivalent committee(s), provide reference number for approval. | | N/A |
| Studies involving specimen and field samples: State if relevant permits obtained, provide details of authority approving study; if none were required, explain why. | | N/A |
| Dual Use Research of Concern (DURC) | Yes (indicate where provided: section/paragraph) | n/a |
| If study is subject to dual use research of concern, state the authority granting approval and reference number for the regulatory approval | , | N/A |

<u>Analysis</u>

| Attrition | Yes (indicate where provided: section/paragraph) | n/a |
|---|--|-----|
| State if sample or data point from the analysis is | | N/A |
| excluded, and whether the criteria for exclusion were | | |
| determined and specified in advance. | | |

| Statistics | Yes (indicate where provided: section/paragraph) | n/a |
|---|--|-----|
| Describe statistical tests used and justify choice of | Methods/ Statistical analysis | |
| tests. | | |

| Data Availability | Yes (indicate where provided: section/paragraph) | n/a |
|---|--|-----|
| State whether newly created datasets are available, | | N/A |
| including protocols for access or restriction on | | |
| access. | | |
| If data are publicly available, provide accession | | N/A |
| number in repository or DOI or URL. | | |
| If publicly available data are reused, provide | | N/A |
| accession number in repository or DOI or URL, where | | |
| possible. | | |

| Code Availability | Yes (indicate where provided: section/paragraph) | n/a |
|---|--|-----|
| For all newly generated code and software essential | | |
| for replicating the main findings of the study: | | |
| State whether the code or software is available. | | N/A |
| If code is publicly available, provide accession | | N/A |
| number in repository, or DOI or URL. | | |

Reporting

| Adherence to community standards | Yes (indicate where provided: section/paragraph) | n/a |
|---|--|-----|
| MDAR framework recommends adoption of | | |
| discipline-specific guidelines, established and | | |
| endorsed through community initiatives. Journals | | |
| have their own policy about requiring specific | | |
| guidelines and recommendations to complement | | |
| MDAR. | | |
| State if relevant guidelines (eg., ICMJE, MIBBI, | ICMJE guidelines were followed, as the journal follows | |
| ARRIVE) have been followed, and whether a checklist | ICMJE recommendations for publication. | |
| (eg., CONSORT, PRISMA, ARRIVE) is provided with | | |
| the manuscript. | | |

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