

Instructions

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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Gabrielle	rst Name)	2. Surname (Last Name) Peters	3. Date 05-December-2021
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Krishan Jethwa
5. Manuscript Title Pre-Operative Cl Cancer		ith or Without Induction C	hemotherapy for Operable Locally-Advanced Esophageal
6. Manuscript Idei	ntifying Number (if you	know it)	

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🖌 No

Are there any relevant conflicts of interest?		Yes
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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
RADIOLOGICAL SOCIETY OF NORTH AMERICA	\checkmark				2020 MEDICAL EDUCATION RESEARCH GRANT	





Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

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Section 6. Disclosure Statement

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Dr. Peters reports grants from RADIOLOGICAL SOCIETY OF NORTH AMERICA, outside the submitted work; .

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1. Given Name (Fi Wesley	rst Name)	2. Surname (Last Name) Talcott	3. Date 13-December-2021
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Krishan Jethwa
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🖌 No

Are there any relevant conflicts of interest?	Are there an	v relevant c	onflicts	of interest?	🗌 Ye	s
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Are there any relevant conflicts of interest?		Yes	\checkmark	No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No)



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Dr. Talcott has nothing to disclose.

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 4. Are you the corresponding author? Yes ✓ No Corresponding Author's Name Krishan Jethwa 5. Manuscript Title Pre-Operative Chemoradiotherapy With or Without Induction Chemotherapy for Operable Locally-Advanced Esoph Cancer 6. Manuscript Identifying Number (if you know it) 	Given Name (First Name) cholas	2. Surname (Last Name) Peters	3. Date 05-December-2021
Pre-Operative Chemoradiotherapy With or Without Induction Chemotherapy for Operable Locally-Advanced Esoph Cancer	Are you the corresponding author?		-
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Are there any relevant conflicts of interest?		Yes
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Are there any relevant conflicts of interest?	Yes	\checkmark	No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No	
		•	



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Dr. Peters has nothing to disclose.

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Novartis				\checkmark	Advisory board	
Deciphera				\checkmark	Advisory board	
lpsen				\checkmark	Advisory board	
Merck				\checkmark	Advisory board	
AstraZeneca				\checkmark	Advisory board	
Celgene				\checkmark	Advisory board	



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Dr. Lacy reports other from Novartis, other from Deciphera, other from Ipsen, other from Merck, other from AstraZeneca, other from Celgene, outside the submitted work; .

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1. Given Name (Fi Michael	rst Name)	2. Surname (Last N Cecchini	lame) 3. Date
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Krishan Jethwa
5. Manuscript Title Pre-Operative Cl Cancer		th or Without Induct	ion Chemotherapy for Operable Locally-Advanced Esophageal

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments
Agios Pharmaceuticals		\checkmark			
Eisai Inc		\checkmark			
AstraZeneca		\checkmark			
National Cancer Institute (1K08CA255465-01A1)	\checkmark				Dr. Cecchini is funded on a career development award 1K08CA255465-01A1.



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V No

Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

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Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Cecchini reports personal fees from Agios Pharmaceuticals, personal fees from Eisai Inc, personal fees from AstraZeneca, grants from National Cancer Institute (1K08CA255465-01A1), outside the submitted work; .

Evaluation and Feedback



Instructions

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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Jeremy	rst Name)	2. Surname (Last Name) Kortmansky) 3. Date 13-December-2021
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Krishan Jethwa
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Are there an	y relevant conflicts of interest	? Yes	\checkmark	No
Ale there all	y relevant commets of interest	. 105	I ✓	110

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest?	Yes	\checkmark	No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?		Yes	N/	0
	1 1			-



Section 5. Relationships not covered above

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Dr. Kortmansky has nothing to disclose.

Evaluation and Feedback



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1. Given Name (Fi Stacey	rst Name)	2. Surname (Last Name) Stein	3. Date 13-December-2021
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Krishan Jethwa
5. Manuscript Title Pre-Operative Cl Cancer		th or Without Induction Cl	nemotherapy for Operable Locally-Advanced Esophagea

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Are there any relevant conflicts of interest? Yes

S	✓	No	

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No

Are there any relevant conflicts of interest? Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees ?	Non-Financial Support?	Other?	Comments	
Exelixus				\checkmark	Advisory board	
AstraZeneca				\checkmark	Advisory board	
QED				\checkmark	Advisory board	
Genentech				\checkmark	Advisory board	
Merck				\checkmark	Advisory board	



Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves

Section 5. Relationships not covered above

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Dr. Stein reports other from Exelixus, other from AstraZeneca, other from QED, other from Genentech, other from Merck, outside the submitted work; .

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Section 1.	Identifying Infor	mation	
1. Given Name (Fi Stephen	rst Name)	2. Surname (Last Name Lattanzi) 3. Date 13-December-2021
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Krishan Jethwa
5. Manuscript Titl Pre-Operative C Cancer		ith or Without Induction (Chemotherapy for Operable Locally-Advanced Esophageal
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🖌 No

Are there any relevant conflicts of interest?		Yes	
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Are there any relevant conflicts of interest?	Yes	\checkmark	No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No)



Section 5. Relationships not covered above

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Dr. Lattanzi has nothing to disclose.

Evaluation and Feedback



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1. Given Name (Fin Henry	rst Name)	2. Surname (Last Name) Park		3. Date 03-December-2021
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Nar Krishan Jethwa	ne
5. Manuscript Title		th or Without Induction C	hemotherapy for Operable Lo	ocally-Advanced Esophageal
Pre-Operative Cł Cancer	ienioraalotiierapy wi			

The Work Under Consideration for Publication

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Are there any relevant conflicts of interest? Yes

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Are there any relevant conflicts of interest? Yes 🗸 No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🖌 No



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No other relationships/conditions/circumstances that present a potential conflict of interest

AstraZeneca (consulting fees), Bristol Myers Squibb (speaking fees/honoraria), Galera Therapeutics (advisory board fees), Guidepoint (honoraria), Grand Rounds Health (honoraria), Healthcasts (honoraria), Healthline (honoraria), Rad Onc Questions (honoraria), RefleXion Medical (research funds), United States Food and Drug Administration (research funds)

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Disclosure Statement

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Dr. Park reports relationships not relevant to the current work: AstraZeneca (consulting fees), Bristol Myers Squibb (speaking fees/honoraria), Galera Therapeutics (advisory board fees), Guidepoint (honoraria), Grand Rounds Health (honoraria), Healthcasts (honoraria), Healthline (honoraria), Rad Onc Questions (honoraria), RefleXion Medical (research funds), United States Food and Drug Administration (research funds).

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Section 1. Identifying In 1. Given Name (First Name) Daniel	formation 2. Surname (Last Name) Boffa	3. Date 13-December-2021
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Krishan Jethwa
5. Manuscript Title Pre-Operative Chemoradiotherapy Cancer	With or Without Induction C	hemotherapy for Operable Locally-Advanced Esophageal
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🖌 No

Are there any relevant conflicts of interest? Yes

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Are there any relevant conflicts of interest? Yes 🗸 No

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	ΠY	'es	🖌 No	
	1 1		•	



Section 5. Relationships not covered above

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This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



	03-December-2021
Yes 🖌 No	Corresponding Author's Name Krishan Jethwa
Vith or Without Induction C	nemotherapy for Operable Locally-Advanced Esophageal
ı know it)	

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for

any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any re	levant conf	licts of	interest?		Yes
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Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest?	Yes	\checkmark	No
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Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work?	Yes	🖌 No	
		•	



Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

✓ No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Johung has nothing to disclose.

Evaluation and Feedback



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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Section 1. Identifying Info	mation	
1. Given Name (First Name) Krishan	2. Surname (Last Name) Jethwa	3. Date 13-December-2021
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title	ith or Without Induction Chemotherapy	for Operable Locally-Advanced Econhageal

Pre-Operative Chemoradiotherapy With or Without Induction Chemotherapy for Operable Locally-Advanced Esophageal Cancer

6. Manuscript Identifying Number (if you know it)

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments	
RadOncQuestions.com LLC				\checkmark	Editor	

Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🗸 No



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Dr. Jethwa reports other from RadOncQuestions.com LLC, outside the submitted work; .

Evaluation and Feedback