# Assessment of pulmonary microstructural changes by hyperpolarized <sup>129</sup>Xe diffusion-weighted imaging in an elastase-instilled rat model of emphysema

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**Background:** The purpose of this study was to explore the feasibility of hyperpolarized <sup>129</sup>Xe diffusion-weighted imaging (DWI) for the evaluation of pulmonary microstructural changes in the presence of pancreatic porcine elastase (PPE)-induced pulmonary emphysema rat model.

**Methods:** Sixteen male Sprague-Dawley (SD) rats were randomly divided into two groups, the emphysema model group and control group. Experimental emphysematous models were made by instilling elastase into rat lungs of model group, the control group were instilled with isodose saline. Hyperpolarized <sup>129</sup>Xe magnetic resonance imaging (MRI) and histology were performed in all 16 rats after 30 days. DWIs were performed on a Bruker 7.0 T micro MRI, and the apparent diffusion coefficients (ADCs) were measured in all rats. Mean linear intercepts (MLIs) of pulmonary alveoli were measured on histology. The statistical analyses were performed about the correlation between the mean ADC of hyperpolarized <sup>129</sup>Xe in the whole lung and MLI of pulmonary histology metric.

**Results:** The pulmonary emphysematous model was successfully confirmed by the histology and all scans were also successful. The ADC value of <sup>129</sup>Xe in the model group ( $0.0313\pm0.0005$  cm<sup>2</sup>/s) was significantly increased compared with that of the control group ( $0.0288\pm0.0007$  cm<sup>2</sup>/s, P<0.0001). Morphological differences such as MLI of pulmonary alveoli were observed between the two groups, the MLI of pulmonary alveoli in model group significantly increased ( $91\pm5$  µm) than that of control group ( $50\pm3$  µm, P<0.0001). Furthermore, the ADCs was moderately correlated with MLIs (r=0.724, P<0.01).

**Conclusions:** These results indicate that <sup>129</sup>Xe ADC value can quantitatively reflect the alveolar space enlargement and it is a promising biomarker for the detection of pulmonary emphysema.

**Keywords:** Hyperpolarized <sup>129</sup>Xe; diffusion weighted imaging (DWI); apparent diffusion coefficient (ADC); emphysema; elastase

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#### Introduction

Chronic obstructive pulmonary disease (COPD) had moved from the fourth to third most common cause of death worldwide between 1990 and 2010 (1). Emphysema, a primary subcategory of COPD, is characterized as pathology of permanent enlargement of airspaces distal to the terminal bronchioles, accompanied by the destruction of the alveolar walls without obvious fibrosis (2). COPD deteriorates pulmonary function progressively. So far, tobacco smoke, occupational dust and chemicals are the principal risk factors for COPD worldwide.

Conventional methods to diagnose and evaluate emphysema mainly include pulmonary function test (PFT) and computed tomography (CT). PFT is able to provide only gross assessment of the pulmonary status, which is insensitive to the early changes (2). While CT is more sensitive in detecting early localized emphysematous changes. However, the radiation dose limited the repeated measure to make a definitive diagnosis or monitor disease progression in clinical trials (3,4). Proton magnetic resonance imaging (MRI) in human lung imaging is challenging. Some researchers present a technique to quantify the spectral line broadening of the human lung in a single breath-hold, which could be suitable for clinical applications on patients with lung diseases (5). Zhang et al. (6) use dynamic oxygen-enhanced MRI to evaluate lung condition in asthma, the result shows that severe asthmatic group had significantly smaller enhancing fraction. But these imaging methods require additional devices and new data algorithms.

Since lung is an unfriendly environment for proton MRI, some researchers turn their attention to MR gas contrast agents (7,8). Hyperpolarized <sup>3</sup>He and <sup>129</sup>Xe are suitable gaseous contrast agents for pulmonary MRI, which can provide new methods for visually and noninvasively evaluating pulmonary structure in vivo (8-11). Compared with <sup>129</sup>Xe, <sup>3</sup>He has higher spin polarization and larger gyromagnetic ratio. These properties make hyperpolarized 'He easy to provide higher MRI signal intensity. Therefore, previous studies mainly focused on hyperpolarized <sup>3</sup>He MRI (12-14). However, the limited supply and low nature abundance of <sup>3</sup>He make it difficult to permit hyperpolarized <sup>3</sup>He MRI of the lung to translate from the research community to a clinical tool (15). In contrast, <sup>129</sup>Xe is naturally abundant and its cost is relatively low, and it also can get good image quality with the advanced MRI equipment. Thus, <sup>129</sup>Xe is a feasible alternative to <sup>3</sup>He as a gas contrast agent for MRI of the lung. More and more

researchers shift their focus to <sup>129</sup>Xe.

Hyperpolarized <sup>3</sup>He diffusion-weighted imaging (DWI) had demonstrated that it is a useful and advanced technique for identifying changes of pulmonary microstructure at the alveolar level by measuring hyperpolarized gas diffusivity in the lung air spaces. While the study of hyperpolarized <sup>129</sup>Xe DWI is relatively less. Apparent diffusion coefficient (ADC) is derivative parameter of DWI. ADC value not only can quantitatively describe the relative difficult degree of hyperpolarized gas diffusivity (16-18), but also reflect limitations of the structures of alveolar wall, as well as the walls of bronchioles, alveolar ducts, sacs, and other branches of the airway tree on diffusion of the gas atoms (19,20). In pulmonary emphysema, because of the destruction of alveolar walls, the restrictions to diffusion maybe decreased, and ADC value increased. The purpose of this study was to explore the feasibility of hyperpolarized <sup>129</sup>Xe MR DWI in evaluation of pulmonary microstructural changes in experimental rat model of pancreatic porcine elastase (PPE)-induced emphysema.

#### **Methods**

# Animal model preparation

All the animals were taken care according to the National Institutes of Health Guide and the experiment was approved by the Animal Ethics Committee of the Medical School of Wuhan University. Sixteen male Sprague-Dawley (SD) rats (130-150 g) were randomly separated into two groups: elastase group and control group. In elastase group, orotracheally instilled 200 units/100 g-body weight PPE (Biosharp, Hefei, China) in 0.1 mL saline (n=8); In control group, orotracheal instilled with isodose saline (n=8). After instillation, all rats were then gently shaken to facilitate the distribution of the elastase or saline (21). We had monitored the physiology and behavior of the rats for the next 48 h, such as respiratory, diet and drink, and no adverse reactions were noted. The rats were observed up to 30 days after elastase or saline instillation. On the 30th day, their mean mass was 234 g (elastase group) and 240 g (control group), respectively.

# MRI protocol

The natural abundance <sup>129</sup>Xe gases were polarized by a homebuilt system via the spin exchange optical pumping (SEOP) method, with rubidium vapor used as the alkali metal (7,8). Then the hyperpolarized <sup>129</sup>Xe gas was

prepared. <sup>129</sup>Xe hyperpolarization level achieved 12%. All MR studies were performed on a 7.0 T micro MR scanner (Bruker BioSpec 70/20 USR, Germany) which was modified with a broadband amplifier to allow operation at the <sup>129</sup>Xe resonant frequency. A homebuilt birdcage radio-frequency coil was used for <sup>129</sup>Xe imaging.

Before imaging, rats received anesthetizing and intubating, and were passive ventilated by a home-built ventilator, which can ventilate with oxygen or hyperpolarized <sup>129</sup>Xe. A 3-way pipe was used to connect the ventilator and intubation. Rats were scanned in a supine position and ventilated with oxygen at a rate of 17 breaths per min with a tidal volume of 3.0 mL. For the ventilation of oxygen, the breath consisted of 400 ms inhalation and 800 ms for exhalation, no breath-hold. During <sup>129</sup>Xe imaging, breathing gas was switched from oxygen to hyperpolarized <sup>129</sup>Xe. The breath consisted of 500 ms inhalation, 3,000 ms breath-hold, and 1,000 ms exhalation. The ventilator triggered the MRI scanner at the beginning of the breath-hold, preceded by three hyperpolarized <sup>129</sup>Xe wash-in breaths to wash-out the oxygen in the lungs thereby maximizing signal. Ventilation image required only one breath-hold for the imaging. For diffusion MRI, there needed two breath-holds, one for  $b = 0 \text{ s/mm}^2$ , the other for  $b = 5 \text{ s/mm}^2$ . The inspiratory pressure was monitored by the ventilator continuously and still under 15 cmH<sub>2</sub>O.

Coronal ventilation images were required by using a spoiled gradient recalled echo pulse sequences with the parameters as follows: echo time/repetition time =5.65/11.26 ms, matrix size = $64 \times 64$ , flip angle = $10^\circ$ , field of view = $5 \times 5$  cm<sup>2</sup>, bandwidth =50 kHz, number of slice =1, slice thickness =10 cm. Following acquisition of ventilation images, two different b-value fast images were acquired from the diffusion weighted sequence. Diffusion-weighted MR protocol was obtained based on a gradient-echo pulse sequences with the bipolar gradient waveform in the frequency-encoding direction. The bipolar gradient waveform consisted of the following: ramp up/down time was 0.123 ms, the width of the gradient pulse  $\delta$  was 2 ms, diffusion time  $\Delta$  was 2 ms (no separation time between gradient pulses), The b values were set to 0 and 15 s/cm<sup>2</sup> (22). Coronal images covering the whole lung were acquired with echo time/repetition time =5.65/11.26 ms, matrix size =64×64, flip angle =10°, field of view  $=5 \times 5$  cm<sup>2</sup>, bandwidth =50 kHz, number of slice =1, slice thickness =10 cm.

# Histology analysis

After imaging, the rats were euthanized, their lungs were

extracted and fixed by filling with 10% formalin solution to an airway pressure of 25 cmH<sub>2</sub>O for 24 h (23). The lungs were processed for conventional histology, sectioned into six slides covering three transverse regions of the lung, and stained with haematoxylin and eosin (HE). Five representative images from each slide were acquired using a microscope (Nikon Eclipse TS 100, Nikon, Japan). The mean linear intercepts (MLIs) for these images were calculated with a 10\*10 grid using Image-Pro Plus 6.0 software (Media Cybernetics, Bethesda, MD, USA) to evaluate the presence and severity of emphysematous changes.

# Data processing and statistical analysis

The MR data were postprocessed using routines written in MATLAB (MathWorks, Natick, MA, USA). Lungs were segmented from background noise by applying a lower threshold value of signal intensity, and the lung parenchyma was segmented from the major airways to eliminate bias from ADC values in the trachea and primary bronchi by using a relatively higher threshold value. After segmentation, the ADC was calculated by the Eq. [1], as follows:

$$ADC = \frac{1}{b} \ln\left(\frac{S_0}{S_1}\right)$$
[1]

where  $S_0$  (b =0 s/cm<sup>2</sup>) and  $S_1$  (b =15 s/cm<sup>2</sup>) are the signal intensity values from a pixel without and with diffusion gradient. The signal intensities were fit on a pixel-by-pixel basis to compute maps of ADC. Then the mean ADC value for the whole lung were calculated with segmenting out the background noise and major airways.

A paired *t*-test implemented the measured ADCs and MLIs between the 2 groups. Spearman's correlation coefficient tested the correlations between the ADC values and the MLI values from the rats. For all statistical comparisons, the threshold for significance was set to P=0.05. All analyses were performed using GraphPad Prism 6.0 software (GraphPad Software Inc., La Jolla, CA, USA).

#### **Results**

The mean mass of the 2 groups was 234 g (elastase group) and 240 g (control group), respectively (P>0.05). Pathological results showed that all model rats (n=8) had emphysema. MR scans of all rats (n=16) were completed successfully. On the hyperpolarized <sup>129</sup>Xe ventilation MRI, there existed no distinct ventilation defects in both

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**Figure 1** Hyperpolarized <sup>129</sup>Xe ventilation images of a (A) control and an (B) emphysematous rat. Both images showed homogenous gas distribution without ventilation defects.

groups (*Figure 1*). All rat lungs in both groups showed a homogenous gas distribution, no obvious difference was found.

The ADC maps all showed a homogeneous distribution in control group. In elastase group, the distributions were inhomogeneous, and corresponding ADC histograms exhibited wider (*Figure 2*). The mean ADC value of elastase group was  $0.0313\pm0.0005$  cm<sup>2</sup>/s, which was significantly higher than that of the control group ( $0.0288\pm0.0007$  cm<sup>2</sup>/s, P<0.0001) (*Table 1*). In both groups, the mean ADC values were all smaller than the free diffusion coefficient of the hyperpolarized <sup>129</sup>Xe in the air (24), which indicated that the diffusion of hyperpolarized <sup>129</sup>Xe was restricted by the lung microstructure.



**Figure 2** Hyperpolarized <sup>129</sup>Xe ADC map and corresponding ADC histogram of a (A,C) control group and an (B,D) emphysematous group. (A,C) Hyperpolarized <sup>129</sup>Xe ADC map of the control group (blue and green areas), ADC value is much lower (0.0283±0.0005 cm<sup>2</sup>/s), corresponding histograms exhibit narrower; (B,D) present yellow and red higher ADC value regions, indicate pulmonary emphysematous area with a higher mean ADC value (0.0314±0.0006 cm<sup>2</sup>/s), corresponding histograms exhibit broader. ADC, apparent diffusion coefficient.

Table 1 Rat characteristics, hyperpolarized <sup>129</sup> Xe ADC value and MLI from both g	roups
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Variables	Elastase	Control
No. of subjects	8	8
Body weight (g)	234±14 [202–248]	240±6 [230–247]
ADC (cm <sup>2</sup> /s)**	0.0313±0.0005 (0.0309–0.0322)	0.0288±0.0007 (0.0281-0.0299)
MLI (µm)**	91±5 [82–97]	50±3 [48–53]

Data are expressed as mean ± standard deviation, with ranges in parentheses. \*\*, significant difference between the elastase group and the control group, P<0.001. MLI, mean linear intercept; ADC, apparent diffusion coefficient.

In elastase group, the mean MLI was  $91\pm 5$  µm, which was significantly higher than that of the control group (50±3 µm, P<0.0001). There was moderate linear correlation (r=0.724) between the MLI and ADC value among all 16 rats (*Figure 3*). It showed an obvious trend that higher MLI corresponding to higher diffusivity. HE-stained histology slide of the elastase group demonstrated enlarged airspaces in elastase group. The control group showed homogenous pulmonary parenchyma on lung histology (*Figure 4*).

# Discussion

Elastase-induced experimental pulmonary emphysematous model is a classic method. In our rat model of emphysema, the histology showed the pulmonary alveolar walls were



**Figure 3** Correlation graph between <sup>129</sup>Xe ADC map and MLI obtained with histology. There was a moderate and positive relationship between MLI and ADC value (r=0.724). MLI, mean linear intercept; ADC, apparent diffusion coefficient.

destroyed, it means emphysema happened and the models were prepared successfully. Combined the modeling time and the metric of MLI (91  $\mu$ m), the emphysema maybe attributed to mild to moderate degree (25).

Hyperpolarized <sup>129</sup>Xe lung ventilation MRI has been shown the sensitivity to disease severity in COPD, cystic fibrosis, asthma and other obstructive lung diseases (20,26,27). This technique can resolve regional impairment in lung ventilation caused by airway obstruction before changes are seen in spirometry and before structural alterations of lung disease are manifested on CT (27). However, in this study, <sup>129</sup>Xe ventilation MRI existed no distinct defects of ventilation in both groups, all rats showed a very homogenous hyperpolarized <sup>129</sup>Xe gas distribution. It is well known that the elastase-instilled rat model induces regular distribution of airspace enlargement, and does not result in narrowing of terminal bronchioles. In our study, despite the alveolar walls were destroyed, the hyperpolarized <sup>129</sup>Xe gas could get into lung airspace freely without any restriction. Hyperpolarized <sup>129</sup>Xe ventilation image was only sensitive to the density of the inhaled gas within the lung. Hence, the ventilation images were extremely similar and uniform in both groups in our study, although some minor or subtle ventilation inhomogeneity can be observed in some cases. It suggested that hyperpolarized <sup>129</sup>Xe ventilation image maybe not the most sensitive method for the detection of emphysema without airway constriction or blockage.

The ADC value could distinguish the two groups quantitatively. This was consistent with morphological parameter MLI, which was measured by histology. In elastase group, the alveolar dimensions increased with the partial destruction of alveolar walls, thus restriction of gas



Figure 4 HE stained histological slides (magnified by  $\times 200$ ) of a (A) control and an (B) emphysematous rat. (A) Homogenous pulmonary parenchyma in control rat lung; (B) partial alveolar destruction and enlarged airspaces in the emphysematous rat lung.

diffusion reduced. Then the ADC value increased. The increase of ADC value presented the changes of pulmonary microstructure. ADC maps showed a uniform ADC distribution in control group, which implied no emphysema occurred.

In this study, there was moderate linear correlation (r=0.724) between the MLI and their ADC values among all 16 rats. It indicated that the ADC value of hyperpolarized <sup>129</sup>Xe could reflect the changes of pulmonary microstructure. This result is related with other studies published earlier (18,28). Mata et al. (28) reported the ADC of <sup>129</sup>Xe in a rabbit model showed a strong correlation with MLI (r=0.8). Xu et al. (18) presented a strong correlation between transverse ADC of hyperpolarized <sup>3</sup>He and MLI (r=0.9). Boudreau et al. (29) measured the longitudinal  $(D_L)$  and transverse  $(D_T)$  diffusion coefficients at different diffusion time, and found a strong correlation (r=0.9) between  $D_T$  and MLI when diffusion time was 6 ms. Thomen et al. (30) measured the ADC values and MLI in human lungs with COPD and idiopathic pulmonary fibrosis (IPF), showed a moderate correlation (r=0.59) between ADC and MLI. These results further support the feasibility of hyperpolarized <sup>129</sup>Xe DWI in the detection of the changes of pulmonary microstructure.

Despite the limitation number of subjects, we still detected a moderate correlation between ADC value and MLI. A greater number of animals would allow us to detect animals at different time points, which might be more useful for evaluating the dynamic changes of pulmonary microstructure. Another limitation, single slice for ventilation and DWI might have been too thick (10 mm), the images were coronal projections, which leads to superposition of parenchyma. This may affect the accuracy of ventilation image and DWI results. In addition, only two b values were used for DWI fit. The result was susceptible to background noise. In future studies, we will use multiple b values to fit the data and perform more models for calculation, such as diffusion kurtosis imaging (DKI) model or Webel model. These mathematical models may reflect more features of emphysema.

#### Conclusions

Using hyperpolarize <sup>129</sup>Xe DWI, we quantitatively observed the differences of pulmonary microstructure in emphysema rat models. We also found a moderate correlation between the ADC value and MLI. <sup>129</sup>Xe ADC value is a promising biomarker for the detection of pulmonary emphysema.

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# Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* All the animals were taken care according to the National Institutes of Health Guide and the experiment was approved by the Animal Ethics Committee of the Medical School of Wuhan University.

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