Prognostic impact of controlling nutritional status score in resected lung squamous cell carcinoma

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Background: The preoperative immune-nutritional status has been shown to predict the postoperative prognosis in various types of cancer; however, the prognostic significance of the controlling nutritional status (CONUT) score in resected lung squamous cell carcinoma (SCC) has yet to be elucidated.

Methods: A total of 108 patients with resected lung SCC were analyzed for their clinicopathological factors, including the CONUT score, which can be calculated from the serum albumin, total cholesterol, and total peripheral lymphocyte count. The patients were divided into two groups: CONUT low (0 or 1) or high (\geq 2).

Results: Among 108 patients, 76 (70.4%) were CONUT low, while 32 (29.6%) were CONUT high. No significant association between the CONUT score and the clinicopathological factors was found. Patients with CONUT high exhibited significantly shorter disease-free and overall survivals (DFS and OS) than those with CONUT low (P=0.016 and P=0.006, respectively). Multivariate analyses showed that the CONUT score [hazard ratio (HR): 1.902, 95% confidence interval (CI): 1.045–3.373, P=0.036], age (HR: 2.286, 95% CI: 1.246–4.304, P=0.007), pathological stage (HR: 2.527, 95% CI: 1.391–4.644, P=0.002), and lymphatic invasion (HR: 2.321, 95% CI: 1.110–4.493, P=0.027) were independent prognostic factors for the DFS. Furthermore, in a multivariate analysis, the CONUT score (HR: 1.909, 95% CI: 0.902–3.860, P=0.081), age (HR: 2.455, 95% CI: 1.208–5.178, P=0.013), pathological stage (HR: 2.488, 95% CI: 1.201–5.306, P=0.014), and lymphatic invasion (HR: 3.409, 95% CI: 1.532–7.240, P=0.004) were shown to be independent prognostic factors for the OS.

Conclusions: The current study showed that the CONUT score was an independent prognostic factor for the DFS and OS in patients with resected lung SCC.

Keywords: Lung squamous cell carcinoma (SCC); controlling nutritional status (CONUT) score; surgical resection; postoperative prognosis

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Introduction

Lung cancer is an extremely devastating neoplasm, and its clinical outcome remains poor (1). Subtypes of lung cancer can be mainly divided into non-small cell lung cancer (NSCLC) and small cell lung cancer (SCLC). Among patients with NSCLC, adenocarcinoma is separated from squamous cell carcinoma (SCC), because the biological behaviors and treatment strategies differ by subtype (2,3). Although surgical resection is the main treatment option for patients with stage I, II, and IIIA NSCLC, its clinical course is still not satisfactory (4). Therefore, the identification of biomarkers in patients with SCC would help predict the prognosis and individualize therapy based on the stratification risks. Furthermore, we feel that such biomarkers should not only be useful, but also simple and inexpensive to obtain.

Recently, the immune-nutritional status, such as the prognostic nutritional index (PNI) calculated from serum albumin and the total peripheral lymphocyte count, has been shown to be associated with the postoperative outcomes in various types of cancer, including lung cancer (5-9). For example, a low PNI was reported to be associated with an increased risk of postoperative complications and a poor survival in colorectal cancer patients (8). With regard to lung cancer, there have been several reports showing the prognostic significance of PNI in not only operable but also non-operable patients (6,7,9).

Thus, the significance of PNI has been gradually clarified, and another nutritional index, the controlling nutritional status (CONUT) score, has also been advocated. The CONUT score is calculated from the serum albumin concentration, total peripheral lymphocyte count, and total cholesterol concentration (10). Recently, it was reported that a high CONUT score was associated with a poor postoperative survival in patients with colorectal and esophageal cancer (11-13). Compared with the PNI, the CONUT score appears to be more accurate in evaluating the nutritional status because it includes the serum albumin and cholesterol concentration, while the PNI includes only albumin. The serum albumin concentration can be easily influenced by not only the nutritional status but also by changes in the body fluid volume and inflammation (14). Therefore, adding cholesterol can reduce these influences on the serum albumin, which may lead to the more accurate evaluation of the nutritional status. Furthermore, cholesterol has been reported to correlate with the progression of cancer (15). Therefore, we focused on the

CONUT score.

In the current study, we evaluated the relationship between the CONUT score and clinicopathological features, and assessed the impact of the CONUT score on the postoperative survival, including the disease-free and overall survivals (DFS and OS), in patients with resected lung SCC.

Methods

Study patients

From January 2003 and December 2012, 108 patients with lung SCC who underwent surgery at the Department of Surgery and Science, Graduate School of Medical Sciences, Kyushu University were included in this study. The clinicopathological features, including age at surgery, gender, smoking history, body mass index, CONUT score, pathologic tumor-node-metastasis (TNM) stage (seventh edition of the lung cancer staging system), tumor differentiation (seventh edition of the General Rule for Clinical and Pathological Record of Lung Cancer, Japan), pleural or lymphovascular invasion, and surgical procedure, were examined. Systemic dissection of the hilar and mediastinal lymph nodes was performed at the same time as pulmonary lobectomy. After surgery, routine check-ups, including a physical examination, blood tests, and chest X-ray, were performed at 3-month intervals for the first 3 years and at 6-month intervals thereafter. Computed tomography was performed twice a year for the first 3 years and then at least annually thereafter. Clinical information and follow-up data were obtained from the medical records. This study was approved by our institutional review board (the Number of the Ethic Approval: 27-261).

CONUT score

The serum albumin concentration, total peripheral lymphocyte count and total cholesterol concentration were used to obtain the CONUT score. The preoperative blood samples obtained within one month prior to surgical resection were used to calculate the CONUT score. The scoring system of CONUT is normal (0–1), mild (2–4), moderate (5–8), and severe (9–12; *Table 1*). The cut-off value of the CONUT score was determined using a receiver operating characteristic (ROC) curve, and the OS was used as the state variable. As shown in *Figure S1*, the optimal CONUT cut-off value was found to be 2, with an

Parameters	Normal	Mild	Moderate	Severe
Serum albumin (g/mL)	≥3.5	3.0–3.4	2.5–2.9	<2.50
Score	0	2	4	6
Total lymphocyte count	≥1,600	1,200–1,599	800–1,199	<800
Score	0	1	2	3
Total cholesterol (mg/dL)	≥180	140–179	100–139	<100
Score	0	1	2	3
Total score	0–1	2–4	5–8	9–12
Dysnutritional states	Normal	Mild	Moderate	Severe

CONUT, controlling nutritional status.

area under the curve, sensitivity, and specificity of 0.58997, 0.7857, and 0.3846, respectively. Therefore, 108 patients with resected lung SCC were assigned to CONUT low (0, 1) and CONUT high (\geq 2) groups.

Statistical analyses

The associations between the CONUT score and patient characteristics were analysed using Fisher's exact test. The OS was defined as the time from the initial surgery until death from any cause, while the DFS was defined as the time from the initial surgery until recurrence. The Kaplan-Meier method was used to estimate the survival probabilities. The curves of the two groups were statistically compared using the log-rank test. The prognostic factors for the DFS and OS were assessed using the logistic regression model with backward elimination method. All of the statistical analyses were conducted using the JMP software program, version 12 (SAS Institute, Cary, NC, USA). P values of <0.05 in Fisher's exact test were considered to indicate statistically significant differences. In the logistic regression model, the level of statistical significance was set as less than 0.1.

Results

Clinicopathological characteristics of the patients

Table 2 shows the clinicopathological characteristics of the patients included in the present study. The median age of the patients was 71 years (range: 45–89 years), and the number of male and female patients was 96 (88.9%) and 12 (11.1%), respectively. Seventy-five patients (69.4%) had a history of smoking. The numbers of patients with BMI \geq 20

and <20 were 47 (43.5%) and 61 (56.5%), respectively. The frequencies of patients with a CONUT score of 0, 1, 2, 3, 4, 5, 6, 7, and 8 were 46 (42.6%), 30 (27.8%), 14 (13.0%), 12 (11.1%), 3 (2.8%), 1 (0.9%), 0 (0.0%), 1 (0.9%), and 1 (0.9%), respectively. As shown in the Materials and Methods section, the CONUT cut-off value was set as 2, and the patients were divided into CONUT low [0, 1; n=76 (70.4%)] and high [\geq 2; n=32 (29.6%)] groups. The numbers of patients with pathological stage I, II, and III disease were 61 (56.5%), 30 (27.8%), and 17 (15.7%), respectively. Twenty-eight patients (25.9%) underwent sublobar resection, while 80 (74.1%) underwent lobectomy, bilobectomy, or pneumonectomy. As shown in *Table 3*, Fisher's exact test revealed no significant association between the CONUT score and the clinicopathological characteristics.

DFS and OS according to the CONUT score

As shown in *Figure 1A*, patients with CONUT high exhibited significantly shorter DFS than those with CONUT low: the 5-year DFS in patients with CONUT low and high was 51.9% and 27.3%, respectively (P=0.016). The OS in patients with CONUT high was significantly poorer than that in those with CONUT low, with the 5-year OS in CONUT low and high groups being 67.1% and 38.7%, respectively (P=0.006; *Figure 1B*).

Univariate and multivariate analyses for the DFS according to the CONUT score

A univariate analysis showed that the CONUT score [hazard ratio (HR): 2.070, 95% confidence interval (CI): 1.147–

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 Table 2 Clinicopathological characteristics of patients with resected lung SCC

Factors	Value or number of patients (n=108)
Age (years)	
Median	71
Range	45–89
Sex	
Female	12
Male	96
Smoking status	
Never-smoker	33
Smoker	75
BMI (kg/m ²)	
≥20	47
<20	61
CONUT	
0	46
1	30
2	14
3	12
4	3
5	1
6	0
7	1
8	1
Pathological T status	
1	37
2	52
3	13
4	6
Pathological N status	
0	79
1	20
2	9
3	0

Factors	Value or number of patients (n=108)
Pathological stage	
L	61
П	30
Ш	17
G	
1	15
2	71
3	22
PL	
Negative	65
Positive	43
LY	
Negative	92
Positive	16
V	
Negative	59
Positive	49
Surgical procedure	
Wedge resection	18
Segmentectomy	10
Lobectomy	69
Bilobectomy	6
Pneumonectomy	5
Adjuvant chemotherapy	
None	93
UFT	6
Platinum-doublet	9

Table 2 (continued)

G means tumor differentiation (seventh edition of the General Rule for Clinical and Pathological Record of Lung Cancer, Japan). SCC, squamous cell carcinoma; BMI, body mass index; CONUT, controlling nutrition status; PL, pleural invasion; LY, lymphatic invasion; V, vascular invasion; UFT, tegafur-uracil.

Table 2 (continued)

Table 3 Association between the CONUT score and clinicopathological	
factors in patients with resected SCC	

		CONU			
Factors	Ν	Low (n=76)	High (n=32)	- P value	
Age (years)				0.525	
<70	47	35	12		
≥70	61	41	20		
Sex				0.747	
Female	12	8	4		
Male	96	68	28		
Smoking history				1.000	
Never-smoker	33	23	10		
Smoker	75	53	22		
BMI (kg/m ²)				0.094	
≥20	47	29	18		
<20	61	47	14		
Pathological T status				0.267	
1	37	29	8		
≥2	71	47	24		
Pathological N status				0.153	
0	79	59	20		
≥1	29	17	12		
Pathological stage				0.209	
I	61	46	15		
≥ll	47	30	17		
G				0.765	
1	15	10	5		
2, 3	93	66	27		
PL				0.391	
Negative	65	48	17		
Positive	43	28	15		
LY				0.554	
Negative	92	66	26		
Positive	16	10	6		
V				0.673	
Negative	59	43	16		
Positive	49	33	16		
Surgical procedure				0.811	
≥ Lobectomy	80	57	23		
Sublobar resection	28	19	9		
Adjuvant chemothera	ру			0.136	
None	93	68	25		
Administered	15	8	7		

G means tumor differentiation (seventh edition of the General Rule for Clinical and Pathological Record of Lung Cancer, Japan). CONUT, controlling nutrition status; SCC, squamous cell carcinoma; BMI, body mass index; PL, pleural invasion; LY, lymphatic invasion; V, vascular invasion. 3.638, P=0.017], pathological tumor stage (HR: 1.670, 95% CI: 0.098–3.258, P=0.098), pathological nodal stage (HR: 1.698, 95% CI: 0.931–2.995, P=0.083), pathological stage (HR: 2.272, 95% CI: 1.304–4.011, P=0.004), lymphatic invasion (HR: 2.347, 95% CI: 1.142–4.433, P=0.022), and vessel invasion (HR: 1.648, 95% CI: 0.950–2.887, P=0.076) were significantly associated with the DFS (*Table 4*). These significant associations were retained in a multivariate analysis: the CONUT score (HR: 1.902, 95% CI: 1.246–4.304, P=0.007), pathological stage (HR: 2.527, 95% CI: 1.391–4.644, P=0.002), and lymphatic invasion (HR: 2.321, 95% CI: 1.110–4.493, P=0.027; *Table 4*).

Univariate and multivariate analyses for the OS according to the CONUT score

The CONUT score (HR: 2.378, 95% CI: 1.171–4.699, P=0.018), age (HR: 1.852, 95% CI: 0.933–3.834, P=0.079), lymph node metastasis (HR: 2.022, 95% CI: 1.010–3.956, P=0.047), pathological stage (HR: 2.688, 95% CI: 1.362–5.513, P=0.004), pleural invasion (HR: 1.857, 95% CI: 0.951–3.641, P=0.069) and lymphatic invasion (HR: 3.533, 95% CI: 1.643–7.172, P=0.002) were shown to be significantly associated with the OS in a univariate analysis (*Table 5*). A multivariate analysis showed that the CONUT score (HR: 1.909, 95% CI: 0.902–3.860, P=0.081) as well as age (HR: 2.455, 95% CI: 1.208–5.178, P=0.013), pathological stage (HR: 2.488, 95% CI: 1.201–5.306, P=0.014), and lymphatic invasion (HR: 3.409, 95% CI: 1.532–7.240, P=0.004) were independently associated with the OS (*Table 5*).

Discussion

Various types of blood sample-derived biomarkers that can simply and inexpensively predict the postoperative survival in cancer patients have been advocated, and such biomarkers include systemic inflammatory response indicators, such as neutrophil-to-lymphocyte and platelet-to-lymphocyte ratios, and immune-nutritional status, such as PNI and CONUT (5-9,11-13,16). With regard to the significance of the CONUT score in patients with cancer, there are several reports that describe the prognostic impact of the CONUT score on the preoperative prognosis in patients with colorectal and esophageal cancer (11-13). Furthermore, the CONUT score was reported to be associated with adverse events by radiotherapy for head

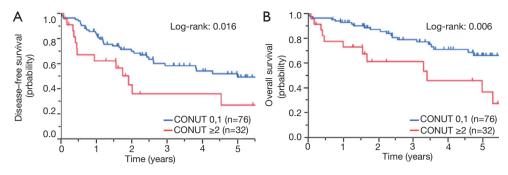


Figure 1 Kaplan-Meier curves according to the controlling nutritional status (CONUT) score. The (A) DFS and (B) OS were significantly worse in patients with CONUT high (≥ 2) than in those with CONUT low (0, 1; P=0.016 and P=0.006, respectively).

and neck cancer, morbidity after esophagectomy, and surgical site infection after colorectal surgery (13,17). However, there have been no reports regarding the significance of the CONUT score in patients with lung cancer. In the current article, we described the prognostic significance of the CONUT score in patients with surgically resected lung SCC: patients with CONUT high exhibited a significantly shorter DFS and OS than those with CONUT low (P=0.016 and 0.006, respectively). In addition, multivariate analyses showed that the CONUT score were independently associated with the DFS and OS. Thus, this is the first report to describe the prognostic impact of the preoperative CONUT score in patients with SCC who underwent surgery.

The CONUT score, which can be calculated from the serum albumin concentration, total peripheral lymphocyte count and total cholesterol concentration, was originally advocated for the early detection and continuous control of hospital undernutrition in 2003 and was reported to be significantly associated with the Subjective Global Assessment (SGA), which is another nutritional index, with the kappa index being 0.488 (P=0.034) (10). Although SGA is simple, inexpensive, and not time-consuming, it demands a degree of skill and experience in light of its nature as a "subjective" assessment. In contrast, since the CONUT score is derived based solely on the results of blood samples, physicians can simply, objectively, and continuously assess the nutritional status of the patient over the course of the treatment. Furthermore, as in the current study, it allows for the retrospective examination of the associations between the score and clinical outcomes, which is one of its advantages. Therefore, we performed a retrospective analysis of the CONUT score.

Our results showing the prognostic impact of the

score on the prognosis of lung SCC patients appears to have clinical significances in several respects. First, the CONUT score might be useful for predicting the prognosis and personalizing therapy. Patients with a high CONUT score should be more intensively followed up than those with a low CONUT score. Furthermore, nutritional intervention prior to surgical resection of the lung SCC might improve the surgical outcomes in patients with a high CONUT score. A number of reports have suggested that nutritional intervention might improve the treatment outcomes and reduce the postoperative hospital stay in several types of cancer, including esophageal and head/neck cancer (18,19). For example, perioperative arginine-containing enteral nutrition led to the improvement of the long term OS and DFS in malnourished patients with head and neck cancer (18). Another report showed that, when cisplatin-based chemotherapy was administered, short-term exogenous ghrelin intervention was able to reduce the rates of adverse events via the stimulation of food intake (19). Furthermore, as demonstrated by Polanski et al., a strong relationship between the quality of life and weight loss shows the importance of dietary management in patients with lung cancer (20). Given these findings, future studies are warranted to investigate the significance of preoperative nutritional intervention in patients with resected lung SCC. Furthermore, our data emphasize the importance of the preoperative assessment of the nutritional status, surgical resection, and postoperative follow-up by a multidisciplinary team consisting of surgeons, nurses, and nutritionists.

Several limitations associated with the present study warrant mention. First, this is single-institution retrospective study that investigated 108 patients with resected lung SCC.

Table 4 Univariate and multi	variate analyses for the a	association between the	e DFS and the clinico	pathological factors

Factors	N	Univariate analysis			Multivariate analysis		
Factors	IN	HR	95% CI	P value	HR	95% CI	P value
Age (years)							
<70	47	1.000			1.000		
≥70	61	1.613	0.913–2.919	0.100	2.286	1.246-4.304	0.007
Sex							
Female	12	1.000					
Male	96	1.102	0.507–2.889	0.821			
Smoking history							
Never-smoker	33	1.000					
Smoker	75	1.359	0.746-2.621	0.324			
BMI (kg/m²)							
≥20	47	1.000					
<20	61	0.790	0.452-1.377	0.404			
CONUT							
Low	76	1.000			1.000		
High	32	2.070	1.147–3.638	0.017	1.902	1.045–3.373	0.036
Pathological T status							
1	37	1.000					
≥2	71	1.670	0.098-3.258	0.098			
Pathological N status							
0	79	1.000					
≥1	29	1.698	0.931-2.995	0.083			
Pathological stage							
1	61	1.000			1.000		
≥ll	47	2.272	1.304-4.011	0.004	2.527	1.391–4.644	0.002
G							
1	15	1.000					
2, 3	93	1.185	0.544–3.107	0.691			
PL							
Negative	65	1.000					
Positive	43	1.564	0.896-2.713	0.115			
LY							
Negative	92	1.000			1.000		
Positive	16	2.347	1.142-4.433	0.022	2.321	1.110–4.493	0.027
V							
Negative	59	1.000					
Positive	49	1.648	0.950-2.887	0.076			
Surgical procedure							
≥ Lobectomy	80	1.000					
Sublobar resection	28	0.948	0.474–1.761	0.871			
Adjuvant chemotherapy							
None	93	1.000					
Administered	15	1.047	0.454–2.121	0.906			

G means tumor differentiation (seventh edition of the General Rule for Clinical and Pathological Record of Lung Cancer, Japan). CONUT, controlling nutrition status; PL, pleural invasion; LY, lymphatic invasion; V, vascular invasion.

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Factors	N —	Univariate analysis			Multivariate analysis		
Factors		HR	95% CI	P value	HR	95% CI	P value
Age (years)							
<70	47	1.000			1.000		
≥70	61	1.852	0.933–3.834	0.079	2.455	1.208–5.178	0.013
Sex							
Female	12	1.000					
Male	96	1.570	0.560-6.548	0.428			
Smoking history							
Never-smoker	33	1.000					
Smoker	75	1.000	0.506-2.059	0.990			
BMI (kg/m²)							
≥20	47	1.000					
<20	61	0.875	0.449–1.716	0.695			
CONUT							
Low	76	1.000			1.000		
High	32	2.378	1.171–4.699	0.018	1.909	0.920–3.860	0.081
Pathological T status							
1	37	1.000					
≥2	71	1.685	0.819–3.810	0.161			
Pathological N status							
0	79	1.000					
≥1	29	2.022	1.010–3.956	0.047			
Pathological stage							
I	61	1.000			1.000		
≥ll	47	2.688	1.362–5.513	0.004	2.488	1.201–5.306	0.014
G							
1	15	1.000					
2, 3	93	1.065	0.420-3.589	0.905			
PL							
Negative	65	1.000					
Positive	43	1.857	0.951–3.641	0.069			
LY							
Negative	92	1.000			1.000		
Positive	16	3.533	1.643–7.172	0.002	3.409	1.532–7.240	0.004
V							
Negative	59	1.000					
Positive	49	1.423	0.732–2.803	0.298			
Surgical procedure							
≥ Lobectomy	80	1.000					
Sublobar resection	28	1.061	0.447–2.255	0.886			
Adjuvant chemotherapy							
None	93	1.000					
Administered	15	1.049	0.390-2.380	1.049			

Table 5 Univariate and multivariate analyses for the association between the OS and the clinicopathological factors

G means tumor differentiation (seventh edition of the General Rule for Clinical and Pathological Record of Lung Cancer, Japan). CONUT, controlling nutrition status; PL, pleural invasion; LY, lymphatic invasion; V, vascular invasion.

Although lung adenocarcinoma is the most frequent histology in Japan, SCC is the second in frequency (21). Furthermore, there are many countries in which SCC is the most common histology of lung cancer (22). Therefore, the results of the current study may have some significance in clinical settings. Further studies should be performed to investigate the significance of the CONUT score in a larger subset of patients, including not only SCC but also adenocarcinoma patients. Third, due to the nature of a retrospective study, the current study could not include the factors that could impact the inflammation and nutritional status, such as medications and other medical conditions. Furthermore, not all information on weight loss and the performance status at the time of diagnosis were available. These points should also be clarified in a future study.

In conclusion, the current study showed that the CONUT score was an independent prognostic factor for the DFS and OS in patients with resected lung SCC. This study provides the first evidence of the prognostic impact of the CONUT score in such a population, although the findings should be validated in a larger study prospectively conducted by a multidisciplinary team consisting of not only surgeons but also nutritionists.

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Footnote

Conflicts of Interest: The authors have no conflicts of interest to declare.

Ethical Statement: This study was approved by our institutional review board (the Number of the Ethic Approval: 27-261).

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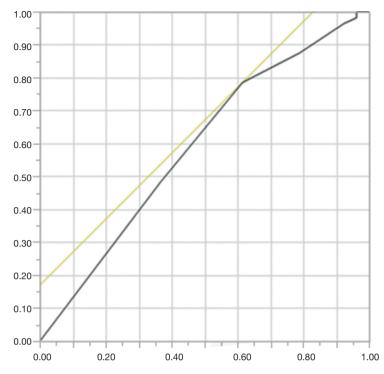


Figure S1 A ROC curve for the CONUT. The continuous variables CONUT and OS were used as the test and state variables, respectively. The optimal CONUT cut-off value was found to be 2, with an area under curve, sensitivity, and specificity being 0.58997, 0.7857, and 0.3846, respectively. CONUT, controlling nutrition status; ROC, receiver operating characteristic; OS, overall survival.