

# Regional capnometry to evaluate the adequacy of tissue perfusion

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**Abstract:** Tissue hypoperfusion is a major cause of morbidity and mortality in critically ill patients but cannot always be detected by measuring standard whole-body hemodynamic and oxygen-related parameters (e.g., blood pressure, cardiac output, and central venous oxygen saturation). Preclinical and clinical studies have demonstrated that low-flow states are consistently associated with large increases in venous and tissue PCO<sub>2</sub>. Monitoring regional PCO<sub>2</sub> with gastric tonometry (PgCO<sub>2</sub>) is known to have independent prognostic value for predicting postoperative complications and mortality. The PgCO<sub>2</sub> gap might also be of value as a treatment target (endpoint) in critically ill patients. However, this tool has several limitations and has not yet been developed commercially, thus restricting its use. Regional capnography with sublingual and transcutaneous sensors might be an alternative noninvasive option for evaluating the adequacy of tissue perfusion in critically ill patients. However, further studies are needed to determine whether or not this monitoring technique is of value—particularly as an endpoint for guiding resuscitation. Bladder PCO<sub>2</sub>, has only been evaluated in animal studies, and so remains to be validated in patients.

Keywords: Regional capnography; anaerobic metabolism; hypoperfusion

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## Introduction

In clinical practice, it is difficult to find relevant hemodynamic and oxygenation parameters that can serve as titration endpoints for hemodynamic interventions. In patients with shock or having undergone major surgery associated with high incidence of postoperative complications, the accepted goal for hemodynamic optimization is to increase  $O_2$  delivery (DO<sub>2</sub>) and thus  $O_2$  consumption (VO<sub>2</sub>) (1). However, recent studies have failed to confirm that hemodynamic optimization reduces morbidity and mortality (2-4). Even when macrocirculatory targets are met, microcirculatory disturbances can persist and lead to organ dysfunction (5). Hence, improved hemodynamic management might only be achieved by detecting VO<sub>2</sub>'s responsiveness to an increase in DO<sub>2</sub> (i.e., in patients with anaerobic metabolism) (6). To date, several variables have been described as markers of tissue perfusion: oxygen venous saturation  $(SvO_2)$  (7), the venoarterial PCO<sub>2</sub> gradient (PCO<sub>2</sub> gap) (8), the arterial lactate level, and the ratio of the veno-arterial PCO<sub>2</sub> gradient to the arteriovenous content difference in O<sub>2</sub> (i.e., the PCO<sub>2</sub> gap/DavO<sub>2</sub> ratio) (9-12). Although these variables have been studied in intensive care units (ICUs) and operating theaters, they do have some limitations (described in other chapter of this publication). Moreover, these conventional variables are markers of systemic hypoperfusion, and so are not able to detect regional hypoperfusion (5).

In the 1990s, Nakagawa *et al.* and Tang *et al.* suggested that an increase in tissue  $PCO_2$  ("stagnant hypercapnia") was a marker of inadequate tissue perfusion (13,14). Indeed, the difference between regional tissue  $PCO_2$  and  $PaCO_2$ 



**Figure 1** Mechanisms of the CO<sub>2</sub> stagnation in the tissues. [1] During tissue hypoxia, aerobic CO<sub>2</sub> production (VCO<sub>2</sub>) falls as a result of a decrease in oxygen consumption (VO<sub>2</sub>). However, anaerobic glycolysis increases, leading to the excessive production of lactic acid and thus the generation of H+ ions. The latter are buffered by bicarbonates, and so anaerobic VCO<sub>2</sub> increases in the cell. This results in a smaller reduction in total VCO<sub>2</sub> than in VO<sub>2</sub> and thus an increase in the respiratory quotient (RQ) (VCO<sub>2</sub>/VO<sub>2</sub> ratio). [2] Tissue stagnation of CO<sub>2</sub> can occur only when blood flow is abnormally low.

(the tissue-arterial PCO<sub>2</sub> gap) is an earlier, more accurate marker of regional tissue hypoperfusion than whole-body parameters are (15,16). This concept has been validated in many animal models and clinical studies (13,17-20). From a physiological point of view, an increase in tissue PCO<sub>2</sub> results from two mechanisms that must both be present to produce "stagnant hypercapnia". Firstly, an increase in tissue CO<sub>2</sub> which can results of rises in aerobic metabolism with greater CO<sub>2</sub> generation by the cells or results of tissue hypoxia with an increase in anaerobic glycolysis and excessive production of lactic acid (17). Secondly, the maintenance of blood flow easily removes CO<sub>2</sub> into the venous circulation via the "washout phenomenon" (21). Thus, stagnant hypercapnia can occur only when blood flow is abnormally low. Thus, PCO<sub>2</sub> gap increases as cardiac output falls in case of tissue hypoxia or without tissue hypoxia (22,23) (Figure 1).

These findings have been confirmed by some clinical studies (18,24,25)—most notably by Vallet *et al.*'s study of limb-PCO<sub>2</sub> gap (26). These researchers demonstrated that the PCO<sub>2</sub> gap increased when the DO<sub>2</sub> fell after a reduction in blood flow (ischemic hypoxia) but not when DO<sub>2</sub> fell with maintenance of blood flow (hypoxic hypoxia). These results have been confirmed in animal studies of the tissue-arterial PCO<sub>2</sub> gap (19,20); the latter increased during ischemic hypoxia but not during non-ischemic hypoxia.

Hence, these findings suggest that the tissue-arterial  $PCO_2$  gap is a marker of tissue hypoperfusion in general,

and not just in cases of hypoxia. The normal reference range for the tissue  $PCO_2$  gap is 8 to 10 mmHg (20,27).

The objectives of the present review were to describe the sites at which regional  $PCO_2$  and tissue-arterial  $PCO_2$  gap have been measured (gastric, sublingual, transcutaneous and bladder sites), assess this parameter's prognostic value, and evaluate its utility in goal-directed therapy.

### Gastric intramucosal PCO<sub>2</sub> (PgCO<sub>2</sub>)

The tonometric measurement of regional  $CO_2$  pressures is based on equilibration of a gas's partial pressure between two compartments separated by a semi-permeable membrane. Using air or saline as an equilibration medium enables the gas analyzer to automatically measure the  $PCO_2$  at a balloon located at the end of a gastric tube (*Figure 2*). The  $PCO_2$  in the collected air is measured using infrared spectrometry. The stomach is easy to access, and is known to be highly sensitive to tissue hypoperfusion (28). Furthermore,  $PgCO_2$  measurements have been used to detect early splanchnic ischemia (29).

In the event of tissue hypoxia and low VO<sub>2</sub>, CO<sub>2</sub> production by the gastric mucosa increases. Thus, it has been suggested that  $PgCO_2$  is a marker of tissue hypoxia (30) and can predict morbidity and mortality in critically ill patients (31). However, as mentioned in the introduction, the  $PgCO_2 - PaCO_2$  gradient ( $PgCO_2$  gap) might be more valuable because it reflects the adequacy

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of gastric mucosal blood flow. In critically ill patients, the  $PgCO_2$  gap values measured on admission to the ICU and 24 h later constituted an independent prognostic factor for 28-day mortality, with a cut-off of >20 mmHg (16). In a perioperative setting, this index was predictive of postoperative complications (27,32).

When used as a prognostic tool in critically ill patients, the PgCO<sub>2</sub> gap decreases upon fluid challenge. The change is related to the baseline PgCO<sub>2</sub>: PCO<sub>2</sub> gap responders were defined by a decrease of more than 3 mmHg. Even more interestingly, whole-body indexes of oxygenation (SvO<sub>2</sub>, VO<sub>2</sub> and PCO<sub>2</sub> gap) remained unchanged after fluid challenge, when the PgCO<sub>2</sub> gap decreased (33).

The main limitations of this method are the need for concomitant  $H_2$ -blocker use and the discontinuation of enteral feeding (34). Moreover, this type of device has not been developed commercially, thus limiting its availability.

Esophageal tonometry has been proposed as a convenient alternative to gastric tonometry in animal models of shock (35,36). Good inter-variable correlations were found



Figure 2 Gastric intramucosal PCO<sub>2</sub>.

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at this measurement site. However, this site is more difficult to access, which explains its scarce use in clinical practice.

## Sublingual PCO<sub>2</sub> (PsICO<sub>2</sub>)

The most intensively developed and well-studied sublingual  $CO_2$  sensor is the CapnoProbe<sup>®</sup>  $CO_2$ -sensing optode (Nellcor, Pleasanton, CA, USA) (31,37-40). The optode contains a  $CO_2$ -sensing fluorescent dye that is excited by light conducted through an optical fiber. The emitted fluorescence is then transmitted back to the instrument (*Figure 3*) (31).

Interest in the sublingual region has been stimulated by orthogonal polarization spectral imaging studies that have evidenced a decrease in sublingual capillary density in the event of septic shock (41,42). A study performed in the 1980s found that  $PslCO_2$  was elevated in a model of hemorrhagic shock (43). In animal studies of hemorrhagic and septic shock, sublingual  $CO_2$  measurements are well correlated with  $PgCO_2$  and whole-body markers of tissue hypoperfusion (13,43,44). The main advantages of this technique relate to its noninvasive nature, the absence of a requirement for withdrawing enteral feeding, and the correlation with the splanchnic region.

The basal value of  $PslCO_2$  was found to be predictive of mortality in acute circulatory failure and was associated with arterial lactate levels. When  $PslCO_2$  exceeded a threshold of 70 mmHg, its positive predictive value was excellent. Conversely,  $PslCO_2$  fell more quickly than arterial lactate during resuscitation (45).

However, the most interesting marker appears to be the  $PslCO_2 - PaCO_2$  gradient (the  $PslCO_2$  gap). It is reportedly a better prognostic factor than whole-body markers (SvO<sub>2</sub>, cardiac index, DO<sub>2</sub>, and arterial lactate), and the best cut-off value was 25 mmHg (15). The  $PslCO_2$  gap may serve as an index of tissue dysoxia and the severity of tissue



**Figure 3** Sublingual  $PCO_2$  measurement with the CapnoProbe<sup>®</sup>. The optode contains a  $CO_2$ -sensing fluorescent dye that is excited by light conducted through an optical fiber. The emitted fluorescence is then transmitted back to the instrument.

hypoperfusion in critically ill patients (38,39).

With regard to the  $PslCO_2$  gap's potential as a treatment target (endpoint), it has been showed that the reperfusion of the damaged sublingual microcirculation (assessing using orthogonal polarization spectral imaging) was associated with the normalization of the  $PslCO_2$  gap during the resuscitation of patients with septic shock (37). However, the  $PslCO_2$  gap's potential value as an endpoint during resuscitation has not yet been evaluated in critically ill patients. Although, this technique has been tested at the bedside, the CapnoProbe<sup>®</sup> is no longer commercially available.

# Transcutaneous PCO<sub>2</sub> (PcCO<sub>2</sub>)

Transcutaneous measurement of tissue CO<sub>2</sub> is a simple, noninvasive technique. Interest in this method has been stimulated by studies of capnometry at the earlobe (46). The system includes a Severinghaus heated PCO<sub>2</sub> electrode and a pulse oximetry sensor clipped to the earlobe (TOSCA<sup>®</sup> 500 monitor, Linde Medical Sensors, Basel, Switzerland). In a study of patients in the ICU, the transcutaneous PCO<sub>2</sub> (PcCO<sub>2</sub>) was well correlated with PaCO<sub>2</sub> (47-49). Other devices based on the same technology (SenTec AG, Basel, Switzerland) have yielded the same accuracy (50). However, a recent study found that measurement repeatability was poor (51).

Another study focused on the gradient between  $PcCO_2$ and  $PaCO_2$  (the  $PcCO_2$  gap) (52). The baseline  $PcCO_2$  gap levels were significantly higher in patients with septic shock, and the decrease after resuscitation was significantly greater in survivors than in non-survivors. Interestingly, survivors and non-survivors did not differ with regard to the change over time in whole-body parameters (cardiac output and  $ScVO_2$ ). A  $PcCO_2$  gap above 16 mmHg on day one was associated with a poor outcome. Interestingly, the variations in the  $PcCO_2$  gap during fluid challenge were inversely correlated with changes in microcirculatory skin blood flow.

Even though a large number of studies have investigated transcutaneous  $PCO_2$  in the field of neonatology, a Cochrane Collaboration review concluded that there was no evidence to recommend the use of transcutaneous  $CO_2$  monitoring in neonates (53).

In critically ill patients, the  $PcCO_2$  gap might be of value as an additional resuscitation endpoint when other parameters (e.g., arterial lactate and  $ScVO_2$ ) are in the normal range despite persistent tissue hypoperfusion (54). However, well-designed, adequately powered, randomized, controlled

studies of the efficacy and safety of transcutaneous CO<sub>2</sub> monitoring are now required.

## **Bladder PCO<sub>2</sub> (PbCO<sub>2</sub>)**

The results of several animal studies have suggested that monitoring the intramucosal PCO<sub>2</sub> in the bladder (PbCO<sub>2</sub>) may be a minimally invasive technique for monitoring perfusion (55-57). This technique measures PbCO<sub>2</sub> via the gas analysis of saline samples collected from the balloon of a Foley catheter inserted into the bladder. The PbCO<sub>2</sub> value is well correlated with DO<sub>2</sub> and PgCO<sub>2</sub> (55,56). However, these results were not confirmed by another group of researchers (57). Clinical studies of the accuracy of this device are required.

## Conclusions

Conventional whole-body hemodynamic markers cannot always predict tissue hypoperfusion. By analogy with measurement of the whole-body  $PCO_2$  gap, the tissue  $PCO_2$  gap has been described as a marker of blood flow adequacy and can be used to detect tissue hypoperfusion. Monitoring  $PgCO_2$  gap has given good results, although several technical limitations and failure to develop this tool commercially has prevented the wider use of this technique. Further studies are needed to assess the efficacy and safety of  $PslCO_2$  gap and  $PcCO_2$  measurements. Although measurement in the bladder are promising,  $PbCO_2$  must be now studied in patients. Lastly, the blood flow distribution across the various organs cannot yet be assessed.

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#### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

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