

### The landscape of early carcinogenesis revealed through the lens of integrative genomics, epigenomics, and transcriptomics

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## Molecular signatures in early carcinogenesis: an unmet need

The hallmarks of established cancers have been meticulously catalogued over decades, almost entirely through the study of advanced disease (1). By contrast, the molecular features of early pre-neoplastic lesions including the occult alterations that presage progression to invasive and metastatic cancer, remain elusive (2). A better understanding of the biology of nascent cancers and the complex genetic and epigenetic evolutionary processes that drives them towards lethal disease, represents an important step towards more effective early intervention strategies.

In the absence of reliable molecular profiling data, histo-morphologic architecture has been the default method for classifying pre-invasive lesions. The resulting grading systems describe a step wise progression with each sample offering a single cross sectional picture in time (3,4). However, the evolution of early disease is not unidirectional; spontaneous regression and involution of dysplastic lesions is not only possible but may indeed occur more often than appreciated (5,6). Moreover, tissue and cellular morphology alone are only weak predictors of the capacity of pre-invasive lesions to progress to invasive disease (7). In recent years, with the advent and widespread use of molecular profiling, it has become apparent that neoplastic tissues harbor complex genetic and epigenetic variegation. Whether or not such alterations have prognostic or predictive value that can be leveraged to guide

the management of pre-invasive lesions remains an open question.

# Analysis of pre-invasive lung cancer reveals the occult signature of future progression

In the March 2019 edition of Nature Medicine, Teixeira et al. report an unprecedented molecular analysis of preinvasive lung cancer in a prospectively tracked cohort of patients with bronchial carcinoma in situ (CIS), a precursor to invasive squamous cell carcinoma (SCC) (8). This multi-national group of investigators performed serial bronchoscopies and biopsies, tracking the evolution of airway CIS lesions in a longitudinally monitored cohort. Although microscopically indistinguishable from one another, approximately half of the CIS lesions in this cohort remained static or spontaneously regressed while the other half progressed to invasive SCC, consistent with previous reports describing the natural history of bronchial CIS (9,10). At the time of regression or progression, they profiled the genomic, transcriptomic, and epigenomic landscape of the index CIS lesion and identified progression-specific methylation changes and chromosomal instability (CIN) signatures within the heterogenous molecular background of these lesions. In addition, mutations and copy number changes characteristic of cancer were charted, offering a window into the evolutionary dynamics of early carcinogenesis. In total, 129 index CIS

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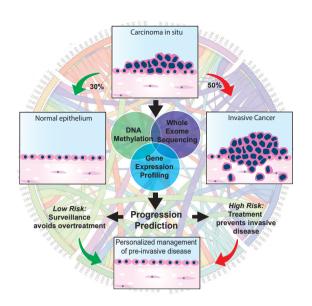


Figure 1 Molecular profiling reveals an early signature of cancer progression. Teixeira *et al.* (8) show that distinctive epigenomic and transcriptomic changes associated with future progression are superimposed on a background of genomic instability in early carcinogenesis. CIS lesions were followed with serial biopsy and genomic profiling of the index lesion was performed with pathological evidence of progression to invasive cancer (50%) or regression to low-grade dysplasia or normal epithelium (30%). Genomic alterations, gene expression, and methylation profiles associated with progressive lesions were used in predictive modeling to forecast development of invasive cancer. CIS, carcinoma *in situ*.

biopsies were obtained from 85 patients and underwent pathologic and molecular characterization. Remarkably, predictive modeling reliably identified the lesions destined to progress with a high degree of specificity (*Figure 1*).

Genomic profiling of progressive samples showed frequent alterations in putative driver genes including *TP53*, *CDKN21*, *SOX2*, *AKT*. Less frequent alterations were seen in *FAT1*, *KMT2D*, *KEAP1*, *EGFR* and *NOTCH1*. By contrast, regressive samples had a lower mutational burden and fewer copy number alterations. Transcriptomic and epigenomic profiles revealed 1,135 genes associated with CIS fate. Of these genes *TPM3*, *PTPRB*, *SLC34A2*, *KEAP1*, *NKX2-1*, *SMAD4*, and *SMARCA4* were identified as potential drivers of invasive progression. Homeobox family genes (*HOXC8*, *HOXC9*, *HOXC10*, *HOXD10*, *HOXA11AS*), which are coordinately expressed during development, epigenetically silenced, and often reactivated in early carcinogenesis, were highly enriched in the differential expression and methylation analyses. Similarly, the *NKX2-1* gene was frequently hypermethylated and transcriptionally silenced in progressive samples. Invasive progression was strongly associated with a CIN signature (i.e., gain or loss of whole/part of a chromosome). CIN70 signature genes *ACTL6A*, *ELAVL1*, *MAD2L1*, *NEK2*, *OIP5* were noted to be upregulated in progressive samples but not in regressive samples.

### Implications for biomarker development targeting early carcinogenesis

Efforts to probe the primitive clonal events in early carcinogenesis promise to expand avenues towards detecting and eradicating cancers at their vulnerable nascent stages. Previous studies of precursor biology in a variety of cancers have similarly identified genetic and epigenetic alterations as early events in tumor development (11-15). Profiling studies in breast, prostate, bladder, colorectal, and other cancers have afforded insights into early tumor evolution and spurred the development of predictive and prognostic biomarkers, some of which have gone forward to validation studies and clinically utilization (16-20). Additional biomarkers are urgently needed to improve clinical risk stratification at earlier stages with the goal of tailoring therapies to an individual's tumor biology. In lung cancer, effective local therapies such as surgery and stereotactic radiotherapy are available, however they carry risks and appropriate patient selection using clinical criteria can be challenging (21-23). Teixeira and colleagues' pioneering contribution in this arena and has potential to improve early detection, reduce overtreatment, select high risk patients for curative local therapy, and cultivate new interventions and prevention strategies. The underlying premise of this work has broad applicability in oncology and similar investigations in other pre-invasive thoracic and extrathoracic malignancies are needed. Furthermore, biomarker informed clinical trials powered to validate the findings of such studies are worthy of attention and investment from clinical cooperative groups.

## The study of early carcinogenesis: looking forward

Open questions remain in the quest to better understand the biology and predict the progression of pre-invasive lesions. Current molecular profiling methods are biased

toward tumor-intrinsic features and underestimate the important influence of a lesion's microenvironment (24). Similarly, sampling limitations complicate the assessment of rare variants, regional heterogeneity, and field cancerization effects, all of which undoubtedly have implications for prognosis and treatment (25). Moreover, while promising studies like the work of Teixeira et al will allow us to better predict the fate of sampled lesions, they do not address the optimal strategy to modify the natural history of an individual's pre-invasive disease. Both prognostic and better predictive biomarkers will be needed to guide management of lesions with aggressive biology, e.g., by resection, radiation, focal ablation, or systemic treatment including targeted approaches, epigenetic therapy, and immunomodulatory strategies. As improved imaging, molecular profiling, effective treatments, and the pipeline of emerging biomarkers converge to focus on ever earlier stages of disease, there is good reason for patients and clinicians to be optimistic about the future of thoracic oncology.

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