Elevated pretreatment serum globulin albumin ratio predicts poor prognosis for advanced non-small cell lung cancer patients

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Background: The aim of the present study was to explore the association between the pretreatment globulin albumin ratio (GAR) and the survival of advanced non-small cell lung cancer (NSCLC) patients. **Methods:** Patients hospitalized between January 2007 and December 2010 were enrolled and eliminated according to the inclusion and exclusion criteria. GAR was defined as the absolute globulin value divided by the absolute albumin value. Chi-squared test was performed to compare clinical characteristics in different groups. Kaplan-Meier and Cox regression model were used to determine independent prognostic factors. A P value of ≤ 0.05 was considered to be statistically significant.

Results: Total 316 patients were finally enrolled. The median progression free survival (PFS) and overall survival (OS) were 210.0 and 430.0 days, respectively. The statistical analyses indicated that pretreatment GAR >0.58 [hazard ratio (HR) =1.52, 95% confidence interval (95% CI): 1.12-2.08, P=0.008 for PFS, HR =1.65, 95% CI: 1.20-2.26, P=0.002 for OS], and pretreatment albumin \leq 35 g/L (HR =2.09, 95% CI: 1.20-3.65, P=0.003 for PFS, HR =1.92, 95% CI: 1.10-3.36, P=0.022 for OS) were independent prognostic factors for both PFS and OS.

Conclusions: Our study first established a connection between pretreatment GAR and advanced NSCLC patients, suggesting that GAR was an independent prognostic factor and could be the biomarker for prognosis.

Keywords: Globulin albumin ratio (GAR); prognostic factor; non-small cell lung cancer (NSCLC)

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Introduction

Lung cancer is still the leading cause of cancer death in the world, and non-small cell lung cancer (NSCLC) accounts for approximately 85% of lung cancer (1). The majority of NSCLC patients are diagnosed at advanced stage, which is thought to be one important reason for the short survival of lung cancer. To prolong the survival of advanced NSCLC patients, sensitive and specific factors for classifying cancer risk and predicting survival are always desired in clinic to help guiding treatment, and several prognostic factors have been identified in previous studies (2,3). However, some factors have limitations in clinical application because of their tissue-specific expression and high cost of testing, making the efficiency and accuracy of the existing factors

need to be improved. There is still need for a promising predictive factor that can be simply detected and closely linked to survival for advanced NSCLC patients.

Serum albumin is generally applied to assess the nutritional status and severity of disease and also used to evaluate the progression and prognosis of some disease, such as operable colorectal cancer, and hepatic disease (4). Previous studies observed that serum albumin is a prognostic factor for various cancers including lung cancer (5-8). Low albumin predicates a poor survival of cancer patients, and high level of albumin is associated with a better survival (8). However, as an index of serum biochemistry, albumin level can be interfered by many factors, which limit its application and credibility in clinic (9).

Another biochemistry index, globulin is demonstrated in research to be interfered by the body status and several disease. One type of globulin, sex hormone-binding globulin (SHBG), is suggested to be associated with the poor survival of hormone related cancer (10-13). Previous studies also demonstrated that hormone was involved in NSCLC. Estrogen receptor β , a hormone receptor, is one of the factors which involved in promoting the development of NSCLC (14,15). Thus it can be seen that globulin may be one prognostic factor for NSCLC patients.

Here we gave a hypothesis that since globulin and albumin are both serum chemistry indexes, taken these two together, globulin albumin ratio (GAR) could reduce the influence to least and may be an effective prognostic factor for advanced NSCLC patients. The aim of the present study was to investigate the association between the pretreatment GAR and the response to treatment, and survival of patients with advanced NSCLC.

Patients and methods

Patients

Patients first hospitalized in the department of respiratory medicine signed a written informed consent which demonstrated that the results of examinations in hospital may be used in respective studies in future. The informed consent and research proposal of this respective study was approved by chairman of the ethics committee of Jinling Hospital (Nanjing, China). Patients hospitalized between January 2007 and December 2010 consecutively enrolled into the present retrospective study. The inclusion criteria are: (I) patients were hospitalized for the primary diagnosis, therapy-naïve; (II) patients were histologically diagnosed primary NSCLC; (III) patients were staged according to the Tumor-Node-Metastasis (TNM) criteria (AJCC criteria 2009) and in stage IIIB and IV, including those in stage IIIA but not able to surgery or not accept the operation; (IV) if took chemotherapy, patients had at least two cycles of first-line platinum-based combination chemotherapy and a response evaluation after treatment; (V) all clinical data was available. Patients were excluded if they had clinical evidence of inflammation in nearly one month, immunity disease, hematology disease or end-stage liver disease.

Clinical and laboratory data collection

Clinical characteristics including gender, age, smoke status, histology, differentiation, TNM stage, metastasis organ, metastasis number, metastasis symptom, and the Eastern Cooperative Oncology Group Performance Status Scale (ECOG PS) were recorded for all patients. First-line platinum-based chemotherapy was consisted of platinum with third-generation chemotherapy agent and therapy response evaluation by whole body tumor scanning was taken after two cycles of treatment. The Response Evaluation Criteria in Solid Tumors (RECIST) version 1.1 was applied for the evaluation of response. The response was assessed by radiologist and treating physician, and reviewed by the investigator Yanwen Yao. The response of treatment was also collected as a clinical characteristic. During the data collection, all the investigators were set blinded to the GAR value of the patients.

Follow-up time was defined as the interval time from diagnosis to 31 May 2012. Progression free survival (PFS) was defined as the time from diagnosis until disease progressed or death of any cause. If the patients were dead during the follow-up time, overall survival (OS) was defined as the interval between the date of diagnosis and the date of death. Otherwise, OS time was defined as the interval time between the date of diagnosis and 31 May 2012.

For all study subjects, the value of albumin and globulin testing 1 day before diagnosis were recorded. GAR was defined as the absolute globulin value divided by the absolute albumin value.

Statistical analysis

Data was summarized with the number of subjects and median value, and the optimal cutoff value of pretreatment GAR was estimated by receiver operating characteristics (ROC) curve, as the value at the largest Youden Index. Chi-squared test and RIDIT analysis were performed to compare baseline clinical characteristics in different groups. Mann-Whitney U test or Kruskal-Wallis H test was used to compare categorical end-points and two-sample *t*-test was used to compare continuous variables after data transformation.

Univariate analysis was performed to determine the significance of variables using logistic regression model for response rate and Cox regression model was performed for PFS and OS. Survival curve was estimated by Kaplan-Meier analysis and the log-rank test was utilized to examine the significance of the differences of survival distributions between groups. Subsequently, the variables with P≤0.05 enter into multivariate analysis. Cox proportional hazards regression model was used to determine the independent

 Table 1 Clinical characteristics of all 316 advanced NSCLC

Data
316
61.8±11.3
99/217
135/181
206/110
72/244
81/235
41/107/33/135
44/30/168/74
81/80/155
81/109/58/
80/13/13/10
81/187/48
211/105
242/74
117/36

TNM stage, Tumor-Node-Metastasis stage; ECOG PS, the Eastern Cooperative Oncology Group Performance Status Scale; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; NSCLC, non-small cell lung cancer.

prognostic factor. Generally, a P value of ≤ 0.05 was considered to be statistically significant for all analyses. All statistical analyses were performed using the Statistical Package for the Social Sciences software program version 18.0 (SPSS Inc., Chicago, IL, USA).

Result

Baseline patient characteristics

According to the inclusion criteria, total 420 NSCLC patients at stage III and IV entered in the present study. Final 316 patients were finally enrolled into the study by further referring to the exclusion criteria, excluding seven patients with clinical evidence of anemia and three patients with hepatic disease before diagnosis, 52 patients who had took single agent chemotherapy or targeted therapy, 42 patients did not take at least two cycles of therapy or have a response evaluation.

Baseline characteristics are presented in *Table 1*. In all patients, the mean age was 61.8 years, 217 were males (68.7%),

135 patients (42.7%) were never smokers and 242 patients had ECOG PS score ≤ 1 . The number of patients in stage III and IV was 81 and 235, respectively. In 235 patients with metastasis, the most common metastatic site was bone [109], followed by intrapulmonary metastasis including pleura [80], brain [58], liver [13], adrenal gland [13] and other sites [10]. Forty two patients had two or more metastatic sites including 19 patients had both bone and brain metastases. A total of 105 patients ever had symptom caused by metastasis, such as pain, dizziness and vomit.

In all study subjects, 153 patients received platinumbased chemotherapy and took a clinical response evaluation. Chemotherapy was chosen according to the tumor histology and patient's intention. Among these patients, 107 had docetaxel and platinum combined therapy, 17 had pemetrexed and platinum combination, and 29 had gemcitabine and platinum combination. One (0.7%) patients got complete response (CR), 37 (24.7%) patients had partial response (PR), 79 (51.6%) patients had stable disease (SD) and 36 (23.5%) patients had progressive disease (PD), as shown in *Table 1*.

A total of 107 (40.2%) patients survived till 31 May 2012. The median PFS of these survived patients was 276.0 days (mean \pm sd, 330.76 \pm 20.3) and the median OS was 516 days (mean \pm sd, 590.7 \pm 21.9). The median PFS of all 316 patients was 180 days (mean \pm sd, 228.25 \pm 11.2) and the median OS was 376.5 days (mean \pm sd, 408 \pm 14.9).

Separate globulin and albumin analysis

Separate globulin and albumin was respectively analyzed. The cut-off value for globulin and albumin were chosen according to the normal range of these two indexes in serum biochemistry test and were 35 and 27 g/L, respectively.

Patients with pretreatment globulin <27 g/L had a higher prevalence of young patients (age <65 years) (P=0.001), histology of non-squamous (P=0.003), poor differentiation (P=0.021), metastasis stage (P=0.035), while patients with pretreatment albumin >35 g/L had more ever smokers (P=0.014).

GAR analysis

The best cut-off value of GAR was chosen at 0.58 according to the ROC curve (*Figure 1*). The area under curve (AUC) of GAR was 0.600 [95% confidence interval (95% CI): 0.536-0.664, P=0.003]. Patients who had an elevated pretreatment GAR (>0.58) were identified as high GAR



Figure 1 Receiver operating characteristics (ROC) curve of pretreatment globulin albumin ratio.

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group and 181 patients (57.3%) were in this group. The left 135 patients (42.7%) were identified as low GAR group.

The distribution of clinical characteristics in GAR subgroup is shown in *Table 2*. Patients with pretreatment GAR >0.58 had a higher prevalence of high age (P=0.004), histology of squamous carcinoma (P=0.000) and poor differentiation (P=0.025). GAR had no significant difference in PS, TNM stage and reaction of chemotherapy.

Median PFS in patients with pretreatment GAR ≤ 0.58 was 360.0 days (mean ± sd, 569.0±55.6) compared with 180.0 days (mean ± sd, 310.4±28.1) in patients with GAR >0.58 (P=0.001). Median OS in GAR ≤ 0.58 group and GAR >0.58 group was 619.0 days (mean ± sd, 851.8±68.9) and 343.0 days (mean ± sd, 468.6±31.2), respectively (P=0.000). The Kaplan-Meier curves of PFS and OS stratified by pretreatment GAR are respectively shown in *Figures 2,3*.

Univariate response rate and survival analysis

Poor differentiation [odd ratio (OR) =3.137, 95% CI:

Table 2 Distribution of clinical characteristics stratified by pretreatment GAR							
Characteristic	GAR ≤0.58	GAR >0.58	P value				
Patients	135	181					
Age (years)							
Mean ± sd (range)	60.2±10.7	63.0±11.6					
<65/≥65	90/45	91/90	0.004				
Gender (female/male)	44/91	55/126	0.676				
Smoke status (never/ever)	66/69	69/112	0.056				
Histology (non-squamous/squamous)	103/32	103/78	0.000				
Differentiation (well and moderate/poor)	39/96	33/148	0.025				
TNM stage (III/IV)	36/99	45/136	0.716				
Tumor stage (T1/T2/T3/T4)	22/46/9/58	19/61/24/77	0.156				
Node stage (N0/N1/N2/N3)	23/13/70/29	21/17/98/45	0.552				
Metastasis stage [none (M0)/regional (M1a)/distant (M1b)]	36/36/63	45/44/92	0.763				
Metastasis organ (none/bone/brain/intra-lung/others)	36/48/23/26/15	45/61/35/54/21	0.359				
Metastasis number (none/single/multiple)	36/84/15	45/103/33	0.218				
Metastasis symptom (never/ever)	94/41	117/64	0.352				
ECOG PS (≤1/>1)	108/27	134/47	0.215				
Chemotherapy	77	76					
CR + PR + SD/PD	58/19	59/17	0.737				
TNM stage, Tumor-Node-Metastasis stage; ECOG PS, the Eastern Cooperative Oncology Group Performance Status Scale; CR,							
complete response: PR partial response: SD stable disease	· PD progressive disease	e: GAR alobulin albumin ratio					





Figure 2 Kaplan-Meier curves showing progression free survival (PFS), stratified by the pretreatment globulin albumin ratio.

1.029-9.565, P=0.044] and multiple metastasis sites (OR =5.278, 95% CI: 1.586-17.564, P=0.007) were associated with poor response to first-line platinum-based combination chemotherapy (PD versus CR, PR, SD) at first evaluation. As shown in *Table 3*.

Result of univariate survival analysis demonstrated that GAR was a prognostic predictor. A high pretreatment GAR >0.58 was associated with worse PFS [hazard ratio (HR) =1.664, 95% CI: 1.233-2.247, P=0.001]. Other PFS prognostic variables were male (HR =1.408, P=0.039), TNM stage IV (HR =1.718, P=0.003), distant metastasis stage M1b (HR =2.061, P=0.000), single metastasis site (HR =1.557, P=0.017), multiple metastasis sites (HR =2.648, P=0.000), ECOG PS >1 (HR =1.657, P=0.001), and low albumin \leq 35 g/L (HR =2.458, P=0.001), shown in *Table 3*.

Pretreatment GAR >0.58 (HR =1.959, P=0.000), age <65 years (HR =1.553, P=0.003), male (HR =1.566, P=0.007), ever smokers (HR =1.603, P=0.002), TNM stage IV (HR =1.630, P=0.007), single metastasis site (HR =1.502, P=0.028), multiple metastasis sites (HR =2.283, P=0.000), ECOG PS >1 (HR =1.654, P=0.001), albumin \leq 35 g/L (HR =2.750, P=0.000) and globulin >27 g/L (HR =1.471, P=0.009) was associated with OS (*Table 3*).

Multivariate response rate and survival analysis

The significant factors in univariate survival analysis were

Figure 3 Kaplan-Meier curves showing overall survival (OS), stratified by the pretreatment globulin albumin ratio.

enrolled into a multivariate Cox proportional regression for the test of independent factors. The statistical analysis data indicated that pretreatment GAR >0.58 (HR =1.524, P=0.008), pretreatment albumin \leq 35 g/L (HR =2.093, P=0.003), ECOG PS >1 (HR =1.607, P=0.003), TNM stage IV (HR =3.235, P=0.000), distant metastasis (HR =1.600, P=0.018), and male (HR =1.439, P=0.032) were independent prognostic factors for PFS. Pretreatment GAR >0.58 (HR =1.651, P=0.002) was also associated with OS independently.

Other independent factors were pretreatment albumin \leq 35 g/L (HR =1.922, P=0.022), ECOG PS >1 (HR =1.614, P=0.003), TNM stage IV (HR =3.371, P=0.000), distant metastasis (HR =1.515, P=0.031), age \geq 65 years (HR =1.555, P=0.005) and ever smokers (HR =1.651, P=0.002) (*Table 4*).

Sensitivity and specificity analysis

According to ROC curve shown in *Figure 4*, AUCs of GAR and albumin were 0.600 (95% CI: 0.536-0.664, P=0.003) and 0.397 (95% CI: 0.333-0.460, P=0.002), respectively. The sensitivity and specificity of GAR at 0.58 were 62.2% and 53.7%, while those of albumin at 35 were 89.7% and 4.1%.

Discussion

In our study, pretreatment GAR was demonstrated to be

Table 3 Univariate analysis of clinicopathological factors, serum biochemical index and response rate, PFS and OS in 316 patients									
Paramotor		Response rate			PFS			OS	
Falameter	OR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р
Age									
<65	1			1			1		
≥65	0.649	0.285-1.476	0.302	1.263	0.948-1.681	0.110	1.553	1.165-2.070	0.003
Gender									
Female	1			1			1		
Male	1.119	0.498-2.513	0.786	1.408	1.018-1.947	0.039	1.566	1.131-2.169	0.007
Smoke status									
Never	1			1			1		
Ever	0.924	0.434-1.967	0.838	1.317	0.979-1.770	0.069	1.603	1.189-2.161	0.002
Histology									
Non-squa	1			1			1		
Squamous	0.547	0.219-1.366	0.197	1.135	0.846-1.524	0.398	1.313	0.978-1.763	0.070
Differentiation									
Non-poor	1			1			1		
Poor	3.137	1.029-9.565	0.044	1.296	0.908-1.850	0.153	1.232	0.863-1.759	0.251
TNM stage									
	1			1			1		
IV	2.296	0.879-5.997	0.090	1.718	1.207-2.446	0.003	1.630	1.145-2.321	0.007
Tumor stage									
T1	1			1			1		
T2	1.000	0.269-3.724	1.000	0.902	0.547-1.489	0.688	1.057	0.641-1.743	0.828
ТЗ	4.000	0.733-21.838	0.109	2.128	1.202-3.767	0.010	2.957	1.666-5.248	0.000
T4	1.792	0.543-5.919	0.338	1.313	0.813-2.121	0.265	1.351	0.836-2.181	0.219
Node stage									
NO	1			1			1		
N1	2.000	0.334-11.969	0.448	1.953	1.072-3.557	0.029	2.143	1.174-3.909	0.013
N2	1.406	0.366-5.399	0.619	1.495	0.924-2.417	0.101	1.626	1.005-2.631	0.048
N3	3.111	0.781-12.384	0.108	1.890	1.116-3.200	0.018	1.764	1.042-2.986	0.035
Metastasis stage									
MO	1			1			1		
M1a	1.705	0.514-5.656	0.384	1.198	0.773-1.858	0.419	1.195	0.770-1.855	0.426
M1b	2.580	0.955-6.969	0.061	2.061	1.428-2.976	0.000	1.887	1.308-2.721	0.001
Metastasis numb	er								
None	1			1			1		
Single	1.770	0.651-4.810	0.263	1.557	1.082-2.240	0.017	1.502	1.044-2.161	0.028
Multiple	5.278	1.586-17.564	0.007	2.648	1.669-4.201	0.000	2.283	1.443-3.612	0.000
Metastasis symp	tom								
Never	1			1			1		
Ever	0.692	0.243-1.975	0.492	1.157	0.783-1.711	0.464	1.209	0.817-1.787	0.342
ECOG PS									
≤1	1			1			1		
>1	1.160	0.468-2.876	0.748	1.657	1.216-2.257	0.001	1.654	1.213-2.256	0.001
Table 3 (continued	()								

Table 3 Univariate analysis of clinico	opathological factors, serum biochemical index an	nd response rate, PFS and OS in 316 patients

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Table 3 (continued	d)								
Deremeter	Response rate			PFS			OS		
Parameter	OR	95% CI	Р	HR	95% CI	Р	HR	95% CI	Р
Albumin									
>35	1			1			1		
≤35	0.141	0.012-1.604	0.114	2.458	1.442-4.192	0.001	2.750	1.614-4.684	0.000
Globulin									
≤27	1			1			1		
>27	0.650	0.279-1.517	0.319	1.266	0.948-1.691	0.109	1.471	1.101-1.965	0.009
GAR									
≤0.58	1			1			1		
>0.58	0.814	0.382-1.735	0.594	1.664	1.233-2.247	0.001	1.959	1.449-2.649	0.000
OR, odd ratio; HR, hazard ratio; CI, confidence interval; TNM stage, Tumor-Node-Metastasis stage; ECOG PS, the Eastern									

OR, odd ratio; HR, hazard ratio; CI, confidence interval; TNM stage, Tumor-Node-Metastasis stage; ECOG PS, the Eastern Cooperative Oncology Group Performance Status Scale; GAR, globulin albumin ratio; PFS, progression-free survival; OS, overall survival.

Variable PFS OS HR 95% CI P value HR 95% CI P value GAR	alue
HR 95% CI P value HR 95% CI P value GAR	alue
GAR	002
	02
≤0.58 1 1	002
>0.58 1.52 1.12-2.08 0.008 1.65 1.20-2.26 0.00	
Albumin	
>35 1 1	
<u>≤35</u> 2.09 1.20-3.65 0.003 1.92 1.10-3.36 0.02)22
ECOG PS	
≤1 1 1	
>1 1.61 1.18-2.19 0.003 1.61 1.18-2.20 0.00	003
Metastasis stage	
M0 1 1	
M1b 1.60 1.06-2.42 0.018 1.51 1.04-2.21 0.03)31
TNM stage	
III 1 1	
IV 3.23 1.99-5.24 0.000 3.37 2.05-5.55 0.00	000
Gender	
Female 1 –	
Male 1.44 1.03-2.01 0.032	-
Age	
<65 – 1	
≥65 – – – 1.55 1.14-2.12 0.00	005
Smoke status	
Never – 1	
Ever – – – 1.65 1.20-2.26 0.00)02

HR, hazard ratio; CI, confidence interval; ECOG PS, the Eastern Cooperative Oncology Group Performance Status Scale; GAR, globulin albumin ratio; PFS, progression free survival; OS, overall survival; NSCLC, non-small cell lung cancer; TNM stage, Tumor-Node-Metastasis stage.



Figure 4 Comparison of receiver operating characteristics (ROC) curve of pretreatment globulin albumin ratio and albumin level.

associated with PFS and OS for advanced NSCLC patients for the first time. Patients with pretreatment GAR >0.58 had worse PFS and OS. In multivariate survival analysis, after adjusting to age, gender, smoke status, TNM stage and ECOG PS, GAR remained to be an independent factor associated with PFS and OS. Besides GAR, albumin was also proven to be an independent prognostic factor for worse survival in the present study.

Albumin, which is produced by liver, helps to maintain intravascular oncotic pressure and acts as a free radical scavenger (8). The association between albumin and cancer was reported in a large investigation that serum albumin and body mass index were significantly lower in cancer than in non-cancer subjects (16). And in patients with advanced or terminal cancer, it was found that low baseline serum albumin level (<3.7 g/dL) predicted shorter survival (17). Previous studies successively proved that low albumin level was associated with malignant disease and was related to poor prognosis in many cancers, such as breast cancer, ovarian cancer, bladder cancer and lung cancer (7,9,18-21). Our observation also observed an independent association between low pretreatment serum albumin level and poor survival in advanced NSCLC patients.

The role of serum albumin in predicting prognosis may be due to the metabolic which is a reflection of both malignancy of cancer and status of body (9). However, albumin may also be related to metabolic changes caused

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by many factors, such as stress, illness, hepatic insufficiency, and depletion of visceral protein mass or synthesizing ability (22,23). The volatility of albumin limits the application in clinic.

To avoiding the limitation of albumin, our present study gives a hypothesis that taking albumin and globulin together, the GAR would be a predicting factor associated with prognosis of NSCLC. The result of our study supported this hypothesis and GAR was proved to be a strong factor than albumin in predicting survival for advanced NSCLC patients. The reason for this may be that globulin was not only another protein produced by liver, but also associated with cancer survival. Several studies suggested that globulin especially SHBG was a biomarker for cancer risk in breast cancer and prostate cancer patients (10,11,13,24). Löfgren *et al.* suggested that SHBG was associated with estrogen receptor which was also related to initiation and development of NSCLC (25-27).

Our present study was a single-institution retrospective study with limited number of included patients. However, this study focused on the advanced NSCLC patients, and more than 300 patients were finally enrolled in this study. Compared with other existing factors, GAR also has some advantages: it can be simply obtained rather than other invasive operation, low costing and efficiency. The association between GAR and worse prognosis of NSCLC patients is confirmed in our study, proving the accuracy of GAR as a biomarker in clinic.

Taken together, our study first established a connection between pretreatment GAR and advanced NSCLC patients, suggesting that GAR was an independent prognostic factor and could be the biomarker for prognosis. The clinical utility of GAR still needs to be confirmed with prospective analysis.

Conclusions

In summary, the results provide novel evidence that pretreatment serum GAR serves a useful prognostic predictor for advanced NSCLC patients. Accordingly, GAR could be used in clinic to better define the baseline risk in cancer patients.

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