

# Intermediate-term outcomes after aortic valve replacement with a novel RESILIA<sup>™</sup> tissue bioprosthesis

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**Background:** The durability of bioprosthetic heart valves is limited by structural valve deterioration (SVD) due to long-term calcification. A novel bioprosthetic tissue (RESILIA<sup>TM</sup>) has been developed which, in preclinical studies, has shown reduced calcification. The purpose of this study was to evaluate the intermediate-term clinical outcomes and hemodynamic performance of this tissue.

**Methods:** A prospective, single-arm, observational trial was conducted in patients who required surgical aortic valve replacement (AVR). Between July 2011 and February 2013, 133 patients were implanted at two sites in Poland. Hemodynamic performance and clinical outcomes were assessed annually through 4 years of follow-up. All safety events were adjudicated by an independent Clinical Events Committee, and echocardiographic data were evaluated by a core laboratory.

**Results:** Patients were  $65.3\pm13.5$  years old and 26% were  $\le 60$  years old. The average follow-up was  $3.8\pm1.1$  (median: 4.1; IQR, 4.0–4.3) years. Early ( $\le 30$  day) and late (>30 day) all-cause mortality rates were 2.3% (n=3) and 3.2% late patient-years (n=16), respectively. There were no cases of early or late SVD. There was one early case of major paravalvular leak (0.8%), and no late cases. At 4 years, the mean gradient was  $14.5\pm7.4$  mmHg and the effective orifice area was  $1.6\pm0.4$  cm<sup>2</sup>, both markedly improved from baseline. At 4 years, the New York Heart Association functional class had improved from baseline in 54.5% of patients.

**Conclusions:** The aortic bioprosthesis with novel RESILIA<sup>TM</sup> tissue demonstrated excellent hemodynamic performance and safety outcomes over 4 years. Longer follow-up will be important to confirm the durability of this bioprosthesis.

Keywords: Aortic valve replacement (AVR); bioprostheses; heart valve prosthesis; hemodynamics; RESILIA

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# Introduction

Aortic valve replacement (AVR) in patients with severe aortic stenosis relieves symptoms and increases short term and long survival (1,2). Although bioprosthetic valves are recommended for surgical AVR in patients >60–65 years old, the optimal type of prosthesis in younger patients is less clear (3,4). The excellent durability of mechanical valves may be offset by the need for life-time anticoagulation. However, the use of bioprosthetic valves, particularly in younger patients, is associated with an increased risk of structural valve deterioration (SVD) (5-7).

In an attempt to reduce SVD and improve bioprosthetic durability, a new bioprosthesis tissue platform has been

developed (RESILIA<sup>TM</sup>). RESILIA<sup>TM</sup> tissue is made of bovine pericardium that undergoes integrity preservation technology (8). This technology consists of stable capping that permanently blocks calcium (Ca<sup>2+</sup>) binding sites, and glycerolization that allows dry storage of the bioprosthesis prior to implant (8). The RESILIA<sup>TM</sup> tissue was incorporated within a standard bioprosthesis design and implanted in a cohort of 133 patients who underwent surgical AVR at two centers in Poland. An earlier report of this study through 1 year of follow-up found this bioprosthesis to be safe, and associated with improved hemodynamic performance compared with baseline (9). The current study reports upon the outcomes through an extended follow-up period of 4 years.

## Methods

# Study design and population

This was a prospective, multicenter, single-arm, observational study (Clinical Trial number: 2010-03, NCT01651052, Clinical Trial of Edwards Aortic Bioprosthesis Model 11000) that was designed to assess the safety and hemodynamic performance of a bioprosthesis developed using a novel tissue platform (RESILIA<sup>TM</sup>). The study protocol was reviewed and approved by the local Ethics Committee (Jagiellonian University Bio-Ethics Committee no. KBET/163/L/2010 of 7 October 2010) and Polish Ministry of Health (CEBK). The study was registered: ClinicalTrials.gov: NCT01651052. All study participants provided written informed consent prior to enrollment. Patients who were >18 years of age and required AVR with or without concomitant procedures such as coronary artery bypass grafting (CABG) were included in the study. The specific inclusion and exclusion criteria have been previously reported (9).

## Study device and surgical procedures

Surgical AVR was performed using the Edwards Aortic Bioprosthesis (Model 11000). This tri-leaflet bioprosthesis is the same as the Carpentier-Edwards PERIMOUNT<sup>TM</sup> Magna Ease aortic valve (Model 3300TFX, Edwards Lifesciences), except for the RESILIA<sup>TM</sup> tissue leaflets. The surgical approach and implantation technique were at the discretion of the investigator and have been reported previously (9). All surgical procedures and implants were performed at the 2 largest cardiac surgery centers in Poland. Patients were offered enrolment into the study by surgeons at individual investigational sites. The decision was based upon an indication for surgical AVR, an appropriate risk profile, and surgical preference for a bioprosthesis. Consenting patients were considered enrolled in the study after the surgeon visually inspected the aortic root, measured the aortic valve annulus, and determined that the study valve could be implanted. In each centre RESILIA<sup>TM</sup> bioprostheses were implanted by two trained surgeons. It was recommended that all patients implanted with the study bioprosthesis be maintained on anticoagulant therapy (except if contraindicated) for approximately 2–3 months based on the American College of Cardiology/American Heart Association (ACC/AHA) 2008 guidelines (4).

# Safety and hemodynamic endpoints

The following safety endpoints were evaluated during both the early (≤30 days) and late (>30 days) postoperative periods: all-cause mortality, valve-related mortality, thromboembolism, all bleeding, major bleeding that required transfusion, all paravalvular leak, major paravalvular leak, hemolysis, valve thrombosis, endocarditis, valve explant, non-structural valve dysfunction, and SVD. SVD included dysfunction or deterioration of the implanted valve (exclusive of infection or thrombosis). These safety endpoints were based on objective performance criteria (10), and all events were reviewed and adjudicated by an independent Clinical Events Committee.

Hemodynamic endpoints included the mean and peak systolic transvalvular pressure gradients, the effective orifice area (EOA), and the EOA indexed to body surface area (EOAi). These endpoints were assessed by echocardiography, and all echocardiography data were analyzed by a core laboratory (BioTelemetry Research, Rockville, MD, USA). Patients were assessed preoperatively, at discharge, at 3–6 months, and at 1, 2, 3, and 4 years of follow-up. The preoperative assessments included valve hemodynamic performance, New York Heart Association (NYHA) functional class. These same parameters as well as the safety endpoints were assessed postoperatively, except that hemodynamic measures were not required at the 2- or 4-year follow-up unless murmur was heard on auscultation, and the quality of life measure was collected only at 1 year.

# Data management and statistical analysis

As study sponsor, Edwards Lifesciences managed the

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Table	1	Baseline	characteristics
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Variable	Mean ± SD (range) or n (%) (N=133)					
Age, years	65.3±13.5 (22.0–88.0)					
<50	16 (12.0)					
50–60	18 (13.5)					
>60	99 (74.4)					
Female gender	68 (51.1)					
Race						
Caucasian/white	113 (85.0)					
Not available	20 (15.0)					
Echocardiographic variables						
Body mass index (kg/m <sup>2</sup> )	29.3±6.7 (15.8–62.1)					
Left ventricular ejection fraction (%)	61.2±13.7 (22.4–85.6)					
NYHA						
Class I	28 (21.1)					
Class II	61 (45.9)					
Class III	43 (32.3)					
Class IV	1 (0.8)					
Comorbidities						
Mitral insufficiency	109 (82.0)					
Tricuspid insufficiency	91 (68.4)					
Coronary artery disease	64 (48.1)					
Systemic hypertension	105 (78.9)					
Hyperlipidemia/ hypercholesteremia	92 (69.2)					
Cardiac rhythm/conduction disturbance	33 (24.8)					
Myocardial infarction	10 (7.5)					
Rheumatic fever	8 (6.0)					
Cerebrovascular disease	7 (5.3)					
Congestive heart failure	3 (2.3)					
Obesity	49 (36.8)					
Diabetes	24 (18.0)					
Renal failure	13 (9.8)					
Chronic pulmonary disease	11 (8.3)					
Smoker	27 (20.3)					
Current smoker	9 (6.8)					
NYHA. New York Heart Association.						

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collection and external monitoring of all data. Additionally, the study was audited and inspected by the Polish Office for Registration of Medicinal Products, Medical Devices and Biocidal Products, with no major findings. Summary statistics for continuous variables are presented as the mean ± SD, unless otherwise noted. Summary statistics for categorical variables include the number and percentage of subjects with a recorded value for the variable of interest. Early safety events were defined as those occurring  $\leq 30$  days of the index procedure, and were reported as the number of events divided by the number of enrolled subjects. Linearized rates were used to summarize safety events for the late (>30 day) postoperative period. These rates were calculated as the number of late events divided by the total number of late patient-years. All data are based on an extraction date of April 7, 2017. SAS version 9.3 was used for all statistical analyses.

# **Results**

#### **Baseline characteristics**

Between July 2011 and February 2013, a total of 133 patients requiring surgical AVR were implanted with the study valve. The average age of the patients at implant was  $65.3\pm13.5$  years, and 26% were  $\leq 60$  years old. The proportion of patients with NYHA Class I, II, III, and IV symptoms at baseline was 21.1%, 45.9%, 32.3%, and 0.8%, respectively. Patients underwent AVR for one or more of the following reasons: degenerative valve disease in 93 (69.9%), dystrophic calcification in 24 (18.0%), rheumatic heart disease in 9 (6.8%), endocarditis in 2 (1.5%), and other etiologies in 18 (13.5%). The baseline characteristics of the patients implanted with the study valve are shown in *Table 1*.

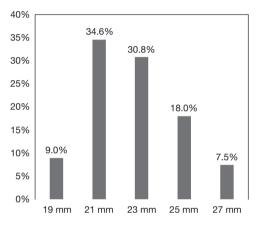
# Procedural outcomes

The size of the valves implanted ranged from 19 to 27 mm and are shown in *Figure 1*. A 19- or 21-mm valve was implanted in 43.6% of the patients. There were 114 patients (85.7%) who underwent isolated AVR, 16 (12.0%) who underwent AVR with concomitant CABG, and 3 (2.3%) who underwent AVR with other procedure(s). The surgical approaches used included a full sternotomy in 117 patients (88.0%) and an upper ministernotomy in 16 (12.0%). Technical success in implanting the study valve was achieved in 100% of patients on the first attempt. The mean aortic cross-clamp and cardiopulmonary bypass times in all 133 patients

were  $61.7\pm14.4$  and  $96.2\pm25.6$  minutes, respectively. In the 114 patients who underwent isolated AVR, the mean aortic cross-clamp and cardiopulmonary bypass times were  $59.6\pm13.1$  and  $94.5\pm25.2$  minutes, respectively. In the 16 patients who underwent AVR along with CABG, the mean aortic cross-clamp and cardiopulmonary bypass times were  $76.9\pm15.4$  and  $110.4\pm25.7$  minutes, respectively. The length of stay in the hospital in all 133 patients was  $9.7\pm5.0$  days, with  $2.2\pm2.4$  days in the intensive care unit and  $7.6\pm5.4$  days in the general ward.

# Safety outcomes

The average follow-up was 3.8±1.1 (median: 4.1; IQR,



**Figure 1** Valve size distribution. The bars show the proportion of patients (N=133) implanted with each valve size.

4.0-4.3) years. Early (≤30 days) and late (>30 day) safety outcomes are shown in *Table 2*. There were 3 (2.3%) cases of all-cause death during the early period and 16 (3.2% late patient-years) during the late period. Valve-related deaths included 1 (0.8%) in the early period and 4 (0.8% late patient-years) in the late period. There was 1 case (0.8%) of major paravalvular leak that required intervention in the early period and none in the late period. The incidence of major bleeding was 6.8% (9 patients) in the early period and 0.4% late patient-years (2 patients) in the late period. One valve was explanted late due to endocarditis. There was also one case of late valve thrombosis that was discovered postmortem. There were no cases of SVD in the early or late period.

# Hemodynamic outcomes

The echocardiographic data for all subjects stratified by valve size are shown in *Table 3*. The average mean and peak transvalvular gradients in all patients at 4 years of follow-up were  $14.5\pm7.4$  and  $26.0\pm12.9$  mmHg, respectively. These gradients were similar to those observed at 3–6 months and represented a marked improvement from baseline (49.4±21.7 and 78.5±32.9 mmHg, respectively). The average EOA improved from baseline (1.0±0.8 cm<sup>2</sup>) to 3–6 months (1.8±0.5 cm<sup>2</sup>), and this improvement was also observed at 4 years (1.6±0.4 cm<sup>2</sup>). The EOAi at 4 years was  $0.8\pm0.2$  cm<sup>2</sup>/m<sup>2</sup>, and this was improved compared with baseline (0.6±0.4 cm<sup>2</sup>/m<sup>2</sup>).

#### Table 2 Safety outcomes

Event	Early (≤30 days) (N=133) (total number of events observed/N)	Late (>30 days) (late patient-years =495.3, total number of events observed/late patient-years)		
All-cause mortality	3 (2.3%)	16 (3.2%)		
Valve-related mortality	1 (0.8%)	4 (0.8%)		
Thromboembolism	3 (2.3%)	1 (0.2%)		
Valve thrombosis	0 (0.0%)	1* (0.2%)		
Endocarditis	0 (0.0%)	1** (0.2%)		
Explant	0 (0.0%)	1** (0.2%)		
Major bleeding requiring transfusion	9 (6.8%)	2 (0.4%)		
Major paravalvular leak	1 (0.8%)	0 (0.0%)		
Non-structural valve dysfunction	0 (0.0%)	1 (0.2%)		
Structural valve deterioration	0 (0.0%)	0 (0.0%)		

\*, valve thrombosis was diagnosed post-mortem at autopsy; \*\*, explant due to endocarditis occurred in one patient.

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Parameter	Follow-up	19 mm (N=12), mean ± SD [n]	21 mm (N=46), mean ± SD [n]	23 mm (N=41), mean ± SD [n]	25 mm (N=24), mean ± SD [n]	27 mm (N=10), mean ± SD [n]	Total (N=133), mean ± SD [n]
EOA (cm <sup>2</sup> )	Baseline	0.8±0.2 [12]	0.8±0.3 [45]	0.9±0.5 [40]	1.5±1.3 [23]	1.8±1.1 [10]	1.0±0.8 [130]
	3–6 mos	1.4±0.4 [12]	1.7±0.4 [43]	1.9±0.4 [37]	2.1±0.7 [24]	2.3±0.5 [10]	1.8±0.5 [126]
	1 year	1.2±0.3 [12]	1.6±0.5 [39]	1.9±0.7 [37]	2.0±0.7 [21]	2.1±0.3 [10]	1.8±0.6 [119]
	2 years	1.2±0.2 [11]	1.5±0.5 [38]	1.7±0.4 [34]	1.7±0.5 [18]	1.9±0.4 [9]	1.6±0.5 [110]
	3 years	1.0±0.2 [9]	1.2±0.4 [36]	1.6±0.5 [34]	1.7±0.5 [18]	2.2±0.5 [9]	1.4±0.5 [100]
	4 years	1.1± 0.3 [5]	1.4±0.3 [19]	1.7±0.4 [17]	1.6±0.4 [14]	1.8±0.6 [4]	1.6±0.4 [59]
EOAi (cm²/m²)	Baseline	0.4±0.1 [12]	0.4±0.2 [37]	0.5±0.2 [30]	0.8±0.7 [21]	0.9±0.6 [9]	0.6±0.4 [109]
	3–6 mos	0.8±0.3 [12]	1.0±0.3 [35]	1.0±0.3 [29]	1.1±0.4 [22]	1.1±0.3 [9]	1.0±0.3 [107]
	1 year	0.7±0.2 [12]	0.9±0.3 [32]	1.0±0.4 [29]	1.0±0.4 [19]	1.0±0.2 [9]	0.9±0.3 [101]
	2 years	0.7±0.1 [11]	0.8±0.2 [30]	0.9±0.2 [27]	0.8±0.3 [16]	0.9±0.2 [8]	0.8±0.2 [92]
	3 years	0.6±0.1 [9]	0.6±0.1 [28]	0.8±0.2 [22]	0.8±0.3 [17]	1.0±0.3 [7]	0.7±0.2 [83]
	4 years	0.7±0.1 [5]	0.8±0.1 [11]	0.9±0.2 [13]	0.8±0.2 [12]	1.0±0.2 [3]	0.8±0.2 [44]
Mean gradient (mmHg)	Baseline	49.2±16.4 [12]	54.0±20.8 [46]	50.6±21.6 [41]	43.5±24.0 [24]	38.0±22.6 [10]	49.4±21.7 [133]
	3–6 mos	20.0±11.1 [12]	12.0±4.6 [43]	11.1±3.9 [39]	10.8±3.5 [24]	8.8±3.5 [10]	12.0±5.7 [128]
	1 year	21.7±9.5 [12]	14.2±4.9 [40]	12.0±4.3 [37]	13.8±3.5 [21]	11.1±4.5 [10]	13.9±6.1 [120]
	2 years	22.1±10.5 [11]	13.9±5.0 [38]	13.2±5.0 [35]	11.9±5.7 [18]	11.0±5.5 [9]	13.9±6.5 [111]
	3 years	22.5±7.3 [9]	15.3±5.8 [36]	12.9±4.3 [28]	12.4±5.2 [19]	10.2±4.9 [8]	14.3±6.1 [100]
	4 years	26.0±9.2 [9]	13.8±6.1 [36]	13.8±6.4 [25]	13.0±5.4 [19]	10.9±8.0 [8]	14.5±7.4 [97]
Peak gradient (mmHg)	Baseline	81.5±26.5 [12]	84.0±30.7 [46]	81.9±32.1 [41]	67.9±37.4 [24]	61.2±35.8 [10]	78.5±32.9 [133]
	3–6 mos	34.6±17.9 [12]	22.4±8.3 [43]	20.5±6.5 [39]	19.7±7.3 [24]	15.7±6.9 [10]	21.9±9.8 [128]
	1 year	38.7±15.7 [12]	25.3±7.7 [40]	21.7±7.7 [37]	24.6±10.0 [21]	20.1±9.1 [10]	25.0±10.4 [120]
	2 years	40.6±22.1 [11]	25.7±9.4 [38]	24.1±8.7 [35]	23.2±9.8 [18]	20.4±10.7 [9]	25.8±12.2 [111]
	3 years	40.7±12.4 [9]	26.6±9.5 [36]	22.7±7.3 [28]	21.8±9.8 [19]	18.8±8.7 [8]	25.2±10.6 [100]
	4 years	44.4±16.4 [9]	25.2±9.7 [36]	25.3±12.3 [25]	22.6±10.1 [19]	19.5±14.3 [8]	26.0±12.9 [97]

Table 3 Echocardiographic data at baseline and follow-up by valve size

EOA, effective orifice area; EOAi, effective orifice area indexed to body surface area; mos, months.

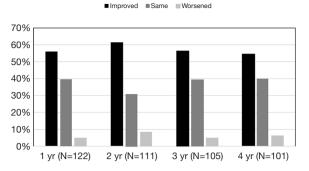
# Functional status and quality of life

4 years of follow-up.

The numbers of patients who had NYHA functional assessment at baseline, 1, 2, 3, and 4 years of follow-up were 133, 122, 111, 105, and 101 patients, respectively. *Figure 2* shows the changes in NYHA functional class from baseline over the 4-year follow-up period. There was an improvement in NYHA functional class in 55.7% patients at 1 year, 61.3% at 2 years, 56.2% at 3 years and 54.5% at

# Discussion

This trial evaluated the clinical outcomes and hemodynamic performance of an aortic bioprosthesis with the novel RESILIA<sup>TM</sup> tissue over 4 years of follow-up. These results follow-up on the earlier-term safety, durability and hemodynamic performance that have been reported recently



**Figure 2** Changes in NYHA functional class from baseline during follow-up. The bars show the proportion of patients whose NYHA functional class improved, stayed the same, and worsened at 1, 2, 3, and 4 years of follow-up. NYHA, New York Heart Association.

(9,11). In another larger recent multicenter observational study, a bioprosthesis with the RESILIA tissue was implanted in 687 patients who needed surgical AVR (12). These patients were followed for approximately 2 years, and the clinical outcomes and hemodynamic performance of the valve were excellent and similar to those observed in the present study.

Although mechanical valves are often recommended for younger patients because they provide superior longterm durability compared to traditional bioprostheses, patients with mechanical valves require life-time anticoagulation, which increases the risk of major bleeding (3,4). Bioprosthetic valves are a reasonable option for patients who wish to avoid long-term anticoagulation; however, these valves are susceptible to SVD, especially in younger patients (5-7,13). The patient-related risk factors for SVD include younger age, increased body mass index, hypertension, diabetes, smoking, dyslipidemia and chronic renal failure; valve-related risk factors for SVD include glutaraldehyde fixation of the leaflets, persistent left ventricular hypertrophy, smaller prosthesis size and prosthesis-patient mismatch (14-17). SVD is thought to occur because the leaflet tissue calcifies over time, resulting in leaflet stiffening or tearing (18).

Several methods of processing leaflet tissue have been developed to try to reduce the amount of tissue calcification (8,19-21). The RESILIA<sup>TM</sup> pericardial tissue undergoes an aldehyde capping process that permanently reduces Ca<sup>2+</sup> binding (7,21). This is followed by glycerolization to replace water, allowing dry storage. In an elegant randomized chronic study in juvenile sheep, the RESILIA<sup>TM</sup>

tissue exhibited significantly less Ca<sup>2+</sup> content and improved hemodynamics compared with the PERIMOUNT<sup>TM</sup> tissue (22).

The valve hemodynamics reported here highlight the need for consideration of aortic root enlargement in patients with small annuli with valve sizes 19–21 mm. Overall, the study finds an EOA after 4 years of 0.8 cm<sup>2</sup>/m<sup>2</sup>, a level typical following surgical AVR with contemporary tissue valves. However, in small valves, the gradients are rather significant, and should serve as another reminder to all surgeons to implement safe as possible means to provide increased EOAs and reduced gradients.

An important finding in the present study is that the prostheses with RESILIA<sup>TM</sup> tissue showed no evidence of SVD over 4 years of follow-up. Although these early results are promising, it must be recognized that SVD is infrequent in the first few years after AVR with a bioprosthesis. In 12,569 patients who underwent AVR using a Carpentier-Edwards PERIMOUNT<sup>TM</sup> valve, the actuarial estimates of explant for SVD at 10 and 20 years in patients 60-80 years old were 1.5% and 8.1%, respectively (23). Even in patients <60 years old, the actuarial estimate of explant for SVD at 5 years was only 5.6% (23). Thus, the patients in the present study will require a longer follow-up period to confirm the absence of SVD.

#### Limitations

This trial was a single-arm study without an active comparator group, and the enrollments were not consecutive, though due only to specific inclusion/exclusion criteria. Thus, there may have been selection bias. In addition, the analysis of late outcomes was limited to 4 years of follow-up. Furthermore, not all implanted patients had all data collected at the scheduled follow-ups. A longer follow-up would be required to confirm the findings of this study.

# Conclusions

The RESILIA<sup>TM</sup> tissue demonstrated excellent hemodynamic performance and safety outcomes over 4 years of follow-up in the 133 patients enrolled in this trial. Longer follow-up of this patient cohort will be important to confirm the long-term durability of this novel tissue.

# Acknowledgments

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Lifesciences for statistical support of these analyses.

# Footnote

*Conflicts of Interest*: This study was sponsored by Edwards Lifesciences, LLC. Dr. Bartus is a consultant for Edwards Lifesciences. The other authors have no disclosures to report.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study protocol was reviewed and approved by the local Ethics Committee (Jagiellonian University Bio-Ethics Committee no. KBET/163/L/2010 of 7 October 2010) and Polish Ministry of Health (CEBK). All study participants provided written informed consent prior to enrollment.

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