

Assessment of respiratory drive with esophageal diaphragmatic electromyography in patients with acute respiratory distress syndrome treated with prone position ventilation

Qing-Wen Sun^{1#}, Xiao-Cong Li^{2#}, Zhi-Min Lin¹, Wen Jiang¹, Yuan-Ming Luo³, Wen-Zheng Huang⁴, Tai-Min Guo⁵, Yuan-Da Xu¹, Nan-Shan Zhong³

¹Department of Critical Care Medicine, First Affiliated Hospital of Guangzhou Medical University, Guangzhou 510120, China; ²Respiratory Medicine, First Affiliated Hospital of Shantou University Medical College, Shantou 515041, China; ³State Key Laboratory of Respiratory Disease, Guangzhou Institute of Respiratory Disease, First Affiliated Hospital of Guangzhou Medical University, Guangzhou 510010, China; ⁴Guangzhou Double One Latex Products Co. Ltd, Guangzhou 510120, China; ⁵Guangzhou Medical University, Guangzhou 511436, China

Contributions: (I) Conception and design: QW Sun, YM Luo, XC Luo; (II) Administrative support: None; (III) Provision of study materials or patients: None; (IV) Collection and assembly of data: ZM Lin, W Jiang; (V) Data analysis and interpretation: YD Xu, QW Sun, WZ Huang, TM Guo; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

"These authors contributed equally to this work.

Correspondence to: Yuan-Da Xu. Department of Critical Care Medicine, First Affiliated Hospital of Guangzhou Medical University, No. 151 Yanjiang Xi Road, Yuexiu District, Guangzhou 510120, China. Email: xuyuanda@sina.com; Nan-Shan Zhong. State Key Laboratory of Respiratory Disease, Guangzhou Institute of Respiratory Disease, First Affiliated Hospital of Guangzhou Medical University, No.195 Dongfeng Xi Road, Yuexiu District, Guangzhou 510010, China. Email: nanshan@vip.163.com.

Background: Prone position ventilation (PPV) is an important strategy for patients with severe acute respiratory distress syndrome (ARDS). This prospective study investigated the use of electromyography of the diaphragm (EMGdi) for monitoring respiratory drive in patients with moderate to severe ARDS during long-term PPV

Methods: An integrated nostril-gastric feeding tube containing an esophageal electrode and balloon was placed in 14 patients with severe ARDS prior to PPV. EMGdi and trans-pulmonary pressure (ΔP_L) data were collected before PPV (baseline), every 2 h during PPV, and 2 h after the restoration of supine position ventilation (post-2 h SPV).

Results: In ARDS patients, the static compliance of the chest wall was significantly decreased after PPV. EMGdi levels were slightly lower in the early, middle, and late stages of PPV compared with baseline. Patients who received neuromuscular blocker experienced a greater drop in EMGdi from baseline than those who did not.

Conclusions: For ARDS patients, EMGdi was slightly decreased after prolonged PPV. This is contrary to the change in diaphragm electromyography during normal body position changes. Monitoring EMGdi regularly during PPV in ARDS patients is feasible and can be used as a reference for lung protective ventilation strategies.

Keywords: Acute respiratory distress syndrome (ARDS); prone position ventilation (PPV); electromyography of the diaphragm (EMGdi); trans-pulmonary pressure

Submitted Apr 24, 2019. Accepted for publication Sep 20, 2019. doi: 10.21037/jtd.2019.09.77 View this article at: http://dx.doi.org/10.21037/jtd.2019.09.77

Introduction

For patients with severe acute respiratory distress syndrome (ARDS), prone position ventilation (PPV) is an important strategy to reverse refractory hypoxemia and lung protection ventilation (1-5), with research showing that early high-intensity prolonged PPV improves prognosis (6). However, the duration and efficacy of PPV vary among patients in clinical practice (7,8) and are affected by many factors (5).

The respiratory drive of patients is increased due to severe hypoxemia and low tissue perfusion. During invasive mechanical ventilation for severe ARDS, sedation, analgesia or a neuromuscular blocker (NMB) were used. Monitoring of the respiratory drive is required. Furthermore, the prone position is a non-physiological posture for human beings, but patients often remain in prone position >12 h per day according to the ARDS net ventilation strategy. Therefore, we sought to investigate the characteristics of respiratory drive in ARDS patients in the prone position. Electromyography of the diaphragm (EMGdi) can provide vital information about diaphragmatic function (9,10). However, there have been no reports regarding the use of EMGdi in ARDS patients, particularly ARDS patients receiving PPV. Therefore, in the present study, we applied EMGdi to evaluate the respiratory drive in ARDS patients in PPV. Additionally, we also investigated the changes in EMGdi in healthy volunteers in the prone position for comparison.

Methods

Study participants

Nine healthy individual volunteers participated in other study's data as controls, including six men and three women with a mean age of 24.9±4.7 years. Fourteen patients with severe ARDS were enrolled in this study in the intensive care unit (ICU) of the First Affiliated Hospital of Guangzhou Medical University between June 2015 and September 2016. Severe ARDS was diagnosed based on the 2012 Berlin Definition for ARDS (11), The following information was obtained for the enrolled patients: name, gender, age, height (cm), body weight (kg), body mass index (BMI), diagnosis, Acute Physiology and Chronic Health Evaluation (APACHE) II score, and Richmond Agitation-Sedation Scale (RASS) score (measured once every hour) (12).

The Scientific Research Project Review Ethics Committee of First Affiliated Hospital of Guangzhou Medical University approved this prospective study (ethics batch 2017 No. 34). All participants and/or their guardians were fully aware of the purpose of this study and provided written consent.

Placement the esophageal electrode in healthy volunteers: while seated, each participant received 1% ephedrine nose drops into the nasal cavity to shrink the nasal mucosa. In addition, 2% lidocain was administered for anesthesia of the nasopharyngeal and oropharyngeal mucosa, and the participants swallowed the electrodes used to acquire EMG signals. Appropriate placement was determined based on the EMGdi amplitudes. The electrodes were considered to be ideally placed with the fifth electrode was considered to be correctly located in the center of EMGdi activity once the first and fifth channels had maximum EMGdi signals and the third channel had the minimal EMGdi signal (13,14). Because the electrodes cover a range of 10 cm, they could acquire the maximum EMGdi signal as long as they were located within 5 cm of the center of EMGdi activity. The root mean square of the crural EMG (RMSdi) was calculated based on Root-Mean-Square (RMS, with a time constant of 100 ms and dynamic conversion). After positioning of the catheter, each participant lay in the supine and prone position with the head tilted 20 degrees from its horizontal position, and measurements were recorded for 10 min.

Placement of integrated nostril-gastric feeding tube before PPV in ARDS patients: an integrated nostrilgastric feeding tube containing an esophageal electrode and esophageal balloon was implanted, as described previously (10,13-15). The procedure was visualized by X-ray to ensure appropriate placement. Esophageal balloon was located at one-third of the middle and lower segments of the esophagus. This tube could provide dynamic monitoring without affecting daily feeding.

Measurement of EMGdi, ΔP_L , and other respiratory mechanics

To measure esophageal pressure (which reflects intrapleural pressure), 0.5 mL of air was injected into the esophageal balloon. The pressure in the esophageal balloon was measured with a DP15 pressure transducer, which was connected to a CD280 pressure amplifier (Validyne Engineering Corp., USA). The respiratory signal acquisition system (Guangzhou Rui Shi Bo Medical Technology, Guangzhou, China) was used to calculate the root mean square of the strongest EMGdi signals.

The following respiratory parameters were measured every 2 h: respiratory flow and volume, airway pressure measured during end-inspiratory occlusion or plateau pressure (P_{plat}), P_{es} (esophageal pressure), and total positive end-expiratory pressure (PEEP_T). The following formula were used to calculate respiratory parameters, where endinspiratory occlusion (EIO) and end-expiratory occlusion (EEO) are end-inspiratory and end-expiratory occlusion, respectively, $\Delta P(\text{driving pressure}) = P_{\text{plat}} - PEEP_{\text{T}}; P_{\text{L-EIO}} =$ $P_{plat} - P_{es-EIO}$; $P_{L-EEO} = PEEP_T - P_{es-EEO}$ and $\Delta P_L = P_{L-EIO} - P_{L-EIO}$ FEO (PL: trans-pulmonary pressure). Additional formula for respiratory mechanics included the following, where Ccwstatic was static compliance of the chest wall: Ccw-static = $VT/(P_{es-EIO} - P_{es-EEO})$. Pre-PPV was defined as the value before PPV, and post-2 h PPV was defined as the value 2 h after every PPV. The early, middle, and late stages of PPV were defined, respectively, as PPV at <6, 6-12, and >12 h. The values of EMGdi, Ccw-static, and trans-pulmonary pressure ΔP_{I} in the early, middle, and late stages of PPV were the average values between 0-6, 6-12 h, and from 12 h to the end of PPV. Post-2 h supine position ventilation (SPV) was defined as a return to SPV after 2 h (SPV). Asynchronous breathing was determined according to the waveforms of flow-time curves, pressure-time curves, direction of Pes, and clinical manifestations. The values were collected every 2 h and for as long as possible while patients remained in the prone position.

Implementation of PPV

After recording the previously mentioned respiratory parameters as baseline measurements, PPV was applied in each patient in accordance with previously published guidelines (16). In prone position, the patient's head was turned to one side, and both shoulders and the pelvis were cushioned upward to avoid abdominal pressure. PPV was scheduled for 16 h, depending on the patient's response. Drager XL ventilators were used for PPV with intermittent positive pressure ventilation (volume control/ assisted). Auto Flow was enabled with a 1 L/min flow rate trigger setting. Parameters were dynamically monitored and recorded for 2 min every 2 h. After each patient had been returned to the supine position for 2 h, the respiratory parameter data were collected (*Figure 1*).

PPV was terminated for any of the following events: cardiac arrest; large airway obstruction; artificial airway prolapse or displacement; severe arrhythmia; facial injury; hemodynamic instability; pressure ulcer; hemoptysis; MAP <60 mmHg or a systolic blood pressure drop >30 mmHg; significant increase or decrease in heart rate; or a prolonged $PaO_2/FiO_2 > 200 \text{ mmHg}$ that was sustained for 4 h after transfer to the supine position. See *Figure 1* for an outline of the clinical trial process.

Other therapies

Therapies other than mechanical ventilation also were conducted by the ICU team members, and such therapies included: support of hemodynamic resuscitation; sedation and analgesia; application of NMBAs if necessary; antiinfection and nutrition support; and other treatments related to ventilator care.

Statistical analysis

SPSS 19.0 (IBM, Armonk, New York, USA) software was used for statistical analyses. Normally distributed quantitative data are expressed as mean \pm standard deviation. Non-normally distributed data are shown as median and interquartile range (IQR). Student *t*-test was used for the comparison between two groups. Mann-Whitney test or Wilcoxon rank sum test. When data for three or more groups were compared, if the data conformed to a normal distribution, the analysis of variance of the randomized block design data was used for statistical analysis; if the data did not conform to a normal distribution, the Kruskal-Wallis rank sum test, Bonferroni or Wilcoxon test was chosen for statistical analysis. P<0.05 was considered statistically significant.

Results

Respiratory mechanics of healthy volunteers in prone position

Compared with those in supine position, the Pdi in prone position were significantly increased (13.5±1.6 vs. 10.1±1.7 cmH₂O, P<0.05). The inspiratory Pes and expiratory Pes in prone position were significantly lower than those in supine position [-2.9±3.5 vs. 2.8±4.5 cmH₂O, 0.85 (-2.86, 2.92) vs. 5.15 (4.37, 6.59) cmH₂O, P<0.05]. Compared with that in supine position, EMGdi tended to be increased in the prone position, but the difference was not significant (P>0.05; *Table 1*).

Characteristics of enrolled ARDS patients

Fourteen patients (11 men, 3 women) with severe ARDS



Figure 1 Flowchart of parameter monitoring. PPV, prone position ventilation; ARDS, acute respiratory distress syndrome.

Table 1 Changes in Pdi and	EMGdi in	healthy	participants	(n=9) ir
different body positions				

Ddi		EMGdi	Pes			
Position	(cmH ₂ O)	(μV)	Inspiratory (cmH ₂ O)	Expiratory (cmH ₂ O)		
Supine	10.1±1.7	6.9±3.1	2.8±4.5	5.15 (4.37, 6.59)		
Prone	13.5±1.6*	10.5±6.9	-2.9±3.5*	0.85 (-2.86, 2.92)*		
t/Z	7.412	1.030	-4.525	-2.666		
Р	0.003	0.372	0.002	0.008		

Data are shown as mean \pm standard deviation or median (interquartile range). *P<0.05. Pdi, trans-diaphragmatic pressure; EMGdi, electromyography of the diaphragm; Pes, esophageal pressure. were enrolled in this study (*Table 2*). This cohort had a mean age of 60 ± 14 years (range, 41-82 years), mean height of 165 ± 9 cm, mean weight of 60 ± 12 kg, and mean BMI of 22 ± 3 kg/m². The mean baseline pre-PPV APACHE II score was 19 ± 5 . The average time between the onset of ARDS and enrollment in the study was 8 ± 12 days (range, 0-42 days), with a median of 4 (1, 11.25) days. The mean duration of ICU hospitalization was 36 ± 27 days.

PPV was performed 4 ± 4 times (range, 1–15 times) for each patient, with a median of 2 (1, 6.5) times. The mean total time spent in the prone position was 52 ± 48 h (range, 7–161 h), with a median of 32 (15, 78.63) h.

Common comorbidities included severe pneumonia, septic shock, and acute renal injury.

			1								
Patier numb	nt Gender	Age (year)	BMI (kg/m²)	APACHE II (pre-PPV)	ICU, days	Major diagnoses ^a	Onset ^b d	, PPV treatments, n	P/F°, mmHg	Duration of PPV (h)	Outcome
1	F	56	19	20	58	1, 2, 7, 11, 30	9	8	120	130	Alive
2	М	47	17	22	36	1, 2, 4	4	1	256	7	Alive
3	F	41	22	12	105	1, 2, 3, 4, 5, 9, 16, 18	1	3	61.9	55	Dead
4	М	71	28	15	10	1, 2	1	4	95.4	58	Alive
5	F	52	20	13	30	1, 2, 27	5	2	205	23	Alive
6	М	82	25	24	72	1, 2, 3, 4, 6, 15, 25	18	15	81	73	Dead
7	М	69	18	18	14	1, 3, 8, 9, 24, 31	7	1	96.5	22	Dead
8	М	60	25	19	22	1, 2, 21	0	2	83.1	32	Alive
9	М	45	26	29	47	1, 2, 3, 5	42	1	84.6	12	Dead
10	М	77	25	19	13	1, 3, 5, 6, 7, 14	4	6	160	97	Alive
11	М	41	23	20	14	1, 2, 17, 23, 29	1	2	105	32	Alive
12	М	61	19	11	14	1, 2, 3, 4, 5, 13, 20	1	1	91.8	16	Dead
13	Μ	66	20.2	18	24	1, 2, 3, 6, 12	0	1	109	5	Dead
14	Μ	77	21	24	40	1, 3, 4, 10, 19, 22, 26, 28	22	12	120	161	Dead

Table 2 Characteristics of the 14 patients by identification number

^a, major diagnoses: 1, severe pneumonia; 2, acute respiratory distress syndrome; 3, septic shock; 4, type II respiratory failure; 5, acute kidney injury; 6, acute exacerbation of chronic obstructive pulmonary disease; 7, atrial fibrillation; 8, chronic obstructive pulmonary disease; 9, multiple organ dysfunction; 10, mediastinal emphysema; 11, acute left heart failure; 12, B cell lymphoma; 13, bronchiectasis; 14, colon cancer; 15, cardiac shock; 16, interstitial lung disease associated with connective tissue disease; 17, diabetes mellitus; 18, disseminated intra-vascular coagulation; 19, deep vein thrombosis; 20, endocarditis; 21, hepatic cirrhosis; 22, interstitial pneumonia; 23, lung cancer; 24, left pleural effusion; 25, left pneumothorax; 26, pulmonary embolism; 27, polymyositis; 28, pneumothorax; 29, tuberculosis; 30, tsutsugamushi disease; 31, right pneumothorax. ^b, days between the onset of ARDS and enrollment; ^c, at baseline. M, male; F, female; BMI, body mass index; PPV, prone position ventilation.

Respiratory mechanics and EMGdi of ARDS patients before and after PPV

Overall, PPV was conducted 59 times according to the VC-Auto Flow model among these 14 patients (*Table 3*). The PaO₂/FiO₂ values increased significantly from 133 (105, 168) mmHg at baseline (prior to PPV) to 169 (120, 221) mmHg (post-2 h PPV). Compared to the pre-PPV baseline, PaO₂/FiO₂ in post-2h PPV was significantly higher (both P<0.001). Conversely, the Ccwstatic of post-2 h PPV [46.18 (29.78, 58.45) mL/cmH₂O] was significantly less than that at the baseline [51.31 (36.51, 69.45) mL/cm H₂O]. The EMGdi values at post-2 h PPV 14.9 (12.4, 17.3) μ V was significantly less than the EMGdi at baseline [15.2 (12.6, 20.1) μ V; P<0.05].

However, the following parameters did not change significantly from baseline: ΔP_L , P_{plat} , respiratory rate (RR), PEEP, ΔP (the ratio of tidal volume to static respiratory system compliance), and PaCO₂.

Effects of NMBAs on EMGdi and other respiratory mechanics in ARDS patients receiving PPV

The EMGdi of ARDS patients who were given neuromuscular blocking agents (NMBAs) decreased significantly from baseline (from 16.4 \pm 7.1 to 13.2 \pm 5.7 μ V; P<0.05), with a greater change observed compared with that in patients who did not received NMBAs. The effects of NMBAs on EMGdi and respiratory mechanics in pre- and post-PPV are shown in *Table 4*. Comparisons of respiratory parameters and EMGdi between patients with and without asynchronous breathing (AB) during PPV are shown in *Table 5*.

Real-time monitoring of EMGdi, Ccw-static, and ΔP_L during PPV

The results showed that EMGdi levels were lower during the early, middle, and late stages of PPV than during SPV. EMGdi was significantly higher 2 h after return to the

Journal of Thoracic Disease, Vol 11, No 10 October 2019

1 ,	,0 1	1					
				P			
Respiratory parameters	Pre-PPV	Post-2 h PPV	Post-2 h SPV	pre-PPV vs.	post-2 h PPV vs	. pre-PPV vs.	
				post-2 h PPV	post-2 h SPV	post-2 h SPV	
RR, bpm	27 (24, 30)	27 (24, 30)	25 (23, 30)	0.436	0.400	0.202	
VT, mL	401 (349, 447)	391 (341, 437)	397 (350, 427)	0.055	0.85	0.402	
MV, L	10.8 (9.3, 12.5)	10.4 (9.0, 11.9)	9.9 (8.9, 11.6)	0.032	0.487	0.096	
PaO ₂ /FiO ₂	133 (105, 168)	169 (120, 221)	156 (111, 217)	0	0.604	0.261	
PaCO ₂ , mmHg	55.8 (49.1, 61.3)	53.9 (48.3, 60.7)	56.7 (51.4, 63.4)	0.602	0.158	0.387	
Pplat, cmH ₂ O	27 (21, 31)	26 (23, 31)	26 (21, 31)	0.893	0.748	0.577	
$PEEP_{T}$, cm H_2O	8 (7, 10)	8 (7, 10)	8 (7, 10)	0.206	0.896	0.749	
Ccw-static, mL/cmH $_2$ O	51.31 (36.51, 69.45)	46.18 (29.78, 58.45)	49.36 (31.36, 67.49)	0.038	0.293	0.642	
ΔPL , cmH ₂ O	15.17 (9.64, 20.69)	12.57 (8.11, 19.27)	14.77 (9.41, 20.41)	0.22	0.581	0.856	
ΔP , cmH ₂ O	18 (14, 23)	18 (14, 21)	18 (14, 22)	0.899	0.993	0.798	
EMGdi, µV	15.2 (12.6, 20.1)	14.9 (12.4, 17.3)	16.0 (12.3, 20.2)	0.02	0.161	0.743	

 Table 3 Respiratory mechanics and oxygenation pre- and post-PPV

Data are shown as median (interquartile range). PPV, prone position ventilation; SPV, supine position ventilation; Ccw-stat, static compliance of chest wall; EMGdi, electromyography of the diaphragm; MV, minute ventilation; PEEP_T, total positive end-expiratory pressure; ΔP , driving pressure; ΔPL , difference between end-inspiratory and end-expiratory transpulmonary pressure; Pplat, plateau pressure; RR, respiratory frequency; VT, tidal volume.

Table 4 Effects of NMBAs on EMGdi and respiratory mechanics in pre- and post-PPV

Parameters	NMBAs	Pre-PPV	Post-2 h PPV	Р
VT, mL	Yes	351±44	350±53	0.877
	No	407 (372, 466)	394 (356, 445)	0.074
Pplat, cmH ₂ O	Yes	29±4	28±5	0.424
	No	26±6	25±6	0.655
Ccw-static, mL/cmH ₂ O	Yes	50.41±17.49	44.61±16.68	0.447
	No	51.21 (36.59, 69.95)	46.44 (35.54, 58.08)	0.048
EMGdi, µV	Yes	16.4±7.1	13.2±5.7	0.011
	No	15.2 (12.8, 19.9)	14.9 (12.7, 16.3)	0.182

Data are shown as mean ± standard deviation or median (interquartile range). NMBAs, neuromuscular blocking agents; PPV, prone position ventilation; Ccw-stat, static compliance of chest wall; EMGdi, electromyogram of diaphragm; Pplat, plateau pressure; VT, tidal volume.

supine position than in the late stage of PPV (P=0.04). Compared with pre-PPV, Ccw tended to be lower after PPV, with levels in the middle stage of PPV being significantly lower (P=0.014). The Ccw was significantly higher in post-2 h SPV than in the middle stage of PPV (P=0.025). There was no significant change in transpulmonary driving pressure (ΔP_L) during PPV (*Figure 2*).

Other therapy

Therapies other than mechanical ventilation included:

support of hemodynamic resuscitation; sedation and analgesia; application of NMBAs if necessary; anti-infection and nutrition support; and other treatments related to ventilator care.

Discussion

In ARDS patients, the static compliance of the chest wall was significantly decreased during PPV. EMGdi values were slightly lower in the early, middle, and late stages of PPV compared with baseline. Patients who received

 Table 5 Respiratory parameters and EMGdi of patients with and without asynchronous breathing (AB) during PPV

Parameters	Without AB	With AB	Р
Data collection points ^ª , n	147	94	
Heart rate, BPM	91±15	93±8	0.297
MAP, mmHg	80 (75, 86)	87 (79, 96)	0
VT, mL	375 (324, 409)	380 (350, 408)	0.122
MV, L	10.9 (9.1, 12.3)	10.2 (9.3, 11.4)	0.022
PaO ₂ /FiO ₂	134 (120, 166)	184 (166, 236)	0.003
PaCO ₂ , mmHg	61.7 (52.2, 70.4)	54.2 (48.3, 59.1)	0.012
EMGdi, µV	13.0 (9.3, 15.3)	17.6 (15.0, 19.9)	0

Data are shown as mean ± standard deviation or median (interquartile range). ^a, data collected every 2 h after every PPV. PPV, prone position ventilation; EMGdi, electromyography of the diaphragm; MAP, mean arterial pressure; MV, minute ventilation; VT, tidal volume.

a neuromuscular blocker experienced a more significant drop in EMGdi from baseline than those who did not. By contrast, in the healthy volunteers, we found that in the prone position with restricted inflation of the anterior chest wall, EMGdi showed an increasing trend. Additionally, the trans-diaphragmatic pressure increased significantly, even during the observation time of only 10 minutes. Notably, the healthy volunteers were awake. Patients with ARDS were given sedative analgesia or even muscle relaxation drugs. Therefore, the EMGdi in healthy volunteers increased, while the EMGdi in ARDS patients decreased or remained unchanged in the prone position.

As the prone position is a non-physiological posture, the breathing load is increased even over a short period in the prone position. Severe ARDS patients need treatment with a lung protection ventilation strategy such as low tidal volume, restricted plateau pressure and proper PEEP (17). All patients in this study received deep sedation and analgesic treatment before PPV. Some of them received muscle relaxants according to clinical requests. We evaluated how well they tolerated the prone position, especially over the long period of PPV for the purpose of assessing whether it was necessary to adjust the depth of sedation to achieve good patient-ventilator synchronization during high-intensity PPV lasting over 12 hours per day. The present study showed that with PPV, the EMGdi, as a vital indicator of diaphragmatic function and respiratory drive, was significantly decreased from baseline in patients with ARDS. However, the dosages of drugs administered for PPV, including those for sedation and analgesia or NMBAs, were the same as baseline in our study; even the RASS scores (<4) after PPV were the same as those at baseline. These findings also suggest that sedation, analgesia, and NMBA use were not main factors for the reduction of EMGdi levels with PPV.

Kumaresan et al. found that for patients without lung disease, the transpulmonary pressure decreased significantly in the prone position, and the chest wall elastic resistance increased significantly (18). Talmor et al. reported that based on the transpulmonary pressure used to set PEEP (an end-expiratory transpulmonary pressure of 0-10 cmH₂O and an end-inhalation transpulmonary pressure <25 cmH₂O) can effectively prevent alveolar overexpansion and improve the therapeutic effect in ARDS patients (19). Our study suggested that the elasticity of the chest wall in the prone position was increased, and the changes of Vt did not change much across the lung. The P/F ratio improved significantly and the artery carbon dioxide pressure did not increase after PPV. Vt decreased was related to the Ccw decrease while in prone position without a significant change in ΔPL , which indicated that the lung could be protected during PPV. This is consistent with previous studies (20). Furthermore, the respiratory drive (EMGdi), respiratory frequency was decreased, although the chest wall compliance decreased in patients without respiratory distress, suggesting these patients could tolerate this long-term PPV. Patients who received NMBAs experienced a significantly greater drop in EMGdi (from baseline) than did patients who did not receive NMBAs. Multiple factors contribute to the significant decrease in EMGdi during PPV. These factors include the lung protective ventilation strategy, the reduction of right cardiac afterload, the use of sedative and analgesic drugs, and oxygenation improvement. Our results suggest that sedation is needed during PPV. However, no additional sedation dose or further sedation depth is needed. With the improvement of lung compliance after PPV, there was a positive correlation between EMGdi and the P/F ratio in patients with mild spontaneous breathing. Deep sedation has many side effects, such as delayed recovery of consciousness, adverse neurological function, prolonged mechanical ventilation and ICU residence times, and increased risks of nosocomial infection and accumulation of sedative and analgesic drugs. The course of PPV treatment may be guided by paying more attention to respiratory



Figure 2 Real-time changes in electromyography of the diaphragm (EMGdi), static compliance of chest wall (Ccw-static), and Δ PL during the early, middle, and late stages of prone position ventilation (PPV), which were defined, respectively, as PPV at <6, 6–12, and >12 h. Post-2 h supine position ventilation (SPV) was defined as 2 h after return to the supine position. *P<0.05.

drive.

The present study has several limitations, including that the enrolled patients were critically ill and ARDS was not staged, the measurements were taken only before and after prone position, the sample sizes were relatively small, and the measurement time points were few. The data included all PPV treatments for these patients, and thus, there was no direct correlation between improvement in overall condition, increased oxygenation, and EMGdi. To avoid these limitations, future studies should have a larger patient population.

For healthy volunteers, in a short time the electrical activities of the diaphragm, intercostal and abdominal muscles increased in the prone position, accompanied by a significant increase in trans-diaphragmatic pressure. For ARDS patients, the diaphragm electrical activity was slightly reduced after PPV for a prolonged period of time, but in clinical practice, not all patients can tolerate PPV well, as represented by an elevated artery carbon dioxide pressure. Further research is needed to gain a complete understanding of the characteristics of respiratory drive in patients with ARDS during PPV for example the neuroventilation coupling and neuro-mechanical coupling.

Acknowledgments

Funding: This work was supported by National Natural Science Foundation of China (81490534).

Footnote

Conflicts of Interest: The authors have no conflicts of interest

to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The Scientific Research Project Review Ethics Committee of First Affiliated Hospital of Guangzhou Medical University approved this prospective study (ethics batch 2017 No. 34). All participants and/or their guardians were fully aware of the purpose of this study and provided written consent.

References

- Koulouras V, Papathanakos G, Papathanasiou A, et al. Efficacy of prone position in acute respiratory distress syndrome patients: A pathophysiology-based review. World J Crit Care Med 2016;5:121-36.
- Munshi L, Del Sorbo L, Adhikari NKJ, et al. Prone Position for Acute Respiratory Distress Syndrome. A Systematic Review and Meta-Analysis. Ann Am Thorac Soc 2017;14:S280-8.
- Jabaudon M, Godet T, Futier E, et al. Rationale, study design and analysis plan of the lung imaging morphology for ventilator settings in acute respiratory distress syndrome study (LIVE study): Study protocol for a randomised controlled trial. Anaesth Crit Care Pain Med 2017;36:301-6.
- Gaudry S, Tuffet S, Lukaszewicz AC, et al. Prone positioning in acute respiratory distress syndrome after abdominal surgery: a multicenter retrospective study: SAPRONADONF (Study of Ards and PRONe position

Sun et al. EMGdi in ARDS treated with PPV

After abDOmiNal surgery in France). Ann Intensive Care 2017;7:21.

- 5. Kallet RH. A Comprehensive Review of Prone Position in ARDS. Respir Care 2015;60:1660-87.
- Guerin C, Reignier J, Richard JC, et al. Prone positioning in severe acute respiratory distress syndrome. N Engl J Med 2013;368:2159-68.
- Gattinoni L, Tognoni G, Pesenti A, et al. Effect of prone positioning on the survival of patients with acute respiratory failure. N Engl J Med 2001;345:568-73.
- Mancebo J, Rialp G, Fernandez R. Prone vs supine position in ARDS patients: results of a randomized multicenter trial. Am J Respir Crit Care Med 2003;167:A180.
- Levine S, Gillen M. Diaphragmatic pressure waveform can predict electromyographic signs of diaphragmatic fatigue. J Appl Physiol (1985) 1987;62:1681-9.
- Liu ZD, Qiu ZH, Tan KX, et al. Assessment of neural respiratory drive in humans. Zhonghua Jie He He Hu Xi Za Zhi 2013;36:493-6.
- ARDS Definition Task Force, Ranieri VM, Rubenfeld GD, et al. Acute respiratory distress syndrome: the Berlin Definition. JAMA 2012;307:2526-33.
- 12. Knaus WA, Draper EA, Wagner DP, et al. APACHE II: a severity of disease classification system. Crit Care Med 1985;13:818-29.
- Luo YM, Chen RC, Zhong NS. Measurement of diaphragm compound muscle action potential with

Cite this article as: Sun QW, Li XC, Lin ZM, Jiang W, Luo YM, Huang WZ, Guo TM, Xu YD, Zhong NS. Assessment of respiratory drive with esophageal diaphragmatic electromyography in patients with acute respiratory distress syndrome treated with prone position ventilation. J Thorac Dis 2019;11(10):4188-4196. doi: 10.21037/jtd.2019.09.77

magnetic stimulation of the phrenic nerve and multipara esophageal electrode in intensive care unit. Zhonghua Jie He He Hu Xi Za Zhi 2005;28:505-8.

- Akoumianaki E, Maggiore SM, Valenza F, et al. The application of esophageal pressure measurement in patients with respiratory failure. Am J Respir Crit Care Med 2014;189:520-31.
- 15. Zhan C, Yeung LF, Yang Z. A wavelet-based adaptive filter for removing ECG interference in EMGdi signals. J Electromyogr Kinesiol 2010;20:542-9.
- Ball C, Adams J, Boyce S, et al. Clinical guidelines for the use of the prone position in acute respiratory distress syndrome. Intensive Crit Care Nurs 2001;17:94-104.
- 17. Papazian L, Aubron C, Brochard L, et al. Formal guidelines: management of acute respiratory distress syndrome. Ann Intensive Care 2019;9:69.
- Kumaresan A, Gerber R, Mueller A, et al. Effects of Prone Positioning on Transpulmonary Pressures and Endexpiratory Volumes in Patients without Lung Disease. Anesthesiology 2018;128:1187-92.
- Talmor D, Sarge T, Malhotra A, et al. Mechanical ventilation guided by esophageal pressure in acute lung injury. N Engl J Med 2008;359:2095-2104.
- Cortes-Puentes GA, Gard K, Keenan J, et al. Positional effects on lung volumes and transpulmonary pressure during unilateral mechanical asymmetry. Minn Med 2014;97:44.

4196