

## Sublobectomy versus lobectomy for long-term survival outcomes of early-stage non-small cell lung cancer with a tumor size ≤2 cm accompanied by visceral pleural invasion: a SEER population-based study

# Yue Yu<sup>1#</sup>, Renhong Huang<sup>2#</sup>, Pei Wang<sup>1#</sup>, Suyu Wang<sup>1</sup>, Xinyu Ling<sup>1</sup>, Peng Zhang<sup>1</sup>, Jingwen Yu<sup>1</sup>, Jun Wang<sup>1</sup>, Jian Xiao<sup>1</sup>, Zhinong Wang<sup>1</sup>

<sup>1</sup>Department of Cardiothoracic Surgery, <sup>2</sup>Department of General Surgery, Changzheng Hospital, Naval Medical University, Shanghai 200003, China *Contributions:* (I) Conception and design: X Jian, Z Wang; (II) Administrative support: None; (III) Provision of study materials or patients: None; (IV) Collection and assembly of data: Y Yu, R Huang, P Wang; (V) Data analysis and interpretation: All authors; (VI) Manuscript writing: All authors; (VII) Final approval of manuscript: All authors.

<sup>#</sup>These authors contributed equally to this work.

*Correspondence to:* Prof. Jian Xiao; Prof. Zhinong Wang. Department of Cardiothoracic Surgery of Changzheng Hospital Affiliated to Naval Medical University, No. 415 Fengyang Road, Huangpu District, Shanghai 200003, China. Email: 18916066266@189.cn; wangzn007@smmu.edu.cn.

**Background:** The optimal surgical strategy for early-stage non-small cell lung cancer (NSCLC) with visceral pleural invasion (VPI) remains unclear. Due to limited prospective comparative data for these surgical modalities, the objective of the current study was to compare the long-term survival outcomes of sublobectomy (Sub) versus lobectomy (Lob) for NSCLC with a tumor size ≤2 cm and VPI.

**Methods:** Patients with early-stage NSCLC characterized by VPI diagnosed between 2004 and 2013 were identified from the Surveillance, Epidemiology, and End Results (SEER) program. The baseline demographic and cancer characteristics, treatment information as well as survival outcome data were extracted from the SEER database, and confounders were balanced by propensity score matching (PSM) and inverse probability of treatment weighting (IPTW) analyses. Lung disease-specific survival (DSS) and overall survival (OS) rates were compared with Cox proportional hazards (PH) regression models based on the unmatched cohort, the propensity-based matched cohort, and the IPTW cohort.

**Results:** Of the 1,386 patients enrolled, 1,000 (72.15%) and 386 (27.85%) underwent lobectomy and sublobectomy, respectively. The 5-year DSS rate was 78.64% for the lobectomy group and 59.47% for the sublobectomy group. Cox regression models demonstrated that the operation type (Sub *vs.* Lob) was an independent prognostic factor for early-stage NSCLC with VPI based on the three different cohorts. Patients who underwent lobectomy showed better long-term DSS and OS rates than those treated with sublobectomy after PSM [DSS: hazard ratio (HR) 0.689, 95% confidence interval (CI): 0.490–0.968, P=0.032; OS: HR 0.723, 95% CI: 0.549–0.953, P=0.021]. The IPTW analysis yielded similar results.

**Conclusions:** Lobectomy showed superior long-term survival compared with sublobectomy in patients with early-stage NSCLC with a tumor size  $\leq 2$  cm and VPI.

**Keywords:** Non-small cell lung cancer (NSCLC); SEER database; sublobectomy (Sub); lobectomy (Lob); visceral pleural invasion (VPI)

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#### Introduction

Non-small cell lung cancer (NSCLC) is one of the major causes of cancer-related deaths worldwide, accounting for approximately 85% of all lung cancers (1). Admittedly, with the application of low-dose computed tomography (CT) screening techniques, an increasing number of NSCLC patients in the early stage are being diagnosed (2).

Visceral pleural invasion (VPI), defined as invasion beyond the elastic layer including invasion to the visceral pleural surface (3), has been identified as a non-size-based T2 factor, increasing the T descriptor from T1 to T2a and upstaging a tumor from stage IA to stage IB (4). VPI often serves as an invasive and aggressive indicator of NSCLC (5), and previous studies have demonstrated that VPI correlated with a higher incidence of pleural effusion, poor tumor differentiation, lymph node metastasis, postoperative recurrence, and poor survival (5,6).

Surgical resections are the preferred therapy in the early stable phase of NSCLC (7). Following a randomized controlled trial in 1995, lobectomy became widely adopted as the standard of care and the optimal surgical resection for T1N0 NSCLC (8). However, sublobectomy has been reported as an alternative surgical procedure, especially in patients with significant comorbidities or limited pulmonary function. According to the National Comprehensive Cancer Network (NCCN) Clinical Practice Guideline in Oncology for NSCLC, sublobectomy is acceptable in selected patients with a tumor size  $\leq 2$  cm combined with adenocarcinoma in situ (AIS) histology, ≥50% ground-glass appearance on CT or a long doubling radiologic surveillance time (≥400 days). However, controversy still remains about the impact of VPI on the choice of surgical treatment for early-stage NSCLC with VPI. Several prior retrospective studies included only small-sample, single-institution cases, which were subject to selection bias (9-13).

These gaps in knowledge prompted us to use the Surveillance, Epidemiology and End Results (SEER) database to compare the long-term survival outcomes of sublobectomy versus lobectomy in patients with early-stage NSCLC ( $\leq 2$  cm) characterized by VPI.

#### Methods

#### Data source

The SEER program of the National Cancer Institute (NCI) is an authoritative and widely used source of information on patient demographics, primary tumor sites, tumor morphology and pathological stage at diagnosis, initial course of treatment, and survival information, covering approximately 34.6% of the population of the United States (14). With more than 40 years of longitudinal and ongoing data integration, the SEER population is highly representative in terms of geography, socioeconomic status, race, ethnicity and age. The data provided by the SEER database are anonymous, and the requirement for informed consent was therefore waived. The Institutional Review Committee of Changzheng Hospital (Naval Medical University, Shanghai, China) deemed the study to be nonhuman subject research and therefore approved this study to be exempted research.

#### **Population selection**

From the SEER database, we identified all patients with histologically confirmed NSCLC (adenocarcinoma, squamous cell carcinoma) diagnosed between 2004 and 2013. The last inclusion was in 2013 to guarantee an adequate follow-up time. The study enrolled patients for whom NSCLC was their only primary malignancy. Moreover, patients with VPI and a maximum tumor diameter of 2 cm or less were included in our cohort. Patients who met the following criteria were excluded: aged <18 years; diagnosed while in a nursing home or hospice; had a clarified diagnosis on autopsy or death certificate data; had tumors ≤2 cm in size but upstaged to T2a disease due to hilar atelectasis or obstructive pneumonia; had tumor stage in T3 or T4 disease; had lymph node (LN) involvement (N1, N2, and N3) or distant metastasis (M1); had a history of preoperative chemotherapy or radiotherapy, without sublobectomy or lobectomy; and missing important information on the VPI status, tumor size, tumor node metastasis (TNM) stage, surgical procedure, and survival outcomes. The whole cohort was classified into two subgroups: the lobectomy (Lob) group and the sublobectomy (Sub) group.

#### Study covariates

From the SEER database, data on the baseline demographics of patients, including age, sex, race, marital status, insurance status, and cost-of-living index, were extracted. Clinicopathological data on the year of diagnosis, tumor extension, tumor size, TNM stage, histology (adenocarcinoma or squamous carcinoma), lesion location (upper lobe, middle lobe, or lower lobe), tumor differentiation (well, moderate, or poor) were also collected. In addition, treatment strategies including the surgical procedure (Sub *vs.* Lob), radiotherapy, chemotherapy, and number of LNs sampled were retrieved.

The value of the cost-of-living index is the ratio of the local cost-of-living to the United States populationweighted mean cost-of-living. Tumor location and histology were identified according to the International Classification of Diseases for Oncology, Version 3 (ICD-O-3) in the SEER database. Tumor stage, collected within 4 months of diagnosis or within the completion of the initial course of treatment, was coded according to the sixth [2004–2009] and seventh [2010–2013] editions of the American Joint Committee on Cancer (AJCC) TNM staging systems. Furthermore, due to the staging criteria of our study cohort were based on the sixth and seventh AJCC TNM staging systems, patients were then restaged according to the eighth edition TNM staging criteria.

In the SEER database, the surgery codes were included in 'RX Summ-Surg Prim Site', which was defined as the surgical procedure that removed tissue from the primary site performed as part of the initial course of therapy. Surgical procedures were categorized and coded into the sublobectomy (codes 21–22) group and the lobectomy (codes 30–33) group.

The presence of VPI was ascertained using Collaborative Staging Extension (CSE) codes (410, 420, 430, and 450) based on the Collaborative Stage Data Collection System Coding Instructions (Version 02.05). The International Association for the Study of Lung Cancer (IASLC) recommended the classification of the status of VPI as follows: PL0 (CSE code 410), the tumor grows within the parenchyma or does not completely penetrate the elastic layer; PL1 (CSE code 420), the tumor extends beyond the elastic laver; and PL2 (CSE code 430), the tumor invades into the surface of the visceral pleura. However, patients coded CSE 410, indicating no evidence of VPI, were excluded from our cohort. VPI patients prior to 2010 were classified using CSE code 450, which includes both VPI and extension to the pulmonary ligament. In 2010, the SEER program introduced the distinct CSE code 440 for pulmonary ligament involvement (15). However, Lakha et al. (15) reported that the number and proportion of pulmonary ligament cases among patients with CSE code 450 prior to 2010 was negligible compared with those among patients with VPI. Minimal misclassification was also acceptable in our study.

#### Outcomes

In this study, lung disease-specific survival (DSS) and overall survival (OS) were assessed to evaluate the prognosis and outcomes. Causes of death were coded by the SEER database based on information extracted from the death certificate data. Patients who died of nonlung cancer-related causes were classified as censored at the date of death in the analyses of DSS, while OS was measured from the date of diagnosis to the date of death as a result of any cause. The SEER program is updated annually, including information on follow-up and survival. In this study, the latest patient information was updated in December 2016. Therefore, the survival time was calculated as the number of months from cancer diagnosis until death or the last follow-up (December 31, 2016) for censored observations.

#### Statistical analysis

In the current study, the baseline characteristics of patients treated with sublobectomy or lobectomy were summarized using conventional statistics, such as the mean  $\pm$  standard deviation (SD) or the median for continuous variables and frequencies and percentages for categorical variables. X-tile (Version 3.6.1; Yale University, New Haven, CT, USA), a biostatistics tool, was used to determine the optimal cut-off value of age for survival, similar to previous publications (16,17). Pearson's  $\chi^2$  test or Fisher's exact test was performed for categorical covariates, and Student's t-test was performed for continuous variables, as appropriate.

Given the observational nature of the current study, propensity score matching (PSM) was used to minimize the effect of potential confounders. Baseline characteristics (age, gender, marital status, race, cost-of-living index, year of diagnosis, laterality, lobe, histological type, tumor differentiation, lymphadenectomy/biopsy, radiation, and chemotherapy) were incorporated in the propensity score (PS) analysis. A logistic regression model was constructed to calculate and assign each patient a PS, which was defined as the likelihood of being exposed to an intervention given that the status of particular patient's measured prognostic factors (18,19). Next, 1:1 matching (Sub *vs.* Lob) without replacement was performed using a nearest neighbor matching algorithm, with a fixed caliper width of 0.05 (20).

Regarding the second propensity analysis, the stabilized inverse probability of treatment weight (IPTW) was calculated as the inverse PS for patients undergoing lobectomy and as the inverse (1-PS) for patients undergoing

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		NSCLC betwee	tified as having n 2004 and 20 72,854)				
			$\checkmark$				
	Inclusion criteria		Deleted (No.	)	Remained	(No.)	
	Histologically conf	irmed NSCLC	43,229		229,625		
	Only primary malig	inancy	75,150		154,505		
	Maximum tumor d	iameter ≤ 2 cm	108,049		47,733		
	Patients aged >18	years	23		47,730		
	Patients with VPI		42,548		5,182		
			$\downarrow$				
Exclusion criteria				De	leted (No.)	Remai	ned (No.)
Patients with auto	psy/death certificate	only		66	6 5,179		
Patients receiving	a diagnosis while in	a nursing home or	r hospice	69	69 5,110		
Patients without s	urgical treatment wit	h lobectomy or su	blobectomy	644 4,466		4,466	
Patients without in	vasion beyond the e	elastic layer		315 4,151		4,151	
Clinical stage in T	1, T3, and T4			21	1	3,940	
Lymph node invol	vement (N1, N2, and	N3)		93	2	3,008	
Patients with dista	ant metastasis (M1)			141 2,867		2,867	
Patients with preo	perative radiotherap	y history		24		2,863	
0	on about VPI status, t	, 0	al approach,	67		1,386	
TNM stage as wel	l as survival outcome	es	1				
			↓				
			opulation 1,386				

Figure 1 The Flow Chart of the Study Population Selection Process. SEER, Surveillance, Epidemiology, and End Results; NSCLC, nonsmall cell lung cancer; VPI, visceral pleural invasion.

sublobectomy. Based on the PS, IPTW allows all patients in the unmatched cohort to obtain unbiased estimates of average treatment effects (21). The subsequent survival analyses were weighted by IPTW.

Survival curves according to the unmatched groups were constructed by the Kaplan-Meier (KM) method, and differences between groups were assessed using the logrank test. The median survival time and 3- and 5-year DSS and OS rates were also reported in detail among different cohorts. Univariate and multivariate Cox proportional hazards (PH) models were used to estimate the association between surgical approaches and OS or DSS in the unmatched cohort, the 1:1 matched cohort, and the IPTW cohort, with the results reported as hazard ratios (HRs) for mortality with the corresponding 95% confidence intervals (CIs). In our multivariate Cox PH analysis, all the candidate variables with a P<0.1 in the univariate analysis were included a multivariate model. A backward stepwise regression procedure was used. The sublobectomy group served as the reference group in all models.

For all statistical analyses, a two-sided P value of 0.050 was considered statistically significant. The SEER\*Stat software program (Version 8.3.5; NCI, Bethesda, MD, USA) was used for data extraction. All statistical analyses were performed using SPSS software (Version 22.0; IBM Corporation, St. Louis, Missouri, USA) and R software (Version 3.6.1; The R Project for Statistical Computing, TX, USA; http://www.r-project.org).

#### Results

#### **Basic characteristics**

Between January 1, 2004 and December 31, 2013, the SEER database collected data on 272,854 patients diagnosed with NSCLC (adenocarcinoma or squamous carcinoma). After the inclusion and exclusion criteria were applied, the final study cohort consisted of 1,386 patients who had

NSCLC with a tumor  $\leq 2$  cm in size and VPI. A flow chart of the population selection process is presented in *Figure 1*. Overall, 386 patients (27.85%) underwent sublobectomy, and 1,000 patients underwent lobectomy (72.15%). The baseline characteristics are reported in *Table 1*. Lobectomies were more likely to be performed in young (P<0.001), male (P=0.031), and married (P<0.001) patients with middle lobe tumors (P=0.001) and a pathological type of ADC (P=0.019). Patients who underwent lobectomy had more LNs sampled (P<0.001) and were more likely to complete adjuvant radiation therapy (P<0.001). There were no significant differences in the distribution of other baseline characteristics among patients (P>0.050 for all comparisons).

Table 1 Baseline characteristics before and after PSM analysis

Characteristic	E	Before matching		After matching		
Characteristic	Sub	Lob	P value	Sub	Lob	P value
Total	386	1,000		231	231	
Year of diagnosis, n (%)			0.105			0.854
2004–2006	73 (18.91)	242 (24.20)		48 (20.78)	53 (22.94)	
2007–2009	125 (32.38)	309 (30.90)		72 (31.17)	70 (30.30)	
2010–2013	188 (48.70)	449 (44.90)		111 (48.05)	108 (46.75)	
Age group, n (%)			<0.001			0.298
37-64 years	106 (27.46)	424 (42.40)		72 (31.17)	80 (34.63)	
65–73 years	105 (27.20)	332 (33.23)		69 (29.87)	77 (33.33)	
74–96 years	175 (45.34)	244 (24.42)		90 (38.96)	74 (32.03)	
Insurance status, n (%)			0.052*			0.578*
None	4 (1.04)	12 (1.20)		2 (0.87)	1 (0.43)	
Yes	307 (79.53)	733 (73.30)		180 (77.92)	173 (74.89)	
Unknown	75 (19.43)	255 (25.50)		49 (21.21)	57 (24.68)	
Sex, n (%)			0.031			0.924
Male	143 (37.05)	434 (43.40)		93 (40.26)	94 (40.69)	
Female	243 (62.95)	566 (56.60)		138 (59.74)	137 (59.31)	
Race, n (%)			0.092			0.611
Black	37 (9.59)	88 (8.80)		21 (9.09)	25 (10.82)	
White	326 (84.46)	816 (81.60)		195 (84.42)	187 (80.95)	
Others	23 (5.96)	96 (9.60)		15 (6.49)	19 (8.23)	
Cost-of-living index, n (%)			0.441			0.300
≤1	166 (43.01)	453 (45.30)		103 (44.59)	92 (39.83)	
>1	220 (56.99)	547 (54.70)		128 (55.41)	139 (60.17)	
Marital status, n (%)			<0.001			0.631
Single	36 (9.33)	102 (10.20)		22 (9.52)	31 (13.42)	
Married	197 (51.04)	585 (58.50)		133 (57.58)	127 (54.98)	
Divorced/separated	44 (11.40)	137 (13.70)		24 (10.39)	23 (9.96)	

Table 1 (continued)

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Table 1 (continued)

Characteristic	Before matching			After matching			
	Sub	Lob	P value	Sub	Lob	P value	
Others	109 (28.24)	176 (17.60)		52 (22.51)	50 (21.65)		
Laterality, n (%)			0.330			0.849	
Right	226 (58.55)	614 (61.40)		139 (60.17)	141 (61.04)		
Left	160 (41.45)	386 (38.60)		92 (39.83)	90 (38.96)		
Lobe, n (%)			0.001			0.004	
Upper	248 (64.25)	643 (64.30)		153 (66.23)	149 (64.50)		
Middle	12 (3.11)	88 (8.80)		6 (2.60)	24 (10.39)		
Lower	122 (31.61)	257 (25.70)		70 (30.30)	54 (23.38)		
Others/unknown	4 (1.04)	12 (1.20)		2 (0.87)	4 (1.73)		
Differentiation, n (%)			0.771			0.691	
Well	46 (11.92)	127 (12.70)		29 (12.55)	37 (16.02)		
Moderate	210 (54.40)	514 (51.40)		128 (55.41)	118 (51.08)		
Poor	118 (30.57)	322 (32.20)		68 (29.44)	69 (29.87)		
Unknown	12 (3.11)	37 (3.70)		6 (2.60)	7 (3.03)		
Pathological type, n (%)			0.019			0.905	
Adenocarcinoma	298 (77.20)	827 (82.70)		187 (80.95)	188 (81.39)		
Squamous carcinoma	88 (22.80)	173 (17.30)		44 (19.05)	43 (18.61)		
Lymphadenectomy/biopsy, n (%)			<0.001			0.688	
None	180 (46.63)	32 (3.20)		34 (14.72)	31 (13.42)		
Yes	206 (53.37)	968 (96.80)		197 (85.28)	200 (86.58)		
Number of LNs sampled, n (%)			<0.001			<0.001	
None/unknown	208 (53.89)	108 (10.80)		61 (26.41)	72 (31.17)		
1–3	95 (24.61)	149 (14.90)		88 (38.10)	49 (21.21)		
4–6	50 (12.95)	227 (22.70)		49 (21.21)	47 (20.35)		
7–9	12 (3.11)	213 (21.30)		12 (5.19)	30 (12.99)		
10–12	9 (2.33)	111 (11.10)		9 (3.90)	12 (5.19)		
≥13	12 (3.11)	192 (19.20)		12 (5.19)	21 (9.09)		
Radiation, n (%)			<0.001			0.190	
None/unknown	348 (90.16)	982 (98.20)		217 (93.94)	223 (96.54)		
Yes	38 (9.84)	18 (1.80)		14 (6.06)	8 (3.46)		
Chemotherapy, n (%)			0.019			0.141	
None/unknown	352 (91.19)	866 (86.60)		210 (90.91)	200 (86.58)		
Yes	34 (8.81)	134 (13.40)		21 (9.09)	31 (13.42)		

Data is presented as numbers with percentage of study population in brackets. P\* is calculated by Fisher's exact test. PSM, propensity score matching; Sub, sublobectomy; Lob, lobectomy; LN, lymph node.

Table 2 Results of D35 for patients treated with a subiobectomy of fobectomy in three different conorts					
Study cohort	Survival model	HR (sub vs. lob)	95% Cls	P value	
Unmatched	Univariable	0.513	0.418-0.628	<0.001	
Unmatched	Multivariable	0.702	0.538-0.915	0.009	
Propensity-based matched	Univariable	0.597	0.427-0.835	0.003	
Propensity-based matched	Multivariable	0.689	0.490-0.968	0.032	
IPTW weighted	Univariable	0.825	0.720-0.946	0.006	
IPTW weighted	Multivariable	0.765	0.665–0.882	<0.001	

Table 2 Results of DSS for patients treated with a sublobectomy or lobectomy in three different cohorts

Survival model was constructed by Cox proportional hazards regression. DSS, disease-specific survival; HR, hazard ratio; CIs, confidence intervals; IPTW, inverse probability of treatment weighting; Sub, sublobectomy; Lob, lobectomy.

Table 3 Results of OS rates for patients treated with a sublobectomy or lobectomy in three different cohorts

Study cohort	Survival model	HR (Sub vs. Lob)	95% Cls	P value
Unmatched	Univariable	0.507	0.432-0.597	<0.001
Unmatched	Multivariable	0.787	0.629–0.985	0.037
Propensity-based matched	Univariable	0.619	0.473-0.810	<0.001
Propensity-based matched	Multivariable	0.723	0.549–0.953	0.021
IPTW weighted	Univariable	0.796	0.714–0.888	<0.001
IPTW weighted	Multivariable	0.724	0.646-0.812	<0.001

Survival model was constructed by Cox proportional hazards regression. OS, overall survival; HR, Hazard ratio; CIs, confidence intervals; IPTW, inverse probability of treatment weighted; Sub, sublobectomy; Lob, lobectomy.

#### Survival analysis before matching

The median follow-up time for the entire cohort was 58 months [interquartile range (IQR): 37–89 months]. A total of 634 (45.74%) patients died from any cause, and 400 (28.86%) patients died from NSCLC at the end of the study period (December 2013). The 3- and 5-year DSS rates for the lobectomy group were 84.70% (95% CI: 82.97–87.06%) and 78.64% (95% CI: 75.95–81.42%), respectively, and those for the sublobectomy group were 73.03% (95% CI: 68.56–77.78%) and 59.47% (95% CI: 54.27–65.16%), respectively. The 3- and 5-year OS rates were 81.67% (95% CI: 79.30–84.12%) and 70.13% (95% CI: 67.22–73.16%) in the lobectomy group, and 63.39% (95% CI: 58.76–68.39%) and 46.92% (95% CI: 41.97–52.45%) in the sublobectomy group, respectively.

In the univariate analysis of the unmatched cohort, patients treated with lobectomy had better DSS (HR 0.513, 95% CI: 0.418–0.628, P<0.001) and OS (HR 0.507; 95% CI: 0.432–0.597, P<0.001) rates than those treated with sublobectomy (*Tables 2,3, Tables S1,S2*). After adjusting for

other covariates, the multivariate analysis demonstrated that patients undergoing lobectomy had better DSS (HR 0.702; 95% CI: 0.538–0.915, P=0.009) and OS (HR 0.787, 95% CI: 0.629–0.985, P=0.037) rates than those undergoing sublobectomy (*Tables 2,3, Tables S1,S2*). *Figure 2A,B* depict the KM curves for DSS and OS in the unmatched cohort (P<0.001).

#### Survival analysis after PSM

PSM produced 231 matched pairs (N=462, 33.33%). Matching was effective in controlling the covariate imbalance. With a total of 15 covariates included in the PS model, 13 covariates were well balanced (P>0.050) between the two groups after matching except for the number of LNs sampled and tumor location. However, choosing stricter caliper widths would reduce the number of matched pairs. A univariate Cox PH analysis was used in the matched sample, and significant differences in survival were observed between the sublobectomy and lobectomy groups (DSS: HR 0.597, 95% CI: 0.427–0.835, P=0.003; OS: HR 0.619,



**Figure 2** Kaplan-Meier (KM) survival curves by type of surgeries (sublobectomy *vs.* lobectomy). (A,B) KM curves for lung disease-specific survival (DSS) (P<0.0001) and overall survival (OS) (P<0.0001) in the unmatched cohort; (C,D) KM curves for DSS (P=0.0023) and OS (P=0.00041) in the propensity score matching (PSM) cohort; (E,F) KM curves for DSS (P<0.0001) and OS (P<0.0001) in the inverse probability of treatment weighting (IPTW) cohort (P<0.001). P value indicates a log-rank test.

95% CI: 0.473–0.810, P<0.001) (*Tables 2,3, Tables S3,S4*). In the multivariate Cox PH analysis, lobectomy was associated with an approximately30% relative increase in the DSS (HR 0.689, 95% CI: 0.490–0.968, P=0.032) and OS (HR 0.723, 95% CI: 0.549–0.953, P=0.021) rates (*Tables 2,3, Tables S3,S4*). *Figure 2C,D* depict the KM curves for DSS and OS in the PSM cohort (DSS: P=0.002; OS: P<0.001).

#### Survival analysis using the IPTW approach

Both univariate (DSS: 0.825, 95% CI: 0.720-0.946, P=0.006; OS 0.796, 95% CI: 0.714-0.888, P<0.001) and multivariate (DSS: HR 0.765, 95% CI: 0.665-0.882, P<0.001; OS: HR 0.724, 95% CI: 0.646-0.812, P<0.001) IPTW Cox PH models showed that lobectomy was associated with decreased mortality compared to sublobectomy (*Tables 2,3, Tables S5,S6*). *Figure 2E,F* depict the KM curves for DSS and OS in the IPTW cohort (P<0.001).

#### HRs in three different coborts

In our univariate and multivariate analyses based on three different cohorts (the unmatched cohort, the propensitybased matched cohort, and the IPTW cohort), all 12 Cox PH regression models demonstrated that the type of surgery (Sub *vs.* Lob) was an independent prognostic factor for early-stage NSCLC with VPI. Lobectomy was associated with a reduced mortality and prolonged survival, with HRs ranging from 0.418 to 0.985. IPTW revealed the relatively more conservative differences between sublobectomy and lobectomy compared with the univariate analysis based on the unmatched cohort.

#### Discussion

The standard surgical procedure of NSCLC in the early stage, pulmonary lobectomy combined with mediastinal LN dissection, has existed for years and has been considered a curative procedure that for lung cancer. Over the last few decades, the population demographics of NSCLC have changed, with an increasing number of elderly patients with many comorbidities being diagnosed, which has renewed interest in sublobectomy as an alternative therapeutic approach in patients with poor pulmonary function. There are several components regarding NSCLC staging that are able to influence surgeons' treatment strategies (22). VPI is one of the important elements in the treatment of earlystage resectable NSCLC, and the optimal extent of the surgical procedure for early-stage NSCLC with VPI is still under debate and not deeply understood. No randomized controlled trials comparing survival after sublobectomy or lobectomy have been reported to date. Moreover, the results based on several small single-institution studies were subject to selection bias (10,12).

To address these limitations, we evaluated the survival outcomes of patients undergoing sublobectomy versus lobectomy in three different cohorts by performing a propensity analysis of a SEER sample of 1,386 patients diagnosed with early-stage NSCLC (≤2 cm) with VPI. We found that the extent of the surgical resection (Sub vs. Lob) was an independent prognostic factor by virtue of either the univariate or multivariate Cox PH model. In addition, patients treated with lobectomy experienced better survival outcomes than those who were treated with sublobectomy. This benefit was consistent after PSM and IPTW analyses. To the best of our knowledge, this is the largest cohort examined to dedicatedly compare lobectomy and sublobectomy in small-sized/VPI NSCLC. The SEER program collects data on cancer patients from 18 registries throughout the United States, resulting in a high level of generalizability. Thus, our findings were based on a comprehensive setting and had strong external validity. Moreover, an adequate follow-up time and relatively complete survival data provided sufficient power to assess the efficacy of the extent of surgical resection. Finally, propensity analysis was performed to produce less biased estimates of treatment effects. However, a disadvantage of PSM is the exclusion of many unmatched cases. The IPTW approach was used to verify the results of 1:1 matching based on the entire study population.

There are several studies regarding surgical strategies for early-stage NSCLC with VPI. Xie *et al.* (10) reported that sublobar resection was an independent risk factor for recurrence in patients with lung adenocarcinomas with sizes of 2 cm or less and VPI positivity. A study by Jiwangga *et al.* (13) reported that VPI was a significant predictive factor for pleural seeding and bilateral lung metastasis as patterns of recurrence in pathologic stage I lung adenocarcinoma, which might be the main obstacle for long-term survival after resection. However, neither longterm DSS nor OS stratified by the extent of surgical resection was calculated in these two studies. Wo and colleagues (11) analyzed the prognostic value of surgical extent in patients with T1-sized/VPI tumors between 2010 and 2015 based on the SEER database and showed that

patients who underwent sublobectomy had slightly shorter survival times than those who underwent lobectomy, but the difference was not statistically significant. The time periods of studies, inclusion and exclusion criteria of the study cohort, and covariates included in the survival models may have led to inconsistent results. However, their study had several limitations, including a limited number of patients who underwent sublobectomy, relatively few outcome events, and a short follow-up due to their data being extracted from the SEER program (2017 update). In contrast to their study, data on the extent of VPI (PL1 and PL2) were not collected in our study for the survival analysis because data were not available before 2010 in the SEER database; however, several studies have confirmed that the extent of VPI may not influence survival outcomes in patients with completely resected NSCLC with VPI (11,23,24). Moon et al. (12) studied the surgical outcomes of 89 NSCLC patients with VPI (N=38) or lymphovascular invasion (N=71) and showed that the OS rate did not differ significantly by the surgical extent (P=0.615), and sublobar resection was not an independent risk factor according to the multivariate analysis. However, their study, a singlecenter, retrospective review, was limited to a small sample size and few outcome events, which might have affected the performance of regression modeling and could have led to misleading results (25-27). In addition, their study did not distinguish VPI from lymphovascular invasion, which is also a poor prognostic feature of small-sized NSCLC and might have a simultaneous effect on VPI (9,28).

The impact of surgical procedures on survival could be attributed to several underlying reasons. First, VPI is correlated with a high incidence of LN metastasis, and lobectomy shows good lymphatic clearance and sampling. Kudo et al. (29) found that the visceral pleura is phenomenally rich in lymphatic vessels, with an intercommunicating network arranged over the lung surface that penetrates into the lung parenchyma to join the bronchial lymph vessels with drainage to various hilar LNs. Moreover, Imai et al. (30) showed that the lymphatic vessels beneath the pleura might flow directly into the mediastinum without passing through the hilar LNs, which causes skip N2 metastases. Thus, lobectomy, which tends to perform more extensive LN resection, is associated with a better prognosis compared with sublobectomy. Second, there is potentially a concern that sublobectomy is associated with less LN sampling or dissection than lobectomy, resulting in a less precise prediction of nodal staging accuracy (31). Although the current study excluded patients with LN

involvement, more undiscovered metastatic LNs might exist in the sublobectomy group due to less extensive lymphadenectomy. In addition, it is quite easy for a sublobectomy to damage the integrity of the LN and disrupt the drainage system, thus reducing lymphatic fluid release during the process of segmental LN dissection. Finally, regardless of how carefully a sublobar resection of NSCLC is performed, the possibility of cancer cells at the surgical margin remains, which is associated with locoregional recurrence and a poor prognosis (32,33). Lobectomy is a more reliable procedure to obtain R0 resection at the surgical margin than sublobectomy. In summary, the focus should be on the quality of the resection—with the surgeon routinely performing hilar and mediastinal LN sampling and ensuring as wide of a resection margin as possible.

In general, lobectomy showed superior OS and DSS rates compared with sublobectomy in our current study. The survival rates reported in our analysis are comparable to those reported in previous studies (10-12,34). No prior studies have reported long-term OS data in patients with small-sized NSCLC accompanied by VPI and treated with sublobectomy or lobectomy. Thus, the OS rates reported in the current study can serve as a benchmark for future comparisons. In addition, the biological characteristics of VPI itself and the extent of LN dissection are the main factors that contributed to the difference in survival outcomes of the two kinds of surgical procedures.

Previous studies demonstrated that patients who underwent sublobectomy were older, and had worse cardiopulmonary reserve and other associated comorbidity (35,36), which might cause treatment selection biases. In the current study, with attempts to correct for selection bias by using the PSM analysis and the IPTW method, multivariate Cox PH models show that lobectomy was associated with decreased mortality compared to sublobectomy (P<0.05). The choice of surgical resections is still associated with the location of tumor (lesion of lobe), its proximity to important blood vessels, and blood vessel invasion (BVI) (37). Based on SEER database, Lin et al. (38) compared the prognosis of patients with stage IA right middle lobe (RML) NSCLC, and concluded that patients undergoing lobectomy had better prognosis than those undergoing sublobectomy. Gabor et al. (37) reported that the BVI should be considered as an important prognostic factor with a higher risk for recurrence in resectable N0M0 patients with NSCLC, which might emphasize the significance of extensive resection.

One of the limitations of the SEER database is the lack

of detailed data, such as smoking history, tumor location (central vs. peripheral), type of resection (R0, R1 or R2), and comorbidities. In addition, information about recurrence is not available, and progression-free survival cannot be calculated. However, this current study showed comparable DSS rates to those previously published, and DSS can be assumed to be an indirect extension of progression-free survival. Another limitation is its retrospective nature. Retrospective studies almost always have bias because prognostic factors are unequally distributed between patients exposed or not exposed to an intervention (27,39). PSM analysis and the IPTW method were applied in the current study to control the covariate imbalance and selection bias. Some prognostic factors, however, may still be missing or suboptimally measured, which bias the estimate of the treatment effect (i.e., residual confounding) (39).

In summary, our study analyzed the treatment effect of sublobectomy and lobectomy procedures on the survival of early-stage NSCLC patients with VPI and can conclude that patients treated with lobectomy exhibited better OS and DSS outcomes for the treatment of small-sized ( $\leq 2$  cm) tumors in the early stage of NSCLC characterized by VPI than those who were treated with sublobectomy. Thus, our findings might provide good surgical guidance for the treatment of patients in the early stage of NSCLC accompanied by VPI in the absence of a randomized clinical trial.

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#### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. In this study, we use data from surveillance, epidemiology and end results (SEER) database: a publicly available database. The Institutional Review Committee of Changzheng Hospital (Naval

Medical University, Shanghai, China) deemed the study to be nonhuman subject research and therefore approved this study to be exempted research.

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#### Supplementary

#### Table S1 Cox regression analysis of associations prognostic factors and DSS in the unmatched cohort

Characteristic			Multivariate	Dualua
	HR (95% CI)	P value	HR (95% CI)	P value
Year of diagnosis (year)				
2004–2006	Reference	0.450		
2007–2009	1.100 (0.858–1.409)	0.453		
2010–2013	0.872 (0.671–1.132)	0.303		
Age group 37–64 years	Reference			
65–73 years	1.226 (0.963–1.562)	0.099	1.185 (0.930–1.510)	0.171
74–96 years	1.548 (1.221–1.964)	<0.001	1.389 (1.088–1.774)	0.008
Insurance status	1.340 (1.221-1.304)	<0.001	1.003 (1.000–1.774)	0.000
None	Reference			
Yes	1.438 (0.461–4.486)	0.532		
Unknown	1.474 (0.468–4.644)	0.508		
Sex		0.000		
Male	Reference			
Female	0.703 (0.578–0.856)	<0.001	0.675 (0.554–0.822)	<0.001
Race				
Black	Reference			
White	1.163 (0.814–1.663)	0.407		
Others	0.820 (1.351–1.351)	0.437		
Cost–of–living index	· · · ·			
≤1	Reference			
>1	0.895 (0.735–1.089)	0.266		
Marital status				
Single	Reference			
Married	0.965 (0.686–1.355)	0.835		
Divorced/separated	0.988 (0.652–1.496)	0.953		
Others	1.057 (0.724–1.544)	0.775		
Laterality				
Right	Reference			
Left	1.049 (0.859–1.281)	0.638		
Lobe				
Upper	Reference			
Middle	0.757 (0.490–1.170)	0.211		
Lower	1.017 (0.815–1.269)	0.883		
Others/unknown	0.896 (0.334–2.405)	0.827		
Differentiation				
Well	Reference			
Moderate	1.394 (0.992–1.958)	0.056	NS	
Poor	1.269 (0.886–1.818)	0.194	NS	
Unknown	0.961 (0.492–1.876)	0.907	NS	
Pathological type				
Adenocarcinoma	Reference			
Squamous carcinoma	1.205 (0.942–1.541)	0.139		
Lymphadenectomy/Biopsy				
None	Reference			
Yes	0.453 (0.360–0.570)	<0.001	0.621 (0.464–0.830)	0.001
Number of LNs sampled				
None/unknown	Reference			
1–3	0.701 (0.527–0.933)	0.015	NS	
4-6	0.540 (0.403–0.723)	< 0.001	NS	
7-9	0.569 (0.416–0.777)	< 0.001	NS	
10–12	0.524 (0.355–0.773)	0.001	NS	
≥13 Rediction	0.381 (0.266–0.546)	<0.001	NS	
Radiation	Defense			
None/unknown	Reference	-0.004	1 706 (1 151 0 500)	0.000
Yes	2.057 (1.400–3.021)	<0.001	1.706 (1.151–2.530)	0.008
Chemotherapy				
None/unknown	Reference	0 700		
Yes	0.948 (0.704–1.275)	0.722		
Surgery Sub	Reference			
Lob	0.513 (0.418–0.628)	<0.001	0.702 (0.538–0.915)	0.009

HRs are only reported on multivariate analysis if they remained significant. DSS, disease-specific survival; Sub, sublobectomy; Lob, lobectomy; LN, lymph node; HR, Hazard ratio; Cls, confidence intervals; NS, not significant.

Table S2 Cox regression	analysis of associatio	ns prognostic factors and	OS in the unmatched cohort

Characteristic	Univariate	Multivariate		
	HR (95% CI)	P value	HR (95% CI)	P value
Year of diagnosis (year)				
2004–2006	Reference			
2007–2009	1.022 (0.839–1.245)	0.831		
2010–2013	0.901 (0.731–1.110)	0.326		
Age group (year)				
37–64	Reference			
65–73	1.449 (1.186–1.771)	<0.001	1.366 (1.116–1.672)	0.003
74–96	2.178 (1.799–2.637)	<0.001	1.870 (1.532–2.283)	<0.001
Insurance status				
None	Reference			
Yes	1.288 (0.533–3.111)	0.574		
Unknown	1.343 (0.552–3.268)	0.516		
Sex				
Male	Reference			
Female	0.709 (0.606–0.828)	<0.001	0.677 (0.578–0.793)	<0.001
Race				
Black	Reference			
White	1.131 (0.857–1.493)	0.385	NS	
Others	0.619 (0.408–0.939)	0.024	NS	
Cost–of–living index				
≤1	Reference			
>1	0.884 (0.756–1.033)	0.122		
Marital status				
Single	Reference			
Married	0.884 (0.677–1.154)	0.365		
Divorced/separated	0.932 (0.672–1.294)	0.675		
Others	1.119 (0.835–1.500)	0.452		
Laterality				
Right	Reference			
Left	1.000 (0.853–1.174)	0.996		
Lobe				
Upper	Reference			
Middle	0.871 (0.630–1.205)	0.405		
Lower	0.969 (0.810–1.158)	0.726		
Others/unknown	1.045 (0.495–2.207)	0.908		
Differentiation				
Well	Reference			
Moderate	1.202 (0.930–1.554)	0.161		
Poor	1.109 (0.844–1.457)	0.457		
Unknown	0.880 (0.524–1.476)	0.627		
Pathological type				
Adenocarcinoma	Reference			
Squamous carcinoma	1.453 (1.207–1.750)	<0.001	1.237 (1.023–1.496)	0.028
Lymphadenectomy/biopsy				
None	Reference			

None/unknown	Reference			
1–3	0.657 (0.522–0.826)	<0.001	0.969 (0.706–1.330)	0.845
4–6	0.544 (0.433–0.684)	<0.001	0.856 (0.620–1.183)	0.346
7–9	0.585 (0.459–0.746)	<0.001	0.947 (0.675–1.327)	0.751
10–12	0.405 (0.289–0.567)	<0.001	0.694 (0.461–1.045)	0.080
≥13	0.379 (0.286–0.502)	<0.001	0.640 (0.444–0.923)	0.017
Radiation				
None/unknown	Reference			
Yes	1.578 (1.122–2.219)	0.009	NS	
Chemotherapy				
None/unknown	Reference			
Yes	0.793 (0.618–1.019)	0.070	NS	
Surgery				
Sub	Reference			
Lob	0.507 (0.432–0.597)	<0.001	0.787 (0.629–0.985)	0.037

Number of LNs sampled

HRs are only reported on multivariate analysis if they remained significant. OS, overall survival; Sub, sublobectomy; Lob, lobectomy; LN, lymph node; HR, Hazard ratio; CIs, confidence intervals; NS, not significant.

Characteristic	Univariate	Multivariate			
	HR (95% CI)	P value	HR (95% CI)	P value	
Year of diagnosis (year)					
2004–2006	Reference				
2007–2009	1.184 (0.780–1.797)	0.427			
2010–2013	0.764 (0.491–1.189)	0.233			
Age group (year)					
37–64	Reference				
65–73	1.535 (1.004–2.346)	0.048	1.639 (1.065–2.521)	0.025	
74–96	1.736 (1.145–2.632)	0.009	1.913 (1.245–2.938)	0.003	
Insurance status					
None	Reference				
Yes	/	/			
Unknown	/	/			
Sex					
Male	Reference				
Female	0.675 (0.485–0.938)	0.019	0.694 (0.495–0.973)	0.034	
Race					
Black	Reference				
White	1.453 (0.783–2.695)	0.236			
Others	1.051 (0.446–2.477)	0.909			
Cost-of-living index					
≤1	Reference				
>1	0.832 (0.597–1.161)	0.279			
Marital status					
Single	Reference				
Married	1.010 (0.581–1.754)	0.972			
Divorced/separated	1.141 (0.564–2.308)	0.715			
Others	1.044 (0.565–1.929)	0.890			
Laterality					
Right	Reference				
Left	1.017 (0.727–1.423)	0.921			
Lobe					
Upper	Reference				
Middle	1.046 (0.528–2.073)	0.898			
Lower	1.059 (0.731–1.534)	0.764			
Others/unknown	0.000 (0.000–Inf)	0.994			
Differentiation					
Well	Reference				
Moderate	1.693 (0.978–2.931)	0.060	NS		
Poor	1.388 (0.767–2.513)	0.279	NS		
Unknown	0.274 (0.036–2.077)	0.210	NS		
Pathological type	· · ·				
Adenocarcinoma	Reference				
Squamous carcinoma	1.086 (0.714–1.651)	0.701			
Lymphadenectomy/biopsy	· · · · · ·				
	5 (				
None	Reference				

### Table S3 Cox regression analysis of associations prognostic factors and DSS in the PSM cohort

Number of LNs sampled

None/Unknown	Reference			
1–3	0.974 (0.656–1.445)	0.895	NS	
4–6	0.569 (0.345–0.940)	0.028	NS	
7–9	0.485 (0.230–1.023)	0.058	NS	
10–12	0.734 (0.332–1.621)	0.444	NS	
≥13	0.358 (0.153–0.837)	0.018	NS	
Radiation				
None/unknown	Reference			
Yes	2.431 (1.397–4.231)	0.001	2.275 (1.285–4.028)	0.005
Chemotherapy				
None/unknown	Reference			
Yes	1.283 (0.807–2.041)	0.293		
Surgery				
Sub	Reference			
Lob	0.597 (0.427–0.835)	0.003	0.689 (0.490–0.968)	0.032

HRs are only reported on multivariate analysis if they remained significant. PSM, propensity score matching; DSS, disease-specific survival; Sub, sublobectomy; Lob, lobectomy; LN, lymph node; HR, Hazard ratio; Cls, confidence intervals; NS, not significant.

Characteristic	Univariate	Multivariate			
	HR (95% CI)	P value	HR (95% CI)	P value	
Year of diagnosis (year)					
2004–2006	Reference				
2007–2009	1.191 (0.849–1.671)	0.311			
2010–2013	0.807 (0.564–1.156)	0.243			
Age group (year)					
37–64	Reference				
65–73	1.538 (1.077–2.198)	0.018	1.744 (1.210–2.514)	0.003	
74–96	2.177 (1.556–3.046)	<0.001	2.219 (1.567–3.142)	<0.001	
Insurance status					
None	Reference				
Yes	/	/			
Unknown	/	/			
Sex					
Male	Reference				
Female	0.620 (0.475–0.808)	<0.001	0.589 (0.448–0.775)	<0.001	
Race					
Black	Reference				
White	1.620 (0.972–2.700)	0.064	NS		
Others	0.805 (0.374–1.736)	0.581	NS		
Cost-of-living index					
≤1	Reference				
>1	1.000 (0.766–1.305)	1.000			
Marital status					
Single	Reference				
Married	0.862 (0.568–1.309)	0.487			
Divorced/separated	0.807 (0.452–1.439)	0.468			
Others	0.930 (0.583–1.483)	0.760			
Laterality					
Right	Reference				
Left	0.889 (0.676–1.170)	0.403			
Lobe					
Upper	Reference				
Middle	1.219 (0.727–2.043)	0.454			
Lower	1.010 (0.744–1.371)	0.950			
Others/unknown	1.063 (0.338–3.338)	0.917			
Differentiation					
Well	Reference				
Moderate	1.425 (0.932–2.177)	0.102			
Poor	1.352 (0.859–2.126)	0.192			
Unknown	0.488 (0.148–1.612)	0.239			
Pathological type					
Adenocarcinoma	Reference				
Squamous carcinoma	1.171 (0.841–1.631)	0.349			
Lymphadenectomy/biopsy					
None	Reference				
Yes	0.524 (0.381–0.721)	<0.001	0.575 (0.414–0.798)	0.001	

Number of LNs sampled

None/Unknown	Reference			
1–3	0.916 (0.661–1.270)	0.599	NS	
4–6	0.716 (0.491–1.044)	0.083	NS	
7–9	0.6027 (0.2194–1.0491)	0.073	NS	
10–12	0.476 (0.219–1.034)	0.061	NS	
≥13	0.373 (0.192–0.723)	0.004	NS	
Radiation				
None/unknown	Reference			
Yes	1.838 (1.118–3.022)	0.016	2.101 (1.260–3.503)	0.004
Chemotherapy				
None/unknown	Reference			
Yes	0.892 (0.583–1.364)	0.597		
Surgery				
Sub	Reference			
Lob	0.619 (0.473–0.810)	<0.001	0.723 (0.549–0.953)	0.021

HRs are only reported on multivariate analysis if they remained significant. PSM, propensity score matching; OS, overall survival; Sub, sublobectomy; Lob, lobectomy; LN, lymph node; HR, hazard ratio; CIs, confidence intervals; NS, not significant.

Characteristic	Univariate		Multivariate		
	HR (95% CI)	P value	HR (95% CI)	P value	
Year of diagnosis (year)					
2004–2006	Reference				
2007–2009	1.079 (0.912–1.277)	0.374	0.92 (0.775–1.101)	0.3751	
2010–2013	0.845 (0.706–1.010)	0.065	0.776 (0.645–0.935)	0.008	
Age group (year)					
37–64	Reference				
65–73	1.385 (1.171–1.638)	<0.001	1.371 (1.152–1.631)	<0.001	
74–96	1.364 (1.145–1.625)	<0.001	1.481 (1.223–1.794)	<0.001	
Insurance status					
None	Reference				
Yes	2.124 (0.764–5.905)	0.149			
Unknown	2.213 (0.792–6.185)	0.130			
Sex					
Male	Reference				
Female	0.573 (0.500–0.656)	<0.001	0.553 (0.476–0.643)	<0.001	
Race					
Black	Reference				
White	1.626 (1.283–2.060)	<0.001	1.862 (1.443–2.404)	<0.001	
Others	0.555 (0.388–0.794)	0.001	0.657 (0.442–0.977)	0.038	
Cost-of-living index					
≤1	Reference				
>1	1.010 (0.879–1.160)	0.892			
Marital status					
Single	Reference				
Married	0.824 (0.643–1.056)	0.126			
Divorced/separated	0.773 (0.570–1.048)	0.098			
Others	0.848 (0.642–1.121)	0.247			
Laterality					
Right	Reference				
Left	1.072 (0.933–1.233)	0.326			
Lobe					
Upper	Reference				
Middle	0.420 (0.288–0.613)	<0.001			
Lower	0.712 (0.608–0.835)	<0.001			
Others/unknown	0.302 (0.119–0.767)	0.012			
Differentiation					
Well	Reference				
Moderate	1.908 (1.499–2.428)	<0.001	1.735 (1.350–2.229)	<0.001	
Poor	1.442 (1.119–1.859)	0.005	1.534 (1.175–2.003)	0.002	
Unknown	0.727 (0.396–1.334)	0.303	0.646 (0.349–1.195)	0.163	
Pathological type					
Adenocarcinoma	Reference				
Squamous carcinoma	1.384 (1.166–1.644)	<0.001			
Lymphadenectomy/biopsy					
None	Reference				
Yes	0.470 (0.401–0.551)	<0.001	0.463 (0.345–0.620)	<0.001	

Number of LNs sampled

Lob	0.825 (0.720–0.946)	0.006	0.765 (0.665–0.882)	<0.001
Sub	Reference			
Surgery				
Yes	0.813 (0.658–1.004)	0.054	NS	
None/unknown	Reference			
Chemotherapy				
Yes	1.059 (0.782–1.436)	0.710		
None/unknown	Reference			
Radiation				
≥13	0.447 (0.360–0.556)	<0.001	NS	
10–12	0.484 (0.359–0.654)	<0.001	NS	
7–9	0.540 (0.438–0.666)	0.002	NS	
4–6	0.540 (0.438–0.666)	<0.001	NS	
1–3	0.713 (0.584–0.871)	<0.001	NS	
None/unknown	Reference			

HRs are only reported on multivariate analysis if they remained significant. IPTW, inverse probability of treatment weighted; DSS, disease-specific survival; Sub, sublobectomy; Lob, lobectomy; LN, lymph node; HR, hazard ratio; CIs, confidence intervals; NS, not significant.

Characteristic	Univariate		Multivariate	
	HR (95% CI)	P value	HR (95% CI)	P value
Year of diagnosis (year)				
2004–2006	Reference			
2007–2009	0.979 (0.849–1.128)	0.765		
2010–2013	1.030 (0.890–1.192)	0.691		
Age group (year)				
37–64	Reference			
65–73	1.456 (1.269–1.671)	<0.001	1.518 (1.314–1.753)	<0.001
74–96	1.974 (1.719–2.267)	<0.001	1.833 (1.572–2.138)	<0.001
Insurance status				
None	Reference			
Yes	1.895 (0.865–4.151)	0.110		
Unknown	1.773 (0.806–3.904)	0.155		
Sex				
Male	Reference			
Female	0.576 (0.517–0.643)	<0.001	0.562 (0.498–0.634)	<0.001
Race				
Black	Reference			
White	1.960 (1.605–2.393)	<0.001	2.112 (1.706–2.613)	<0.001
Others	0.450 (0.327–0.620)	<0.001	0.561 (0.394–0.800)	0.001
Cost-of-living index				
≤1	Reference			
>1	0.737 (0.657–0.827)	<0.001	NS	
Marital status				
Single	Reference			
Married	0.655 (0.545–0.788)	<0.001	0.627 (0.518–0.758)	<0.001
Divorced/separated	0.551 (0.434–0.701)	<0.001	0.612 (0.475–0.789)	<0.001
Others	0.746 (0.606–0.917)	<0.001	0.699 (0.560–0.871)	0.001
Laterality				
Right	Reference			
Left	0.943 (0.807–1.102)	0.460		
Lobe				
Upper	Reference			
Middle	0.545 (0.416–0.713)	<0.001	0.659 (0.495–0.879)	0.005
Lower	0.706 (0.621–0.802)	<0.001	0.867 (0.758–0.992)	0.037
Others/unknown	0.526 (0.295–0.940)	0.030	0.631 (0.351–1.134)	0.124
Differentiation				
Well	Reference			
Moderate	1.570 (1.309–1.883)	<0.001	1.420 (1.171–1.721)	<0.001
Poor	1.252 (1.034–1.515)	0.021	1.406 (1.145–1.726)	0.001
Unknown	0.944 (0.628–1.419)	0.781	0.842 (0.555–1.277)	0.419
Pathological type				
Adenocarcinoma	Reference			
Squamous carcinoma	1.529 (1.337–1.749)	<0.001	1.178 (1.023–1.356)	0.022
Lymphadenectomy/biopsy				
None	Reference			
Yes	0.511 (0.448–0.583)	<0.001	0.569 (0.454–0.713)	<0.001

Table S6 Cox regression analysis of associations prognostic factors and OS in the IPTW cohort

Number of LNs sampled

Lob	0.796(0.714–0.888)	<0.001	0.724(0.646–0.812)	<0.001
Sub	Reference			
Surgery				
Yes	0.601 (0.499–0.724)	<0.001	NS	
None/unknown	Reference			
Chemotherapy				
Yes	0.846 (0.647–1.105)	0.220		
None/unknown	Reference			
Radiation				
≥13	0.418 (0.350–0.499)	<0.001	NS	
10–12	0.376 (0.287–0.493)	<0.001	NS	
7–9	0.894 (0.758–1.054)	0.184	NS	
4–6	0.582 (0.493–0.687)	<0.001	NS	
1–3	0.687 (0.583–0.810)	<0.001	NS	
None/unknown	Reference			

HRs are only reported on multivariate analysis if they remained significant. IPTW, inverse probability of treatment weighted; OS, overall survival; Sub, sublobectomy; Lob, lobectomy; LN, lymph node; HR, Hazard ratio; Cls, confidence intervals; NS, not significant.