

#### Instructions

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Section 1. Identifying Inform		
1. Given Name (First Name) Ali	2. Surname (Last Name) Khawaja	3. Date 19-March-2020
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title Do We Need to See to Believe? Radiom	ics for Lung Nodule Classification and Lung Cancer R	isk Stratification
6. Manuscript Identifying Number (if you kr JTD-2019-NDT-07(JTD-20-882)	now it)	
Section 2. The Work Under C		
Did you or your institution <b>at any time</b> rece	onsideration for Publication ive payment or services from a third party (government, co g but not limited to grants, data monitoring board, study d est? Yes Y No	
Section 3. Relevant financial	activities outside the submitted work.	
of compensation) with entities as descr	in the table to indicate whether you have financial re ibed in the instructions. Use one line for each entity; port relationships that were <b>present during the 36 r</b> est? Yes Y No	add as many lines as you need by
Section 4. Intellectual Proper	rty Patents & Copyrights	

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves



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Dr. Khawaja has nothing to disclose.

### **Evaluation and Feedback**



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Section 1.	Identifying Inform	nation		
1. Given Name (Fin Brian	rst Name)	2. Surname (Last Name Bartholmai		rch-2020
4. Are you the cor	responding author?	Yes 🖌 No	Corresponding Author's Name Tobias Peikert	
5. Manuscript Title Do We Need to S		ics for Lung Nodule Clas	sification and Lung Cancer Risk Strati	fication
6. Manuscript Ider JTD-2019-NDT-0	ntifying Number (if you k 7(JTD-20-882)	now it)		
	I			
Section 2.	The Work Under C	onsideration for Pub	lication	

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest?		Yes
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# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees <b>?</b>	Non-Financial Support?	Other?	Comments	
CANARY (Imbio)				$\checkmark$	royalty payment	

# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

No



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Mayo Clinic Radiomics Model, benign versus malignant nodules	$\checkmark$				none		

# Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

No other relationships/conditions/circumstances that present a potential conflict of interest

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Dr. Bartholmai reports other from CANARY (Imbio), outside the submitted work; In addition, Dr. Bartholmai has a patent Mayo Clinic Radiomics Model, benign versus malignant nodules pending to none.

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1. Given Name (First Name) SRINIVASAN	2. Surname (Last Name) RAJAGOPALAN	3. Date 19-March-2020
4. Are you the corresponding author?	Yes 🖌 No	Corresponding Author's Name Khawaja, Ali
5. Manuscript Title Do We Need to See to Believe? Radiom	nics for Lung Nodule Classi	fication and Lung Cancer Risk Stratification
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Place a check in the appropriate boxes of compensation) with entities as desc	in the table to indicate wh ribed in the instructions. U eport relationships that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re <b>present during the 36 months prior to publication</b> .
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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes V



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Dr. RAJAGOPALAN has nothing to disclose.

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1. Given Name (Fi Ronald	rst Name)	2. Surname (Last Name) Karwoski	3. Date 19-March-2020
4. Are you the con	responding author?	Yes 🖌 No	Corresponding Author's Name Ali Khawaja M.B.B.S., M.D.
5. Manuscript Title Do We Need to S		nics for Lung Nodule Clas	sification and Lung Cancer Risk Stratification
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No

Are there any relevant conflicts of interest?  $\checkmark$  Yes

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
Imbio Inc.				$\checkmark$	Royalties for license to CANARY software. Less than \$1000 per year.	

-		
	on	4

Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes 🖌 No



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Mr. Karwoski reports other from Imbio Inc., outside the submitted work; .

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1. Given Name (First Fabien	Name)	2. Surname (Last Name) Maldonado	3. Date 24-March-2020
4. Are you the corre	sponding author?	✓ Yes No	
5. Manuscript Title Do We Need to Se	e to Believe? Radior	mics for Lung Nodule Classification and L	Lung Cancer Risk Stratification
6 Manuscript Identi	ifying Number (if you	know it)	

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes

# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

Name of Entity	Grant?	Personal Fees	Non-Financial Support?	Other?	Comments	
CANARY (Imbio)				$\checkmark$	royalty payment	

# Section 4. Intellectual Property -- Patents & Copyrights

Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes

If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.

No



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Mayo Clinic Radiomics Model, benign versus malignant nodules	$\checkmark$				none		

# Section 5. Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

Yes, the following relationships/conditions/circumstances are present (explain below):

No other relationships/conditions/circumstances that present a potential conflict of interest

At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.

## Section 6. Disclosure Statement

Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.

Dr. Maldonado reports other from CANARY (Imbio), outside the submitted work; In addition, Dr. Maldonado has a patent Mayo Clinic Radiomics Model, benign versus malignant nodules pending to none.

### **Evaluation and Feedback**



#### Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## 1. Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## 5. Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

### **Definitions.**

**Entity:** government agency, foundation, commercial sponsor, academic institution, etc.

**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting , lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes Pending: The patent has been filed but not issued Issued: The patent has been issued by the agency Licensed: The patent has been licensed to an entity, whether earning royalties or not Royalties: Funds are coming in to you or your institution due to your



Section 1.	Identifying Infor	mation	
1. Given Name (First Name) Tobias		2. Surname (Last Name) Peikert	3. Date 24-March-2020
4. Are you the co	rresponding author?	✓ Yes No	
5. Manuscript Tit Do We Need to		nics for Lung Nodule Classification and	Lung Cancer Risk Stratification
6. Manuscript Ide JTD-2019-NDT-(	entifying Number (if you 07(JTD-20-882)	know it)	
Section 2.	The Work Under	Consideration for Publication	

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

Are there any relevant conflicts of interest? Yes	Are there ar	y relevant cor	nflicts of inter	est?	Yes
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# Section 3. Relevant financial activities outside the submitted work.

Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were **present during the 36 months prior to publication**.

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Do you have any patents, whether planned, pending or issued, broadly relevant to the work? 🖌 Yes

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No



Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments	
Mayo Clinic Radiomics Model, benign versus malignant nodules	$\checkmark$				none		

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### Section 6. Disc

**Disclosure Statement** 

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### **Evaluation and Feedback**