

Instructions

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Royalties: Funds are coming in to you or your institution due to your



| Section 1. | Identifying Info | rmation | |
|---|-------------------------|---|------------------------------|
| 1. Given Name (Fi Natalie | rst Name) | 2. Surname (Last Name) Lui | 3. Date 20-March-2020 |
| 4. Are you the cor | responding author? | ✓ Yes No | |
| 5. Manuscript Title Sub-solid lung a | | sian versus Caucasian patients: different b | piology but similar outcomes |
| 6. Manuscript Ider JTD-19-3861 | ntifying Number (if you | know it) | |

Section 2. The Work Under Consideration for Publication

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

| Are there any relevant conflicts of interest? | | Yes |
|---|--|-----|
|---|--|-----|

Section 3. Relevant financial activities outside the submitted work.

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No

Are there any relevant conflicts of interest? \checkmark Yes

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees? | Non-Financial Support? | Other? | Comments | |
|----------------|--------|-------------------|---------------------------|--------------|------------------------------|--|
| Auspex | | | | \checkmark | Sponsored research agreement | |

Section 4. Intellectual Property -- Patents & Copyrights Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Ves Ves



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Dr. Lui reports other from Auspex, outside the submitted work; .

Evaluation and Feedback



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| Section 1. | Identifying Inform | mation | | | |
|--|---------------------------|---------------------|----------------|--|-----------------|
| 1. Given Name (F Jalen | irst Name) | 2. Surnan Benson | ne (Last Name) | 3. Da 20-M | te arch-2020 |
| 4. Are you the co | rresponding author? | Yes | ✓ No | Corresponding Author's Name Natalie Lui | |
| 5. Manuscript Titl Sub-solid lung a | | an versus Ca | iucasian patie | nts different biology but similar ou | tcomes |
| 6. Manuscript Ide JTD-19-3861 | ntifying Number (if you k | (now it) | | | |
| | | | | | |
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🖌 No

| Are there any relevant conflicts of interest? | | Yes | |
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Jalen Benson has nothing to disclose.

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| 1. Given Name (F Hao | ïrst Name) | 2. Surname (Last He | Name) 3. Date 20-March-2020 |
| 4. Are you the co | rresponding author? | Yes 🖌 N | o Corresponding Author's Name Dr. Natalie Lui |
| 5. Manuscript Tit Sub-solid lung a | | an versus Caucasia | n patients: different biology but similar outcomes |
| 6. Manuscript Ide JTD-19-3861 | entifying Number (if you | know it) | |
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🖌 No

| Are there any relevant conflicts of interest? | | Yes |
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Section 4. Intellectual Property -- Patents & Copyrights

| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? | Yes | 🖌 No | |
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Dr. He has nothing to disclose.

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|---|---------------------------|----------------------------------|---|
| 1. Given Name (Fi Bartlomiej | rst Name) | 2. Surname (Last Nam Imielski | e) 3. Date 25-March-2020 |
| 4. Are you the cor | responding author? | Yes 🖌 No | Corresponding Author's Name Natalie Lui |
| 5. Manuscript Title Sub-solid lung a | | an versus Caucasian pa | tients: different biology but similar outcomes |
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🖌 No

Yes

| Are there any relevant conflicts of interest? | Yes | \checkmark | No |
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statistical analysis, etc.)?

Are there any relevant conflicts of interest?

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| 1. Given Name (First Name) Christian | 2. Surname (Last Name Kunder |) 3. Date 27-March-2020 |
| 4. Are you the corresponding author? | Yes 🖌 No | Corresponding Author's Name Natalie Lui |
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| | 1 1 | | • | |



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Royalties: Funds are coming in to you or your institution due to your patent



| 1. Given Name (First Name) Douglas | 2. Surname (Last Name) Liou | 3. Date 26-March-2020 |
|--|--------------------------------|--|
| . Are you the corresponding author? | Yes 🖌 No | Corresponding Author's Name Natalie Lui |
| 5. Manuscript Title Sub-solid lung adenocarcinoma in As 5. Manuscript Identifying Number (if you TD-19-3861 | | ents: different biology but similar outcomes |

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

| Are there any relevant conflicts of interest? | | Yes | |
|---|--|-----|--|
|---|--|-----|--|

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| Are there any relevant conflicts of interest? | Yes | \checkmark | No |
|---|-----|--------------|----|
|---|-----|--------------|----|

Section 4. Intellectual Property -- Patents & Copyrights

| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? | | Yes | \checkmark | No |
|--|-----|-----|--------------|----|
| | 1 1 | | • | |



Section 5. Relationships not covered above

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| Section 1. | Identifying Infor | mation | | |
|--|-------------------------|-----------------------------------|-------------------------------|--|
| 1. Given Name (Fi Leah | rst Name) | 2. Surname (Last Name) Backhus | | 3. Date 22-March-2020 |
| 4. Are you the cor | responding author? | Yes 🖌 No | Corresponding Author's Na | ame |
| 5. Manuscript Titl Sub-solid lung a | | an versus Caucasian patien | ts: different biology but sin | nilar outcomes |
| 6. Manuscript Ide JTD-19-3861 | ntifying Number (if you | know it) | _ | |
| Section 2. | The Work Under | Consideration for Publi | cation | |
| | submitted work (includi | | . , . | ommercial, private foundation, etc.) for esign, manuscript preparation, |

Section 3. Relevant financial activities outside the submitted work.

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✓ No

Yes

| Are there any relevant conflicts of interest? | | Yes | ✓ | No |
|---|--|-----|---|----|
|---|--|-----|---|----|

Are there any relevant conflicts of interest?

Section 4. Intellectual Property -- Patents & Copyrights

| Do you have any patents, whether planned, pending or issued, broadly relevant to the work? $\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \$ | Yes | 🛛 🖌 🛛 No | 0 |
|--|-----|----------|---|
|--|-----|----------|---|



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Royalties: Funds are coming in to you or your institution due to your



| 1. Given Name (Fi Mark | rst Name) | 2. Surnan Berry | ne (Last Name) | 3. Date 27-March-2020 |
|---------------------------|--------------------|--------------------|----------------|--|
| 4. Are you the cor | responding author? | Yes | ✓ No | Corresponding Author's Name Natalie Lui |
| | | | aucasian patie | ents: different biology but similar outcomes |

Did you or your institution **at any time** receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?

🖌 No

| Are there any relevant conflicts of interest? | | Yes | |
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| Are there any relevant conflicts of interest? | Yes | \checkmark | No |
|---|-----|--------------|----|
|---|-----|--------------|----|

Section 4. Intellectual Property -- Patents & Copyrights

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| Section 1. | Identifying Infor | mation | | |
|---|---------------------------|-----------------------------------|---|--------------------------|
| 1. Given Name (Fii Joseph | rst Name) | 2. Surname (Last Name) Shrager | | 3. Date 20-March-2020 |
| 4. Are you the cor | responding author? | Yes 🖌 No | Corresponding Author's Nar Natalie Lui, MD | ne |
| 5. Manuscript Title Subsolid lung ad | e enocarinoma in asian | s vs caucasians | | |
| 6. Manuscript Ider ? | ntifying Number (if you l | know it) | | |

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Are there any relevant conflicts of interest? \checkmark Yes \checkmark No

Section 3. Relevant financial activities outside the submitted work.

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Are there any relevant conflicts of interest? Yes No

If yes, please fill out the appropriate information below.

| Name of Entity | Grant? | Personal Fees | Non-Financial Support? | Other? | Comments | |
|------------------|--------------|------------------|---------------------------|--------|---|--|
| Becton-Dickinson | \checkmark | \checkmark | | | Pl on international clinical trial; paid advisor | |

Section 4.

Intellectual Property -- Patents & Copyrights

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If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.



| Patent? | Pending? | Issued? | Licensed? | Royalties? | Licensee? | Comments |
|---|----------|--------------|-----------|------------|-----------|----------|
| CRISPR/Cas-mediated Genome Editing to Treat EGFR-mutant Lung Cancer | | \checkmark | | | | |

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Dr. Shrager reports grants and personal fees from Becton-Dickinson, outside the submitted work; In addition, Dr. Shrager has a patent CRISPR/Cas-mediated Genome Editing to Treat EGFR-mutant Lung Cancer issued.

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