

Methylated *APC* and *RASSF1A* in multiple specimens contribute to the differential diagnosis of patients with undetermined solitary pulmonary nodules

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Background: Inactivation of tumor-suppressor gene (TSG) by promoter hypermethylation has been reported in many tumor types, including lung cancer. This study was designed to determine the methylated *APC* and *RASSF1A* genes in tumor tissue, serum and plasma of patients with early stage lung cancer.

Methods: Eighty-nine patients with undetermined solitary pulmonary nodules detected upon CT-scan were recruited in this study. DNA samples were extracted from biopsy tissues, serum and plasma and QMSP of *APC* and *RASSF1A* was carried out after bisulfite conversion. The 89 patients consist of 58 stage I lung cancer patients and 31 benign lung disease according to pathological report. Twenty-six cancer patients had matched biopsy tumor tissue, serum and plasma samples.

Results: The methylation rates of *APC* and *RASSF1A* were 59.0% and 66.1% in biopsy tissues, 42.5% and 52.5% in serum, and 24.1% and 43.1% in plasma of cancer patients. For *RASSF1A*, different samples all showed a significant difference between cancer group and benign group ($P < 0.05$). However, *APC* gene only explored the P value less than 0.05 in plasma result. Towards the 26 lung cancer patients with three matched samples, methylation rate in each sample type was more than 50.0% and displayed no difference.

Conclusions: Evaluation of *APC* and *RASSF1A* promoter methylation by using QMSP appears to be very useful for the differential diagnosis of patients with undetermined solitary pulmonary nodules. Our results also suggested that plasma might be the best sample for clinical detection of early stage lung.

Keywords: Lung cancer; *RASSF1A*; *APC*; quantitative methylation-specific PCR

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Introduction

Lung cancer is the leading cause of cancer mortality worldwide. Despite advances in therapeutic options, the overall 5-year survival rate remains less than 15% (1-3). At the time of diagnosis, only one-third of the patients have disease suitable for surgery, the most effective treatment for lung cancer. Early-stage detection is thus the only way to improve its resectability and, likely, its prognosis.

Recent evidence shows that, in addition to genetic changes, epigenetic mechanisms, particularly DNA hypermethylation, are strongly associated with the silencing of several tumor-suppressor genes (TSGs) in lung cancer. Aberrant methylation of CpG dinucleotides in the promoter region is a common feature of human cancer and is an important regulatory factor of gene expression (4). Several genes have been well studied, such as *MGMT*, *p16^{INK4A}*, *RASSF1A*, *APC*,

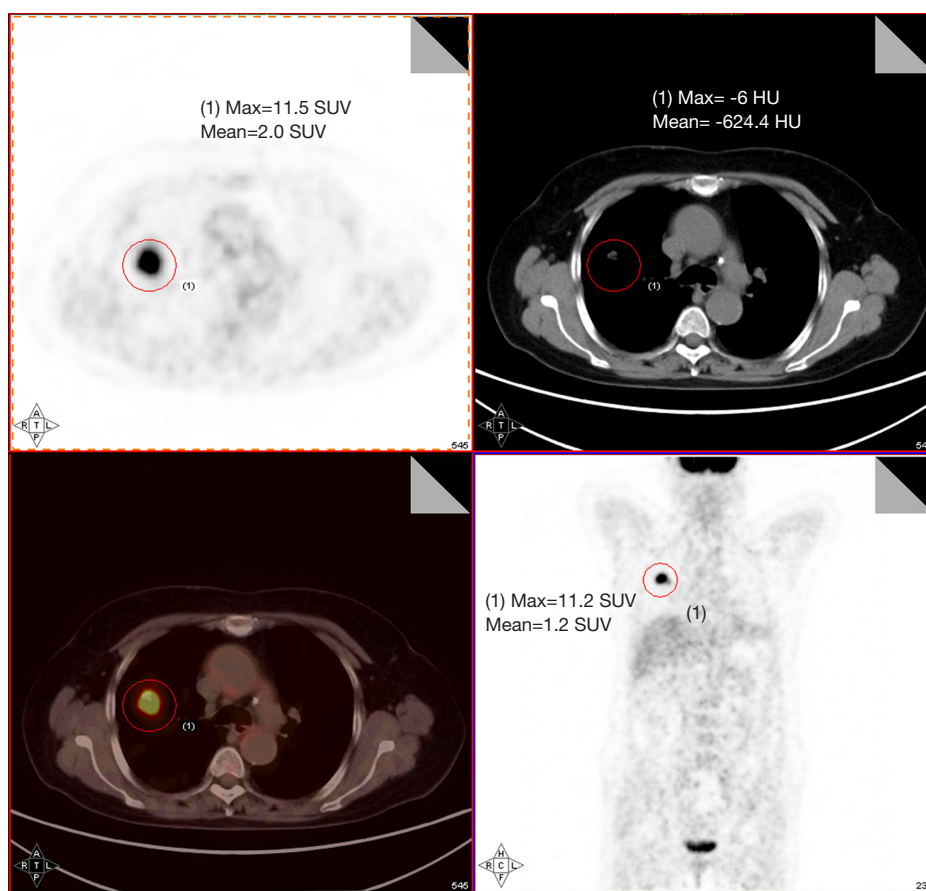


Figure 1 One patient's chest CT image with a solid tumor.

CHD13, and *RARβ* (5-8). Many investigators have reported that microsatellite alterations and gene mutations could be identified in the plasma and/or serum DNA of various cancer patients (9-13), which were thought to be released from cancer cells (14-18). Thus circulating tumor derived DNA might be used as a source for tumor detection.

However, the methylation status in different matched samples still remains unclear. The aim of this study was to identify methylated DNA in biopsy tissues, serum and plasma of patients with abnormal findings on their chest radiograph as detected by high-risk lung cancer screening. By comparison with protein markers, it was designed to investigate the role of methylated *APC* and *RASSF1A* in the differential diagnosis of solitary pulmonary nodules.

Materials and methods

Subjects

The study population consisted of 89 patients with CT-

detected undetermined solitary pulmonary nodules (*Figure 1*). All the 89 subjects with clinical respiratory symptoms and CT signs suggestive of lung cancer were at high risk of developing cancer. Twenty-three healthy volunteers were also enrolled in the study. The control group matched well with disease group in age, gender, tobacco and so on. Informed consent was obtained according to guidelines from the fields of medical ethics and research ethics. This informed consent has been given based upon a clear appreciation and understanding of the facts, implications, and consequences of blood and tissue detection.

Sample collection

Peripheral blood of all the 89 undetermined solitary pulmonary nodules patients was collected before biopsy. Blood samples were centrifuged at 1,600 g for 10 minutes, and plasma was carefully removed from the ethylenediamine tetraacetic acid (EDTA)-containing tubes, transferred to

Table 1 Methylation primers and probes

HuGO	Sequence
APC	
Forward primer	GGGTCGCGAGGGTATATTTTC
Reverse primer	CCGACCCGCACTCCG
Probe	JOE-CCCGCCCAACCGCACACCT-ECLIPSE
RASSF1A	
Forward primer	GTCGTTGTGGTCGTTCCG
Reverse primer	GAAACTAAACGCGCTCTCG
Probe	FAM-CCTTACCCTTCCTTCCCTCCTTCGT-ECLIPSE

HuGO, human genome organization nomenclature.

plain EP tubes. After a second stage centrifugation with 16,000 g for 10 minutes, the supernatant plasma was collected and stored at -70°C until further processing. Serum samples were also collected after a two-step centrifugation. Plasma and serum samples of 23 healthy blood donors acted as controls. The needle biopsy tissues were immediately stored in liquid nitrogen after removing from patients.

DNA extraction

DNA was extracted from 200 μL plasma or serum samples with internal control by using the BILATEST Viral DNA/RNA Kit (BILATEC, Viernheim, Germany) according to the manufacturer's recommendations. Tissue DNA was obtained by traditional phenol-chloroform extraction method. DNA samples were stored at -70°C for subsequent analysis.

Bisulfite modification

Genomic DNA was modified by treatment with sodium bisulfite which converts all non-methylated cytosines to uracil, then to thymidine during the subsequent PCR step. Chemical modification of the DNA was performed using the CpGenomeTM DNA Modification Kit (Chemicon, USA & Canada). Briefly, 1 μg of genomic DNA was denatured by treatment with NaOH and modified by sodium bisulfite. DNA was then bound to a micro-particulate carrier and was desalted by repeated centrifugation and resuspension in 70% ethanol. The conversion to uracil was completed by alkaline desulfonation and desalting was repeated in 90% ethanol. The DNA was finally eluted from the carrier by heating in TE buffer.

Quantitative methylation-specific PCR

DNA methylation was analyzed by quantitative methylation-specific PCR. All the methylation-specific primers and probes used in this study are designed by Primer Express[®] Software Version 3.0 and listed in Table 1. DNA extracted from large lung cancer cell line H460 cells was used as *RASSF1A* and *APC* methylated or positive control (sequencing confirmed) for the methylation analysis. DNA from healthy volunteers and distilled water were served as an unmethylated or negative control and a blank control. Reaction system content 0.5 μL hot tag, 2.0 μL APC forward primer (5 μM), 2.0 μL APC reverse primer (5 μM), 1.5 μL APC probe (5 μM), 2.0 μL *RASSF1A* forward primer (5 μM), 2.0 μL *RASSF1A* reverse primer (5 μM), 1.5 μL *RASSF1A* probe (5 μM), 10.0 μL 5 \times buffer, 4.0 μL MgCl_2 (25 mm), 2.0 μL dNTPs (10 mm), add ddH₂O to 50 μL . The condition was 94 $^{\circ}\text{C}$ for 10 sec, followed by 55 cycles of 95 $^{\circ}\text{C}$ for 5 sec, 60 $^{\circ}\text{C}$ for 34 sec. The standard curve was created by using a series of 1:10 dilutions to calculate the copy numbers of methylated *RASSF1A* and *APC* in plasma and serum with concentrations of 150,000, 15,000, 1,500 and 150 copies/mL. The technical triplicates were used in QMSP and at least two positive results could be defined as methylated.

Statistical analysis

Fisher's exact test was used to examine the association of different categorical variables. A P value of less than 0.05 was considered to be statistically significant. Spearman's rank correlation coefficient was used to describe the correlation between two variables. The odds ratios and its 95% confidence intervals (CI) were estimated for the occurrence of lung cancer.

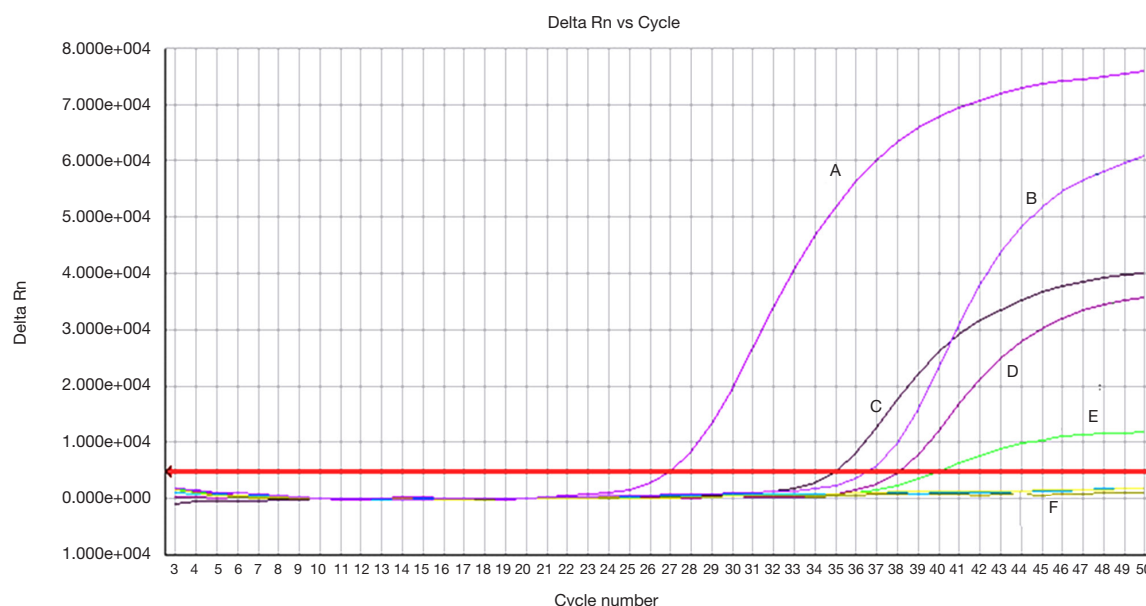


Figure 2 The amplification plot of several samples measured by ABI 7500. The amplification plots of (A) positive control (cell line) and (F) negative control (healthy control) confirmed the effectiveness of QPCR by ABI 7500; (B-D) lung patients showed different methylated copies of TSGs while (E) benign lung disease patient had a relative low CT value. TSGs, tumor-suppressor genes.

Table 2 Methylation frequency of RASSF1A and APC in different samples					
Sample type	Cases	RASSF1A (%)	P	APC (%)	P
Plasma	112		0.000		0.000
Lung cancer	58	43.1 (25/58)		24.1 (14/58)	
Benign disease	31	6.5 (2/31)		3.2 (1/31)	
Healthy	23	0 (0/23)		0 (0/23)	
Serum	76		0.008		0.102
Lung cancer	40	52.5 (21/40)		42.5 (17/40)	
Benign disease	13	7.7 (1/13)		15.4 (2/13)	
Healthy	23	0 (0/23)		0 (0/23)	
Tissue	54		0.004		0.024
Lung cancer (biopsy tissue)	39	66.7 (26/39)		59.0 (23/39)	
Benign disease (biopsy tissue)	13	23.1 (3/13)		23.1 (3/13)	
Normal tissue	2	0 (0/2)		0 (0/2)	

Results

Clinicopathologic diagnosis of patients

Among 89 CT-detected undetermined solitary pulmonary nodules patients, 58 patients were diagnosed with early stage lung cancer (T1a, T1b and T2a staging included) and 31 had benign lung disease according to pathological reports. Twenty-six lung cancer patients had matched biopsy tissue, plasma and serum samples.

Methylation frequency of RASSF1A and APC

Methylation status of *RASSF1A* and *APC* for 89 patients as well as 23 normal samples was examined using QMSP. Representative results of methylation analysis by QMSP were shown in *Figure 2*, and detailed data regarding the frequency of aberrant methylation were summarized in *Table 2*.

For *RASSF1A*, the methylation rate of three different sample types (plasma, serum and tissue) all showed

Table 3 Methylation association with clinicopathologic features

Item	Plasma (n=58)				Serum (n=40)				Biopsy tissue (n=39)			
	RASSF1A (%)	P	APC (%)	P	RASSF1A (%)	P	APC (%)	P	RASSF1A (%)	P	APC (%)	P
Age (years)		0.274		0.756		0.520		0.747		0.736		0.752
<60	31.8 (7/22)		27.3 (6/22)		43.8 (7/16)		37.5 (6/16)		62.5 (10/16)		62.5 (10/16)	
≥60	50.0 (18/36)		22.2 (8/36)		58.3 (14/24)		45.8 (11/24)		69.6 (16/23)		56.5 (13/23)	
Gender		0.094		0.511		0.281		0.145		1.000		0.307
Female	26.3 (5/19)		15.8 (3/19)		70.0 (7/10)		20.0 (2/10)		63.6 (7/11)		45.5 (5/11)	
Male	51.3 (20/39)		28.2 (11/39)		46.7 (14/30)		50.0 (15/30)		67.9 (19/28)		64.3 (18/28)	
Tobacco		0.271		0.282		0.738		1.000		0.290		0.725
Smoking	52.6 (20/38)		60.5 (23/38)		55.6 (15/27)		51.9 (14/27)		73.1 (19/26)		69.2 (18/26)	
Nonsmoking	35.0 (7/20)		45.0 (9/20)		46.2 (6/13)		46.2 (6/13)		53.8 (7/13)		61.5 (8/13)	
Histology		0.594		0.043		0.615		0.023		0.290		0.694
Squamous cell carcinoma	34.8 (8/23)		8.7 (2/23)		53.8 (7/13)		76.9 (10/13)		72.2 (13/18)		50.0 (9/18)	
Adenocarcinoma	55.6 (10/18)		27.8 (5/18)		38.5 (5/13)		23.1 (3/13)		66.7 (8/12)		66.7 (8/12)	
Undifferentiated carcinoma	40.0 (6/15)		46.7 (7/15)		66.7 (8/12)		33.3 (4/12)		71.4 (5/7)		71.4 (5/7)	
Small cell carcinoma	50.0 (1/2)		0 (0/2)		50.0 (1/2)		50.0 (1/2)		0 (0/2)		50.0 (1/2)	

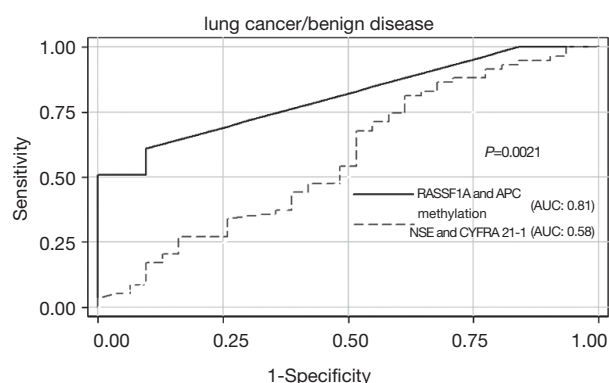


Figure 3 AUC of sensitivity versus 1-specificity for comparisons of lung cancer and benign disease. With plasma RASSF1A and APC methylation and NSE and CYFRA 21-1 assays, the area under the AUC was 0.81 and 0.58, respectively. A P value of 0.0021 noted that the power of plasma RASSF1A and APC methylation assay was superior to that of NSE and CYFRA 21-1.

significant differences among three groups. Significant differences for *APC* were observed in plasma and tissues. However, the benign disease group showed a relatively lower methylation frequency. No methylation for both

of the two genes was found in the healthy group. The difference between benign disease group and healthy group was not significant. Besides, the smoking rates of cancer group and benign group were both around 60%.

Association with clinicopathologic features

We analyzed the correlations between methylation status and clinicopathologic variables of the patients. As described in *Table 3*, no significant difference was found between methylation frequency and age, gender, tobacco or histology. There was an exception for methylation difference with histology of *APC*. The difference was significant with a P value less than 0.05 ($P=0.039$). *APC* methylation seemed to be more common in undifferentiated carcinoma.

Powers of RASSF1A and APC methylation assay and NSE and CYFRA 21-1 assay in identifying lung cancer from benign disease

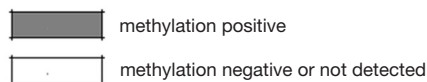
To determine the powers of DNA methylation and protein biomarkers (NSE and CYFRA 21-1) in identifying cancer from benign disease, ROC curves were calculated (*Figure 3*).

Table 4 Combination methylation rate of lung cancer patients

Sample type	Cases (n)	Methylated gene	Methylation rate (%)
Plasma	58	RASSF1A and/or APC	63.8 (37/58)*
Serum	40	RASSF1A and/or APC	67.5 (27/40)*
Biopsy tissue	39	RASSF1A and/or APC	69.2 (27/39)*
Biopsy tissue + plasma + serum	26	RASSF1A and/or APC	88.5 (23/26)

*, P<0.001.

Patients	APC			RASSF1A		
	plasma	serum	tissue	plasma	serum	tissue
1664						
1670						
1672						
1679						
1681						
1683						
1714						
1727						
1729						
1733						
1735						
1737						
1751						
1763						
1765						
1767						
1775						
1787						
1791						
1794						
1798						
1800						
1804						
1810						
1816						
1820						

**Figure 4** Combination methylation results of 26 lung cancer patients with different sample types. Grey box represents methylation positive results and white box represents methylation negative results or not detected.

RASSF1A and/or *APC* methylation assay had an area of 0.81 under the ROC curve. By using the optimal cutoff value of 150 copies/mL, we got a sensitivity of 56.9% and a specificity of 90.3%. The AUC of CYFRA 21-1 and NSE assay was 0.58, sensitivity of 48.3%, and specificity of 54.8% (using clinical cutoff value). Consequently, the power of *RASSF1A* and *APC* methylation assay was superior to that of NSE and CYFRA 21-1 with a P value of 0.0021.

Table 5 Methylation comparison of matched samples in lung cancer patients (n=26)

Sample type	RASSF1A methylation* (%)	APC methylation# (%)
Biopsy tissue	57.7 (15/26)	65.4 (17/26)
Plasma	53.8 (14/26)	65.4 (17/26)
Serum	65.4 (17/26)	53.8 (14/26)

*, P=0.774; #, P=0.733.

Combination methylation results

Considering the condition of at least one gene methylation of *RASSF1A* and *APC*, we got a much higher methylation frequency list in *Table 4*. For lung cancer patients, we combined three kinds of samples as well as two genes all together and the methylation rate was 88.5%. Among the 26 early stage lung cancer patients, only three patients showed no methylation for both *RASSF1A* and *APC* in three different sample types (*Figure 4*). The coincidence rate of methylation between plasma and serum of the 26 early stage lung cancer patients was 65.4% for *RASSF1A* and 73.1% for *APC*. For *RASSF1A* and *APC*, the methylation comparison between plasma and biopsy tissue samples both showed a coincidence rate of 57.7%. The coincidence rate between serum and biopsy tissue samples was 65.4% with *RASSF1A* and 57.7% with *APC*. As for *RASSF1A*, twelve patients' methylation status of biopsy tissue matched the plasma and serum and the coincidence rate attains 46.2%. It displayed the same as for *APC*. There were eight patients' positive methylation results in blood did not match their biopsy tissue results for *RASSF1A*. And for *APC*, the number is six. Also, three patients' positive biopsy tissue methylation results did not match their blood's detection results for *RASSF1A*. And for *APC*, there were four cases.

Sample type comparison

As listed in *Table 5*, the methylation rates of *RASSF1A* and

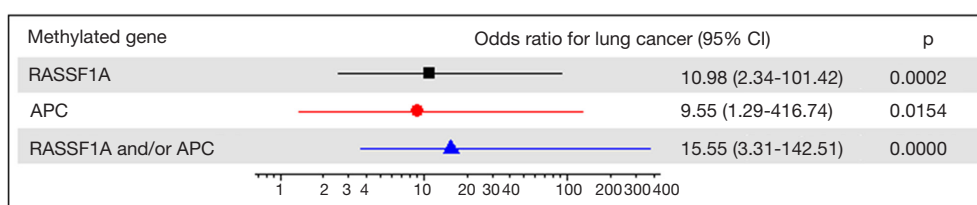


Figure 5 Odds ratios for lung cancer among case patients as compared with benign diseases. The odds ratios for lung cancer rise from single gene methylation to gene combination as compared with benign diseases. CI, confidence interval.

APC of paired biopsy tissue, plasma and serum samples were all more than 50%. Data analysis revealed that the differences were not significant.

Methylation and risk of lung cancer

Figure 5 showed the results of the correlation between number of methylated genes and risk of lung cancer. The diagnostic value of each gene was graphed as a forest plot. Methylation of either *RASSF1A* or *APC* in plasma was association with the odds of lung carcinoma. The estimated odds ratio for diagnosis was 15.55 with paired methylated *RASSF1A* and *APC* ($P=0.000$).

Discussion

As of 2008, lung cancer was the leading cause of cancer death in males, as well as the second leading cause of cancer death in females (19). Despite many attempts, the development of a clinically useful protein biomarker for lung cancer has remained elusive. Recently, most studies were focusing on methylation detection of resected cancer tissues in stage II or even later stage lung carcinoma patients. In this research, the study group of 112 subjects comprised of 89 CT-detected undetermined solitary pulmonary nodules patients with no other clinical symptoms and whose tumor size were all less than 5 cm and 23 healthy volunteers. Biopsy was performed under CT conduction to get the histopathologic report which was now being considered the gold standard of diagnosis. As a result, 58 patients were diagnosed with stage I lung cancer (stage T1a, b and T2a included). Undoubtedly, the data in our study could reveal the important role of gene methylation in differential diagnosis of patients with undetermined solitary pulmonary nodules.

Compared to the reported methods and resulted mentioned formerly (20), we got a relative higher methylation frequency. In order to catch the microamount

of methylated tumor derived DNAs in peripheral blood firstly we used a good DNA extraction kit and then the QMSP. This definitely explained and confirmed the high methylation frequency we could detect in plasma or serum of stage I lung cancer patients. Considering the serum samples detection performed by Fujiwara *et al.* and Wang *et al.* (21,22), the results were all similar to this article (no statistical difference). Compared the results of plasma and serum, the statistical difference was observed in plasma group ($P<0.05$) but not in serum. At this point, there might be a hypothesis that plasma could be used for methylation detection of early stage lung cancer and further confirm should be designed.

In the current study, samples from benign lung diseases were also investigated for methylation status. Promoter hypermethylation could be detected in two or three patients. One possibility was that some risk factors have been reported to correlate with gene methylation such as smoking status (23). Another hypothesis was that accumulation of DNA methylation might be associated with tumor genesis while some non-cancer diseases could act as a disease process (24). This was also supported by Matsuda that TSGs were inactivated just by extensive CpG methylation (25). Compared with the lung cancer group, the detection level of benign controls is very low. Long-time follow up and further study should be carried out.

One interesting aspect of the present study is that *APC* methylation was correlated with histopathological type in lung cancer patients. Undifferentiated carcinoma exhibited a much higher methylation frequency than any other type. Different results obtained by different studies conflicted upon this point, especially in the relationship between promoter methylation and clinical features. Stephen's study revealed that methylation of *APC*, *CCND2*, *KCNH5* and *RUNX* was significantly more frequent in adenocarcinoma compared to squamous cell carcinoma (26). And Tomizawa *et al.* also found a significant methylation difference between adenocarcinoma and squamous cell carcinoma

as 39% to 13% (27). The methylation rate of *RASSF1A* and *CDH13* was more frequent in invasive tumors than in noninvasive tumors which suggested its potential important values (28). However, another study group suggested that methylation was independent of clinical features (29). The differences among studies may due to various cancer types, numbers of samples, regions and races as well as methods of extraction, modification and amplification and other factors (30-33). Whether there was correlation between aberrant methylation and lung cancer clinical characteristics needs further analysis to clarify.

Existing protein biomarkers such as CYFRE21-1 and NSE are lack of high sensitivity, specificity and of limited value for early detection (34,35). The data in our research showed that *RASSF1A* and/or *APC* methylation assay has a much higher sensitivity of 56.9% and a specificity of 90.3% than CYFRA 21-1 and NSE assay. Several studies have indicated that hypermethylation of CpG islands in gene promoters can occur early in the progression of lung cancer or can be characteristic of premalignant lesion (36,37). Promoter methylation of p16 has been demonstrated from bronchial basal cell hyperplasia to squamous metaplasia to carcinoma *in situ* with an increasing frequency of 17%, 24% and 50% respectively (38). The CpG island methylator phenotype status of some TSGs displayed differently between NSCLC and paired normal tissues (39). A newly research revealed that methylation of TSGs could be detected in histological normal lymph nodes of lung cancer patients probably confirmed its role as an early event (40). *RASSF1A* and *APC* are TSGs in widely research related to carcinogenesis silenced by promoter methylation. Not only our preview studies but also other researchers have all demonstrated the roles of *RASSF1A* and *APC* as key TSGs that involved in epigenetic silencing (41-51).

In order to be of value in identification of patients at increased risk of cancer, a marker should be detectable in a biological sample that can be obtained using a minimally invasive procedure. In addition, alterations in the marker should be specific for the relevant premalignant condition or malignancy and should be measurable in patients with early stage cancer or those with premalignant lesions at high risk of progressing to malignancy. Many studies have focused on the detection of genetic and epigenetic abnormalities in exfoliated cells from sputum (52), bronchoalveolar lavage, as well as in the circulating DNA found in plasma or serum. Tumor tissues were good samples for research because abundant DNAs can be acquired. However, obtaining

tumor tissue is an invasive procedure and a skill dependent work. Other samples, such as bronchoalveolar lavage, were just applicable on central type lung carcinoma (53). As to sputum, sample collection was not so easy to some patients and the methylation frequency was also very low according to previous studies (54). However, there is still a long way from research to clinical application.

In this study, a few cancer patients did not get positive tissue results when their blood tests showed positive. One possible reason was that the tissue here was obtained just by biopsy not by surgery and the biopsy tissues might not 100% represent cancer tissues because of doctors' medical skills or some other factors. A few pathological reports of biopsy samples just found necrosis tissues could support this point. So the detection of resected tumor tissues could reveal the real methylation status. There remained some other cases whose biopsy tissues were positive methylation but plasma and serum both got negative results. This was probably because all the enrolled cases were early stage lung cancer and the amount of cancer-related methylated TSGs in blood might mainly depend on the tumor size and cancer stage. However, the concordance of methylation in peripheral blood and tumor tissue might be the most valuable evidence in the diagnosis of early stage lung cancer.

In the present study, we used multiple samples including plasma, serum and biopsy tumor tissues not only to improve methylation rate but also aimed to find out a much more suitable sample for DNA methylation detection. Firstly, our results of combined methylation rate of lung cancer patients had been highly improved to 88%. Secondly, methylation comparison of paired samples in lung cancer patients revealed that the methylation rates of the three different sample types were all around 60% and there was no significant difference among plasma, serum and biopsy tumor tissues. So we chose plasma as specimen with advantages of easy collection, minimal invasion and repetitive test.

Conclusions

In conclusion, compared with cancer-free controls, detection of promoter hypermethylation on these two genes was much more frequent in early stage lung cancer patients. The *RASSF1A* and *APC* methylation assay could be very useful for the differential diagnosis of patients with undetermined solitary pulmonary nodules. These molecular changes may be a valuable biomarker for early detection and risk assessment in high-risk populations.

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