

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

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Royalties: Funds are coming in to you or your institution due to your patent



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Ruixiang	Surname (Last Name) Zhang	3. Date 09-April-2020
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Henghui Zhang, Yin Li
non-responsive to neoadjuvant chemo 6. Manuscript Identifying Number (if you ki	therapy	eal squamous cell carcinoma who were responsive and
Section 2. The Work Under C		_
The Work Under C	onsideration for Public	cation
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Section 3. Relevant financial	activities outside the s	submitted work.
Place a check in the appropriate boxes of compensation) with entities as descr	in the table to indicate who ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
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patent

Hu 1



Section 1. Identifying Inform	ation	
Given Name (First Name) Ying	2. Surname (Last Name) Hu	3. Date 11-April-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Henghui Zhang, Yin Li
5. Manuscript Title The mutation profiles of cell-free DNA in non-responsive to neoadjuvant chemot		eal squamous cell carcinoma who were responsive and
6. Manuscript Identifying Number (if you kn JTD-20-230		_
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Zhou 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Tao	2. Surname (Last Name) Zhou	3. Date 07-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Henghui Zhang, Yin Li
5. Manuscript Title The mutation profiles of cell-free DNA innon-responsive to neoadjuvant chemo		eal squamous cell carcinoma who were responsive and
6. Manuscript Identifying Number (if you k JTD-20-230	now it)	_
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Han 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Wenbo	2. Surname (Last Name) Han	3. Date 15-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Henghui Zhang, Yin Li
5. Manuscript Title The mutation profiles of cell-free DNA non-responsive to neoadjuvant chemo		eal squamous cell carcinoma who were responsive and
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Qian 1



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1. Given Name (First Name) Juanjuan	2. Surname (Last Name) Qian	3. Date 13-April-2020
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Henghui Zhang, Yin Li
5. Manuscript Title The mutation profiles of cell-free DNA i non-responsive to neoadjuvant chemo 6. Manuscript Identifying Number (if you kr JTD-20-230	therapy	eal squamous cell carcinoma who were responsive and
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Qian 3



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Royalties: Funds are coming in to you or your institution due to your patent

Chen 1



Section 1. Identifying Inform	ation	
1. Given Name (First Name) Yanhui	2. Surname (Last Name) Chen	3. Date 14-April-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Henghui Zhang, Yin Li
5. Manuscript Title The mutation profiles of cell-free DNA in non-responsive to neoadjuvant chemot		eal squamous cell carcinoma who were responsive and
6. Manuscript Identifying Number (if you kn JTD-20-230	now it)	_
Section 2. The Work Under Co	onsideration for Publi	cation
Did you or your institution at any time recei	ive payment or services from but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descri	bed in the instructions. Use port relationships that we	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication .
Section 4. Intellectual Proper	ty Patents & Copyri	ghts
Do you have any patents, whether plant	ned, pending or issued, br	roadly relevant to the work? Yes No

Chen 2



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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Xianben	2. Surname (Last Name) Liu	3. Date 14-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Henghui Zhang, Yin Li
5. Manuscript Title The mutation profiles of cell-free DNA non-responsive to neoadjuvant chemo		eal squamous cell carcinoma who were responsive and
6. Manuscript Identifying Number (if you k JTD-20-230	now it)	_
Section 2. The Week Under C	Consideration for Public	cation
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter	eive payment or services from g but not limited to grants, da rest? Yes 🗸 No	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Shilei	2. Surname (Last Name) Liu	3. Date 11-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Henghui Zhang, Yin Li
5. Manuscript Title The mutation profiles of cell-free DNA in non-responsive to neoadjuvant chemo		eal squamous cell carcinoma who were responsive and
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Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes No



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Yu 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Yongkui	2. Surname (Last Name) Yu	3. Date 17-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Henghui Zhang, Yin Li
5. Manuscript Title The mutation profiles of cell-free DNA non-responsive to neoadjuvant chemo		eal squamous cell carcinoma who were responsive and
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Yu 2



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Zeng 1



Section 1.	Identifying Inform	nation			
1. Given Name (Fi	rst Name)	2. Surname Zeng	(Last Name)		3. Date 10-April-2020
4. Are you the cor	responding author?	Yes	√ No	Corresponding Author's Nar Henghui Zhang, Yin Li	me
non-responsive t		therapy	h oesophag	eal squamous cell carcinoma	a who were responsive and
JTD-20-230	Ittiying Number (ii you ki	low it)		_	
Section 2.	The Work Under Co	onsideratio	n for Publi	cation	
any aspect of the s statistical analysis,	ubmitted work (including	but not limite	d to grants, da	a third party (government, cor ata monitoring board, study de	mmercial, private foundation, etc.) for sign, manuscript preparation,
Section 3.	Relevant financial	activities o	utside the	submitted work.	
of compensation clicking the "Add	n) with entities as descri	ibed in the ins port relations	structions. Us hips that we	se one line for each entity; a	ationships (regardless of amount add as many lines as you need by nonths prior to publication.
	ı				
Section 4.	Intellectual Proper	ty Patent	s & Copyri	ghts	
Do you have any	patents, whether plan	ned, pending	or issued, bi	roadly relevant to the work?	Yes 🗸 No

Zeng 2



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patent



Section 1.	Identifying Inform	ation		
1. Given Name (First Henghui	t Name)	2. Surname (Last Name) Zhang		3. Date 16-April-2020
4. Are you the corre	sponding author?	✓ Yes No		
non-responsive to	files of cell-free DNA in neoadjuvant chemot ifying Number (if you kno	herapy	eal squamous cell carcinom	a who were responsive and
Section 2.	The Work Under Co	onsideration for Public	cation	
any aspect of the suk statistical analysis, et Are there any relev	bmitted work (including	but not limited to grants, da		mmercial, private foundation, etc.) for esign, manuscript preparation,
Section 3.	Relevant financial a	activities outside the s	submitted work.	
of compensation) v clicking the "Add +	with entities as describ	bed in the instructions. Use ort relationships that we	se one line for each entity; a	ationships (regardless of amount add as many lines as you need by nonths prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyri	ghts	
Do you have any p	patents, whether plann	ned, pending or issued, br	oadly relevant to the work?	? Yes 🗸 No



Section 5. Polationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Zhang has nothing to disclose.

Evaluation and Feedback

Please visit http://www.icmje.org/cgi-bin/feedback to provide feedback on your experience with completing this form.



Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

1. Identifying information.

2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes"

3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

Definitions.

Entity: government agency, foundation, commercial sponsor, academic institution, etc.

Grant: A grant from an entity, generally [but not always] paid to your organization

Personal Fees: Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

Non-Financial Support: Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

Pending: The patent has been filed but not issued **Issued:** The patent has been issued by the agency

Licensed: The patent has been licensed to an entity, whether earning royalties or not

Royalties: Funds are coming in to you or your institution due to your patent

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Section 1. Identifying Inform	nation	
1. Given Name (First Name) Yin	2. Surname (Last Name) Li	3. Date 16-April-2020
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title The mutation profiles of cell-free DNA in patients with oesophageal squamous cell carcinoma who were responsive and non-responsive to neoadjuvant chemotherapy 6. Manuscript Identifying Number (if you know it) JTD-20-230		
Section 2. The Work Under Consideration for Publication		
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No		
Section 3. Relevant financial	activities outside the submitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were present during the 36 months prior to publication . Are there any relevant conflicts of interest? Yes V		
Section 4. Intellectual Proper	rty Patents & Copyrights	
Do you have any patents, whether plan	ned, pending or issued, broadly relevant to the work	k? Yes 🗸 No

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Section 5. Polationships not sovered above		
Relationships not covered above		
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