

# The safety and feasibility of three-dimension single-port video-assisted thoracoscopic surgery for the treatment of earlystage lung cancer

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**Background:** Video-assisted thoracoscopic surgery (VATS) has been widely used in the lung resections. Reports regarding three-dimension (3D) single-port VATS are very limited. The purpose of this study is to evaluate the perioperative outcomes of 3D single-port VATS in a single medical center.

**Methods:** Totally 523 clinical stage I lung cancer patients underwent surgical resection through VATS operation between September 2016 and October 2017 in our single institution were retrospectively collected and 374 were enrolled. The comparison between 3D single-port VATS and conventional VATS (c-VATS), single-port VATS was conducted focusing on intraoperative and postoperative outcomes. Continuous and categorical variables were analyzed through SPSS software.

**Results:** The 3D singe-port VATS demonstrated no significant difference neither on the intraoperative outcomes including the operative time and the intraoperative blood loss nor the postoperative outcomes including the length of drainage duration and postoperative complications when against c-VATS and single-port VATS. Besides, 3D singe-port VATS elucidated comparable ability of lymph node dissection with c-VATS in subgroup analysis (P=0.192), both of which were better than single-port VATS group (P<0.001). What's more, the rate of conversion as well as hospital stays of 3D single-port group were also comparable. In subgroup analysis, 3D single-port VATS also elucidated its safety and feasibility when dealing with routine thoracic surgeries including lobectomy and segmentectomy.

**Conclusions:** 3D single-port VATS, integrating the advantages of single-port VATS and threedimensional vision of 3D VATS, is a safe and feasible technique and is promising for next-generation thoracoscopic surgery.

**Keywords:** Three-dimension (3D); single-port; video-assisted thoracoscopic surgery (VATS); early-stage lung cancer

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## Introduction

The advent of video-assisted thoracoscopic surgery (VATS), a major part of minimally invasive surgery, has significantly altered respiratory surgical procedures (1,2). VATS has been widely used and become the mainstream in the treatment of early-stage lung cancer patients due to its remarkable benefits, such as much smaller incision, reduced pain, and shorter hospital stay, on patients, compared to the traditional thoracotomy (3,4). Based on the most popular conventional VATS (c-VATS) using 3 or 4 ports, more



Figure 1 Study flowchart.

approaches have been developed in the field of thoracic surgery, such as two-ports, single-port, and three-dimension (3D) VATS as well as Davinci Robot, which show the similar or even better surgical outcomes. Since then, lung segmentectomy and surgery for advanced lung cancer have been reported using those approaches (5-7).

Recently, 3D single-port VATS, with some potential advantages such as less trauma and better vision, has been introduced in Shanghai Chest Hospital. However, reports regarding this approach are very limited. In the present study, we introduced our initial experience of using 3D single-port VATS to treat early-stage lung cancer.

We present the following article in accordance with the STROBE reporting checklist (available at http://dx.doi. org/10.21037/jtd-19-3465).

#### Methods

#### Patient inclusion and exclusion criteria

This retrospective study was approved by the Institutional Ethics Committee of Shanghai Chest Hospital [KS (Y) 1803], which was in line with the 1964 Helsinki Declaration (as revised in 2013). The written informed consent was not needed for this research. Patients with clinical stage I lung cancer undergoing surgical resection between September

2016 and October 2017 were included in this retrospective analysis. Those candidates would be excluded if they met the following exclusive criteria, (I) previous cancer diagnosis within 5 years; (II) benign lesions; (III) other cancer metastasis proven by final history; (IV) multiple primary lung cancers proven by final pathology (*Figure 1*).

We divided our candidates into three groups, c-VATS, single-port VATS and 3D single-port VATS groups. Specifically, 3D single-port group was defined as the patients receiving 3D single-port VATS during surgical resection. And the other two groups were the observations, which was defined as the candidates receiving conventional multi-port and single-port VATS treatments, respectively.

#### Preoperative examination

All these patients had the preoperative examination to evaluate the resectability and to exclude distant metastasis, including chest computed tomography (CT) scan, bronchoscopy, abdominal CT or ultrasonography examination, brain magnetic resonance imaging and whole-body bone scan. Mediastinoscopy or endobronchial ultrasound-guided trans-bronchial needle aspiration (EBUS-TBNA) was selectively performed in patients with enlargement of the lymph node, and those with suspected lymph node metastasis indicated by positron emission tomography (PET). Staging was performed according to the 8th TNM classification.

# Surgical operation of 3D single-port VATS

In 3D single-port VATS, an approximately 4-cm incision was made on the anterior (upper and lower lobectomy) or middle (lower lobectomy) axillary line (Figure 2A), followed by the attachment of a Protector. The procedure was a thoracoscope was mostly handled at an angle of more than 45 degrees from the chest wall. Surgery was performed using a 10- or 5-mm 30-degree obliqueviewing thoracoscope and facing/inverted dual-monitors without any specific device. The Wrap Protector mini was used to open incisions, and a small rib Retractor was not used. The energy device, HARMONIC scalpel (Ethicon, USA) was used for mediastinal lymph node dissection. With 3D glasses, stereoscopic perception was given to enhance the feasibility and efficiency of surgical resection (Figure 2B). Data cable and optical fiber cable were in the same direction, presenting like a sector and extremely improving surgical flexibility and convenience and releasing assistant fatigue (Figure 2C). Various surgical factors (operative outcomes), the incidence of complications, postoperative complications, and 30-day mortality were all evaluated.

### Follow-up strategy and study endpoint

The patients were scheduled for a first re-visit for evaluation of postoperative recovery at four weeks after operations. Information was obtained from patients through phone call and outpatient clinic re-visit records. Then, a follow-up visit was scheduled every 3–6 months for tumor evaluation after the first visit, by chest CT scans, abdominal sonography or CT, and serum tumor markers. Other examinations were performed according to the physicians if it is necessary.

In this study, our primary study endpoint was the intraoperative outcomes including operation time, blood loss, the number of dissected lymph node and the conversion to open thoracotomy. The secondary endpoint was the postoperative outcomes, which included the drainage duration, the length of hospital stays, the rate of postoperative complications and the rate of prolonged air leak. The postoperative complications were described according to the Common Terminology Criteria for Adverse Events Version (CTCAE) 5.0, including hyperpyrexia, air leak, bleeding, subcutaneous emphysema, infection, chylothorax, pulmonary atelectasis and arrhythmia. And the prolonged air leak was defined the time was more than 3 days after surgical resection.

#### Statistical analysis

Normally distributed continuous variables are presented as the mean  $\pm$  SD, otherwise as the median and range, which were testified by the *t* test. Categorical variables are shown as numbers and percentages, which were testified by the Pearson  $\chi^2$  or Fisher's exact test. Statistical analyses were performed using SPSS software (version 19.0; IBM-SPSS, Inc., Chicago, IL, USA). The statistical significance was set as the two-sided P<0.05 for our research analysis.

## Results

## The clinicopathological characteristics of study cohort

There were totally 523 clinical stage I lung cancer patients receiving radically surgical resection in Shanghai Chest Hospital and 374 cases were enrolled in our retrospective analysis,. including 69 patients with c-VATS, 187 with single-port VATS and 118 with 3D single-port VATS (*Figure 1*). All the baseline characteristics of the primary cohort were listed in *Table 1*.

### The analysis of perioperative clinical outcomes

With respect to the comparison of intraoperative outcomes, the operative time and the intraoperative blood loss of 3D singe-port VATS demonstrated no significant difference when against c-VATS and single-port VATS, respectively. Inspiringly, our 3D singe-port VATS elucidated comparable ability of lymph node dissection with c-VATS in subgroup analysis (P=0.192), both of which presented more harvested lymph nodes when against single-port VATS group (P<0.001). What`s more, the rate of conversion to open thoracotomy of our 3D single-port group was as low as the single-port VATS one, all of which was statistically less than c-VATS (P=0.022) (*Table 2*).

As for the postoperative outcomes, 3D singe-port VATS still performed similar length of drainage duration (P=0.109) as well as postoperative complications (P=0.998) in contrast with other two groups. Nevertheless, our 3D single-port VATS demonstrated similar hospital stays in comparison with c-VATS, all of which were statistically higher than



Figure 2 The surgical incision (A), the 3D uniportal VATS system and the position of thoracic surgeons (B), the position of surgical instruments (C).

single-port one (P<0.001) (Table 2).

# Subgroup analysis for perioperative outcomes of 3D singleport VATS

We further divided our patients into several subgroups, including lobectomy, segmentectomy.

In the subgroup analysis of lobectomy, 3D single-port

VATS showed similar operative time and ability of lymph node dissection against with c-VATS, all of which were better than single-port VATS. As for the blood loss and conversion to open thoracotomy, our 3D single-port VATS was in between c-VATS and single-port VATS. As to the postoperative data, 3D single-port VATS demonstrated less drainage time compared with other two approaches. However, our 3D single-port VATS as well as c-VATS

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Table	1	Clinical	characteristics
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Characteristics	c-VATS (n=69)	single-port VATS (n=187)	3D single-port VATS (n=118)	Р
Sex (n, %)				
Male	24 (34.8)	75 (40.1)	49 (41.5)	0.646
Female	45 (65.2)	112 (59.9)	69 (58.5)	
Age (mean $\pm$ SD) (years)	57.3 (10.6)	57.1 (12.4)	54.5 (10.7)	0.116
Smoking history (n, %)				
No	62 (89.9)	172 (92.0)	94 (79.7)	0.005
Yes	7 (10.1)	15 (8.0)	24 (20.3)	
Preoperative FEV1 (mean $\pm$ SD) (L)	98.6 (8.6)	80.0 (11.1)	102.1 (7.0)	<0.001
Tumor size (mean $\pm$ SD) (mm)	14.8 (7.4)	15.8 (9.2)	14.4 (6.6)	0.330
Histology (n, %)				
ADC	64 (92.8)	175 (93.6)	109 (92.4)	0.868
SCC	3 (4.3)	8 (4.3)	4 (3.4)	
Others	2 (2.9)	4 (2.1)	5 (4.2)	
Resection (n, %)				
Lobectomy	43 (62.3)	88 (47.1)	75 (63.6)	<0.001
Segmentectomy	10 (14.5)	38 (20.3)	13 (11.0)	
WR	8 (11.6)	55 (29.4)	20 (16.9)	
Others	8 (11.6)	6 (3.2)	10 (8.5)	
c-stage (n, %)				
cIA1	25 (36.2)	73 (39.0)	43 (36.4)	0.712
cIA2	25 (36.2)	76 (40.7)	52 (44.1)	
cIA3	10 (14.5)	23 (12.3)	16 (13.6)	
clB	9 (13.1)	15 (8.0)	7 (5.9)	
p-stage (n, %)				
pIA1	31 (44.9)	73 (39.0)	46 (39.0)	0.009
pIA2	19 (27.6)	54 (28.9)	48 (40.7)	
pIA3	11 (16.0)	14 (7.5)	12 (10.2)	
plB	5 (7.2)	18 (9.6)	7 (5.9)	
Others	3 (4.3)	28 (15.0)	5 (4.2)	

VATS, video-assisted thoracic surgery; FEV1, forced expiratory volume in 1 second; ADC, lung adenocarcinoma; SCC, squamous cell carcinoma; WR, wedge resection.

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	c-VATS (n=69)	single-port VATS (n=187)	3D single-port VATS (n=118)	Р
Intraoperative data				
Operation time (mean $\pm$ SD) (min)	88.7 (32.4)	97.2 (43.0)	93.2 (30.2)	0.247
Blood loss (mean $\pm$ SD) (mL)	63.0 (56.0)	82.8 (52.9)	75.8 (67.2)	0.055
The number of dissected lymph nodes (mean ± SD)	9.0 (5.0)	6.1 (6.0)	9.5 (7.0)	<0.001
Conversion to open thoracotomy (n, %)	4 (5.8)	1 (0.5)	2 (1.7)	0.022
Postoperative data				
Drainage duration (mean $\pm$ SD) (days)	3.0 (1.1)	3.0 (1.2)	2.7 (1.1)	0.109
LOS (mean ± SD) (days)	4.5 (1.3)	3.0 (1.2)	4.4 (1.2)	<0.001
Complications (n, %)	7 (10.1)	20 (10.7)	12 (10.2)	0.988

Table 2 Perioperative outcomes for clinical stage I patients with c-VATS, single-port VATS and 3D single-port VATS

VATS, video-assisted thoracic surgery; c-VATS, conventional VATS; LOS, length of hospital stay.

Table 3 Subgroup analysis of perioperative outcomes for clinical stage I patients underwent lobectomy with c-VATS, single-port VATS and 3D single-port VATS

	c-VATS (n=43)	single-port VATS (n=88)	3D single-port VATS (n=75)	Р
Intraoperative data				
Operation time (mean $\pm$ SD) (min)	91.8 (25.2)	118.0 (36.1)	100.0 (24.3)	<0.001
Blood loss (mean $\pm$ SD) (mL)	57.0 (17.5)	106.8 (50.8)	76.7 (38.8)	<0.001
The number of dissected lymph nodes (mean $\pm$ SD)	11.0 (4.0)	9.1 (5.0)	11.9 (6.4)	0.005
Conversion to open thoracotomy (n, %)	3 (7.0)	0 (0)	1 (1.3)	0.012
Postoperative data				
Drainage duration (mean $\pm$ SD) (days)	3.0 (0.9)	3.3 (1.2)	2.7 (1.1)	0.010
LOS (mean ± SD) (days)	4.6 (1.1)	3.3 (1.2)	4.5 (1.2)	<0.001
Complications (n, %)	5 (11.6)	2 (2.3)	8 (10.7)	0.057

VATS, video-assisted thoracic surgery; c-VATS, conventional VATS; LOS, length of hospital stay.

showed similar duration of hospital stays, all of which was statistically longer than single-port VATS (P<0.001) (*Table 3*).

While in the subgroup analysis of segmentectomy, 3D single-port VATS showed comparable perioperative outcomes against c-VATS (*Table 4*).

## Discussions

VATS, initially described for lung cancer resection, has been rapidly developed since the 1990's (1,8). Starting from

traditional three or four incisions, the VATS operation has been revolutionized into single-port incision in recent years (9-11). Compared with multi-incision operation, single-port VATS has been declared as a promising minimally invasive surgical choice for early-stage lung cancer patients due to less intercostal space involved, less postoperative pain feeling, lower rate of morbidity and much more satisfied perioperative outcomes (12-14). The 3D visualization system, firstly reported by Dr. Dickhoff (6), has been gradually applied into video-assisted thoracoscopic surgery for a reduction of surgical time, better depth of visualization

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Table 4 Subgroup analysis of perioperative outcomes for clinical stage I patients underwent segmentectomy with c-VATS, single-port VATS and 3D single-port VATS

	c-VATS (n=10)	Single-port VATS (n=38)	3D single-port VATS (n=13)	Р
Intraoperative data				
Operation time (mean $\pm$ SD) (min)	92.3 (31.0)	107.2 (31.5)	110.0 (20.1)	0.301
Blood loss (mean $\pm$ SD) (mL)	55.0 (15.8)	92.6 (30.5)	107.7 (178.9)	0.326
The number of dissected lymph nodes (mean $\pm$ SD)	7.1 (3.5)	6.5 (6.5)	7.6 (3.8)	0.826
Conversion to open thoracotomy (n, %)	1 (10.0)	0 (0.0)	1 (7.7)	0.173
Postoperative data				
Drainage duration (mean $\pm$ SD) (days)	3.2 (0.8)	2.8 (0.8)	2.8 (0.8)	0.313
LOS (mean ± SD) (days)	4.4 (1.2)	2.7 (0.8)	4.3 (1.1)	<0.001
Complications (n, %)	0 (0.0)	2 (5.3)	1 (7.7)	0.690

VATS, video-assisted thoracic surgery; c-VATS, conventional VATS; LOS, length of hospital stay.

in comparison with traditional 2D VATS (15,16). What's more, it also provided our clinicians a perfect substitution for patients when robotic-assisted thoracoscopic surgery was not permitted.

Hence, the combination of single-port VATS and 3D visualization system, which was defined as 3D single-port VATS, theoretically obtained the obvious advantages from these two promising procedures. However, the present articles about the 3D single-port VATS still very limited. Therefore, our research could become the first original attempt focusing on this new technique.

c-VATS, including three-port and four-port surgery, as well as the conventional single-port VATS, has been widely accepted when treating with early-stage lung cancer which was confirmed feasible, safe, and comparable to the open technique (17). Inspiringly, our 3D single-port VATS elucidated quite similar perioperative outcomes when compared with c-VATS and single-port VATS among the intraoperative data including the average time of surgical operation, the volume of blood loss and the postoperative data including the average time of drainage duration and postoperative complications according to our present analysis. What's more, 3D single-port VATS even statistically showed comparable or potentially better lymph node dissection against c-VATS which might correlate with the technological superiority of vertical and stereoscopic feeling and vision, which could not be obtained when only 3D visualization was performed (15,16). In addition, the conversion to open thoracotomy as well as the postoperative complications and hospital stays was similar between 3D

single-port VATS and c-VATS. Therefore, 3D singleport VATS totally demonstrated high quality of operability and low rate of perioperative complications in line with traditional VATS and single-port VATS.

Taking account of different surgical procedures, we found out that 3D single-port VATS still took its advantage of lymph node dissection and possessed shorter drainage duration and similar postoperative complications in comparison with c-VATS and single-port VATS when lobectomy was conducted (12,18,19). What's more, the operation time and blood loss of 3D single-port VATS was much better than single-port VATS and statistically close to c-VATS, which also reflected the relative reliability and quality of 3D single-port VATS. Among segmentectomy subgroup, 3D single-port VATS borne comparison with c-VATS and single-port VATS among both intraoperative and postoperative clinical outcomes except hospital stays. Thus, this new technique, 3D single-port VATS, maintained its own comparability and feasibility of excellent safety and perioperative outcomes when routine thoracic operations were performed.

There were still several limitations in this research. First of all, it was a retrospective research which was analyzed from single center database, thus the biases were naturally unavoidable and the randomized clinical trial was strongly needed in further investigation. Second, there were only 374 patients selected in our research. Inadequate candidates might result in relatively less power of persuasion. Herein, large amounts of qualified patients should be enrolled in future verification. Third, what we focused in this study was perioperative outcomes and the recurrence and overall survival should be considered for the comparison between our 3D single-port VATS and c-VATS as well as single-port VATS in nearly future.

In conclusions, 3D single-port VATS, integrating the advantages of single-port VATS and three-dimensional vision of 3D VATS (20,21), targeting both the small incision with one single port and the precise resection with 3D visualization which represented the ideal of minimally invasive surgery and the precision medicine (22), was a safe and feasible technique and is promising for next-generation thoracoscopic surgery.

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# Footnote

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This retrospective study was approved by the Institutional Ethics Committee of Shanghai Chest Hospital (KS (Y) 1803), which was in line with the 1964 Helsinki Declaration (as revised in 2013). The written informed consent was not needed for this research.

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