

#### **Instructions**

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### 3. Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your

patent



Section 1. Identifying Inform				
Identifying Inform	nation			
1. Given Name (First Name) Ross	2. Surname (L Donaldson	ast Name)		3. Date 21-August-2020
4. Are you the corresponding author?	Yes ✓	_	sponding Authors	or's Name
5. Manuscript Title Efficacy of a novel chest tube system in	ı a swine model	of hemothorax		
6. Manuscript Identifying Number (if you k	now it)			
Section 2. The Work Under C	onsideration	for Publication		
any aspect of the submitted work (including statistical analysis, etc.)?	g but not limited	to grants, data mon		ent, commercial, private foundation, etc.) for udy design, manuscript preparation,
Are there any relevant conflicts of inter		No		
			than one enti	ty press the "ADD" button to add a row
Excess rows can be removed by pressir				
Name of Institution/Company	Grant	sonal Non-Final	Otner•	Comments
JS Army Medical Research and Materiel Command (Contract No. W81XWH-17-C-0211)			<b>✓</b>	Contract
Critical Innovations LLC			<b>✓</b>	Member and employee
Section 3. Relevant financial	activities out	side the submi	ted work.	
Place a check in the appropriate boxes of compensation) with entities as descrelicking the "Add +" box. You should re	ibed in the inst	ructions. Use one	ine for each er	ntity; add as many lines as you need by
Are there any relevant conflicts of inter	est? 🗸 Yes	No		
If yes, please fill out the appropriate inf		/.		
Name of Entity	Crow 7 Per	sonal Non-Fina	ncial	Community
Name of Entity	Grant•	es? Suppor	Other	Comments
Critical Innovations LLC			<b>√</b>	Member and employee



Section 4. Into	ellectual Property -	- Patents & Copy	rights			
If yes, please fill out th	ents, whether planned ne appropriate informa moved by pressing th	ation below. If you h	•		Yes No the "ADD" button to add	a row.
Patent?	Pending?	Issued? Licensed	?Royalties?	Licensee?	Comments	
US 10,046,147 B2; 61/920,9 14/581,339; 16/015,586; 14 62/562,183; 16/113,707; 18	1200292.2	<b>✓</b>			Assigned to Critical Innovations LLC	
Section 5.						
Section 5. Re	lationships not cov	ered above				
	onships or activities th g, what you wrote in t			nfluenced, or tha	at give the appearance of	
	relationships/condition					
✓ No other relations	hips/conditions/circu	mstances that prese	nt a potential c	onflict of interes	st	
On occasion, journals	cript acceptance, jourr may ask authors to di				date their disclosure state hips.	ments.
Section 6. Dis	closure Statement					
Based on the above d below.	isclosures, this form w	ill automatically ger	nerate a disclos	ure statement, w	which will appear in the bo	х
C-0211) and Critical II	nnovations LLC, durin	g the conduct of the ork; In addition, Dr. I	e study; other re Donaldson has	elevant financial patents US 10,04	(Contract No. W81XWH-17 activities from Critical 46,147 B2; 61/920,963;	<u>'-</u>



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7immermann 1



Section 1. Identifying Infor	mation	
1. Given Name (First Name) Eric	2. Surname (Last Name) Zimmermann	3. Date 23-August-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name James Ross
5. Manuscript Title Efficacy of a novel chest tube system i	n a swine model of hemoth	norax
6. Manuscript Identifying Number (if you l	(now it)	
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any aspect of the submitted work (includir statistical analysis, etc.)? Are there any relevant conflicts of inte	ng but not limited to grants, d	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financia	l activities outside the	submitted work.
of compensation) with entities as desc	ribed in the instructions. U eport relationships that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re <b>present during the 36 months prior to publication</b> .
Section 4. Intellectual Prope	erty Patents & Copyri	ghts
Do you have any patents, whether pla	nned, pending or issued, b	roadly relevant to the work? Yes V No

Zimmermann 2



Section 5. Polotionships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Zimmermann has nothing to disclose.

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Given Name (First Name)  Oliver	2. Surna Buchan	me (Last Nar an	ne)		3. Date 21-August-2020			
4. Are you the corresponding author?	Yes	✓ No	·	Corresponding Author's Name  James Ross				
5. Manuscript Title Efficacy of a novel chest tube system in a	a swine m	nodel of her	mothorax					
6. Manuscript Identifying Number (if you kno	ow it)							
Section 2. The Week Hadea Co								
The Work Under Co	nsidera	tion for P	ublication					
Did you or your institution <b>at any time</b> received any aspect of the submitted work (including statistical analysis, etc.)?  Are there any relevant conflicts of interest	but not lir	nited to gran						
If yes, please fill out the appropriate info				one enti	ty press the "ADD" button to add a row.			
Excess rows can be removed by pressing								
Name of Institution/Company	Grant?	Personal Fees?	Non-Financial Support?	Other?	Comments			
US Army Medical Research and Materiel Command (Contract No. W81XWH-17-C-0211				<b>✓</b>	Contract			
Critical Innovations, LLC				<b>✓</b>	Employee			
Section 3. Relevant financial a	ctivitie	s outside 1	the submitted	work.				
Place a check in the appropriate boxes ir of compensation) with entities as descrik clicking the "Add +" box. You should rep	oed in the	instruction	ns. Use one line fo	or each er	ntity; add as many lines as you need by			
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Critical Innovations, LLC				<b>√</b>	Employee			



Section 4.	Intellectual	Property	Patents &	& Copyri	ghts				
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At the time of mo	anuscript accep	otance, journa	ls will ask	authors to	confirm and	d, if necessary, up	odate their di	sclosure stater	ments.
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Graham 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Todd	rst Name)	2. Surname (Last Name Graham		3. Date 02-September-2020
4. Are you the cor	responding author?	☐ Yes ✓ No	Corresponding Author James Ross	or's Name
5. Manuscript Title Efficacy of a nove		a swine model of hemo	thorax	
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•	evant conflicts of intered but the appropriate info			
ii yes, pieuse iiii e	rat the appropriate init			
Name of Entity		Grant? Personal Fees?	Support? Other?	Comments
JS Army Medical Res Command (Contract	earch and Materiel No. W81XWH-17-C-0211			
Section 4.	Intellectual Proper	rty Patents & Copy	rights	
Do you have any	patents, whether plan	ned, pending or issued,	broadly relevant to the	work? ☐ Yes   ✓ No

Graham 2



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Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of ncing, what you wrote in the submitted work?
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
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	nuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements nals may ask authors to disclose further information about reported relationships.
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Dr. Graham repo outside the subr	rts grants from US Army Medical Research and Materiel Command (Contract No. W81XWH-17-C-0211, nitted work;

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			u have more thar	one entity p	ress the "ADD" button to add a row.
Name of Institut	be removed by pressin	Grant? Personal Fees?	Non-Financial Support?	Other? Co	omments
JS Army Medical Res Command (Contract	earch and Materiel No. W81XWH-17-C-0211	<b>✓</b>			
Section 3.	Relevant financial	activities outside	the submitted	work.	
of compensation clicking the "Add	n) with entities as descr	ibed in the instruction port relationships that	ns. Use one line fo t were <b>present d</b>	or each entity	relationships (regardless of amount r; add as many lines as you need by s months prior to publication.
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Ross 2



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Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
Dr. Ross reports the conduct of the	grants from US Army Medical Research and Materiel Command (Contract No. W81XWH-17-C-0211, during ne study; .

### **Evaluation and Feedback**

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