

#### **Instructions**

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earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

paten<sup>.</sup>

Halpern 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Samantha		2. Surname (Last N Halpern	lame)	3. Date 07-January-2021
4. Are you the cor	responding author?	✓ Yes No		
•	e cy of An Implantable D al Reflux in Lung Trans	•	nent of	
6. Manuscript Idei	ntifying Number (if you kr	now it)		
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Section 2.	The Work Under Co	onsideration for	Publication	
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Section 3.	Relevant financial	activities outsid	e the submitted work.	
of compensation clicking the "Add	n) with entities as descri	bed in the instruct port relationships t	ions. Use one line for each e	cial relationships (regardless of amount entity; add as many lines as you need by see 36 months prior to publication.
	ı			
Section 4.	Intellectual Proper	ty Patents & C	opyrights	
Do you have any	patents, whether plan	ned, pending or iss	ued, broadly relevant to the	e work? Yes 🗸 No

Halpern 2



Section 5.			
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Dr. Halpern has	nothing to disclose.		

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Gupta 1



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1. Given Name (Fi Aryaman	rst Name)	2. Surname (Last Name) Gupta	3. Date 07-January-2021
4. Are you the cor	ou the corresponding author? Yes Vo		Corresponding Author's Name Samantha E. Halpern, BA
Safety and Effica	5. Manuscript Title Safety and Efficacy of An Implantable Device for Management of Gastroesophageal Reflux in Lung Transplant Recipients		
6. Manuscript Idei JTD-20-3276	ntifying Number (if you kn	now it)	-
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Jawitz 1



Section 1.	Identifying Inform	ation	
1. Given Name (Fi	rst Name)	2. Surname (Last Name) Jawitz	3. Date 10-January-2021
4. Are you the cor	e you the corresponding author? Yes V		Corresponding Author's Name Samantha E. Halpern, BA
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	I		
Section 2.	The Work Under Co	onsideration for Public	ation
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Do you have any			oadly relevant to the work? ☐ Yes ✓ No

Jawitz 2



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Choi 1



Section 1.	Identifying Inform	ation	
1. Given Name (Fii Ashley	rst Name)	2. Surname (Last Name) Choi	3. Date 07-January-2021
4. Are you the cor	Are you the corresponding author? Yes V		Corresponding Author's Name Samantha E. Halpern, BA
5. Manuscript Title Safety and Efficacy of An Implantable Device for Management of Gastroesophageal Reflux in Lung Transplant Recipients			
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Choi 2



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Salfity 1



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**Grant:** A grant from an entity, generally [but not always] paid to your organization

**Personal Fees:** Monies paid to you for services rendered, generally honoraria, royalties, or fees for consulting, lectures, speakers bureaus, expert testimony, employment, or other affiliations

**Non-Financial Support:** Examples include drugs/equipment supplied by the entity, travel paid by the entity, writing assistance, administrative support, etc.

Other: Anything not covered under the previous three boxes

**Pending:** The patent has been filed but not issued **Issued:** The patent has been issued by the agency

**Licensed:** The patent has been licensed to an entity, whether

earning royalties or not

**Royalties:** Funds are coming in to you or your institution due to your patent

Klapper 1



Section 1.	Identifying Inform	ation		
1. Given Name (Fii Jacob	rst Name)	2. Surname (Last Name) Klapper	3. Date 08-January-2021	
4. Are you the cor	re you the corresponding author?		Corresponding Author's Name Samantha E. Halpern, BA	
•		evice for Management of plant Recipients		
	ntifying Number (if you kr	•		
	I			
Section 2.	The Work Under Co	onsideration for Public	ation	
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No				
Section 3.				
	Relevant financial	activities outside the s	ubmitted work.	
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .				
Are there any rel	evant conflicts of intere	est? Yes Vo		
	ı			
Section 4.	Intellectual Proper	ty Patents & Copyric	jhts	
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo				

Klapper 2



Section 5. Relationships not sovered above
Relationships not covered above
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?
Yes, the following relationships/conditions/circumstances are present (explain below):
✓ No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.
Section 6. Disclosure Statement
Disclosure Statement
Based on the above disclosures, this form will automatically generate a disclosure statement, which will appear in the box below.
Dr. Klapper has nothing to disclose.

### **Evaluation and Feedback**

Please visit <a href="http://www.icmje.org/cgi-bin/feedback">http://www.icmje.org/cgi-bin/feedback</a> to provide feedback on your experience with completing this form.

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#### **Instructions**

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

## Identifying information.

## 2. The work under consideration for publication.

This section asks for information about the work that you have submitted for publication. The time frame for this reporting is that of the work itself, from the initial conception and planning to the present. The requested information is about resources that you received, either directly or indirectly (via your institution), to enable you to complete the work. Checking "No" means that you did the work without receiving any financial support from any third party -- that is, the work was supported by funds from the same institution that pays your salary and that institution did not receive third-party funds with which to pay you. If you or your institution received funds from a third party to support the work, such as a government granting agency, charitable foundation or commercial sponsor, check "Yes".

### Relevant financial activities outside the submitted work.

This section asks about your financial relationships with entities in the bio-medical arena that could be perceived to influence, or that give the appearance of potentially influencing, what you wrote in the submitted work. You should disclose interactions with ANY entity that could be considered broadly relevant to the work. For example, if your article is about testing an epidermal growth factor receptor (EGFR) antagonist in lung cancer, you should report all associations with entities pursuing diagnostic or therapeutic strategies in cancer in general, not just in the area of EGFR or lung cancer.

Report all sources of revenue paid (or promised to be paid) directly to you or your institution on your behalf over the 36 months prior to submission of the work. This should include all monies from sources with relevance to the submitted work, not just monies from the entity that sponsored the research. Please note that your interactions with the work's sponsor that are outside the submitted work should also be listed here. If there is any question, it is usually better to disclose a relationship than not to do so.

For grants you have received for work outside the submitted work, you should disclose support ONLY from entities that could be perceived to be affected financially by the published work, such as drug companies, or foundations supported by entities that could be perceived to have a financial stake in the outcome. Public funding sources, such as government agencies, charitable foundations or academic institutions, need not be disclosed. For example, if a government agency sponsored a study in which you have been involved and drugs were provided by a pharmaceutical company, you need only list the pharmaceutical company.

## 4. Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

## Relationships not covered above.

Use this section to report other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work.

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Hartwig 1



Section 1.	Identifying Inform	ation						
1. Given Name (First Name) Matthew		2. Surname (Last Name) Hartwig		3. Date 07-January-2021				
4. Are you the corresponding author?		Yes 🗸 No		Corresponding Author's Name Samantha E. Halpern, BA				
<ul> <li>5. Manuscript Title</li> <li>Safety and Efficacy of An Implantable Device for Management of</li> <li>Gastroesophageal Reflux in Lung Transplant Recipients</li> <li>6. Manuscript Identifying Number (if you know it)</li> <li>JTD-20-3276</li> </ul>								
Section 2.	The Work Under Co	onsideratio	n for Public	ation				
Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?   Yes   No  If yes, please fill out the appropriate information below. If you have more than one entity press the "ADD" button to add a row. Excess rows can be removed by pressing the "X" button.								
Name of Institut	ion/Company	Grant•		n-Financial upport?	Other?	Comments		
<b>Torax</b>		<b>✓</b>				research funding		
	ı							
Section 3.	Relevant financial	activities ou	itside the s	ubmitted	work.			
Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo								
Section 4.	Intellectual Proper	ty Patents	s & Copyri	jhts				
Do you have any patents, whether planned, pending or issued, broadly relevant to the work? Yes Vo								

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Section 5. Polationships not severed above				
Relationships not covered above				
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✓ No other relationships/conditions/circumstances that present a potential conflict of interest				
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements On occasion, journals may ask authors to disclose further information about reported relationships.				
Section 6. Disclosure Statement				
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Dr. Hartwig reports grants from Torax, during the conduct of the study; .				

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