

## AME Case Series Checklist –Adapted from CARE Checklist and PROCESS Checklist

| Section                     | Item | Checklist description   | Reported on Page Number/Line Number | Reported on Section/Paragraph |
|-----------------------------|------|---|-------------------------------------|-------------------------------|
| Title                       | 1    | The diagnosis or intervention of primary focus followed by the words “case series”.   |                                     |                               |
| Key Words                   | 2    | 2 to 5 key words that identify diagnoses or interventions in this case series, including "case report" or "case series".  |                                     |                               |
| Abstract<br>(no references) | 3a   | Introduction—What is unique about this case series and what does it add to the scientific literature?   |                                     |                               |
|                             | 3b   | Methods—describe what was done, how and when was it done and by whom.   |                                     |                               |
|                             | 3c   | Results—what was found.   |                                     |                               |
|                             | 3d   | Conclusion—What is the main take-away lesson(s)? What have we learned and what does it mean?  |                                     |                               |
| Introduction                | 4    | Explain the scientific background and rationale for the case series.<br>What is the unifying theme - common disease, exposure, intervention and outcome, etc.<br>Why is this study needed?  |                                     |                               |
| Methods                     | 5a   | Registration and ethics—<br>5a.1 State the research registry number in accordance with the declaration of Helsinki - “Every research study involving human subjects must be registered in a publicly accessible database” (this can be obtained from; ResearchRegistry.com or ClinicalTrials.gov or ISRCTN).<br>5a.2 State whether ethical approval was passed.<br>5a.3 Provide the patient consent form too. |                                     |                               |
|                             | 5b   | Study design—state the study is a case series and whether prospective or retrospective in design, whether single or multi-center and whether cases are consecutive or non-consecutive.  |                                     |                               |
|                             | 5c   | Setting - describe the setting(s)and nature of the institution in which the patient was managed; academic, community or private practice setting? Location(s), and relevant dates, including periods of recruitment, exposure, follow-up, and data collection.  |                                     |                               |
|                             | 5d   | Participants—<br>5d.1 Describe the relevant characteristics of the participants (history, comorbidities, tumor staging, smoking, etc.).<br>5d.2 State any eligibility (inclusion/exclusion) criteria and the sources and methods of selection of participants.  |                                     |                               |

|            |    |  |  |  |
|------------|----|--|--|--|
|            | 5e | Intervention—types of intervention (such as pharmacologic, surgical, preventive, self-care) deployed and reasoning behind treatment offered. Pharmacological therapies should include formulation, dosage, strength, route and duration.                   |  |  |
|            | 5f | Follow up—describe length and methods of follow-up.  |  |  |
| Results    | 6a | Participants—reports numbers involved and their characteristics (comorbidities, tumor staging, smoking, etc.).   |  |  |
|            | 6b | Any changes in the interventions during the course of the case series (how has it evolved, been tinkered with, what learning occurred, etc.) together with rationale and a diagram if appropriate.   |  |  |
|            | 6c | Outcomes and follow-up—Clinician assessed and patient-reported outcomes (when appropriate) should be stated with inclusion of the time periods at which assessed.<br>Relevant photographs/radiological images should be provided. e.g. 12-month follow-up. |  |  |
|            | 6d | Where relevant—intervention adherence/compliance and tolerability (how was this assessed). Describe loss to follow-up (express as a percentage) and any explanations for it.   |  |  |
|            | 6e | Complications and adverse or unanticipated events.   |  |  |
| Discussion | 7a | Summarize key results.   |  |  |
|            | 7b | Discussion of the relevant literature, implications for clinical practice guidelines. How do outcomes compare with established therapies and the prevailing gold standard? Generate a hypothesis if possible.  |  |  |
|            | 7c | Strengths and limitations of the study.  |  |  |
|            | 7d | The rationale for any conclusions.   |  |  |
| Conclusion | 8a | State the key conclusions from the study.  |  |  |
|            | 8b | State what needs to be done next, further research with what study design.   |  |  |