



Inflammatory parameters and pulmonary biomarkers in smokers with and without chronic obstructive pulmonary disease (COPD)

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Background: We organized this study in order to investigate differences in serum inflammatory profiles and circulating serum pneumoproteins between smokers with and without chronic obstructive pulmonary disease (COPD).

Methods: Patients aged 35–70 years with COPD and a smoking history ≥ 10 pack-years (cases, $n=38$) and 38 participants with the same smoking history without COPD (controls) were included in a comparative study conducted as part of a population-based cross-sectional study with 2,388 individuals in northwestern Russia. Cases and controls were matched for age and smoking history. Airflow obstruction (AO) was defined using forced expiratory volume in 1 second (FEV1)/forced vital capacity (FVC) < 0.70 and/or FEV1/FVC $<$ lower limit of the normal cut-off values. Patients at risk for COPD were reassessed using a standardized diagnostic work-up protocol. Several parameters, among which four inflammatory biomarkers [the high-sensitivity C-reactive protein (hs-CRP), interleukin (IL)-6, IL-8, and tumor necrosis factor- α (TNF- α) levels] and two pneumoproteins [surfactant protein D (SP-D) and Clara cell secretory protein 16 (CC16)], were measured in the peripheral blood. Systemic inflammation was defined as at least 2 or more elevated biomarker levels.

Results: Out of all smokers, 57.9% with normal spirometry and 36.8% with COPD did not have systemic inflammation, whereas 44.7% of the patients with COPD and 5.3% of the patients without AO demonstrated at least two elevated biomarker levels. No difference in age, gender, and smoking history, environmental and occupational exposure was found between the non-inflamed and the inflamed smokers. Of all risk factors studied, only COPD was associated with systemic inflammation [odds ratio (OR) 11.42, 95% confidence interval (CI): 2.13–58.84].

Conclusions: Our study describes the systemic inflammatory network pattern associated with COPD and how it differs from the pattern in smokers with normal lung function. Systemic inflammation is not present in all smokers with COPD; in contrast, some non-obstructed smokers are characterized by systemic inflammation. From this perspective, smoking itself could be seen as a disease and studied accordingly.

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Keywords: Inflammome; systemic inflammation; airflow obstruction; smoking

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Introduction

Chronic obstructive pulmonary disease (COPD) is the fourth leading cause of death worldwide and its burden is increasing due to continued exposure to its risk factors and population aging (1-4). Even though the development of COPD is multifactorial, tobacco smoking remains its most important risk factor worldwide (4-9) to the extent that the term COPD is sometimes used as a synonym for smoking-induced lung disease (5).

Yet, for still unclear reasons not every smoker develops COPD, with percentages of smokers who develop clinically significant airway obstruction (AO) and COPD ranging from 15–30% (8) up to 50% and higher (6,7). Some researchers have suggested defining smokers with chronic respiratory symptoms without AO (6,7) as smoking-induced airway diseases (10,11) or even using a new concept of common smoke-related pulmonary disease (CSPD) with an initial stage without AO (12). Previous studies have investigated among other explanations the molecular mechanisms of augmented inflammation caused by smoking (13).

The potential roles of different biomarkers in association with COPD progression, exacerbation and mortality have already been studied, including cellular biomarkers [i.e., circulating white blood cells (WBCs)] and blood protein biomarkers [i.e., C-reactive protein (CRP), fibrinogen, interleukins, Clara cell secretory protein 16 (CC16), surfactant protein D (SP-D), and blood protease enzymes [i.e., matrix metalloproteinase 9 (MMP9)] (14-17). As COPD is a complex disorder no single biomarker can be used to assess it (16) but rather a model has been proposed using multiple classes of proteins such as acute phase proteins and signaling proteins playing a role in systemic inflammation, pneumoproteins involved in impaired lung homeostasis, and protease enzymes involved in oxidant injury (18).

Smoking itself may result in systemic inflammation, but the level of inflammation appears to be higher in patients with COPD (19). The inflammome is one suggested approach to distinguish the systemic inflammatory pattern in COPD patients from that in smokers with normal lung function (20). It has been previously shown in the ECLIPSE study that some biomarkers [WBCs, CRP, interleukin (IL)-6, and fibrinogen] were significantly higher in patients

with COPD and increased with the degree of AO, whereas others [IL-8 and tumor necrosis factor- α (TNF- α)] were higher in smokers without COPD suggesting these could be primary markers of smoking but not of COPD (21). Additionally, COPD patients with persistent systemic inflammation in the ECLIPSE study had higher mortality and exacerbation rates than those without inflammation (21). The roles of the predominantly lung-derived biomarkers (so-called “pneumoproteins”) such as SP-D (a protein secreted mainly by type II pneumocytes and Clara cells) and CC16 (also called club cell protein) in smokers with and without COPD have also been investigated (14,19,22,23). Another suggested model of tobacco smoking-induced immune response is a network where proinflammatory and anti-inflammatory regulatory pathways interlink with each other through molecular mediators (24). However, many biomarkers in these models are not specific to COPD and smoking, and require validation in appropriately designed studies prior to routine use in clinical practice. In summary, the current data on hypothetical pathophysiological pathways to explain why some smokers develop COPD while others do not, are fragmented and incomplete thereby and further research is needed in this area.

The aim of our study is to investigate differences in serum inflammatory profiles and circulating serum pneumoproteins between smokers with and without confirmed COPD in the RESPECT (“The RESearch on the PrEvalence and diagnosis of COPD and its Tobacco-related etiology”) study. This study of adults 35–70 years old in two northwestern cities in Russia has investigated the prevalence of AO, identified risk factors and assessed the diagnostic value of respiratory symptoms for AO as well as followed-up with a comprehensive diagnostic assessment by a pulmonologist the participants with AO at baseline to identify those with a clinical diagnosis of COPD (24-27).

Methods

Study design and population

RESPECT is a population-based study that has been conducted as a collaboration between the Université Catholique de Louvain (Belgium), North-Western State

Medical University (named for I.I. Mechnikov, St. Petersburg, Russia) and Northern State Medical University, Arkhangelsk (Russia). The study aimed to improve understanding of the epidemiology of COPD in northwestern Russia. We published the design of the RESPECT elsewhere (25). In total, we invited 15 primary care centers in two northwestern Russian cities (St. Petersburg and Arkhangelsk) to participate in the RESPECT study, and recruited fifteen investigators (10 from St. Petersburg and 5 from Arkhangelsk). The participating sites recruited a population-based random sample of at least 200 non-institutionalized adults, 35–70 years old, and living in a well-defined administrative area. Questionnaires were administered by research investigators regarding the participants' background characteristics, including sociodemographic data, smoking status, occupational exposures, and respiratory symptoms. We invited all participants to undergo a spirometry test. Baseline characteristics of the total RESPECT population were published elsewhere (26).

The comparative study invited patients aged 35–70 years with a clinical diagnosis of COPD after a comprehensive assessment and a smoking history of more than 10 pack-years (cases, $n=38$) and 38 participants with the same smoking history without AO (controls) to participate. The inclusion criteria for cases was as follows: (I) fixed AO defined as a post-bronchodilator (post-BD) fixed ratio of 0.7 [a ratio of the forced expiratory volume in 1 second to the forced vital capacity (FEV1/FVC) <0.7] and/or a FEV1/FVC ratio below the fifth percentile of a large healthy reference group [the statistically defined lower limit of normal (LLN) using the Global Lung Initiative (GLI) 2012 equations (28), $FEV1/FVC < GLI-LLN$] and (II) a COPD diagnosis based on the Global Initiative for Chronic Obstructive Lung Disease (GOLD) (1) criteria and confirmed by a pulmonologist after a comprehensive clinical examination. The inclusion criteria for the controls were as follows: (I) a post-BD FEV1/FVC >0.7 and $FEV1/FVC > GLI-LLN$; (II) no history of asthma (absence of symptoms); and (III) no usage of bronchodilators. Cases and controls were matched for age and smoking history.

To obtain “reference values” for biomarkers, a group of healthy non-smokers ($n=35$) aged 35–70 years from the studied population and the RESPECT' investigators was composed (Table S1). The healthy non-smokers, smokers without AO and the participants with COPD were matched for age. The inclusion criteria for the group of non-smokers were: (I) a post-BD FEV1/FVC >0.7 and $FEV1/FVC > GLI-LLN$; (II) no history of asthma (absence of symptoms)

and no usage of bronchodilators); and (III) no smoking history; (IV) no other chronic diseases. Exclusion criteria were: the presence of a respiratory disorder other than COPD (for cases) and the presence any other significant inflammatory disease (for cases and controls)

Enrollment was between June 8, 2012, and December 17, 2013 for the baseline study, and May 16, 2013, and May 13, 2015 we examined those who participated in the comparison study.

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). Local medical ethics review boards (North-West State Medical University, named for I.I. Mechnikov, protocol N 11 from 07/12/2011, and Northern State Medical University, protocol N 01/1-12 from 11/01/2012) approved the study protocol. All participants signed a written informed consent form.

Background characteristics and other variables

Background characteristics included the sex, age, and socioeconomic status. Smoking status was categorized as “never smoked”, “ex-smoker” (persons who had quit smoking ≥ 6 months prior), or “current smoker”. Former and current smokers reported the age at which they began to smoke, how many years they had been smoking and how many cigarettes they smoked per day (in pack years). One pack-year of smoking indicated that an individual smoked one pack of cigarettes (20 cigarettes) daily for 1 year. We used the American Thoracic Society (ATS) 1978 Adult Questionnaire (ATS-DLD-78) to assess exposure to occupational hazards (29). Participants reported if they had exposure to any of the following for 1 year or more: dusty job, a job with exposure to gas or chemical fumes or a job involving the use of protective equipment. Information was systematically collected regarding any personal or family history of obstructive airway disease (asthma, chronic bronchitis, emphysema, and chronic cough), allergic diseases, or tuberculosis and the presence of co-morbidities [cardiovascular diseases (CVDs) and others]. At enrollment we assessed if persons had a history of hospitalization, treatment [including BD, inhaled corticosteroid (ICS), statins and others] or an exacerbation frequency of obstructive disease.

The comprehensive standardized assessment protocol used by the pulmonologist included a physical examination with lung and heart auscultation, measurement of the height, weight, body mass index (BMI), waist circumference, pulse, respiratory rate, and blood pressure, skin and edema

assessments, and a new spirometry with bronchodilator test. Patients were asked about the presence of chronic respiratory symptoms, including chronic cough, sputum production (defined as lasting longer than 3 months), and dyspnea (30).

Spirometry

Spirometry required the use of a portable turbine Micro Spirometer (MIR Spirobank, Rome, Italy) and a personal computer equipped with a program to facilitate the uploading of data to a central database. In a previous paper we reported the accuracy of the spirometry measurements by trained investigators (31). All investigators could participate in a three-week distance-learning course on spirometry with a daylong face-to-face training session (SpiroCourse) (32). All investigators completed the course successfully and agreed to receive continuous quality feedback on the tests performed. Winspiro Pro software (MIR) compared the measured values with those in reference tables and automatically calculated the reproducibility of the performed spirometry in accordance with the European Respiratory Society (ERS) guidelines. During both the baseline assessment and follow-up study, post-BD spirometry used 400 µg of salbutamol or 160 µg of ipratropium bromide (for patients older than 60 years of age or with comorbid CVD). We used ATS/ERS quality criteria to assess the acceptability and repeatability of results (33). Spirogram evaluations were conducted by two independent experts and classified into 4 categories (ATS1: all ATS/ERS criteria, including reproducibility, were fulfilled; ATS2: all criteria except for the duration of expiration >6 seconds were fulfilled; ATS3: the test was “usable” for the interpretation of the peak expiratory flow and FEV1, and the spirograms displayed good starts and no coughs during the 1st second of the maneuver; and ATS4: none of the ATS/ERS criteria were fulfilled, and the spirograms were not “usable”). For inclusion in the study, we considered spirograms classified as ATS1 or ATS2 were considered to be of acceptable quality. We calculated predictive values of the spirometry parameters based on the GLI 2012 reference values using the GLI 2012 Data Conversion software (34). AO was defined using FEV1/FVC <0.7 (fixed cut-off) and/or FEV1/FVC < GLI-LLN as the cut-off values.

Laboratory testing

Blood samples were collected from each patient after

fasting (between 7:00 AM and 10:30 AM) and immediately stored in a refrigerated container prior to delivery to the central laboratory (<3 h after blood collection). Plasma [ethylenediaminetetraacetic acid (EDTA) or heparin] or serum samples were obtained after centrifugation. The samples were separated into aliquots (n=4–6 depending on the volume obtained) and immediately stored frozen at –80 °C for subsequent analysis at the central lab in St. Petersburg. The analytical process was organized to avoid several freeze-thaw cycles.

A hemogram, including red blood cell (RBC), WBC and leukocyte counts, was immediately performed at 1 of 2 laboratories (St. Petersburg and Arkhangelsk).

The following laboratory tests were performed for all cases and controls: blood counts (WBCs and RBCs), hemoglobin, cholesterol, triglycerides, creatinine, glucose, aspartate transaminase (AST), alanine transaminase (ALT), 25-hydroxyvitamin D, α 1-antitrypsin and NTpro-brain natriuretic peptide (NTproBNP).

Several biomarkers [including high-sensitivity CRP (hs-CRP), IL-4, IL-6, IL-8, IL-10, TNF- α , CC16, SP-D, MMP9, and tissue inhibitor of metalloproteinases (TIMP)] were quantified in smokers with COPD, smokers without AO and healthy non-smokers. A rationale for the various selected biomarkers is provided in [Table S2](#).

A large panel of biomarkers was analyzed using the enzyme-linked immunosorbent assay (ELISA) “sandwich” method in the Alisei Q.S. SEAC analyzer (Italy). All measurements were performed in the laboratory of the North-West Federal Medical University (named for I.I. Mechnikov, St. Petersburg, Russia).

The list of the biomarkers analyzed together with their sensitivities is reported in [Table 1](#). To obtain “reference values” for all of the abovementioned biomarkers, blood samples from 35 healthy non-smokers with normal spirometry and without any obstructive lung disease were analyzed with the same kits and in the same laboratory ([Table 2](#)).

Statistical analysis

Descriptive statistics were calculated for all variables. Continuous variables are presented as the mean \pm standard deviation (SD), and categorical variables are presented as numbers with frequencies. Laboratory data were presented as the median, interquartile range (IQR) and logarithmic scale values. The baseline variables were compared between the two groups with a one-sample *t*-test, and Pearson’s χ^2 test was performed for the categorical variables. Inflammatory

Table 1 Panel of cytokines measured on the analyzer (Alisei Q.S. SEAC analyzer) with their respective analytical ranges, imprecision and sensitivities

Biomarker	Method	Kit	Reference range	CV	LOD
IL-4 (pg/mL)	ELISA	Vector-Best (RF)	0–4	<8%	0.4
IL-6 (pg/mL)	ELISA	Vector-Best (RF)	0–10	<8%	0.5
IL-8 (pg/mL)	ELISA	Vector-Best (RF)	0–10	<8%	0.5
IL-10 (pg/mL)	ELISA	Vector-Best (RF)	0–20	<8%	1.0
hs-CRP (mg/L)	ELISA	Vector-Best (RF)	0–5	<8%	0.05
TNF- α (pg/mL)	ELISA	Vector-Best (RF)	0–6	<8%	0.1
CC16 (ng/mL)	ELISA	BioVendor (Czech Republic)	3.7–23.2	4.70%	0.046
SP-D (ng/mL)	ELISA	Hycult Biotech (The Netherlands)	200–5,200	5%	6.3
MMP9 (ng/mL)	ELISA	Affymetrix eBioscience	2–139	7.30%	0.05
(TIMP) (ng/mL)	ELISA	Affymetrix eBioscience	11–743	4.40%	0.01
NTpro-brain natriuretic peptide (NTproBNP) (pg/mL)	ELISA	Vector-Best (RF)	Up to 200	<8%	20.0
α 1-antitrypsin (mg/dL)	ELISA	Immundiagnostik AG (Germany)	90–180	10.60%	1.8
Vitamin D (25-hydroxyvitamin D) (ng/mL)	ELISA	Roche Diagnostics	6.23–49.9	4.4%	3.0

IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; TNF- α , tumor necrosis factor- α ; CC16, Clara cell secretory protein 16; SP-D, surfactant protein D; MMP9, matrix metalloproteinase 9; TIMP, tissue inhibitor of metalloproteinases; NTproBNP, NTpro-brain natriuretic peptide; LOD, limit of detection; RF, Russian Federation; CV, coefficient of variation.

parameters and pulmonary biomarkers were compared between the two groups consecutively (smokers with COPD and without AO) with the non-parametric Mann-Whitney U test. The percentage of smokers with COPD and without AO that exceeded the 90th percentile of the values of the healthy non-smokers was presented in radar plots.

To determine the prevalence of elevated cytokines, pulmonary pneumoproteins and other biomarkers, values exceeding the 90th percentile in the healthy non-smokers were considered abnormal. A model for the cigarette smoking-induced immune response for selected biomarkers was presented as a network layout, with each node corresponding to one of the six biomarkers, and its size was proportional to the prevalence of abnormal values (the 90th percentile of the healthy nonsmokers) for that particular biomarker in that particular group of subjects. Two nodes are linked if more than 1% of subjects in the network share abnormal values of these two biomarkers, its width being proportional to that proportion.

Subsequently all participants (cases and controls) were divided into the following groups: smokers with systemic inflammation (i.e., with 2 or more elevated biomarker levels in the upper quartile, including participants with COPD

and without AO n=19) and smokers without systemic inflammation (i.e., with no elevated biomarkers, also including participants with COPD and without AO n=36). To verify the pattern of systemic inflammation, smokers with 3 or more elevated biomarker levels in the upper quartile (n=11) were additionally compared to smokers with no elevated biomarkers (n=36).

Smokers with a high cytokines profile (defined as in upper quartile for at least 2 (or 3) biomarkers) were considered as “inflamed” smokers. Smokers with 2+ (or 3+) elevated biomarker levels (“inflamed”) and smokers with no elevated biomarkers (non-inflamed) were compared between the two groups with the one-sample *t*-test for baseline variables and Pearson’s χ^2 test for categorical variables. Logistic regression was used to investigate factors contributing to systemic inflammation and high pneumoprotein levels in the whole group of smokers.

A group of heavy smokers (those who smoked more than 40 pack/years including participants with COPD and without AO) was identified.

Statistical significance was set at $P < 0.05$ (a two-tailed probability value). SPSS version 20.0 (SPSS Inc., Chicago, IL, USA) was used for the statistical analyses.

Table 2 Biomarker values in healthy non-smokers [median (IQR)]

Biomarker	Healthy non-smokers (n=35)
Cytokine	
IL-4 (pg/mL)	1.32 (1.01; 1.60)
IL-6 (pg/mL)	0.99 (0.54; 1.86)
IL-8 (pg/mL)	0.98 (0.78; 1.40)
IL-10 (pg/mL)	4.28 (3.34; 5.24)
hs-CRP (mg/L)	0.13 (0.06; 1.21)
TNF- α (pg/mL)	1.48 (1.12; 2.04)
Enzyme	
MMP9 (ng/mL)	6.99 (5.38; 12.35)
Pneumoprotein	
SP-D (ng/mL)	287 (185.00; 337.00)
CC16 (ng/mL)	5.51 (4.76; 8.53)
Other biomarkers	
TIMP (ng/mL)	1,312.00 (1,147.00; 1,535.00)
Vitamin D (ng/mL)	18.97 (13.36; 24.80)

IQR, interquartile range; IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; TNF- α , tumor necrosis factor- α ; CC16, Clara cell secretory protein 16; SP-D, surfactant protein D; MMP9, matrix metalloproteinase 9; TIMP, tissue inhibitor of metalloproteinases.

Results

Demographic and clinical data

Of the 2,388 individuals who performed a spirometry test with satisfactory quality in the baseline part of the RESPECT study, 278 demonstrated post-BD AO based on the fixed or GLI-LLN cut-offs, and 2,110 did not (*Figure 1*). Current or ex-smokers with a ≥ 10 pack/years smoking history (95 with AO and 106 without AO) were included in the diagnostic study with follow-up spirometry and examined by the pulmonologist. Based on the comprehensive standardized assessment protocol, 38 individuals with AO were diagnosed with COPD (cases), and 38 individuals without AO were diagnosed with no objective evidence of obstructive lung disease (controls) (*Figure 1*). Those 38 cases and 38 controls (altogether 76 individuals) were included in the comparative study. The mean interval between the baseline and the second spirometry was 14.5 ± 4.8 months (range from 4 to 27 months).

The mean age of the smoker participants was 55.9 years,

and 71.1% were male. The mean smoking history was 33.2 pack/years. The baseline characteristics of the smokers with COPD and without AO based on the clinical and spirometric criteria are presented in *Table 3*. No significant differences were found between the cases and controls in their educational levels, socioeconomic statuses and indoor exposure (wood/coal stove use more than 7 times/week) (*Table 3*). Smokers without AO had a higher FEV1/FVC ratio and had less CVDs than the patients with COPD.

Cross-sectional analysis of systemic inflammation

Figure 2 shows a box plot of the inflammatory biomarkers (log scale) measured during the follow-up spirometry in the two groups of subjects studied, and *Table 4* shows median (IQR) values of all biomarkers studied. Despite variability within each group (*Figure 2*) and relatively small absolute differences between groups (*Table 4*), on average the WBC counts, CRP and TNF- α levels were significantly higher in the patients with COPD than in the smokers with normal lung function (*Figure 2, Table 4*). The median $\alpha 1$ -antitrypsin level was also significantly higher in the case group. No difference in MMP9 and TIMP levels between two groups was found.

Figure 3 presents the percentages of the smokers with COPD and without AO in both groups who exceeded the 90th percentiles of the values of the healthy non-smokers for cytokines (*Figure 3A*), pulmonary pneumoproteins and other biomarkers (*Figure 3B*). These percentages were significantly higher for IL-4 and IL-8 in the case group. No difference in any pneumoproteins was found between the cases and controls. The highest percentages of persons in both groups that exceeded the 90th percentiles of the values of the healthy non-smokers were observed for IL-8 (and IL-4 for cases) and SP-D among the cytokines and pneumoproteins, respectively. A significant difference in MMP9 levels was observed between non-smokers and smokers with COPD.

Figure 4 presents a network model for the cigarette smoking-induced immune response for selected biomarkers in the two groups of participants. Each node of the network corresponds to 1 of the 6 cytokines, and its size is proportional to the prevalence of abnormal values (the 90th percentile of the healthy nonsmokers) for that particular biomarker in that particular group of subjects. Two nodes are linked if more than 1% of subjects in the network share abnormal values of these two biomarkers, its width

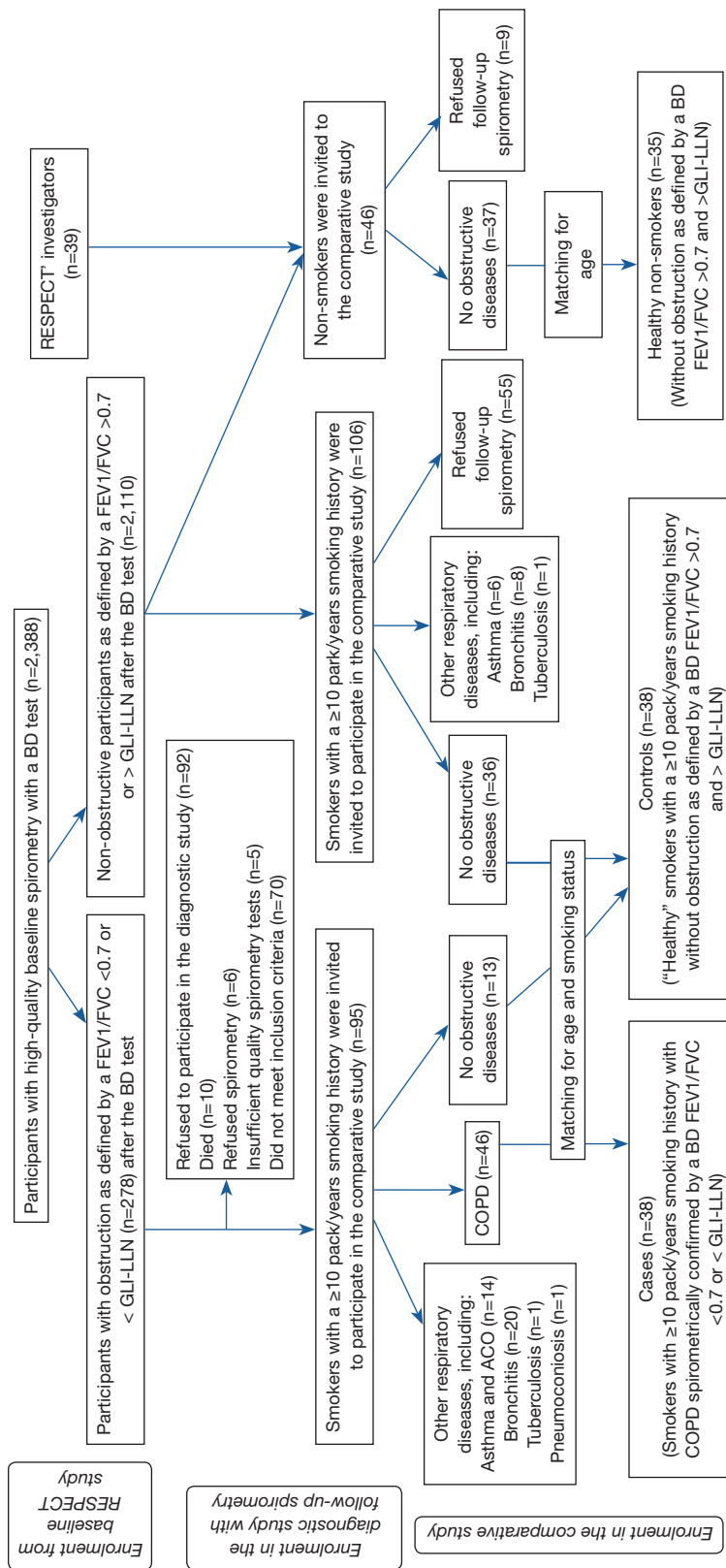


Figure 1 The RESPECT case-control study flow diagram. BD, bronchodilator; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; GLL-LLN, lower limit of normal determined as the 5th percentile of the z-scores of the reference population using the Lambda-Mu-Sigma approach; COPD, chronic obstructive pulmonary disease; ACO, asthma-COPD overlap; MI, myocardial infarction.

Table 3 Background characteristics of smokers with COPD and without AO [mean (SD)] or proportion (%) of the main characteristics)

Characteristics	Smokers with COPD, cases (n=38)	Smokers without AO, controls (n=38)	P
Demographic			
Age (years), mean ± SD	56.92±7.16	54.92±7.96	0.250
Age groups (years), n (%)			
35–54	11 (28.9)	16 (42.1)	0.120
55–70	27 (77.1)	22 (57.9)	0.330
Male, n (%)	29 (76.3)	25 (65.8)	0.450
Educational level, n (%)			
Primary	1 (2.6)	2 (5.3)	0.990
Secondary	25 (65.8)	25 (65.8)	0.810
High	12 (31.6)	11 (28.9)	0.990
Socioeconomic status, total score, mean ± SD	10.42±2.76	10.58±2.47	0.790
Smoking status			
Current smoker, n (%)	29 (76.3)	27 (71.1)	0.800
Duration of smoking (years), mean ± SD	34.34±9.56	31.50±8.95	0.190
Pack-years, mean ± SD	35.31±15.77	31.04±14.78	0.230
>10 and ≤20 pack-years, n (%)	7 (18.4)	9 (23.7)	0.770
>20 pack-years, n (%)	31 (81.6)	29 (76.3)	0.770
Cigarette per day, mean ± SD	20.76±8.63	20.10±8.94	0.740
Occupational exposure to dust/gas/fumes, n (%)			
Dusty job, ≥10 years	6 (15.8)	14 (37.8)	0.031 ^{***}
Gas/fumes exposure, ≥10 years	9 (23.7)	14 (37.8)	0.530
Indoor exposure to biomass fuels, n (%)			
Wood/coal stove higher use, >7 times/week	5 (13.2)	8 (13.2)	0.730
Clinical data, n (%)			
Cardiovascular disease	29 (76.3)	20 (52.6)	0.031 ^{##}
Statin use	5 (13.2)	3 (7.9)	0.710
ICS use	6 (15.8)	0 (0)	<0.011 ^{##}
Physiology, mean ± SD			
BMI (kg/m ²)	26.89±5.75	27.88±4.87	0.420
FEV1 (liters)	2.17±0.85	2.97±0.73	<0.001 ^{##}
FEV1%, predicted	71.38±21.24	100.43±12.69	<0.001 ^{##}
FVC (liters)	3.67±1.14	3.97±0.95	0.220
FEV1/FVC (%)	58.18±9.45	75.18±5.20	<0.001 ^{##}
GFR by the MDRD Equation (mL/min/1.73 m ²), mean ± SD	85.40±16.31	87.64±20.35	0.600

*, statistically significant factors; #, independent sample *t*-test; ##, Pearson Chi-square test. COPD, chronic obstructive pulmonary disease; AO, airflow obstruction; SD, standard deviation; ICS, inhaled corticosteroid; BMI, body mass index; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; GFR, glomerular filtration rate; the MDRD Equation, the Modification of Diet in Renal Disease (MDRD) Study equation.

Table 4 Biomarkers studied in smokers with COPD and without AO [median (IQR)]

Biomarkers	Smokers with COPD, cases (n=38)	Smokers without AO, controls (n=38)	P
White blood cells			
Leukocytes ($\times 10^9/L$)	7.7 (6.6; 9.3)	6.2 (5.1; 7.4)	0.001* [#]
Lymphocytes ($\times 10^9/L$)	2.3 (1.7; 3.0)	2.0 (1.7; 2.6)	0.591
Granulocytes ($\times 10^9/L$)	4.8 (3.7; 6.4)	3.6 (2.9; 4.2)	<0.001* [#]
Cytokines			
IL-4 (pg/mL)	1.55 (0.82; 2.53)	0.99 (0.77; 1.59)	0.067
IL-6 (pg/mL)	1.80 (0.78; 3.83)	1.34 (0.77; 2.48)	0.172
IL-8 (pg/mL)	2.07 (0.72; 9.09)	1.27 (0.58; 2.56)	0.119
IL-10 (pg/mL)	2.36 (0.88; 4.43)	2.69 (1.74; 4.04)	0.412
hs-CRP (mg/L)	3.79 (2.04; 8.61)	2.47 (1.56; 4.53)	0.020* [#]
TNF- α (pg/mL)	1.32 (0.78; 2.75)	0.74 (0.48; 1.13)	0.001* [#]
Enzyme			
MMP9 (ng/mL)	9.73 (6.99; 13.68)	7.60 (5.21; 12.10)	0.170
Pneumoproteins			
SP-D (ng/mL)	716.70 (436.77; 1,020.43)	641.40 (387.33; 1,039.68)	0.860
CC16 (ng/mL)	5.25 (4.58; 9.20)	5.37 (3.78; 8.42)	0.736
Other biomarkers			
TIMP (ng/mL)	1,132.10 (859.25; 1,609.13)	1,296.80 (1,102.14; 1,700.23)	0.161
α 1-antitripsin (mg/dL)	246.80 (214.80; 306.00)	205.35 (178.33; 237.23)	0.001* [#]
NTproBNP (pg/mL)	38.50 (11.22; 80.55)	36.36 (17.64; 61.00)	0.651
Vitamin D	16.31 (9.69; 22.25)	16.74 (8.00; 24.33)	0.963

Data are presented as the median (IQR). *, statistically significant factors; [#], non-parametric: Mann-Whitney U test for distribution. COPD, chronic obstructive pulmonary disease; AO, airflow obstruction; IQR, interquartile range; IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; TNF- α , tumor necrosis factor- α ; MMP9, matrix metalloproteinase 9; SP-D, surfactant protein D; CC16, Clara cell secretory protein 16; TIMP, tissue inhibitor of metalloproteinases; NproBNP, NTpro-brain natriuretic peptide.

being proportional to that proportion. In the smokers with COPD, the network is substantially developed (more and thicker lines), with all nodes increasing in size compared with those of the smokers with normal lung function and healthy non-smokers (*Figure 4*). The inflammatory (such as IL-6, IL-8, TNF- α) and anti-inflammatory (such as IL-4, IL-10) pathways are interlinked to each other through molecular mediators (such as IL-6 and IL-10).

Smoking and systemic inflammation

Participants (cases and controls) were subsequently divided into two groups: smokers with systemic inflammation (with 2 or more elevated biomarker levels in the upper quartile,

n=19) and smokers without systemic inflammation (with no elevated biomarkers, n=36). To verify the pattern of systemic inflammation, smokers with 3 or more elevated inflammatory biomarker levels in the upper quartile (n=11) were compared to smokers with no elevated biomarkers (n=36).

Table 5 compares the baseline demographics, clinical and functional characteristics and risk factors (smoking and occupational and environmental exposures) of the inflamed [among IL-6, IL-8, hs-CRP and TNF- α ; (I) with 2+ elevated biomarker levels and (II) with 3+ elevated biomarker levels] and non-inflamed smokers.

Out of all smokers, 57.9% with normal spirometry and 36.8% with COPD did not demonstrate any elevated

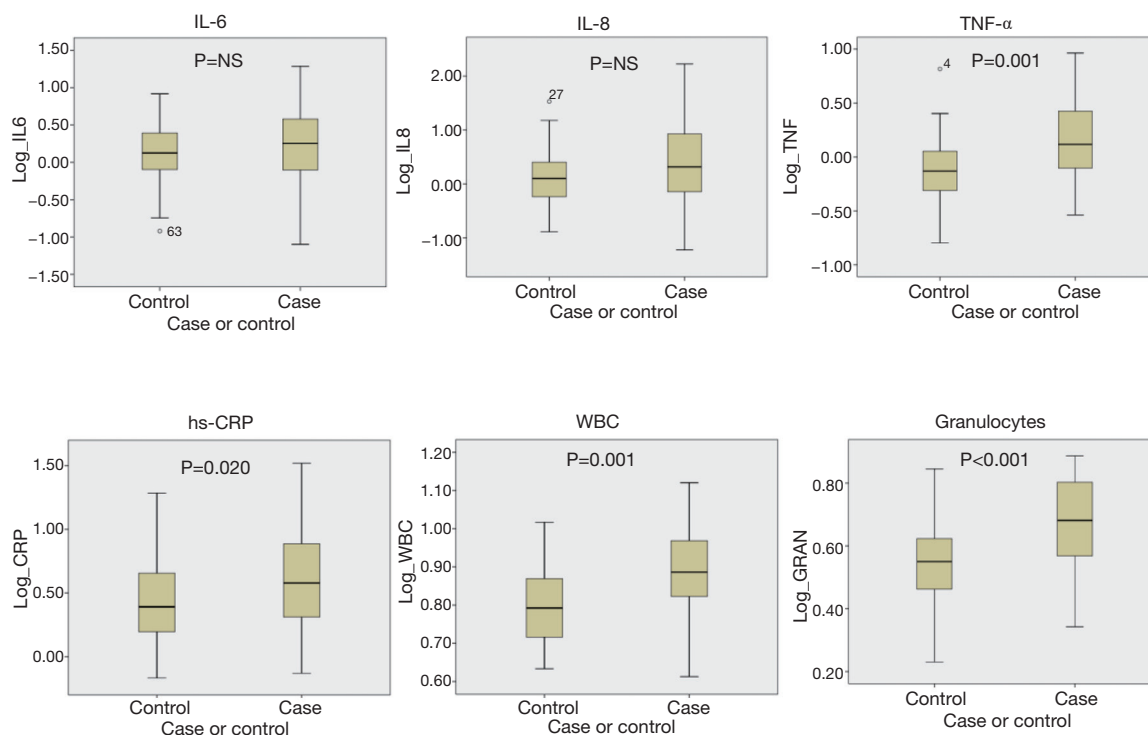


Figure 2 Box plot (log scale) for inflammatory biomarkers in smokers with COPD (cases) and without AO (controls). AO, airflow obstruction; COPD, chronic obstructive pulmonary disease; IL, interleukin; TNF- α , tumor necrosis factor- α ; hs-CRP, high-sensitivity C-reactive protein; WBC, white blood cell; NS, non-significant.

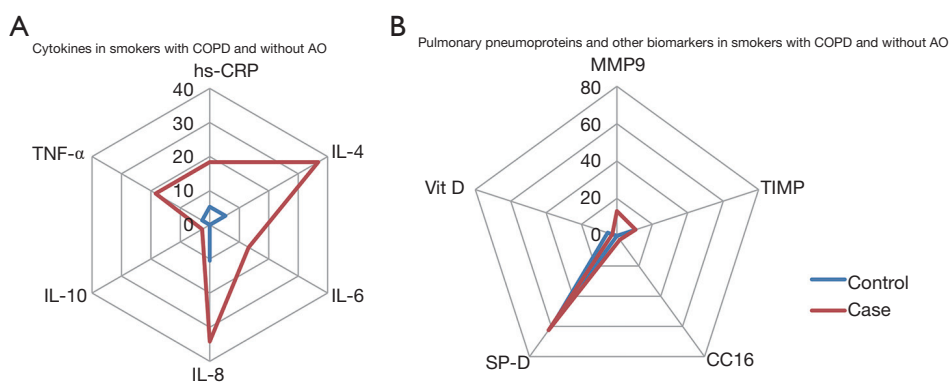


Figure 3 The percentage of persons in the both groups that exceeded the 90th percentile of the values of the healthy non-smokers for cytokines (A) and pulmonary pneumoproteins and other biomarkers (B) in smokers with COPD (cases) and without AO (controls). COPD, chronic obstructive pulmonary disease; AO, airflow obstruction; TNF- α , tumor necrosis factor- α ; hs-CRP, high-sensitivity C-reactive protein; MMP9, matrix metalloproteinase 9; TIMP, tissue inhibitor of metalloproteinases; CC16, Clara cell secretory protein 16; SP-D, surfactant protein D.

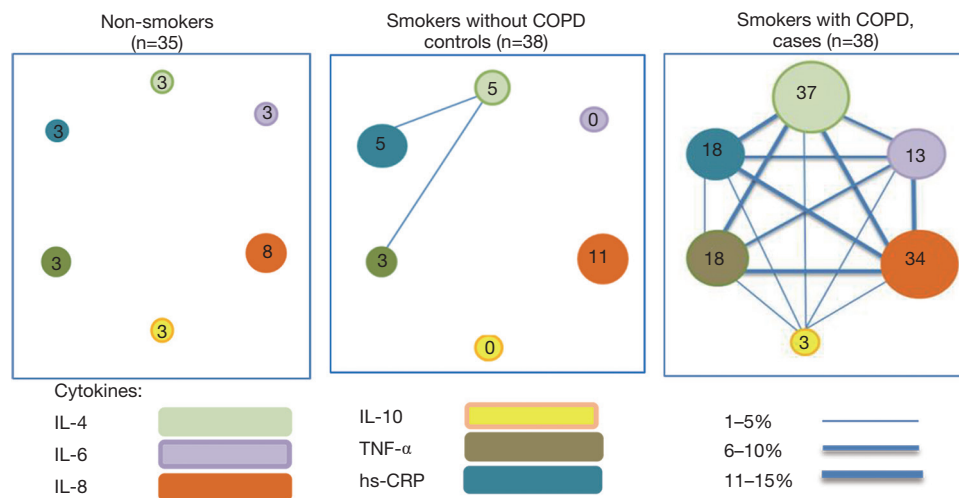


Figure 4 Network model for the cigarette smoking-induced immune response for selected biomarkers in non-smokers (n=35), smokers without AO (n=38) and smokers with COPD (n=38). Notes: each node of the network corresponds to 1 of the 6 cytokines determined in the study (see color code), and its size is proportional to the prevalence of abnormal values (90th percentile of healthy non-smokers) of that particular biomarker in that particular group of subjects (precise figure shown inside of each node). Two nodes are linked if more than 1% of subjects in the network share abnormal values of these two biomarkers, its width being proportional to that proportion. The inflammatory (such as IL-6, IL-8, TNF- α) and anti-inflammatory (such as IL-4, IL-10) pathways are interlinked each other through molecular mediators (such as IL-6 and IL-10). AO, airflow obstruction; COPD, chronic obstructive pulmonary disease; hs-CRP, high-sensitivity C-reactive protein; IL, interleukin; TNF- α , tumor necrosis factor- α .

biomarker levels (“non-inflamed” smokers). Systemic inflammation (“inflamed” smokers) based on 2+ elevated biomarker levels was identified in 44.7% of the patients with COPD and 5.3% of the patients without AO, whereas systemic inflammation based on 3+ elevated levels was identified in 26.3% of the patients with AO in 2.6% of the patients with normal spirometry.

No difference in age, gender, and smoking history, environmental and occupational exposure was found between the non-inflamed and the inflamed smokers (with either 2+ or 3+ elevated biomarkers levels). The prevalence of CVD and the proportions who used ICS and statins were similar in both groups. The FEV1/FVC ratio was lower in the patients with systemic inflammation.

Table 6 shows a summary of the logistic regression analysis of the high inflammatory profile [defined as the upper quartile for at least 2 (I) or 3 (II) biomarkers among IL-6, IL-8, TNF- α and CRP]. Gender, history of CVD, and use of ICS or statin were not associated with the presence of systemic inflammation. After adjustment for age, gender, smoking exposure (current smokers), only spirometrically confirmed and clinically proven COPD was associated

with systemic inflammation [for 2+ biomarkers odds ratio (OR) 11.42, 95% confidence interval (CI): 2.13–58.84; for 3+ biomarkers OR 15.64, 95% CI: 1.65–148.26].

No association between lung function expressed as FEV1/FVC and high pneumoprotein (SP-D or CC16) levels (defined as a value in the upper quartile) was found. After adjustment for age, sex and renal function, a high CC16 level (defined as a value in the upper quartile) was not associated with COPD (OR 1.33, 95% CI: 0.40–4.36).

Additionally, a comparison of the demographic, clinical and physiological characteristics of heavy smokers (>40 pack-years) and other smokers is presented in Table S3. Heavy smokers (those who smoked more than 40 pack-years) were mostly male, but no difference was found in their occupational or environmental exposures compared to those who smoked less than 40 pack-years. Heavy smokers had similar cardiovascular comorbidities, statin and ICS usage compared to those who smoked less. Moreover, heavy smokers had a similar percentage of persons with COPD and “inflamed” smokers [with ≥ 2 (or 3) elevated cytokine levels] than those who smoke less. It is worth being mentioned that 40.9% of heavy smokers did not any show

Table 5 Comparison of demographic, clinical and physiological characteristics of smokers with (I) no or two (or more) cytokine[§] levels in the upper quartiles of their distribution; (II) with no or tree (or more) cytokine[§] levels in the upper quartiles of their distribution. Means (SD) or proportions (%) of the main characteristics are shown

Variables	(I) Number of biomarkers elevated			(II) Number of biomarkers elevated		
	0 (n=36)	2+ (n=19)	P	0 (n=36)	3+ (n=11)	P
Demographic						
Age (years), mean ± SD	56.03±6.96	57.63±7.60	0.44	56.03±7.53	58.36±6.19	0.36
Male, n (%)	22 (61.1)	16 (84.2)	0.14	22 (61.1)	8 (72.7)	0.73
Smoking status						
Current smoker, n (%)	26 (72.2)	16 (84.2)	0.51	26 (72.2)	10 (90.9)	0.38
Duration of smoking, years, mean ± SD	33.00±8.52	33.84±12.79	0.77	33.00±8.52	35.18±11.69	0.50
Pack-years, mean ± SD	30.91±12.00	36.08±12.17	0.14	30.91±12.00	37.04±18.89	0.20
>20 pack-years, n (%)	29 (80.6)	15 (78.9)	0.84	29 (80.6)	8 (72.7)	0.89
Cigarette per day, mean ± SD	19.67±8.91	20.63±6.90	0.68	19.67±8.91	20.18±6.03	0.86
Occupational exposure to dust/gas/fumes, n (%)						
Dusty job, ≥10 years	13 (36.1)	3 (16.7)	0.23	13 (36.1)	1 (9.1)	0.18
Gas/fumes exposed, ≥10 years	14 (38.9)	5 (27.8)	0.60	14 (38.9)	1 (9.1)	0.14
Indoor exposure to biomass fuels, n (%)						
Wood/coal stove higher use, >7 times/week	5 (13.9)	3 (15.8)	0.83	5 (13.9)	1 (9.1)	0.92
Clinical data, n (%)						
COPD	14 (38.9)	17 (89.5)	<0.000 ^{###}	14 (38.9)	10 (90.9)	0.003 ^{###}
Cardiovascular disease	22 (61.1)	16 (84.2)	0.14	22 (61.1)	9 (81.8)	0.37
Statin use	4 (11.1)	3 (15.8)	0.94	4 (11.1)	2 (18.2)	0.92
ICS use	2 (5.6)	4 (21.1)	0.19	2 (5.6)	2 (18.2)	0.48
Physiology, mean ± SD						
BMI (kg/m ²)	27.44±4.70	25.89±5.11	0.26	27.44±4.70	27.03±5.80	0.81
FEV1 (liters)	2.75±0.80	2.36±0.89	0.09	2.75±0.80	2.28±0.91	0.10
FEV1%, predicted	91.48±21.98	68.83±22.30	0.001 ^{##}	91.48±21.98	66.77±22.51	0.002 ^{##}
FVC (liters)	3.83±0.94	3.83±1.18	1.00	3.83±0.94	3.70±1.34	0.72
FEV1/FVC (%)	71.66±11.40	60.39±9.05	<0.000 ^{##}	71.66±11.40	60.54±8.20	0.004 ^{##}

*, statistically significant factors; §, from IL-6, IL-8, hs-CRP, TNF- α ; #, independent samples *t*-test; ##, Pearson Chi-square test. SD, standard deviation; COPD, chronic obstructive pulmonary disease; ICS, inhaled corticosteroid; BMI, body mass index; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; TNF- α , tumor necrosis factor- α .

elevated biomarker levels. Concerning single biomarkers, hs-CRP, IL-6, and SP-D levels were significantly higher in heavy smokers than in those who smoked less (Table S3); α 1-antitrypsin levels were also significantly higher in the group of heavy smokers.

Discussion

Main findings

In a sample of adults in Northwestern Russia we found that smokers with COPD have a different systemic inflammatory

Table 6 Summary of the logistic regression analysis for systemic inflammation (“inflamed smoking” with a high cytokine profile (from IL-6, IL-8, hs-CRP, TNF- α) defined as in upper quartile: (I) for at least 2 biomarkers; (II) for at list 3 biomarkers

Variables	(I) Adjusted OR (95% CI) (a high cytokines profile was defined as in the upper quartile for at least 2 biomarkers)			(II) Adjusted OR (95% CI) (a high cytokines profile was defined as in the upper quartile for at least 3 biomarkers)		
	Model 1	Model 2	Model 3	Model 1	Model 2	Model 3
Age, years	1.03 (0.94–1.12)	1.03 (0.95–1.12)	1.03 (0.94–1.13)	1.05 (0.94–1.17)	1.07 (0.95–1.20)	1.08 (0.94–1.23)
Male	3.26 (0.80–13.37)	3.09 (0.74–12.92)	1.95 (0.39–9.68)	1.56 (0.35–7.04)	1.31 (0.27–6.23)	1.35 (0.22–8.32)
Current smokers	–	1.97 (0.45–8.58)	1.78 (0.35–9.09)	–	4.36 (0.47–40.91)	3.41 (0.31–37.10)
Spirometrically and clinically confirmed COPD	–	–	11.42 (2.13–58.84)*	–	–	15.64 (1.65–148.26)*

*, statistically significant factors. Model 1: adjusted for age and gender; model 2: model 1 adjusted for smoking status; model 3: model 2 adjusted for COPD (spirometrically and clinically confirmed). Reference category: no cytokine level in the upper quartile of the smokers distribution. Dependent variable: a high cytokine profile from IL-6, IL-8, hs-CRP, TNF- α (defined as the upper quartile for (I) at least 2 biomarkers; (II) at least 3 biomarkers). IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; OR, odds ratio; CI, confidence interval; COPD, chronic obstructive pulmonary disease; TNF- α , Tumor necrosis factor α .

network pattern than smokers with normal lung function, but no differences in pneumoproteins levels. Additionally, more than one-third of smokers with COPD in our study had no abnormal biomarkers, while a subgroup of smokers (of which most had COPD) had elevated inflammatory biomarker levels (“inflamed” smokers) and worse lung function impairment.

Differences in inflammatory profiles between smokers with and without COPD

In our study, the WBCs, hs-CRP and TNF- α levels were significantly higher for the smokers with COPD than smokers without AO (*Figure 3, Table 4*), similar to what was observed in the ECLIPSE study (25). We found no significant difference in MMP9 levels between smokers with COPD and those without AO, but there were significantly higher levels of MMP9 in smokers with COPD compared to healthy non-smokers. Compared to smokers without AO, the network of systemic inflammation (with anti-inflammatory/regulatory pathways) in smokers with COPD was substantially more developed (*Figure 4*).

The “inflammome” is a complex network of multiple cell types and mediators that has been used to identify difference between systemic inflammatory biomarkers in smokers with and without COPD (21). Several studies have supported the idea of a “persistent systemic inflammation” COPD phenotype (35–38). Elevated levels of different circulating biomarkers such as CRP and fibrinogen have been reported in patients with tobacco- and/or biomass smoke-induced COPD (37,38).

In our study, no significant difference was found between

smokers with and without AO in regard to indoor exposure (wood/coal stove use more than 7 times/week), but there were more participants with occupational hazards (dust or gas/chemical fume exposure for more than 10 years) among smokers without AO (*Table 3*). Yet for the total RESPECT population, AO was significantly associated with tobacco exposure, but not with occupational or indoor pollution (26). Smokers with COPD in our study reported more CVDs than smokers without AO despite similar statin use histories, NTproBNP levels and BMIs (*Table 3*). As in the ECLIPSE study, we observed that smokers with COPD (cases) used ICS significantly more often than those without AO but compared to the ECLIPSE study patients with COPD in our RESPECT study used ICS less frequently (15.8% *vs.* 71% in the ECLIPSE study). This is probably due to the different degrees of disease severity of the participants in the two studies.

Similar to the ECLIPSE study (25), we found no differences in occupational and environmental exposure between the “inflamed” smokers (with 2+ elevated biomarker levels) and the “non-inflamed” smokers. In contrast to the ECLIPSE study, we also found no difference in age, sex and smoking history between “inflamed” and “non-inflamed” smokers. Cardiovascular comorbidities, statin and ICS usage were also similar between these two groups, but the “inflamed” smokers had worse lung function (characterized by AO) (*Table 5*). Compared to the ECLIPSE study, we observed that “inflamed” smokers had more cardiovascular comorbidities, which corresponds to a high prevalence of and mortality due

to CVDs in Russia (39,40). In our analysis, spirometry-based and clinically proven COPD was associated with higher risk for chronic inflammation, whereas age, sex and current smoking were not (Table 6, I). Meanwhile, in the ECLIPSE study age, BMI, current smoking, and airflow limitation were associated with persistent inflammation in smokers with COPD (21). This difference can be explained by the fact that the ECLIPSE study investigated systemic inflammation in patients with COPD, while in the RESPECT study we evaluated systemic inflammation in smokers (including those with COPD and those without AO). Even though both studies concern smokers with a smoking history of more than 10 pack-years, the focus of the ECLIPSE study was on the “inflamed” and “non-inflamed” patients with COPD, while in our study we compared smokers with COPD and those without AO, as well as “inflamed” and “non-inflamed” smokers (both including participants with COPD and those without AO). Additionally, we also compared heavy smokers (those who smoked more than 40 pack-years including participants with COPD and without AO) with those who smoked less than 40 pack-years.

Similar to the ECLIPSE study, we found that approximately one-third of patients with COPD did not have any abnormal biomarkers (21). In addition, we found that heavy smokers had similar COPD and “inflammation” profiles (with at least 2 elevated cytokine levels) with those who smoked less, and more than of one-third of heavy smokers did not demonstrate any elevated inflammatory biomarker levels (“non-inflamed” heavy smokers). Concerning single biomarkers, hs-CRP, IL-6 and SP-D levels, as well as α 1-antitrypsin level, were significantly higher in heavy smokers (Table S3).

Recently, a new concept of “individual natural histories of COPD” has been proposed in which COPD is no longer defined as a single disease but as a syndrome (41,42). Based on all the above we can hypothesize that each smoker and by extension each patient with COPD, has his/her own natural history of a smoking-induced disease. Thereby, a smoker with normal spirometry may become a COPD patient, and vice versa, mild COPD patients may become non-obstructive. We have already reported findings of this diagnostic instability (reversal of COPD diagnosis) in the RESPECT study (27).

Differences in pneumoprotein levels between smokers with and without COPD

The second aim of our study was to explore the levels of

circulating serum pneumoproteins in smokers with COPD and those without AO. We found no difference for levels of any pneumoprotein among smokers with COPD and those without AO. In both groups, SP-D had the highest percentage of participants that exceed the 90th percentile of the healthy non-smokers values among the pneumoproteins. In the ECLIPSE study, higher serum SP-D levels were reported among COPD patients than among current and ex-smokers without AO and the largest difference in the serum SP-D levels was observed between non-smokers and current/former smokers leading to the conclusion that SP-D is a powerful biomarker for smoking (23,43). In our study, serum SP-D levels were significantly higher for smokers with normal spirometry (without AO) and smokers with COPD compared to non-smokers. Higher serum SP-D levels were demonstrated among heavy smokers than in those who smoked less, despite a similar number of participants with COPD.

The clinical relevance and utility of circulating SP-D and CC16 as diagnostic, prognostic, responsive biomarkers of COPD (either single biomarker or in combination with inflammatory biomarkers) has already been discussed (17,18,43). However, whether SP-D and CC16 levels can differentiate COPD from smoking or other respiratory diseases is uncertain.

The data regarding the association between circulating SP-D and lung function are contradictory. Circulating SP-D has been proposed to define smokers with low initial lung function who are most at risk for developing COPD, and therefore SP-D measurements can be used complimentary to spirometry for the early detection of COPD (44). SP-D is a useful COPD marker within specified prognostic subgroups and in multi-marker analyses (43). Although the SP-D levels increase strongly in response to smoking, high circulating SP-D levels appear to be related to disease outcomes even after adjustment for smoking (43). In our study, no association between circulating SP-D and lung function was found in smokers with a smoking history of more than 10 pack/years. This finding can be at least partially explained by the small number of observations.

Polymorphisms in CC16 (a protein secreted by non-ciliary bronchiolar cells) and local deficiency as well as a diminished number of club cells (which can be identified as a “smoking gun” candidate) have been marked as COPD features (44-48). The significance of lowered serum CC16 levels in patients with COPD remains unclear. In the ECLIPSE studies it was found that median serum levels of CC-16 were significantly lower in individuals with COPD

than in smokers with normal lung function, and that CC-16 levels were also lower in smoking controls than in non-smokers and that otherwise serum CC16 levels did not correlate with lung function or reversible AO in current smokers with COPD (22). However, a low vitamin D level was related to emphysema, the 6MWD, airway reactivity, and the CC16 level (49). The authors concluded that CC16 was a useful biomarker for COPD but could not be applied to screen populations or as a substitute for lung function testing to identify reversible AO, and when used should be corrected for age, sex, smoking status and lung function (22).

In our study, we found no significant difference in CC16 and vitamin D levels between smokers with COPD and smokers without AO and high CC16 levels were not associated either with FEV1/FVC or with COPD.

Multiple biomarkers (combination of inflammatory biomarkers and pneumoproteins)

Recently the role of combinations of multiple biomarkers has been reported (14,16,49). The ECLIPSE and COPD Gene studies both indicated that combinations of multiple biomarkers were much more strongly predictive of AO [CC16, soluble receptor for advanced glycation end products (sRAGE), fibrinogen, CRP and SP-D], emphysema (SP-D, CRP, sRAGE and fibrinogen), and mortality (SP-D, CRP and fibrinogen) than any single biomarker, although the amount of variance explained by the multiple biomarkers was lower than that of clinical variables (44,49). We did not investigate these combinations of multiple biomarkers in our study and this may be an area of future research.

Strengths and limitations

Our study has several strengths and limitations. The RESPECT study is a prospective population-based study of adults aged 35–70 years. A large number of persons were screened based on symptoms, risk factors and pre- and post-BD spirometry. Patients at risk for COPD were reassessed using a standardized diagnostic work-up protocol. The final diagnosis of COPD was based on a comprehensive assessment, which included a detailed history, rigorous clinical examination by experienced pulmonologists and repeated spirometry with a BD test. A major strength of the study is that both cases and controls come from the same original population (the case-control study was nested in the cohort study). Both cases and controls were matched

for age and smoking history, and healthy non-smokers were matched for age as well.

Our study has some potential limitations. The biology of the inflammatory response is complex, and we studied only a limited panel of biomarkers. Nevertheless, the biomarkers chosen correspond to those investigated by the majority of previous studies, and some of them are often and easily measured in routine clinical practice.

Higher tobacco consumption has been shown to be associated with higher systemic inflammation both genetically and observationally (50). In our study, we reported cross-sectional data for inflammatory and pulmonary biomarkers, but no longitudinal follow-up observations or genotype studies of high systemic inflammation or tobacco consumption.

Conclusions

In a sample of adults in Northwestern Russia, we found in smokers with COPD a systemic inflammatory network pattern that is different from that of smokers with normal lung function. We also observed that systemic inflammation is not present in all smokers with COPD, while some smokers without AO have systemic inflammation. From this perspective, smoking itself could be seen as a disease and studied accordingly. Additionally, we identified a subgroup of smokers (of which most have COPD) with elevated inflammatory biomarker levels (“inflamed” smokers) and worse lung function impairment who could be a target for specific therapeutic interventions beside smoking cessation.

COPD includes several different clinical and pathophysiologic phenotypes. In the future biomarkers that predict responsiveness to particular treatments might guide specific targeted therapeutic interventions. More studies are needed to clarify if early anti-inflammatory therapies aiming prevention of further decline of lung function are effective and safe in some specific subgroups of COPD patients and non-obstructed smokers with systemic inflammation.

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Footnote

Data Sharing Statement: Available at <https://dx.doi.org/10.21037/jtd-20-1580>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://dx.doi.org/10.21037/jtd-20-1580>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). Local medical ethics review boards (North-West State Medical University, named for I.I. Mechnikov, protocol N 11 from 07/12/2011, and Northern State Medical University, protocol N 01/1-12 from 11/01/2012) approved the study protocol. All participants signed a written informed consent form. The clinical trial registration is: NCT02307799.

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Supplementary

Table S1 Comparison of background characteristics and biomarker levels of healthy non-smokers and smokers with COPD and without AO [mean (SD), median (IQR) or proportion (%) of the main characteristics]

Characteristics	Healthy non-smokers (n=35)	P (between healthy non-smokers and smokers with COPD)	P (between healthy non-smokers and smokers without AO)
Demographics			
Age, mean ± SD	53.37±9.76	NS	NS
Male, n (%)	8 (22.9)	<0.0001 ^{***}	<0.0001 ^{***}
Physiology			
BMI, kg/m ² , mean ± SD	26.44±4.25	NS	NS
FEV1, liters, mean ± SD	2.93±0.72	<0.013 ^{*#}	NS
FVC, liters, mean ± SD	3.52±0.87	NS	NS
FEV1/FVC, %, mean ± SD	83.27±5.541	<0.0001 ^{*#}	<0.0001 ^{*#}
Cytokines			
IL-4, pg/mL	1.32 (1.01; 1.60)	NS	NS
IL-6, pg/mL	0.99 (0.54; 1.86)	0.016 ^{****}	NS
IL-8, pg/mL	0.98 (0.78; 1.40)	0.019 ^{****}	NS
IL-10, pg/mL	4.28 (3.34; 5.24)	0.002 ^{****}	0.001 ^{****}
hs-CRP, mg/L	0.13 (0.06; 1.21)	<0.0001 ^{****}	<0.0001 ^{****}
TNF-α, pg/mL	1.48 (1.12; 2.04)	NS	<0.0001 ^{****}
Enzyme			
MMP9, ng/mL	6.99 (5.38; 12.35)	0.042 ^{****}	NS
Pneumoproteins			
SP-D, ng/mL	287 (185.00; 337.00)	<0.0001 ^{****}	<0.0001 ^{****}
CC16, ng/mL	5.51 (4.76; 8.53)	NS	NS
Other biomarkers			
TIMP, ng/mL	1,312.00 (1,147.00; 1,535.00)	NS	NS
Vitamin D	18.97 (13.36; 24.80)	0.040 ^{****}	NS

^{*}, statistically significant factors; [#], independent samples *t*-test, ^{**}, Pearson Chi-square test, ^{***}, non-parametric: Mann-Whitney U test for distribution. COPD, chronic obstructive pulmonary disease; AO, airflow obstruction; SD, standard deviation; IQR, interquartile range; BMI, body mass index; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; TNF-α, tumor necrosis factor-α; MMP9, matrix metalloproteinase 9; CC16, Clara cell secretory protein 16; SP-D, surfactant protein D; TIMP, tissue inhibitor of metalloproteinases; NS, non-significant.

Table S2 Selected biomarkers and their association with COPD or exposure to tobacco smoke

Biomarkers	Comments
COPD biomarkers from cohorts/prospective studies and systematic reviews/meta-analysis (Cazzola M <i>et al.</i> , 2019)	
(I) Single biomarkers	
CC16, SP-D, vitamin D, WBC	Associated with disease progression
SP-D, NTproBNP, MMP-9, hs-CRP	Associated with exacerbation risk
NTproBNP	Associated with mortality
(II) Combination of biomarkers	
CC16 + sRAGE + fibrinogen + hs-CRP + SP-D; hs-CRP + WBC + IL-6 + IL-8 + fibrinogen; hs-CRP + IL-6 + TNF- α	Associated with disease progression
WBC + fibrinogen + hs-CRP + IL-6 + IL-8 + TNF- α	Associated with exacerbation risk
WBC + fibrinogen + hs-CRP + IL-6 + IL-8 + TNF- α ; CC16+ sRAGE + fibrinogen + hs-CRP + SP-D; SP-D + hs-CRP + fibrinogen	Associated with mortality
Interpretation of single COPD biomarkers (Stockley RA <i>et al.</i> , 2019)	
CC16	Stable, anti-inflammatory
SP-D	Stable, anti-inflammatory, antioxidant
hs-CRP	Unstable, nonspecific acute-phase response
IL-6	Unstable, pro- and anti-inflammatory, acute-phase response, chemokine signaling but complete, overlap in COPD
MMPs (with TIMP)	Contribute to tissue damage, activate of growth factors, through those lead to small airway disease
α 1-antitrypsin	The major serum inhibitor of serine proteinases (especially neutrophil elastase)
A model of assessing COPD by using multiple classes of proteins of blood and sputum (Moon JY <i>et al.</i> , 2018)	
Acute phase proteins (incl. hs-CRP)	Systemic inflammation (predictive, diagnostic, prognostic biomarker)
Signaling proteins (interleukins, TNF- α)	Systemic inflammation (predictive, diagnostic, prognostic biomarker)
Pneumoproteins (SP-D, CC16)	Impaired lung homeostasis (diagnostic, prognostic, responsive biomarker)
Natriuretic peptide hormone (incl. NTproBNP)	Comorbid cardiovascular disease (diagnostic, prognostic biomarker)
Protease enzymes (incl. MMPs)	Oxidant injury (diagnostic, prognostic biomarker)
A network model for cigarette smoking-induced immune response (Pan Z <i>et al.</i> , 2016)	
IL-6, TNF- α	Proinflammatory pathways
IL-4, IL-10	Anti-inflammatory/regulatory pathways
IL-6, IL-10	Molecular mediators, through those the inflammatory and anti-inflammatory/regulatory pathways interlink with each other
Metalloproteases (MMPs)	Cause of tissue damage

COPD, chronic obstructive pulmonary disease; CC16, Clara cell secretory protein 16; SP-D, surfactant protein D; NTproBNP, NTpro-brain natriuretic peptide; hs-CRP, high-sensitivity C-reactive protein; WBC, White blood cell; MMP9, matrix metalloproteinase 9; sRAGE, soluble receptor for advanced glycation end products; IL, interleukin; TNF- α , tumor necrosis factor- α ; MMPs, matrix metalloproteinases; TIMP, tissue inhibitor of metalloproteinases.

Table S3 Comparison of demographic, clinical and physiological characteristics of heavy smokers (>40 pack-years) and other smokers [mean (SD), proportion (%) or median (IQR) of the main characteristics]

Characteristics	Smoking status		P
	Heavy smokers (>40 pack-years) (n=22)	Other smokers (<40 pack-years) (n=54)	
Demographic			
Age, years, mean ± SD	57.82±6.61	55.15±7.87	NS
Male, n (%)	20 (90.9)	34 (63.0)	0.015 ^{*##}
Smoking status			
Current smoker, n (%)	19 (86.4)	37 (68.5)	NS
Duration of smoking, years, mean ± SD	38.32±8.79	30.72±8.76	0.001 ^{*#}
Cigarettes per day, mean ± SD	29.05±8.87	16.91±5.79	0.000 ^{*#}
Pack-years, mean ± SD	52.80±10.22	25.18±8.14	0.000 ^{*#}
Occupational exposure to dust/gas/fumes, n (%)			
Dusty job, ≥10 years	5 (22.7)	15 (28.3)	NS
Gas/fumes exposed, ≥10 years	10 (45.5)	13 (24.5)	NS
Indoor exposure to biomass fuels, n (%)			
Wood/coal stove higher use, >7 times/week	4 (18.2)	6 (11.1)	NS
Clinical data, n (%)			
COPD	13 (59.1)	25 (46.3)	NS
Cardiovascular disease	16 (72.7)	33 (61.1)	NS
Statin use	1 (4.5)	7 (13.0)	NS
ICS use	1 (4.5)	5 (9.3)	NS
Physiology, mean ± SD			
BMI, kg/m ²	26.04±4.69	27.93±5.50	NS
FEV1, liters	2.63±0.72	2.79±0.94	NS
FEV1% predicted	80.28±20.92	88.36±23.03	NS
FVC, liters	3.92±0.83	3.91±1.11	NS
FEV1/FVC, %	66.51±10.98	70.63±11.18	NS
Biomarker profile, n (%)			
Smokers with no elevated cytokine levels ("non-inflamed" smokers)	9 (40.9)	27 (50.0)	NS
Smokers with ≥2 elevated cytokine levels ("inflamed" smokers)	8 (36.4)	11 (20.4)	NS
Smokers with ≥3 elevated cytokine levels ("inflamed" smokers)	5 (22.7)	6 (11.1)	NS

Table S3 (continued)

Table S3 (continued)

Characteristics	Smoking status		P
	Heavy smokers (>40 pack/years) (n=22)	Other smokers (<40 pack/years) (n=54)	
Single biomarkers and pneumoproteins			
White blood cells			
Leukocytes ($\times 10^9/L$)	7.4 (6.7; 8.6)	6.7 (5.3; 8.5)	NS
Lymphocytes ($\times 10^9/L$)	2.3 (1.8; 2.9)	2.1 (1.7; 2.6)	NS
Granulocytes ($\times 10^9/L$)	4.6 (3.6; 5.3)	3.7 (3.1; 5.0)	NS
Cytokines			
IL-4, pg/mL	1.4 (0.7; 2.1)	1.1 (0.8; 1.8)	NS
IL-6, pg/mL	2.5 (1.3; 5.1)	1.3 (0.6; 2.5)	0.007 ^{###}
IL-8, pg/mL	2.5 (1.4; 8.8)	1.5 (0.6; 5.2)	NS
IL-10, pg/mL	3.2 (1.8; 5.4)	2.4 (1.1; 4.0)	NS
hs-CRP, mg/L	3.9 (2.9; 7.7)	2.6 (1.6; 5.3)	0.017 ^{###}
TNF- α , pg/mL	1.2 (0.7; 2.0)	0.9 (0.6; 1.7)	NS
Enzyme			
MMP9, ng/mL	9.8 (7.4; 14.4)	9.1 (5.7; 13.2)	NS
Pneumoproteins			
SP-D, ng/mL	947.3 (466.1; 1,195.0)	627.1 (407.9; 1,018.6)	0.039 ^{###}
CC16, ng/mL	5.4 (4.2; 8.4)	5.3 (4.4; 7.5)	NS
Other biomarkers			
TIMP, ng/mL	1,447.5 (1,058.3; 1,916.4)	1,272.5 (901.4; 1,531.4)	NS
α 1-antitripsin, mg/dL	254.3 (236.5; 285.8)	218.4 (186.8; 242.3)	0.006 ^{###}
NproBNP, pg/mL	39.0 (17.1; 63.2)	32.7 (18.2; 64.5)	NS
Vitamin D	14.7 (8.7; 20.0)	16.4 (8.4; 22.1)	NS

*, statistically significant factors; #, independent samples *t*-test, ##, Pearson Chi-square test; ###, non-parametric: Mann-Whitney U test for distribution. SD, standard deviation; IQR, interquartile range; COPD, chronic obstructive pulmonary disease; ICS, inhaled corticosteroid; BMI, body mass index; FEV1, forced expiratory volume in 1 second; FVC, forced vital capacity; IL, interleukin; hs-CRP, high-sensitivity C-reactive protein; TNF- α , tumor necrosis factor- α ; MMP9, matrix metalloproteinase 9; CC16, Clara cell secretory protein 16; SP-D, surfactant protein D; TIMP, tissue inhibitor of metalloproteinases; NTproBNP, NTpro-brain natriuretic peptide; NS, non-significant.