



Propofol decreases CD8+ T cells and sevoflurane increases regulatory T cells after lung cancer resection: a randomized controlled trial

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Background: Anaesthetics generally have an immunosuppressive effect, which may be related to prognosis. We conducted to clarify the relationship between peri-operative immunosuppression and anaesthetic agents in patients undergoing lung cancer surgery, resulting in better selection of intraoperative anaesthesia.

Methods: Prospective randomized controlled study was performed in single-University hospital. Patients with lung cancer who were scheduled to undergo lung cancer resection between June 2018 and July 2019. Patients were randomly divided to three groups: desflurane (group D), sevoflurane (group S), and propofol (group P) groups. Peripheral blood mononuclear cells were separated from the blood samples. CD4+ and CD8+ T cells, programmed death 1 (PD-1) on CD4+ and CD8+ T cells, and regulatory T cells were measured by flow cytometry. The Wilcoxon signed rank sum test was used to compare pre- and post-operative values for each anaesthesia.

Results: Eighty-two patients were enrolled; samples from 64 individuals (20 in group D, 22 in group S, and 22 in group P) were analysed after exclusion. The number of CD8+ T cells was significantly lower after the operation than before the operation in the group P ($P<0.05$). The proportion of regulatory T cells was significantly increased after surgery, compared with before surgery in the group S ($P<0.05$). There was no difference in PD-1 on CD4+ and CD8+ T cells after lung surgery among the three groups.

Conclusions: Propofol decreased the number of CD8+ T cells, while sevoflurane increased the proportion of regulatory T cells in patients after lung surgery; however, propofol, sevoflurane, and desflurane did not increase the proportion of PD-1 on CD4+ and CD8+ T cells after lung surgery. Sevoflurane and propofol may cause immunosuppression via different mechanisms after lung cancer surgery.

Trial Registration: UMIN-CTR: UMIN000031911.

Keywords: Desflurane; sevoflurane; propofol; lung cancer surgery; immunosuppression.

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Introduction

Lung cancer is the leading cause of new cancers and cancer deaths worldwide. In 2018, there were 2.1 million new lung cancers and 1.8 million deaths from lung cancer, accounting for about 20% of all cancer deaths (1). About 30% of Japanese people die of cancer, with lung cancer being the leading cause of death among men, and second leading cause among women.

Of the 42,482 patients with primary lung cancer, 42,107 (99%) underwent surgery in 2016; 5,261 (12.5%) of these patients were over 80 years old, and the population was older (1). Aging causes immunosuppression; age-related immune weakness is thought to be one of the causes of cancer development and poor prognosis (2). With aging, the thymus gland atrophies and impairs various functions of the T and B cells, causing a decline in acquired immune function. In addition, aging increases the expression of programmed death-1 (PD-1), which is one of the suppressive receptors for T cell activation (3) and regulatory T cells and inhibits T cell function (4). Passive and active smoking, chronic obstructive pulmonary disease, several infectious diseases such as tuberculosis, and a history of interstitial pneumonia, in addition to immunosenescence, results in chronic inflammation, leading to a pre-cancer state (5).

Anaesthetics also have an immunosuppressive effect during surgery. Volatile inhalation anaesthesia induces a significantly worse survival rate than propofol in patients after gastrointestinal (6), as well as breast, colon, and rectal cancer surgery (7). In patients who had undergone breast cancer surgery, sevoflurane reduced natural killer (NK) cell-activating receptors; however, propofol did not (8). In patients who had undergone elective minimally invasive partial discectomy, sevoflurane reduced the number of CD3+, CD4+, and CD8+ cells (9). Nevertheless, several retrospective cohort studies have found no significant difference in overall survival with propofol and volatile anaesthetics in patients with lung cancer (10). Although some anaesthetics, such as ketamine, thiopental, halothane, and propofol, reduced NK activity and promoted retention or metastasis of lung cancer in mice (11), differences in immunosuppression by choice of intraoperative anaesthesia in lung cancer patients remains unclear. The purpose of this study was to clarify the relationship between peri-operative immunosuppression and anaesthetic agents in patients undergoing lung cancer surgery, to aid the selection of surgical anaesthesia.

We present the following article in accordance with the CONSORT reporting checklist (available at <https://dx.doi.org/10.21037/jtd-21-878>).

Methods

Ethics

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by institution ethics board of Juntendo University Hospital (No. 17-286), Tokyo, Japan (Chairperson Prof. Atsushi Okuzawa) on 31 May 2018, and registered at Clinical Trials Registry System, UMIN-CTR: UMIN000031911. Written informed consent was obtained from all participants.

Participants

Patients who underwent scheduled resection for primary lung cancer at Juntendo University Hospital were enrolled from June 13, 2018 to July 24, 2019. This study was a three-arm parallel study and allocation ratio was closed to 1:1:1. The patients were randomly divided to three groups regarding to general anaesthesia methods [volatile desflurane (group D), volatile sevoflurane (group S), and intravenous propofol (group P)]. On acquiring the written informed consent from the participant on the day before surgery, they were randomized into each group by using permuted block randomization, in sequence of registration. The permuted blocks including 25 for each group had been made by Juntendo Clinical Research and Trial Center in advance immediately after IRB approval. Exclusion criteria included a lack of informed consent, age less than 20 years, immunological disease, pre-treatment with chemoradiotherapy, taking immunosuppressive agents or steroids, and simultaneous bilateral surgery. Primary endpoint is to measure the changes in the number of immune response cells. Peripheral blood mononuclear cells were separated from the blood samples. CD4+ and CD8+ T cells, programmed death 1 (PD-1) on CD4+ and CD8+ T cells, and regulatory T cells were measured by flow cytometry. These parameters were compared with each anesthetic used in the perioperative period. A preliminary study was conducted on 10 patients in each group during the first 3 months from starting the study. Among them, CD4+ ratio in peripheral blood of pre- and post- surgery was calculated, and the required number of cases in this

study was calculated by power calculation (significance level 0.05, power: 0.8). More than 20 patients were calculated for each group.

Anaesthesia method

General anaesthesia was performed with desflurane, propofol, or sevoflurane combined with epidural anaesthesia, inserted into the Th5-7 intervertebral space. Before inducing general anaesthesia, the arterial catheter was placed in the radial artery under local anaesthesia, where the first blood sample was collected.

In the desflurane and sevoflurane groups, general anaesthesia was induced with remifentanyl (0.3–0.4 µg/kg/min), propofol (1–1.5 mg/kg), and rocuronium (0.6 mg/kg); in the propofol group, general anaesthesia was induced with remifentanyl (0.3–0.4 µg/kg/min), propofol (target blood concentration 3.0 µg/mL by target-controlled infusion), and rocuronium (0.6–1 mg/kg).

In all groups, after achieving muscle relaxation, the patients were intubated via the trachea using the left sided Double lumen tube. Remifentanyl was controlled in the range of 0.1–0.25 µg/kg/min according to blood pressure and HR. Occasionally, a vasopressor such as phenylephrine (0.1 mg) was used to maintain a range within ±20% of the initial value, while 10 mg Rocuronium was added during each surgery if needed.

At the induction of general anaesthesia, 4 mL of 0.25% levobupivacaine, 50 µg fentanyl, and 1–2 mg morphine was administered via the epidural catheter, followed by continuous epidural infusion of levobupivacaine (3 mL/h) and morphine (2–3 mg/day). The depth of anaesthesia was assessed using bispectral index (BIS) monitoring and was maintained in the range of 40–60 in the three groups. At the end of the surgery, anaesthetic administration was stopped, and immediately after that, the second blood sample was collected. Sugammadex was used to recover from muscle relaxants if needed.

Antibodies

The following human antibodies (Bio-Legend, San Diego, CA, USA) specific to the surface markers were used: anti-CD3-APC/Cy7 (clone HIT3a) for T cells, anti-CD4-PerCP/Cy5.5 (clone SK3) for CD4+ T cells, anti-CD8-PE/Cy7 (clone SK-1) for CD8+ T cells, anti-CD279-APC (clone EH12.2H7) for programmed death-1, anti-CD25-FITC (clone BC96), anti-CD127-PE (clone A019D5);

regulatory T cells were identified as CD25+ CD127- in CD4+ T cells.

Sample collection and analysis of immune cells

Both before induction of anaesthesia and at the end of surgery, 8 ml blood samples were collected in a cell preparation tube containing Ficoll and sodium citrate (Vacutainer cell preparation tube; BD Biosciences, Franklin Lakes, NJ, USA). Within 2 h after blood collection, the tubes were centrifuged to separate peripheral blood mononuclear cells (PBMCs) and plasma. PBMCs were stained with the surface markers previously described and quantified via flow cytometry. As a control, PBMCs were also incubated with the appropriate isotype control antibodies. Flow cytometric analysis (10,000 events/sample) was performed on a Fortessa Flow Cytometer (BD Biosciences) with the CellQuest software (BD Biosciences), to quantify T cell activation using the FlowJo software (FlowJo, Ashland, OR, USA). Absolute quantification of each cell was generated by the number of viable cells, which was measured by cell counter, multiplied by the percentage of each item. The percentage of each item was determined by flow cytometry with a flow of 10,000 cells after isolation of PBMC.

Statistical methods

Data were analysed using EZR (Saitama Medical Center, Jichi Medical University, Saitama, Japan) (12). The Wilcoxon signed rank sum test was used to compare pre- and post-operative values for each anaesthesia (volatile desflurane, volatile sevoflurane, and intravenous propofol). Repeated measures ANOVA was used for comparison among the three groups at any given point. Post-hoc test was performed with Greenhouse-Geisser correction Hynh-Feldt correction after repeated measures ANOVA.

$P < 0.05$ was considered statistically significant; results are presented as the mean ± SD.

Results

Eighty-two patients were included in this study between June 13, 2018 and July 24, 2019). Recruitment was discontinued at the achievement of scheduled numbers of samples; benign diseases and missing samples were excluded. Samples from 64 individuals (20 in group D, 22 in group S, and 22 in the group P) were analysed (*Figure 1*);

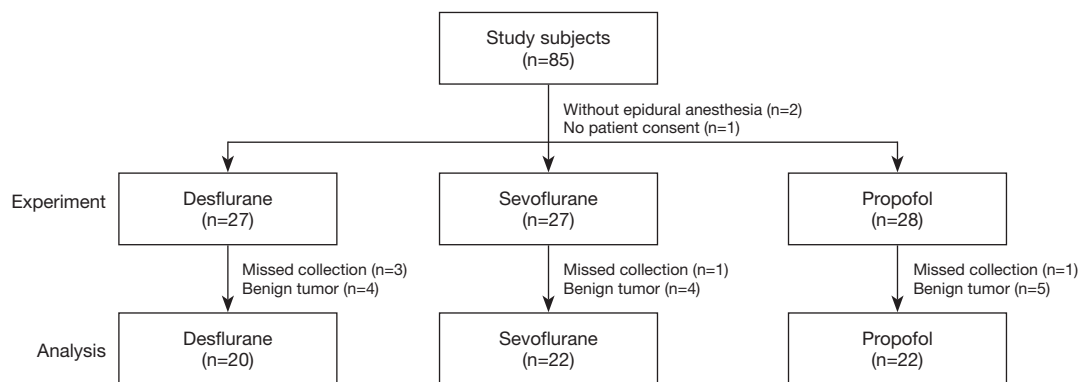


Figure 1 Flow chart of patients included in this study.

their clinical characteristics are summarised in *Table 1*. There were no differences regarding age, sex, BMI, ASA physical status, WHO tumour stage, surgery time, separated lung ventilation time, and hospital stay among the three groups. Pre- and post-operative numbers of leukocyte and lymphocyte were also shown in *Table 1*. Leukocyte values among three groups were significantly different at both points, however the values of lymphocyte were not different among three groups at either point.

Propofol decreased the number of CD8+ T cells in patients after lung surgery

There was no difference in the number of CD4+ T cells and the ratio of PD-1 on CD4+ and CD8+ cells in the three groups; however, in group P, the number of CD8+ T cells was significantly lower after the operation than before [50.4 ± 23.2 to 37.5 ± 12.9 ($10^4/\text{mL}$ blood), $P < 0.05$]. In groups S and D, the number of CD8+ T cells did not decrease after the operation (*Figure 2*).

Sevoflurane increased proportion of regulatory T cells in patients after lung surgery

In group S, the proportion of regulatory T cells had significantly increased after surgery, compared with before ($0.0086\% \pm 0.0062\%$ to $0.012\% \pm 0.0079\%$, $P < 0.05$, *Figure 3*). In groups P and D, the proportion of regulatory T cells did not increase after the operation.

Proportion of PD-1 in CD4+ and CD8+ T cells after lung surgery using propofol, sevoflurane, and desflurane

To investigate the precise mechanism of immunosuppression

caused by anaesthesia, we identified expressed PD-1 in peripheral T cells in patients after surgery; there was no difference in PD-1 on CD4+ and CD8+ T cells after lung surgery using propofol, sevoflurane, and desflurane (*Figure 4*). These results suggest that propofol and sevoflurane may cause immunosuppression after lung cancer surgery by different mechanisms. The pre- and post-operative changes for all parameters were not significantly different among the three groups.

Discussion

This study revealed that propofol decreased the number of CD8+ T cells. It may lead to a diminished immune function against cancer since CD8+ T cell differentiates into cytotoxic T lymphocyte (CTL), which attacks cancer cells. This study also revealed that sevoflurane increased the proportion of regulatory T cells in patients after lung surgery. It may lead to suppression of the immune response against cancer cells since regulatory T cells suppress the activation of CTL and promote its death. However, propofol, sevoflurane, and desflurane did not increase the proportion of PD-1 on CD4+ and CD8+ T cells after lung surgery.

Naive CD8+ T cells recognise MHC class 1 dendritic cells, expressing IL-2 receptors. CTLs enter the tumour site and perform their functions, but the tumour microenvironment suppresses the transport and function of CTLs by chemokine secretion, abnormal tumour angiogenesis, and activation of inhibitory checkpoints (13). In the current experiment, propofol reduced CD8+ T cells; however, desflurane and sevoflurane did not cause a significant reduction. The reduction of CD8+ T cells by an anaesthetic leads to a further decrease in cytotoxic activity against tumours. Our results differ from those of other

Table 1 Patient characteristics

Characteristics	Desflurane	Sevoflurane	Propofol	P value
Number of patients	20	22	22	
Age (years), mean \pm SD	69.2 \pm 8.9	69.0 \pm 9.0	68.8 \pm 8.9	0.993
Male, n (%)	15 (75.0)	15 (68.2)	15 (68.2)	0.858
BMI, mean \pm SD	22.5 \pm 2.8	22.8 \pm 4.2	24.5 \pm 3.6	0.149
ASA physical status, n (%)				0.625
1	0 (0.0)	2 (9.1)	2 (9.1)	
2	14 (70.0)	16 (72.7)	14 (63.6)	
3	6 (30.0)	4 (18.2)	6 (27.3)	
WHO tumor stage, n (%)				0.48
0	3 (15.0)	2 (9.1)	1 (4.5)	
I	9 (45.0)	12 (54.5)	17 (77.3)	
II	4 (20.0)	5 (22.7)	1 (4.5)	
III	3 (15.0)	1 (4.5)	2 (9.1)	
Metastatic tumor	1 (5.0)	2 (9.1)	1 (4.5)	
Surgical duration	148.0 [81.0–215.0]	155.0 [35.0–240.0]	146.5 [83.0–245.0]	0.984
Anesthetic duration	190.5 [128.0–282.0]	202.0 [73.0–295.0]	188.5 [119.0–304.0]	0.978
Duration of One lung ventilation	134.5 [54.0–206.0]	125.0 [27.0–220.0]	131.0 [70.0–224.0]	0.987
Hospital stay after surgery, day	5.0 [3.0–59.0]	6.0 [3.0–18.0]	5.0 [4.0–27.0]	0.293
Surgical procedure, n (%)				
Lobectomy	14 (70.0)	16 (72.7)	19 (86.4)	0.449
Segment resection	2 (10.0)	4 (18.2)	2 (9.1)	
Partial resection	4 (20.0)	2 (9.1)	1 (4.5)	
Histological type, n (%)				
Adenocarcinoma	16 (80.0)	15 (68.2)	14 (63.6)	0.551
Squamous cell carcinoma	3 (15.0)	3 (13.6)	7 (31.8)	
Small cell carcinoma	0 (0.0)	1 (4.5)	0 (0.0)	
Sarcoma	0 (0.0)	1 (4.5)	0 (0.0)	
Metastatic tumor	1 (5.0)	2 (9.1)	1 (4.5)	
Leukocyte ($10^9/L$)				
Preoperative	6.20 [4.00–8.70]	5.10 [2.90–8.20]	5.85 [3.30–11.60]	0.029
Postoperative	6.75 [4.10–12.80]	4.85 [2.20–14.80]	5.80 [2.90–13.80]	0.048
Lymphocyte (%)				
Preoperative	25.90 [11.80–46.60]	32.20 [16.50–46.20]	30.55 [15.30–44.40]	0.513
Postoperative	19.20 [10.30–38.30]	23.50 [7.00–44.70]	22.55 [6.70–38.60]	0.666

The data are presented as mean \pm SD, median [range], or number (percentage). ASA, American Society of Anesthesiologists.

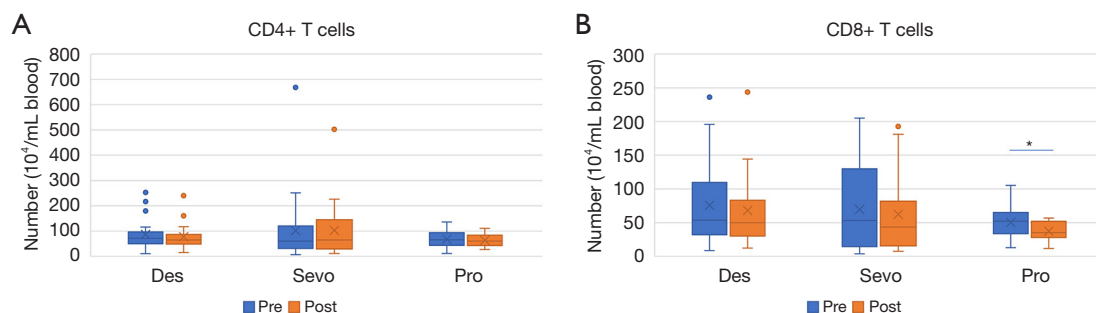


Figure 2 Propofol decreased the number of CD8+ T cells in patients after the lung surgery. (A) CD4+ T cells; (B) CD8+ T cells in peripheral blood. Blood samples from patients under general anaesthesia (volatile desflurane, volatile sevoflurane, intravenous propofol) were analysed. *, $P < 0.05$; Wilcoxon signed rank sum test.

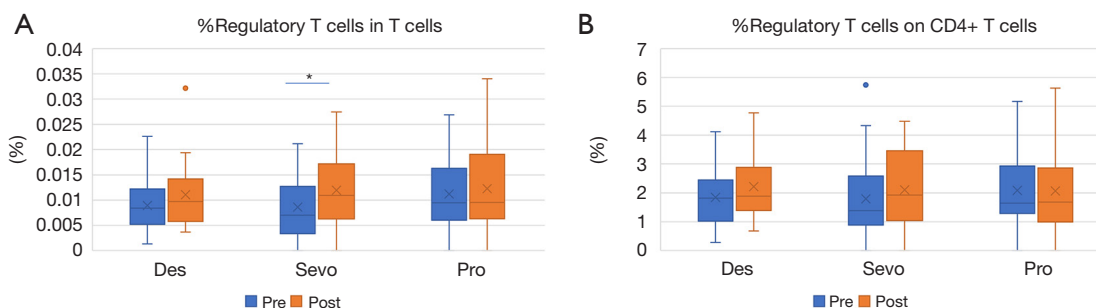


Figure 3 Percentage of regulatory T cells in T cells and percentage of regulatory T cells on CD4+ T cells. Sevoflurane increased proportion of regulatory T cells in T cells in the patients after lung surgery. *, $P < 0.05$; Wilcoxon signed rank sum test.

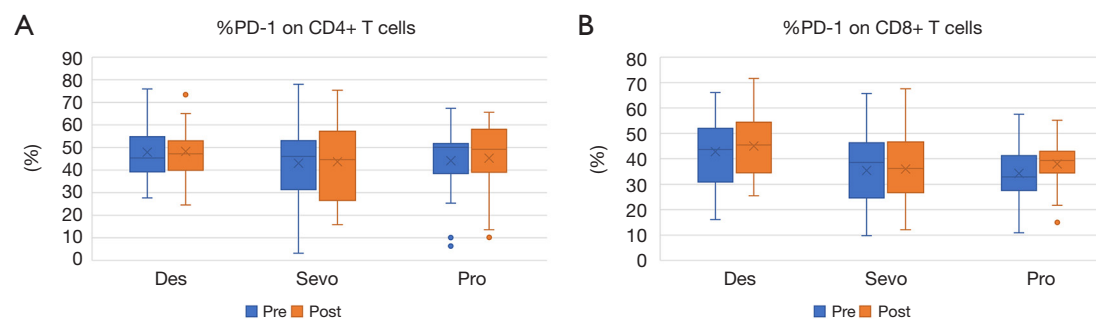


Figure 4 Percentage of PD-1 on CD4+ T cells and Percentage of PD-1 on CD8+ T cells. Propofol, sevoflurane, and desflurane did not increase the proportion of PD-1 on CD4+ and CD8+ T cells after lung surgery. *, $P < 0.05$; Wilcoxon signed rank sum test.

reports, according to which sevoflurane reduces CD8+ cells (9).

Regulatory T cells regulate the immune response to the self in the periphery, and have been implicated in immune escape in cancer (14). Regulatory T cells accumulate in the tumour bed of non-small cell lung cancer, suppressing

cytotoxic antitumor elements and creating a favourable environment for tumour growth (5). While it has been reported that CD39 and CD73 on regulatory T cells induce adenosine formation and promote cancer progression, there was no difference in the frequency of their expression on regulatory T cells between use of sevoflurane and

propofol (15). In our study, there was no significant increase in regulatory T cells with propofol and desflurane; however, there was a significant increase in regulatory T cells with sevoflurane. These results suggest that sevoflurane may contribute to cancer progression through an increase in regulatory T cells.

PD-1 is expressed on the surface of CTLs. PD-1 ligands, PD-L1 and PD-L2, are expressed on the surface of antigen-presenting cells. When CTLs infiltrate tumour tissue, cytokines such as IFN- γ secreted by CTLs cause cancer cells and tumour-infiltrating macrophages to express PD-L1 and PD-L2, which bind to PD-1 and inhibit CTL activity (16). PD-1 expression is increased in patients with sepsis and has been shown to be closely associated with hospital infection and mortality (17,18). Several studies showed increased level of PD-1 on T cells in cancer patients, such as Cervical Intraepithelial Neoplasia (19), in non-small cell lung cancer (NSCLC) (20). Tumour-infiltrating CD8+ T cells in NSCLC showed increased PD-1 expression and decreased immune function, including decreased cytokine production and proliferative capacity (21). There are reports showing that NSCLC patients have a relatively high content of PD-1+ CD4+ T cells, and that a decrease in PD1+ CD8+ T cells after cancer vaccination (PPV) correlates with survival (22). These results indicate that the baseline of PD-1 expression on T cells is higher than non-cancer patients, leading to our result that propofol, sevoflurane, and desflurane did not increase the proportion of PD-1 on CD4+ and CD8+ T cells after lung surgery. If other immune check points were investigated, the change depending on the anaesthetics might have been observed. We are going to plan the measures of other checkpoints in the next study.

This study has several limitations. First, it is a single-centre study; thus, it may have been influenced by several biases, including patient characteristics, surgical manipulation, and experimentation techniques. Second, this study evaluated only the number of cells, and did not examine cellular functions such as cytokine production capacity. Third, the effects of analgesics on immunity were not assessed in this study. Opioids can be affected by intravenous or volatile anaesthetics of any choice, while reports suggest that morphine may promote cancer growth in lung cancer (23). Although opioids have an immunosuppressive effect, pain stress is also thought to be involved in immunosuppression; the balance between the two is difficult to quantify and assess (24). Since we intraoperatively used opioids such as fentanyl and morphine, which have known immunosuppressive effects, we should

evaluate and consider these effects. Fourth, the effect of surgical manipulation on immunity could not be excluded. A variety of factors have been implicated in the peri-operative immune status, including the effects of surgical stress on the hypothalamus-pituitary-adrenal gland, direct effects on the sympathetic nervous system, and hypothermia (25); the T cell system, as measured in this experiment, may also be affected by these effects. Fifth, various immune cells have been found to infiltrate the tumour bed and participate in cancer growth (5). The immune cells might be affected by the released cancer cell to systemic blood flow by surgical manipulation; however, it is difficult to distinguish this from the other effects on immune cells. We intend to further investigate this in mice without cancer, to evaluate the specific effects of anaesthetics on immune cells.

Conclusions

Propofol decreased the number of CD8+ T cells, while sevoflurane increased the proportion of regulatory T cells in patients after lung surgery. However, propofol, sevoflurane, and desflurane did not increase the proportion of PD-1 on CD4+ and CD8+ T cells after lung surgery. Therefore, propofol and sevoflurane may be related to the immunosuppression in lung cancer patients. Future research in this direction is necessary.

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Footnote

Reporting Checklist: The authors have completed the CONSORT reporting checklist. Available at <https://dx.doi.org/10.21037/jtd-21-878>

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://dx.doi.org/10.21037/jtd-21-878>). The authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by institution ethics board of Juntendo University Hospital (No. 17-286) and informed consent was taken from all the patients.

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