# Imaging how and where we breathe oxygen: another Big Short?

Dante P. I. Capaldi<sup>1,2</sup>, Fumin Guo<sup>1,3</sup>, Grace Parraga<sup>1,2,3</sup>

<sup>1</sup>Robarts Research Institute, <sup>2</sup>Department of Medical Biophysics, <sup>3</sup>Graduate Program in Biomedical Engineering, The University of Western Ontario, London, Ontario, Canada

Correspondence to: Grace Parraga, PhD. Robarts Research Institute, The University of Western Ontario, 1151 Richmond Street N, London, N6A 5B7, Canada. Email: gparraga@robarts.ca.

Submitted Jan 17, 2016. Accepted for publication Jan 21, 2016. doi: 10.21037/jtd.2016.01.83 View this article at: http://dx.doi.org/10.21037/jtd.2016.01.83

The Big Short (1) tells the story of a small group of skeptics who profited from the financial crisis in 2007 by betting against collateralized (mortgage) debt obligations (CDO). Importantly, the novel paints a clear picture of the eccentric nature of contrarians who think divergently and against the grain or bet against an accepted truth or "sure" thing. In a similar manner, Ishii and co-workers' recent work (2) describes their team's development of a pulmonary imaging technology that provides divergent and disruptive *in vivo* lung measurements of oxygen partial pressure in the context of the prevailing and longstanding consensus around FEV<sub>1</sub> as the definitive diagnostic of chronic lung disease.

It is well-understood and universally-accepted that the efficiency of pulmonary gas exchange is highly dependent on the symbiotic relationship between ventilation (oxygen delivery via the airways) and perfusion (oxygen uptake by the blood) that occur in the functional units of the lung, the alveoli. Accordingly, heterogeneity or mismatch between pulmonary ventilation and perfusion is a hallmark finding in respiratory diseases, such as chronic obstructive pulmonary disease (COPD). Indirect measurements of the alveolar partial pressure of oxygen  $(P_AO_2)$ , such as arterial blood oxygen concentrations  $([O_2])$  (3) and the measurement of the partial pressure of expired carbon dioxide-oxygen mixtures using helium washout (4), provide clinicallyacceptable measurements of gas exchange. Unfortunately, while these approaches provide physiologically relevant measures to support patient phenotyping and perhaps treatment decisions, these cannot provide regional information about ventilation, perfusion or its mismatch. This is important because in the last decade, lung imaging research has demonstrated that in obstructive lung diseases like asthma and COPD, lung functional abnormalities

are regionally persistent and not randomly distributed throughout the lung. Accordingly, imaging methods, such as single photon emission computed tomography (SPECT) (5) and positron emission tomography (PET) (6), have been exploited to provide regional estimates of regional gas exchange and local partial pressures of oxygen. While also very useful, these imaging methods have limited spatial resolution and in addition, there is a small but clinicallyrelevant risk to patients that stems from the ionizing radiation of the SPECT and PET contrast agents. An alternative imaging approach that does not pose such risks may also provide some helpful information and utilizes inhaled hyperpolarized noble gases and magnetic resonance imaging (MRI) (7).

Since its first description (8,9), hyperpolarized noble gas MRI, using either <sup>3</sup>He or <sup>129</sup>Xe gas, both of which are stable, non-ionizing isotopes that can be magnetized so they are MRI visible, has provided non-invasive measurements of lung ventilation and parenchymal morphology. Inhaled gas MRI has provided new insights into pulmonary physiology and biomechanics of COPD (10), asthma (11), cystic fibrosis (12), radiation-induced lung injury (13) and lung transplantation (14). This previous research has led to the development of oxygen-sensitive or oxygen-weighted noble gas MRI, first described by Saam and colleagues (15) in 1995. This pioneering study suggested the potential for MRI to spatial and temporal ventilation-perfusion and oxygen distribution/uptake in the lung via alveolar oxygen partial pressure maps (7,16). This method exploits a unique property of the hyperpolarized noble gas polarization decay rate that is directly related to the presence of molecular oxygen and linearly related with the local oxygen concentration. The oxygen-induced

relaxation measurements can then be used to estimate the regional  $P_AO_2$  by measuring local differences in gas signal intensity (7). Although the method allows for rapid image acquisition to measure ventilation-perfusion match and oxygen uptake, a number of limitations including coil inhomogeneity, lung motion, delayed ventilation and/or diffusion have all significantly slowed clinical uptake and translation (17). Importantly, measurement variability has never yet been reported and this is absolutely required before such measurements can be used in clinical trials and for individual patient investigations.

In an extension of these previous studies, Ishii and colleagues (2) endeavored to evaluate the test-retest reproducibility of alveolar oxygen tension measurements using <sup>3</sup>He MRI in 25 subjects including 10 non-smokers and 15 asymptomatic smokers. Reproducibility was measured using intra-class correlation coefficients and a mixed-effect model of  $P_AO_2$ . They showed there was no spatial or temporal difference in reproducibility in the non-smokers and the case-matched current smokers. Importantly, they also observed that although the cross-cohort repeatability was not significantly different, the spatial and temporal variability of P<sub>4</sub>O<sub>2</sub> in smokers was greater than in non-smokers. Furthermore, a loss of gravity-dependent gradients in  $P_AO_2$ was observed in asymptomatic smokers-similar to previous work in mild-to-moderate COPD using <sup>13</sup>N PET (18). In healthy, normal never-smokers, it is well-established that the lung deforms due to gravity and Ishii et al. showed clearly that such effects are regionally quantifiable using  $P_AO_2$  mapping. Because the  $P_AO_2$  maps clearly showed abnormalities in otherwise normal smokers, this suggests that such maps can be interrogated to detect early lung structure-function changes in asymptomatic smokers and ex-smokers.

This work showed for the first time that  $P_AO_2$ measurements and maps provide the necessary and sufficient test-retest repeatability in non-smokers and asymptomatic smokers for novel biomarkers of COPD. Given this new information, it is now clear that  $P_AO_2$  map and measurement variability beyond these thresholds may be ascribed, at least at this centre, to physiological changes that have occurred (alterations in gas exchange) as opposed to measurement error due to patient and technological factors. These reliability results should accelerate the more wide-spread use of MRI to develop novel lung disease imaging biomarkers based on  $P_AO_2$ .

What is needed next to translate this method beyond imaging centres to respiratory care? Similar to other novel

pulmonary imaging methods, patient motion/movement during image acquisition represents a predominant source of error that compounds subsequent image analyses especially when comparing images at multiple time-points. Image processing, including image registration provides a way to compensate for motion, although this may lead to other sources of error. The complex imaging protocol, pulse sequence, multinuclear hardware, and corrections needed to generate estimates of PAO2 may also slow or impede clinical translation. The system used to deliver the mixture of hyperpolarized <sup>3</sup>He and oxygen requires simultaneous administration in a controlled fashion to eliminate depolarization of the gas prior to inhalation. This may reduce uptake in pediatric studies. Reproducibility of these measurements is also strongly dependent on image quality measured using the signal-to-noise ratio (SNR) which is dependent on many factors including polarization levels, the gas dispensing/delivery system for the patient and inhomogeneous signal acquisition via the thoracic coils used to capture the MRI signal. Finally and perhaps most importantly, there has been little opportunity to validate the regional  $P_AO_2$  measurements generated using MRI. Once validated, widespread translation in clinical research and clinical care trials may help uncover the structural foundations of ventilation/perfusion mismatch.

It is important to note that two decades after its first demonstration, hyperpolarized inhaled gas MRI is still limited to a handful of research centers worldwide. Some of the delay in clinical translation and uptake likely stems from the depleted global supply of <sup>3</sup>He gas that has also resulted in significantly increased cost. What is clear is that moving forward, hyperpolarized <sup>129</sup>Xe will be the translational target because the gas is naturally abundant and relatively less expensive with complexity and speed of image acquisition similar to <sup>3</sup>He MRI. It is also clear that some of the physical properties of <sup>129</sup>Xe gas including the gyromagnetic ratio and enrichment result in lower polarization and signal intensity making it more challenging (19) to acquire and measure complex quantitative phenotypes. The solubility of <sup>129</sup>Xe in both blood and tissue adds another degree of freedom in the model used to estimate  $P_AO_2$ . Not to be confused with the current reproducibility demonstration of inhaled hyperpolarized <sup>3</sup>He MRI, oxygen-enhanced measurements that stem from more conventional <sup>1</sup>H MRI and inhaled O<sub>2</sub> also provides estimates of the partial pressure of oxygen dissolved in lung tissues (20). This is achieved by comparing T<sub>1</sub>-weighted <sup>1</sup>H images acquired whilst breathing room air (~21%  $[O_2]$ ) and pure oxygen (100%  $[O_2]$ ). This method

#### Capaldi et al. Imaging how and where we breathe oxygen

could potentially be used to validate or provide additional information that is complementary to oxygen-weighted hyperpolarized inhaled noble gas MRI. For all of these approaches however, what is yet required are streamlined and clinically-acceptable pipelines for both image acquisition and data analysis. Once integrated and accepted into clinical workflows, more widespread translation and use in clinical trials may be undertaken.

Like the small group of contrarians who predicted the credit collapse and betted against the "mortgage" market (1), Ishii and co-workers (2) understand the risks inherent in the assumption that FEV<sub>1</sub> will remain the dominant diagnostic for obstructive lung disease. They have bet on a different approach and invested in the development of oxygen-weighted noble gas MRI to generate  $P_AO_2$ measurements. While this is yet to be translated more widely, the biomarkers provided by MRI have the potential to generate new insights into mechanisms of pulmonary disease pathogenesis as well as the development and delivery of novel treatments. All of this is now urgently required if we are to stem the tidal wave of asthma and COPD costsboth human and financial burdens that are being driven by an evolving demographic, environmental and other factors in the developed and developing world.

## Acknowledgements

None.

## Footnote

*Provenance*: This is an invited article commissioned by the Guest-Editor Lihua Chen [Department of Radiology, Taihu Hospital (PLA 101Hospital), Wuxi, China].

*Conflicts of Interest*: The authors have no conflicts of interest to declare.

## References

- Lewis M. The big short: inside the doomsday machine. New York: W.W. Norton, 2011.
- Ishii M, Hamedani H, Clapp JT, et al. Oxygenweighted hyperpolarized (3)He MR imaging: a shortterm reproducibility study in human subjects. Radiology 2015;277:247-58.
- Walker HK, Hall WD, Hurst JW, editors. Clinical methods: the history, physical, and laboratory examinations. 3rd edition. Boston: Butterworths, 1990.

- 4. Jordanoglou J, Tatsis G, Danos J, et al. Alveolar partial pressures of carbon dioxide and oxygen measured by a helium washout technique. Thorax 1990;45:520-4.
- Jögi J, Jonson B, Ekberg M, et al. Ventilation-perfusion SPECT with 99mTc-DTPA versus Technegas: a headto-head study in obstructive and nonobstructive disease. J Nucl Med 2010;51:735-41.
- Siva S, Hardcastle N, Kron T, et al. Ventilation/Perfusion Positron Emission Tomography--Based Assessment of Radiation Injury to Lung. Int J Radiat Oncol Biol Phys 2015;93:408-17.
- Deninger AJ, Eberle B, Ebert M, et al. Quantification of regional intrapulmonary oxygen partial pressure evolution during apnea by (3)He MRI. J Magn Reson 1999;141:207-16.
- Albert MS, Cates GD, Driehuys B, et al. Biological magnetic resonance imaging using laser-polarized 129Xe. Nature 1994;370:199-201.
- Kauczor HU, Hofmann D, Kreitner KF, et al. Normal and abnormal pulmonary ventilation: visualization at hyperpolarized He-3 MR imaging. Radiology 1996;201:564-8.
- Parraga G, Ouriadov A, Evans A, et al. Hyperpolarized 3He ventilation defects and apparent diffusion coefficients in chronic obstructive pulmonary disease: preliminary results at 3.0 Tesla. Invest Radiol 2007;42:384-91.
- 11. de Lange EE, Altes TA, Patrie JT, et al. Evaluation of asthma with hyperpolarized helium-3 MRI: correlation with clinical severity and spirometry. Chest 2006;130:1055-62.
- 12. Donnelly LF, MacFall JR, McAdams HP, et al. Cystic fibrosis: combined hyperpolarized 3He-enhanced and conventional proton MR imaging in the lung--preliminary observations. Radiology 1999;212:885-9.
- Ireland RH, Bragg CM, McJury M, et al. Feasibility of image registration and intensity-modulated radiotherapy planning with hyperpolarized helium-3 magnetic resonance imaging for non-small-cell lung cancer. Int J Radiat Oncol Biol Phys 2007;68:273-81.
- McAdams HP, Palmer SM, Donnelly LF, et al. Hyperpolarized 3He-enhanced MR imaging of lung transplant recipients: preliminary results. AJR Am J Roentgenol 1999;173:955-9.
- Saam B, Happer W, Middleton H. Nuclear relaxation of 3He in the presence of O2. Phys Rev A 1995;52:862-5.
- Wild JM, Fichele S, Woodhouse N, et al. 3D volumelocalized pO2 measurement in the human lung with 3He MRI. Magn Reson Med 2005;53:1055-64.
- 17. Marshall H, Parra-Robles J, Deppe MH, et al. (3)He pO2 mapping is limited by delayed-ventilation and diffusion in

#### Journal of Thoracic Disease, Vol 8, No 3 March 2016

chronic obstructive pulmonary disease. Magn Reson Med 2014;71:1172-8.

- Vidal Melo MF, Winkler T, Harris RS, et al. Spatial heterogeneity of lung perfusion assessed with (13)N PET as a vascular biomarker in chronic obstructive pulmonary disease. J Nucl Med 2010;51:57-65.
- 19. Kaushik SS, Cleveland ZI, Cofer GP, et al. Diffusion-

**Cite this article as:** Capaldi DP, Guo F, Parraga G. Imaging how and where we breathe oxygen: another Big Short? J Thorac Dis 2016;8(3):E204-E207. doi: 10.21037/jtd.2016.01.83

weighted hyperpolarized 129Xe MRI in healthy volunteers and subjects with chronic obstructive pulmonary disease. Magn Reson Med 2011;65:1154-65.

 Ohno Y, Sugimura K, Hatabu H. Clinical oxygenenhanced magnetic resonance imaging of the lung. Top Magn Reson Imaging 2003;14:237-43.