



# Immune-related thyroid dysfunction is associated with improved long-term prognosis in patients with non-small cell lung cancer treated with immunotherapy: a systematic review and meta-analysis

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**Background:** Immunotherapy has changed the treatment landscape of lung cancer (LC), but its prognosis is still poor. Whether immunorelated thyroid dysfunction associated with the prognosis of LC patients remains controversial. We aimed to summarize the scientific evidence on whether thyroid dysfunction associated with immunotherapy for LC has a beneficial outcome on the survival of LC patients.

**Methods:** We searched the databases of MEDLINE and Embase for articles published until 31 December 2021 that quantified the impact on non-small cell lung cancer (NSCLC) patients' survival of immune-related thyroid dysfunction. Study-specific data were pooled into hazard ratio (HR) and corresponding 95% confidence intervals (CIs) using random effect models of meta-analysis. Meta-analysis was used to evaluate the relationship between immune-associated thyroid dysfunction and prognosis.

**Results:** A total of 11 articles published between 2015 and 2021 were included, which encompassed a total of over 1,962 NSCLC patients. The studies differed in terms of design, patient characteristics, treatment received, rate/time to immunotherapy-related thyroid dysfunction, and duration of follow-up. But after immunotherapy, we extract survival data. Patients with immunotherapy-associated thyroid dysfunction had better progression-free survival (PFS) (HR 0.54, 95% CI: 0.44–0.64) and overall survival (OS) rate (HR 0.34, 95% CI: 0.25–0.44).

**Conclusions:** Thyroid dysfunction associated with immunotherapy is common and associated with a good prognosis. It can be used as a biological indicator of good prognosis of immunotherapy.

**Keywords:** Lung cancer (LC); thyroid dysfunction; immune checkpoint inhibitors (ICI); survival; meta-analysis

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## Introduction

Overexpression of immune checkpoint molecules in the tumor microenvironment has been recognized to play a crucial role in anti-tumor immune evasion. This understanding has transformed the treatment of cancer (1-3). Their use is expected to increase in the coming years, given the continually increasing number of cancer types in which immune checkpoint inhibitors (ICIs) have shown clinical activity. Monoclonal antibodies targeting the CTLA-4 and programmed cell death 1/programmed cell death ligand-1 (PD-1/PD-L1) axes are the 2 main categories currently used in cancer immunotherapy. They all have immune-related adverse events (irAEs), which are unique side effects of ICIs similar to autoimmune reactions. Although irAEs can affect almost every organ in the body, they most commonly affect the skin, lung, endocrine, gastrointestinal tract, musculoskeletal, and other systems (4).

Since irAEs occur through an immune activation process, suggesting that depleted immune cells are reactivated to attack not only tumor cells but also normal tissues, the occurrence of irAEs could theoretically indicate a better response to ICIs treatment. However, whether irAE development can predict ICIs response remains controversial. Several recent studies have supported this hypothesis by showing favorable prognostic outcomes of various irAEs in response to immune checkpoint suppression in patients with non-small cell lung cancer (NSCLC) and melanoma (5-23).

However, because of conflicting results (24-36), no definitive conclusions can be drawn based on the results of each study. Different irAEs may lead to different prognostic differences. A systematic review of 16 studies reported that irAEs such as pneumonia, thyroid disease, myalgia,

and mucosal toxicity were not significantly associated with overall survival (OS) (37). But immune-related thyroid dysfunction was found to be associated with prognosis in NSCLC. The mechanism is not well defined and may be related to reactivation of immune cells and disruption caused by severe adverse immunotherapy reactions, with moderate adverse immunotherapy reactions having a better response to ICIs treatment (10,12,13). Here, we conducted a systematic review of published studies for which survival data could be extracted to investigate the association between the development of thyroid dysfunction and the efficacy of ICIs in patients with irAE. We present the following article in accordance with the PRISMA reporting checklist (available at <https://jtd.amegroups.com/article/view/10.21037/jtd-23-254/rc>).

## Methods

### *Literature search and data extraction*

This study involved a one-arm meta-analysis of survival, with the aim of analyzing the relationship between thyroid dysfunction and survival prognosis in patients diagnosed with advanced lung cancer (LC) after immunotherapy. The objectives of the review were defined according to the following PECO criteria: P (population) = immune-related thyroid dysfunction diagnosed with LC; E (exposure): thyroid dysfunction after immunotherapy; C (comparison) = LC patients with normal and abnormal thyroid function after immunotherapy; O (outcome): progression-free survival (PFS) and OS. The literature search was conducted in the databases of MEDLINE and Embase on 31 December 2021 using the following search string: (thyroid dysfunction OR Immunotherapy OR ICI) AND (lung) AND (cancer OR carcinoma OR tumour OR malignancy) AND (survival OR prognosis OR outcome). No time, geographical, or language distinction limitation was applied, provided that English abstracts may be provided to determine eligibility for inclusion. After removing duplicate articles, first filter by title and abstract, and read those articles deemed likely to be included with full copies. Literature search and literature screening were performed independently by 3 researchers (Zhengjun Li, Ying Xia, and Mozhu Xia), and any differences of opinion are resolved by consensus or by seeking advice from the fourth senior researcher (Yi Ren). We finally included all the original full text containing basic data and survival analysis of patients with thyroid dysfunction after advanced

### Highlight box

#### Key findings

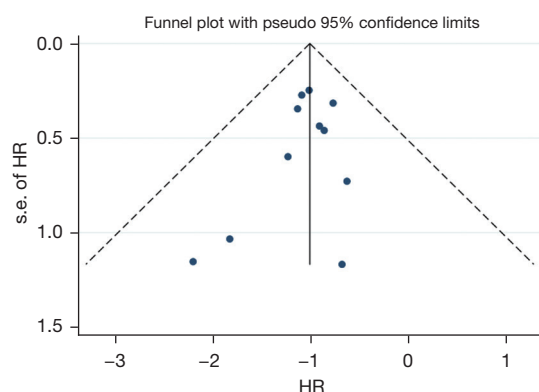
- Thyroid dysfunction associated with immunotherapy is common and associated with a good prognosis.

#### What is known and what is new?

- Immunotherapy can cause thyroid dysfunction.
- Thyroid dysfunction associated with immunotherapy can affect the prognosis of patients with NSCLC.

#### What is the implication, and what should change now?

- Thyroid dysfunction associated with immunotherapy can be used as a biological indicator of good prognosis of immunotherapy.



**Figure 1** Funnel plot of OS. OS, overall survival; HR, hazard ratio.

LC patients immunotherapy into the review and meta-analysis. In order to be retained, an article must also report the value of the unadjusted hazard ratio (HR) obtained by fitting the survival analysis models, and the corresponding measure of statistical uncertainty [e.g., 95% confidence intervals (CIs), standard errors, or exact P value], or provide a Kaplan-Meier (KM) survival curve allowing to calculate an unadjusted HR using the method described by Parmar *et al.* (38). Editorials, commentaries, and letters that did not provide original data, as well as mere meeting abstracts, were excluded (the latter because they typically lacked much of the data information needed to properly interpret the results and assess the quality of the research). A list of references for all eligible papers, as well as previously published literature reviews and meta-analyses, were used to try to find other additional articles covering the same topic through a backreference chain approach.

Data extraction was carried out using a spreadsheet. The three authors (Zhengjun Li, Ying Xia, and Chang Liu) independently extracted and summarized the data, and questionable data were decided upon after joint discussion. We extracted the following information from each article eligible for inclusion: the country and year in which the study was conducted; study design; the number of patients with thyroid dysfunction after immunotherapy and the type of immunotherapy; classification of thyroid abnormalities, such as hyperthyroidism and hypothyroidism; follow-up survival data of patients with thyroid dysfunction after immunotherapy; The distribution of LC patients in terms of sex, age and tumor type [squamous cell carcinoma (SqCC) and non-SqCC]; follow-up time (if any, median/mean and maximum); received therapeutic immune drugs; the statistical analysis methods and variables used for

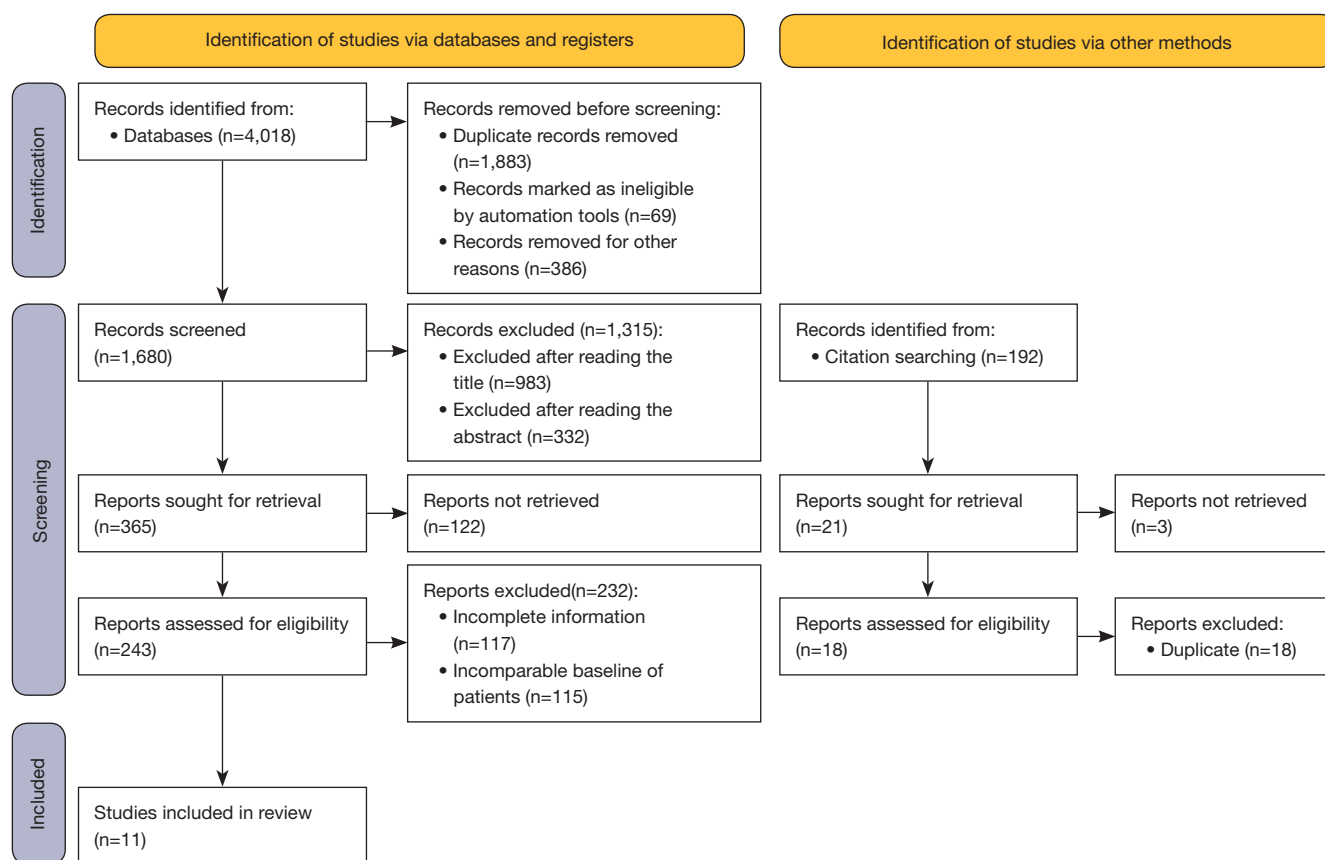
estimation adjustment are introduced in detail. The HR and 95% CI for the association between thyroid dysfunction after immunotherapy and the survival of LC patients were inverted when necessary to ensure that the reference group was always that of immunotherapy-associated thyroid dysfunction in LC patients, and then transformed into logHR and corresponding variance using Greenland's formula (39). If two or more articles contain fully or partially overlapping study populations, we extract and enter the HR with the highest number of LC patients in the article, or the HR with the most adjustments when the study size is the same. The "rates" we extracted were adjusted in all the included literature to eliminate the influence of covariates, and there was no statistical difference in the underlying clinical variables.

### Quality assessment

This study included 11 articles to analyze the survival of patients with thyroid dysfunction after immunotherapy. The included literature was evaluated by the Newcastle-Ottawa Scale (NOS). The quality of non-randomized controlled studies can be assessed using the NOS. Some appropriate modifications have been made to meet the needs of this study. The quality of the study was assessed by examining three items: patient selection, group comparability, and outcome evaluation. The study adopts the sequential star rating scale for scoring, and the higher the score, the higher the research quality. Each numbered item earns up to one star in the selection and exposure categories, and the comparability of two groups earns up to four stars. The quality of each study was rated as level 1 (0 to 5 stars) or level 2 (6 to 9 stars). The quality was 7–9 stars, is considered a low-risk bias. Funnel plots of the study results are shown in *Figure 1*. The funnel plots on overall survival rates following ICLs for the treatment of NSCLC showed symmetry, which suggested there was no publication bias.

### Statistical analysis

For patients' OS and PFS, study-specific log(HR) were pooled into a summary hazard risk (HR) through random effects models with maximum likelihood estimation, and corresponding 95% CI were calculated by assuming an underlying distribution (40). In all analyses, the extracted data is the adjusted data, patients with immune-related thyroid dysfunction were compared to those without abnormal thyroid function, the latter being the reference



**Figure 2** Flowchart of the study selection process.

group: therefore, an HR of less than 1.00 indicated that patients with thyroid dysfunction after immunotherapy would have an increased survival rate, whereas an HR of more than 1.00 indicated the opposite. If there is no difference in the results between the 2 models, the random effects model is used in the report because it is used for indirect comparison. If the results of the 2 models differ, both results are reported. Heterogeneity was explored by  $\chi^2$  and  $I^2$  testing. An  $I^2 < 25\%$  and an  $I^2 > 50\%$  reflected small and large inconsistency, respectively. If  $P > 0.10$ , the studies were considered homogenous and a fixed-effects analysis model was used. When  $P < 0.10$  and  $I^2 < 50\%$ , these studies were considered to show heterogeneity, but heterogeneity was acceptable and fixed-effects analysis models were also used. When  $P < 0.10$  and  $I^2 > 50\%$ , the heterogeneity was too high to be accepted, and the random effects analysis model was used. Statistical analyses were conducted using Stata 15.0 software, version 15.0 (StataCorp., College Station, TX, USA). All tests were 2-sided and statistical significance was set at P values below 0.05.

## Results

The MEDLINE and Embase literature searches yielded a total of 1,680 non-duplicate entries. The papers based on title ( $n=983$ ) and abstract ( $n=332$ ) were further excluded. A total of 243 full texts were retrieved and read. Among them, some did not meet the inclusion criteria: the main reasons for exclusion were that LC was not diagnosed during immunotherapy or thyroid dysfunction was not paid attention to after immunotherapy, and there was a lack of relevant survival follow-up data after thyroid dysfunction occurred during immunotherapy. One hundred and seventeen articles were deleted with incomplete data and 115 articles with unavailable patient data. When reading the full article, find 192 articles in the list of references. The literatures that could be evaluated after screening were all duplicated with those previously screened. Finally, a total of 11 studies (Figure 2) were included in the systematic review (11-13,15,41-47). The study was conducted by 3 people to extract data, statistical survival data, and perform statistical

**Table 1** Main characteristics of the articles included in the meta-analysis

Author, year	Country	No. of patients	Age, mean [range] or mean $\pm$ SD	Men, n (%)	Stage	Pathological subtype	Treatment	Study quality (NOS)
Kim 2017 (12)	Korea	58	63.1 [49.0–68.0]	43 (74.1)	IV	SqCC 20; non-SqCC 38	Nivolumab/pembrolizumab	8 stars
Peiró 2019 (41)	Spain	55	60.5 [49.8–67]	37 (78.7)	Advanced	NR	Nivolumab; nivolumab/ipilimumab	9 stars
Osorio 2017 (13)	USA	51	59 [39–80]	21 (41.1)	IV	SqCC 9; non-SqCC 42	Pembrolizumab	8 stars
Luo 2021 (42)	USA	744/551	63 [57–69]/67 [59–73]	MSKCC + VUMC: 379 (50.9); MSKCC: 260 (47%)	Advanced	MSKCC + VUMC: SqCC 197; non-SqCC 547; MSKCC: SqCC 124; non-SqCC 427	Anti-PD-L1 $\pm$ CTLA-4	7 stars
Morimoto 2021 (43)	Japan	70	69.5 [43–85]	51 (72.9)	III/IV	SqCC 19; non-SqCC 51	Immunotherapy (pembrolizumab/atezolizumab) plus chemotherapy	8 stars
Haratani 2018 (11)	Japan	134	68 [33–85]	90 (67.0)	IIIB–IV	SqCC 33; non-SqCC 101	Nivolumab	8 stars
Grangeon 2019 (15)	France	270	61 [32–84]	177 (65.5)	IV	NR	Anti-PD-1/anti-PD-L1	9 stars
Campredon 2019 (44)	France	105	61 [41–80]	72 (68.6)	III/IV	NR	Nivolumab	9 stars
Thuillier 2021 (45)	France	134	62.5 $\pm$ 8.9	94 (70.1)	IIIB–IV	SqCC 35; non-SqCC 96	Nivolumab	7 stars
Sakakida 2019 (46)	Japan	150	72 [56–83]	101 (67.3)	Advanced	NR	Nivolumab/pembrolizumab	9 stars
Zhou 2021 (47)	China	191	58 [32–85]	138 (72.3)	IIIB–IV	SqCC 69; non-SqCC 105	Nivolumab/pembrolizumab	8 stars

NOS, Newcastle-Ottawa scale; SqCC, squamous cell carcinoma; non-sqCC, non-squamous cell carcinoma; NR, not reported; MSKCC, Memorial Sloan Kettering Cancer Center; VUMC, Vanderbilt University Medical Center.

analysis.

The 11 articles that were included in the systematic review were published between 2015 and 2021 and included a total of 1,962 patients, these patients were diagnosed with advanced LC and had immune-related thyroid dysfunction after immunotherapy (Table 1). The patients came from 6 countries around the world, the average age at diagnosis of LC was about 58–72 years old, and the proportion of males was 41–78%. All LCs were in stage III–IV. Most studies had divided the pathological types into SqCC and other types, which may be related to PDL-1 expression in immunotherapy. All patients received anti-PD-1 or anti-PDL-1 therapy. The main drugs included were

nivolumab, atezolizumab, and pembrolizumab, and some of them were in combination with CTLA-4. In some cases, immunotherapy was combined with chemotherapy.

In the included literature, patients developed thyroid dysfunction at different times after immunotherapy, which was divided into hyperthyroidism and hypothyroidism. Thyroid function was evaluated by periodic hematologic tests at each cycle after immunotherapy. We found that among immunotherapy-related thyroid dysfunction cases, there were differences in the types of thyroid dysfunction with different immunotherapy modalities. In this study, the incidence of thyroid dysfunction was 13.2%, with some having a higher incidence of hypothyroidism and

**Table 2** Data extraction for articles included in the meta-analysis

Author, year	Thyroid dysfunction	No. of patients	PFS HR	95% CI	OS HR	95% CI
Kim 2017 (12)	Hyperthyroidism	9	0.38	0.17–0.85	0.11	0.01–0.92
	Hypothyroidism	10				
Peiró 2019 (41)	Hyperthyroidism	7	–	–	0.4	0.17–0.94
	Hypothyroidism	10				
Osorio 2017 (13)	Hyperthyroidism	10	0.58	0.27–1.21	0.29	0.09–0.94
	Hypothyroidism					
Luo 2021 (42)	Hyperthyroidism	65	0.68	0.52–0.88	0.36	0.22–0.58
	Hypothyroidism					
Morimoto 2021 (43)	Hyperthyroidism	9	0.46	0.17–1.29	0.53	0.13–2.26
	Hypothyroidism					
Haratani 2018 (11)	Hyperthyroidism	10	–	–	0.504	0.027–2.629
	Hypothyroidism					
Grangeon 2019 (15)	Hyperthyroidism	53	0.58	0.39–0.85	0.46	0.25–0.86
	Hypothyroidism					
Campredon 2019 (44)	Hyperthyroidism	8	0.78	0.35–1.73	0.16	0.02–1.15
	Hypothyroidism	6				
Thuillier 2021 (45)	Hyperthyroidism	5	0.36	0.21–0.62	0.32	0.16–0.62
	Hypothyroidism	9				
Sakakida 2019 (46)	Hyperthyroidism	25	0.56	0.29–1.02	0.42	0.16–0.97
	Hypothyroidism					
Zhou 2021 (47)	Hyperthyroidism	9	–	–	0.334	0.196–0.571
	Hypothyroidism	15				

PFS, progression-free survival; HR, hazard ratio; CI, confidence interval; OS, overall survival.

some having a higher incidence of hyperthyreosis. There was a total of 543 people in 5 articles, 38 patients with hyperthyroidism, accounting for 7% of the total, and 50 patients with hypothyroidism, accounting for 9.2% of the total. Thyroid dysfunction occurs in the early stage after immunotherapy and is one of the common adverse reactions (13,48-50). The cumulative incidence of thyroid dysfunction after immunotherapy is approximately 10%. Symptomatic treatment can be given to all patients with thyroid dysfunction, and no serious adverse reactions of grade 3-4 were found. Immunotherapy rarely induces a life-threatening thyroid storm (51). Most thyroid dysfunction can be controlled with treatment.

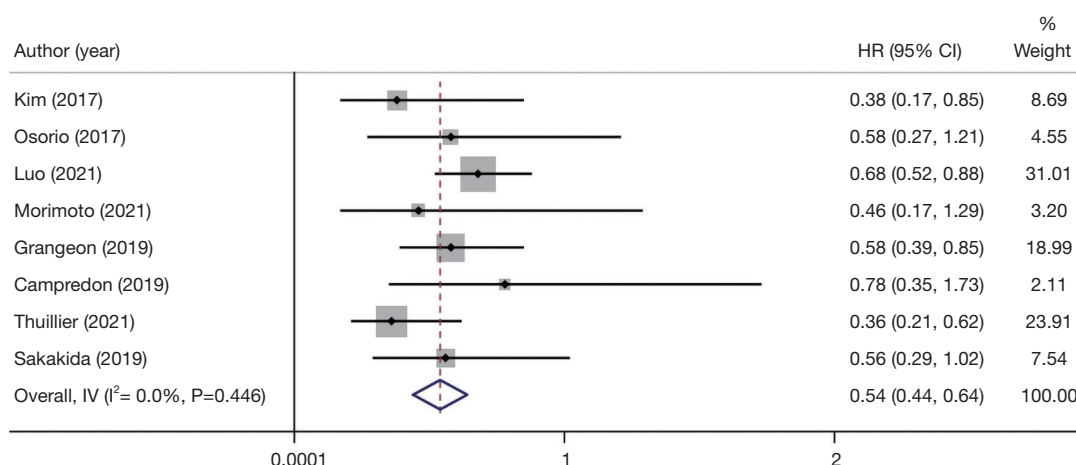
In all the included articles, patients with advanced NSCLC who underwent immunotherapy were followed-up.

After data extraction and statistical analysis (*Table 2*), we found that patients with thyroid dysfunction after immunotherapy had significant improvement in PFS (HR 0.54, 95% CI: 0.44–0.64) (*Figure 3*) and OS (HR 0.34, 95% CI: 0.25–0.44) (*Figure 4*). This showed that patients with NSCLC who developed thyroid dysfunction after immunotherapy had a 66% lower risk of death and a 46% lower risk of disease progression.

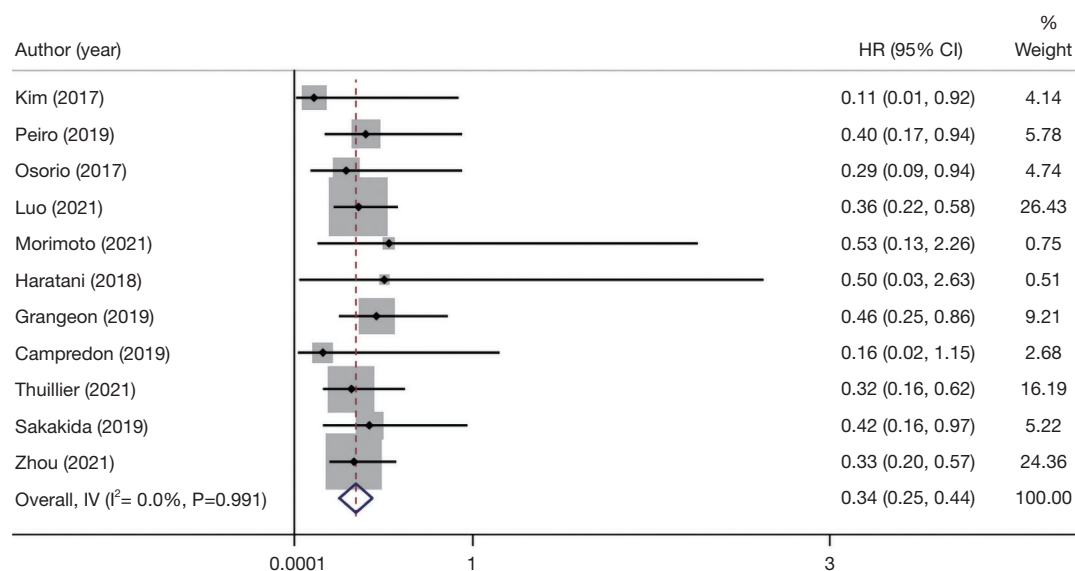
## Discussion

In the present study, thyroid dysfunction during immunotherapy was associated with longer PFS and OS, adjusting for other factors. Objective response rate and persistence control correlate with the treatment pattern





**Figure 3** The PFS of immunotherapy-related thyroid dysfunction. PFS, progression-free survival; HR, hazard ratio; CI, confidence interval.



**Figure 4** The OS of immunotherapy-related thyroid dysfunction. OS, overall survival; HR, hazard ratio; CI, confidence interval.

of immunotherapy and are more common in patients with thyroid dysfunction. This is the first meta-analysis study to systematically demonstrate that thyroid dysfunction is associated with prognosis after immunotherapy for advanced NSCLC.

Immunotherapy is a new therapy for the treatment of metastatic malignancies by inhibiting the mechanism by which cancer cells evade host T cells (12). However, inhibitory checkpoint blocking may also lead to attacks on other tissues in the process of activating host T cells against malignant antigens. Therefore, PD-1 blocking can lead

to irAEs, forming a series of adverse reactions related to autoimmune tissue destruction, and thyroid related irAEs are relatively common. Thyroid dysfunction associated with immunotherapy developed in approximately 13.2% of the patients in this study, with a median onset time of 40 days after drug initiation. Many immunodrugs were used in the included literature. Different immunotherapy drugs may have different effects on thyroid dysfunction, which may be different from the rate and occurrence time of side effects of a certain drug. The study included patients of different ethnic groups in 6 countries, with different ethnic groups

having different rates of thyroid dysfunction.

Studies have found significant differences in the prognosis of irAEs when stratified according to severity. A recent meta-analysis reported that the occurrence of low-grade rather than high-grade irAEs may be a prognostic factor for clinical outcomes in patients with solid tumors (52). In our study, immunotherapy-related thyroid dysfunction in patients with advanced LC was found to have mild or moderate adverse effects, and no severe adverse effects were found. When the study stratified moderate and mild adverse events, the PFS and OS data of moderate adverse events were slightly improved compared with those of mild adverse events. As a result, severe irAEs often force ICIs treatment to be interrupted and may be associated with adverse clinical outcomes. In addition, severe irAEs can sometimes cause serious, life-threatening events that require immunosuppressive therapy or discontinuation of treatment. The inflammatory tumor microenvironment may be reactivated by immunosuppressive agents, ultimately promoting tumor progression. A previous study has also reported a negative impact of ICI discontinuation due to irAEs on clinical outcomes in NSCLC (53).

Some studies have found that thyroid dysfunction has no prognostic relevance in malignancies other than NSCLC, such as melanoma, when using immunotherapy for malignancies (10,12,13). There was no significant difference in prognosis between hyperthyroidism and hypothyroidism in immune-related thyroid dysfunction when viewed separately. These results suggest that thyroid dysfunction may be a biological indicator for evaluating the efficacy of immunotherapy in advanced NSCLC. In our study, 8 articles explained the relationship between immunotherapy-related thyroid dysfunction and PFS (HR 0.54, 95% CI: 0.44–0.64) (Figure 3).

A total of 11 studies showed the relationship between immunotherapy-related thyroid dysfunction and OS (HR 0.34, 95% CI: 0.25–0.44) (Figure 4).

However, we did not separate any differences in OS or PFS for other irAE types in NSCLC, possibly due to the lack of patients in each group of irAEs. To our knowledge, no association has been reported between ICIs outcomes and the occurrence of pneumonia or other less frequent irAEs such as colitis, hepatitis, and other endocrine dysfunctions.

We demonstrated a statistically significant association between thyroid dysfunction and prognosis after immunotherapy for NSCLC. The occurrence of thyroid dysfunction can be used to predict future treatment

response. The association between the occurrence of thyroid dysfunction after immunotherapy and the efficacy of ICIs underscores the need for better diagnosis and management of thyroid dysfunction so that ICIs can be continued for as long as possible. Our study shows that the efficacy of ICIs is significantly associated with the incidence of thyroid dysfunction. Thyroid dysfunction may occur due to the strongest T cell activation. Further prospective studies are needed to understand the underlying mechanisms and to relate the duration of efficacy to the duration or severity of thyroid dysfunction, as well as the impact of discontinuation of ICIs on response and survival in severe irAE. Further prospective trials are needed to evaluate the association between ICIs efficacy and lower frequency of irAEs (i.e., pneumonia, hepatitis, colitis, and cutaneous adverse events). Longer patient follow-up after ICI therapy is discontinued is also needed to determine whether the duration of response is longer in patients with irAEs than in nonpatients, even when treatment is discontinued.

There are some limitations to our study. First, the number of included studies was small, and there were racial disparities in the efficacy of immunotherapy. This may have influenced the results of the analysis. Second, the study was retrospective, and the reporting of adverse events may have been biased. In fact, we only conducted a “rate” meta-analysis to assist in illustrating this clinical phenomenon and viewpoint. So more randomized controlled trial data is needed to confirm this idea. Third, immunotherapy may include multidrug regimens, and adverse events may not have been irAEs. For example, some patients may have abnormal thyroid function before immunotherapy. However, even if none of the reported irAEs were adverse events caused by ICIs, irAEs may still be associated with clinical efficacy of immunotherapy plus chemotherapy because they are associated with prolonged PFS and OS.

## Conclusions

Our meta-analysis shows that immunotherapy-related thyroid dysfunction may have a favorable therapeutic effect on the outcome of immunotherapy in patients with advanced NSCLC. Further large, prospective, observational studies are needed to confirm our findings.

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## Footnote

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**Conflicts of Interest:** All authors have completed the ICMJE uniform disclosure form (available at <https://jtd.amegroups.com/article/view/10.21037/jtd-23-254/coif>). The authors have no conflicts of interest to declare.

**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved.

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