

The effect of chest wall surgery on lung volume: a new evaluation concept

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Background: In the surgical treatment of chest wall tumors requiring large chest wall resection, reconstruction of the chest wall defect is required using various autologous tissues or artificial materials. However, no appropriate method has been reported to evaluate whether each reconstruction is successful or not. Therefore, we performed lung volumetry before and after surgery to evaluate the negative effects of chest wall surgery on lung expansion.

Methods: Twenty-three patients with chest wall tumors who underwent surgery were included in this study. Lung volume (LV) before and after surgery was measured using SYNAPSE VINSENT (FUJIFILM, Tokyo, Japan). The rate of change in LV was calculated as the postoperative and preoperative LV of the operative side × preoperative/postoperative LV of the opposite side. The excised chest wall area was calculated as vertical diameter × horizontal diameter of the tissue specimen.

Results: Reconstruction methods included rigid reconstruction (a combination of titanium mesh and extended polytetrafluoroethylene sheet) in four patients, non-rigid reconstruction (extended polytetrafluoroethylene sheet only) in 11, no reconstruction in five, and no chest wall resection in three. Changes in LV were generally well preserved, regardless of the resected area. In addition, LVs were well maintained in most patients who underwent chest wall reconstruction. However, in some cases, decreased lung expansion was observed with migration and deflection of the reconstructive material into the thorax due to postoperative lung inflammation and shrinking.

Conclusions: Lung volumetry can be used to evaluate the effectiveness of chest wall surgery.

Keywords: Chest wall tumor; chest wall reconstruction; lung volumetry

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Introduction

The integrity and stability of the chest wall (CW) are major factors in ensuring the protection of the thoracic organs and proper respiratory function. Thoracic surgeons often deal with neoplastic, traumatic, and malformative diseases that affect the CW and require destruction, reconstruction, or stabilization of the thorax (1). CW reconstruction has developed significantly with advances in surgical techniques and the availability of various prostheses and biomaterials (2,3). However, each prosthetic material has advantages and disadvantages, and none has been proven superior. Furthermore, no clear guidelines for managing CW disease exist yet. One reason for this is the lack of reliable postoperative evaluation methods.

The success of CW reconstruction has been considered in terms of respiratory function, thoracic deformity, and rigidity (4-6). However, to date, there has been no report of an appropriate method to evaluate the negative effect on lung expansion, which is directly attributed to thoracic deformity and rigidity and also affects respiratory function. Therefore, we defined the effectiveness of chest wall surgery as maintaining sufficient rigidity of the chest wall and lung expansion and sought a new imaging evaluation method by measuring the lung volume (LV) before and after the resection of CW tumors. We present the following article in accordance with the STROBE reporting checklist (available at https://jtd.amegroups.com/article/view/10.21037/jtd-22-1580/rc).

Methods

Study participants

We conducted a retrospective chart review of patients with CW tumors who underwent curative surgery at Chiba University Hospital between 2004 and 2017. Patients with lung excision, no computed tomography (CT) image after surgery, a second surgery, or a history of pneumonectomy for lung cancer were excluded. All the patients underwent regular follow-ups at our outpatient

Highlight box

Key findings

• Lung volume measured by computed tomography can be useful in evaluating the effectiveness of chest wall surgery.

What is known and what is new?

- In the surgical treatment of chest wall tumors that require major resection of the chest wall, chest wall defects are reconstructed using a variety of materials and techniques; however, there is currently no adequate method to assess whether each reconstruction is successful.
- Comparison of lung volume measurements by computed tomography before and after surgery might be useful in assessing thoracic cage morphology and the maintenance of lung expansion.

What is the implication, and what should change now?

- Measuring the rate of change in lung volume before and after surgery is useful for evaluating the effectiveness of chest wall surgery.
- In the future, this may contribute to the generalization of the currently diverse selection of reconstructive materials and techniques.

clinic after discharge. All patients' medical histories, surgical records, and radiological and pathological results were reviewed. The pathological diagnosis was determined according to the World Health Organization histological typing (7). The reconstruction method was divided into rigid reconstruction [a combination of titanium mesh and extended polytetrafluoroethylene (ePTFE) sheet], non-rigid reconstruction (ePTFE sheet only), non-reconstruction with CW defect, and no resected CW cases (tumor extirpation only). The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Ethics Committee at Chiba University (No. 2365). Because this was a retrospective study, we used an opt-out method and included participants unless they explicitly expressed their willingness to be excluded, in accordance with the Japanese ethical guidelines (8). The requirement for individual patient consent for data collection was waived by the Institutional Review Board.

Measurement of the change rate in LV

LV before and after surgery was measured using threedimensional (3D)-CT. 3D-CT analysis and lung volumetry were performed using SYNAPSE VINSENT (FUJIFILM, Tokyo, Japan) (Figure S1A). In principle, postoperative CT images more than six months after the operation were used for analysis. LV and preoperative and postoperative LV changes were compared for each reconstruction method.

The rate of change in LV was calculated as the corrected postoperative LV of the operative side/ preoperative LV of the operative side. The corrected postoperative LV of the operative side was calculated as the postoperative LV of the operative side × preoperative/postoperative LV of the opposite side. The timing and conditions of breathing when CT was performed were corrected using the LV of the opposite side.

The correlation between the rate of change (%) in LV and the rate of change (%) of forced vital capacity was also examined in patients who underwent preoperative and postoperative respiratory testing.

Calculation of excised chest wall area

The excised CW area was calculated as vertical diameter × horizontal diameter of the tissue specimen (Figure S1B). In cases where CW excision was not performed, the excised CW area was calculated as zero. The mean excised CW area was compared for each reconstructive method.

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Statistical analysis

Data are presented as the mean \pm standard deviation. Student's *t*-test was used for continuous variables. Preoperative and postoperative LV were compared using a paired *t*-test. Correlation analysis was performed to identify the relationship between the rate of change in the LV and the excised CW area. All tests were two-sided. Statistical significance was set at a P value <0.05; however, in the case of comparing each pair, statistical significance was set at P value <0.01. Statistical analyses were performed using JMP



Figure 1 Study population. CT, computed tomography.

(SAS, Cary, NC, USA) and Microsoft Excel (Microsoft Corporation, Redmond, WA, USA).

Results

Forty patients with CW tumors who underwent curative surgery during the study period were included. Of these 40 patients, seven with lung resection, six without postoperative CT images, three who underwent a second surgery, and one with a history of pneumonectomy due to lung cancer were excluded. Finally, 23 patients were included in this study (*Figure 1*).

The clinicopathological characteristics of the patients are summarized in *Table 1*. Primary CW tumors occurred in 15 patients (65%) and metastatic CW tumors in eight patients (35%). Of the 15 patients with primary CW tumors, 9 (60%) had benign tumors, and 6 (40%) had malignant tumors. The reconstruction methods were rigid reconstruction (a combination of titanium mesh and ePTFE sheet) in four patients, non-rigid reconstruction (ePTFE sheet only) in 11, non-reconstruction in five, and no CW resection in three (tumor extirpation only). No cases of sternal reconstruction were identified.

The CW resection area for each reconstruction procedure is shown in *Figure 2* and summarized in *Table 1*.

Variable	Category	Patients with CW tumor (n=23)	Subcategory	Number of subcategories	Remarks	
Age, mean ± SD		60.9±15.3				
Gender	Female	7 (30%)				
Side	Left	8 (35%)				
Pathological diagnosis	Primary	15 (65%)	Benign	9 (39%)	Schwannoma n=3, desmoid n=2, lipoma n=2, cavernous hemangioma n=1, SFT n=1	
			Malignant	6 (26%)	Chondrosarcoma n=3, liposarcoma n=1, myxofibrosarcoma n=1, lymphoma n=1	
	Metastasis	8 (35%)			HCC n=3, lung cancer n=2, MPNST n=1, skin cancer n=1, primary unknown n=1	
Reconstruction method	Rigid	4 (17%)			Titanium mesh & ePTFE sheet	
	Non-rigid	11 (48%)			ePTFE sheet	
	No reconstruction	5 (22%)				
	No chest wall resection	3 (13%)				

Table 1 Clinical and pathological characteristics of patients with chest wall tumor who were researchable for lung volume before and after surgery

Continuous data are presented as mean ± standard deviation and categorical data as numerical values (percentage of total 23 patients). SD, standard deviation; CW, chest wall; SFT, solitary fibrous tumor; HCC, hepatocellular carcinoma; MPNST, malignant peripheral nerve sheath tumor; ePTFE, expanded polytetrafluoroethylene.

Rigid reconstruction was applied in cases with larger resections >150 cm². Non-rigid reconstruction was applied in cases with resection areas of 30-90 cm², and non-reconstruction was applied in cases with resection areas of 40 cm² or less.

The rate of change in the LV for each reconstruction procedure is shown in *Figure 3* and *Table 2*. The median time from preoperative CT to surgery was 12 days [interquartile range (IQR), 1–3: 4.5–44.5], and the median time from surgery to postoperative CT was 347 days (IQR, 1–3: 181–437). The mean LV in the no-CW excision cases decreased significantly after surgery. The mean LV in the rigid



Figure 2 Chest wall excision area for each reconstruction procedure. **, P<0.01, ***, P<0.001.

reconstruction cases decreased from 2,159 to 1,791 mL; however, this change was insignificant. The non-rigid and non-reconstruction cases did not change before and after surgery (*Figure 3A*). However, the rate of change in the LV in the rigid reconstruction cases was significantly lower than that in the non-rigid reconstruction cases (*Figure 3B*).

Correlation analysis was performed to identify the relationship between the rate of change in the LV and the excised CW area. A weak correlation was observed between the excised CW area and the rate of change in the LV (|r| = 0.26). The approximate straight line of the CW excision area and the rate of change in the LV was y = 0.98453-0.00053x; however, the correlation was insignificant (P=0.23) (*Figure 4*). Changes in the LV were generally well preserved, regardless of the excised CW area. There was a large decrease in LV in some non-rigid and rigid reconstruction cases. In addition, there were cases where the reconstructive material migrated into the thorax due to postoperative lung inflammation and contraction and where the reconstructive material was deflected.

Seven cases in which respiratory function was measured before and after surgery were observed. A positive but insignificant correlation was observed between the percent change in lung capacity and the percent change in forced lung capacity (*Figure 5*).

The excised CW area tended to be larger in the anterior sites than in the posterior site, but the rates of change in LV were almost equivalent among the resection sites (Figure S2A,S2B). In addition, there was no significant



Figure 3 Changes of lung volumetry by chest wall surgery. (A) Preoperative and postoperative lung volumes for each reconstruction procedure. (B) Rate of change in the lung volume in each reconstruction procedure. **, P<0.01.

	0 0				
Variable	All (n=23)	Rigid (n=4)	Non-rigid (n=11)	No reconstruction (n=5)	No CW resection (n=3)
Resected CW area (cm ²)	44.2 (0–188.8)	172.8 (55.3–188.8)	61.8 (33.8–82.5)	26.2 (12.7–32.5)	0 (0–0)
Operative side preoperative LV (cm ³)	2,225±510	2,159±548	2,388±457	2,293±481	1,600±21
Operative side postoperative LV (cm ³)	2,079±547	1,676±486	2298 ± 478	2,237±541	1,548±298
Opposite-side preoperative LV (cm ³)	2,249±539	2,311±356	2,386±336	2,335±414	1,518±288
Opposite-side postoperative LV (cm ³)	2,196±534	2,182±445	2284±297	2,367±399	1,612±496
LV change (%)	95.4±11.1	83.3±16.1	99.9±9.0	96.9±12.7	92.2±1.1

Table 2 Resected chest-wall area and lung volume change

Values are presented as mean ± standard deviation or median (range). CW, chest wall; LV, lung volume; SD, standard deviation.



Figure 4 Correlation between excised chest wall area and changes in lung volume.

correlation between the excised CW area or the rates of change in LV and body mass index (Figure S3A,S3B).

Discussion

Ideally, CW reconstruction is considered to preserve the thoracic cage and respiratory function postoperatively. Therefore, synthetic resins, biomaterials, and metal materials have been used to reconstruct chest wall defects (2,9-16). However, each prosthetic material has its advantages and disadvantages, and none has been proven superior (17). Furthermore, their superiority remains unclear because no studies have been conducted to compare their results. Therefore, CW reconstruction has been performed in various ways in many institutions. In this



Figure 5 Correlation between the rate of lung volume change and the rate of change in percentage of forced lung capacity. %FVC, percentage of forced volume capacity.

study, we investigated whether the superiority or inferiority of reconstruction methods could be examined by measuring LV before and after surgery.

According to our results, the postoperative LV was maintained in cases of non-rigid reconstruction and non-reconstruction. In contrast, the postoperative LV in rigid reconstruction cases was lower than that in non-rigid reconstruction cases in *Figure 3B*. The rate of change in LV was $92.2\% \pm 1.1\%$, even in patients without CW resection, suggesting that postoperative inflammation and adhesion could cause a constant decrease in LV.

If the ideal reconstruction method completely reconstructs the thorax, the tumor that has extended into the thorax and is compressing the lungs will be removed, resulting in restoration of lung expansion. Thus, postoperative LV should theoretically be equal to or greater than preoperative LV. This tendency becomes clearer as the tumor volume increases, because a large tumor compresses the normal lung. However, it was not desirable for us that the LV be reduced using rigid reconstruction in this study, and there seems to be room for improvement in our reconstruction techniques from that point of view. Furthermore, upon re-examination of postoperative CT images, we observed decreased lung expansion with migration and deflection of the reconstructive material into the thorax due to postoperative lung inflammation and shrinking. These cases could allow us to reconsider new reconstructive techniques that retain more rigidity and allow normal physiological movement of the chest wall, such as an artificial rib system (18-20). It was shown that the presence and degree of this divergence could lead to an evaluation of the procedure for CW reconstruction.

The CW reconstruction method was significantly associated with the CW resection area. Previous reports have shown that reconstruction is often required when four or more ribs are resected and a defect greater than 5 cm in diameter is observed or when thoracic instability is suspected, even if the defect is small (5,21-23). Furthermore, anterior and anterolateral movements are greater than posterior ones, often requiring thoracic reconstruction (24).

At our hospital, the indication for CW reconstruction is decided at a conference based on a comprehensive evaluation of the resection site and the number of ribs removed. The results of this study confirmed that non-rigid reconstruction could be applied to small defects and rigid reconstruction to large defects.

The advantage of this analysis method is that a universal representation is objectively provided by the number. Furthermore, because CT before and after surgery is often performed in any facility, it is possible to compare the superiority or inferiority of the reconstruction procedure beyond the facility. In the future, further investigation is required to provide robust evidence on the techniques for CW reconstruction using the present image examination in many facilities.

Relevant limitations of this monocentric study are the retrospective design of the data and the relatively small number of patients. In addition, the usefulness of this image evaluation method is unclear because no test method has been established as the gold standard. Moreover, this study was unable to evaluate preoperative respiratory function. In this study, the postoperative follow-up method was not standardized. This is because respiratory function was measured after surgery in only seven of the 23 cases. If data on the forced vital capacity before and after surgery are available, the effect of CW surgery on respiratory function can be well investigated. Our preliminary data on the percentage of forced volume capacity suggests that this pulmonary volumetry analysis could be a surrogate parameter. Furthermore, several reports have studied respiratory function after CW excision; however, because the number of cases is small, it is impossible to conclude the effect of CW surgery on respiratory function (16,25-27). It can also be argued that the results of preoperative and postoperative LV changes may be influenced by various factors, including the postoperative course, surgical factors, postoperative therapy, and changes in the respiratory phase, and are not simply a direct result of changes due to reconstructive surgery. The total was divided by the contralateral LV change rate to adjust for changes in the respiratory phase; however, the accuracy of these formulas needs to be further studied.

Conclusions

In this study, we demonstrated lung volumetry as a novel method to evaluate CW surgery because lung expansion is directly attributed to thoracic deformity and rigidity and affects pulmonary function.

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Footnote

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have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This study was approved by the Ethics Committee at Chiba University (No. 2365). Because this is a retrospective study, we used an opt-out method and included participants unless they explicitly expressed their willingness to be excluded, in accordance with Japanese ethical guidelines. The requirement for individual patient consent for data collection was waived by the Institutional Review Board.

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Figure S1 Lung volumetry and area of chest wall resection. (A) Lung volume before and after surgery was measured via the threedimensional computed tomography. (B) The excised chest wall area was calculated as the vertical diameter \times the horizontal diameter of the excised chest wall specimen.



Figure S2 Excised chest wall area and rate of change in lung volume at each resection site. P values are shown above the bars. (A) Excised chest wall area at each resection site. (B) Rate of change in lung volume at each resection site.



Figure S3 Correlation between resected chest wall area or rate of change in lung volume and body mass index. (A) Correlation between excised chest wall area and body mass index. (B) Correlation between the rate of change in lung volume and body mass index.