



Risk stratification of postoperative pulmonary complications in elderly patients undergoing lung cancer resection: a propensity score-matched study

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Background: In China, lung cancer mainly affects the elderly population. Surgery remains the standard treatment for lung cancer in elderly patients, however, postoperative pulmonary complications (PPCs) are major contributors to morbidity and mortality following lung resection. This study aimed to identify perioperative predictors of PPCs among elderly patients undergoing pulmonary resection for lung cancer to provide evidence for better prevention and intervention for PPCs.

Methods: A retrospective study was conducted with 456 patients (age >65 years) undergoing pulmonary resection for lung cancer in Yunnan, China from January 2016 to March 2019. Propensity score matching (PSM) was performed to compare preoperative data and clinical characteristics between the PPC and non-PPC groups, followed by binary logistic regression to evaluate predictors of PPCs.

Results: Pulmonary complications occurred in 142/456 (31.1%) patients age >65 years, with pneumonia being the most common event (21.7%). Both PSM and binary logistic regression analysis identified American Society of Anesthesiologists (ASA) class <II [odds ratio (OR): 0.177, 95% confidence interval (CI): 0.037–0.854] and video-assisted thoracoscopic surgery (VATS) (OR: 0.576, 95% CI: 0.334–0.992) as protective factors for PPCs.

Conclusions: PPCs following lung cancer resection in elderly patients were associated with a higher ASA classification and open thoracotomy. An adequate and comprehensive evaluation of the operative strategies and enhanced recovery methods should be implemented among elderly patients undergoing lung resection with an ASA class > II or those undergoing an open thoracotomy to help prevent the occurrence of PPCs.

Keywords: Lung cancer surgery; postoperative pulmonary complications (PPCs); American Society of Anesthesiologists classification (ASA classification); thoracotomy

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Submitted Jun 08, 2023. Accepted for publication Jul 19, 2023. Published online Jul 25, 2023.

doi: 10.21037/jtd-23-923

View this article at: <https://dx.doi.org/10.21037/jtd-23-923>

Introduction

Lung cancer is a leading cause of cancer-related mortality worldwide, with an estimated 2.21 million new cases and 1.80 million deaths occurring globally in 2020 (1). Lung cancer mainly affects the elderly, with a median age at diagnosis of 71 years (2). In China, lung cancer accounts for about 30% of all cancer deaths (3) and is expected to increase by approximately 40% by 2030 (4). With the rapid expansion of the aging population in China, the incidence of lung cancer among the elderly is also increasing dramatically, leading to a significant disease burden (5). Treatment and care pathways for lung cancer in the elderly population remain a major public health concern in China.

Surgery remains the standard treatment for patients with resectable lung cancer and can be safely performed in elderly patients (6). However, surgery also impairs postoperative respiratory function and may lead to postoperative pulmonary complications (PPCs) (7). With improved surgical techniques, anesthesia, and perioperative

care, enhanced recovery after surgery (ERAS) protocols have shown improved outcomes in thoracic surgery (8). However, PPCs are still common among patients undergoing lung cancer surgery, with reported incidence rates ranging from 10% to 50%, depending on the definition of PPCs, the assessment of clinical criteria, and the surgical procedure (9). The most reported pulmonary complications include atelectasis, pneumonia, and acute respiratory failure requiring prolonged mechanical ventilation or re-intubation in within 48 hours (10). PPCs are major contributors to mortality and morbidity following lung resection, accounting for up to 84% of all deaths (10). In addition, PPCs are associated with increased medical expenses due to prolonged length of stay and the need for intensive care (10). Thus, performing preoperative risk stratification to identify and optimize modifiable risk factors will be crucial in preventing the occurrence of PPCs.

Risk stratification can be defined as the process of determining a patient's probability of experiencing a particular complication based on their clinical or laboratory data, and can help guide preventative interventions accordingly (11). A wide range of risk factors associated with PPCs have been identified, and can be generally divided into patient-, clinical-, and procedure-related factors (8,11). Notably, elderly patients are potentially at increased risk of PPCs and often have more age-related comorbidities, impaired immunity, and malnutrition, which may contribute to postoperative complications (12-14). However, limited evidence exists regarding predictive risk factors for PPCs among elderly patients undergoing thoracic surgery. Thus, this study aimed to evaluate potential clinical predictors of PPCs in elderly lung cancer patients undergoing pulmonary resection using a propensity score (PS)-matched cohort of patients. We present this article in accordance with the STROBE reporting checklist (available at <https://jtd.amegroups.com/article/view/10.21037/jtd-23-923/rc>).

Methods

Patients and study design

A retrospective cohort study was conducted among lung cancer patients who underwent pulmonary resection for

Highlight box

Key findings

- Higher ASA classification and open thoracotomy are associated with PPCs after pulmonary resection for lung cancer among elderly patients.

What is known and what is new?

- Risk factors for PPCs can generally be divided into patient-related, clinical-related, and procedure-related factors. Limited evidence exists on the predictive risk factors for PPCs among elderly people undergoing thoracic surgery.
- We used propensity score matching to reduce confounding biases to identify the risk factors associated with PPCs. Both PSM and binary logistic regression showed that ASA class > II and open thoracotomy approach for surgery were independent risk factors for developing PPCs in the elderly population.

What is the implication, and what should change now?

- Higher ASA classification and open thoracotomy were perioperative risk factors associated with PPCs following lung cancer resection. These factors may be important in proper patient selection and operative planning, and interventions like nutritional supplementation and prehabilitation may be important considerations in preventing PPCs.

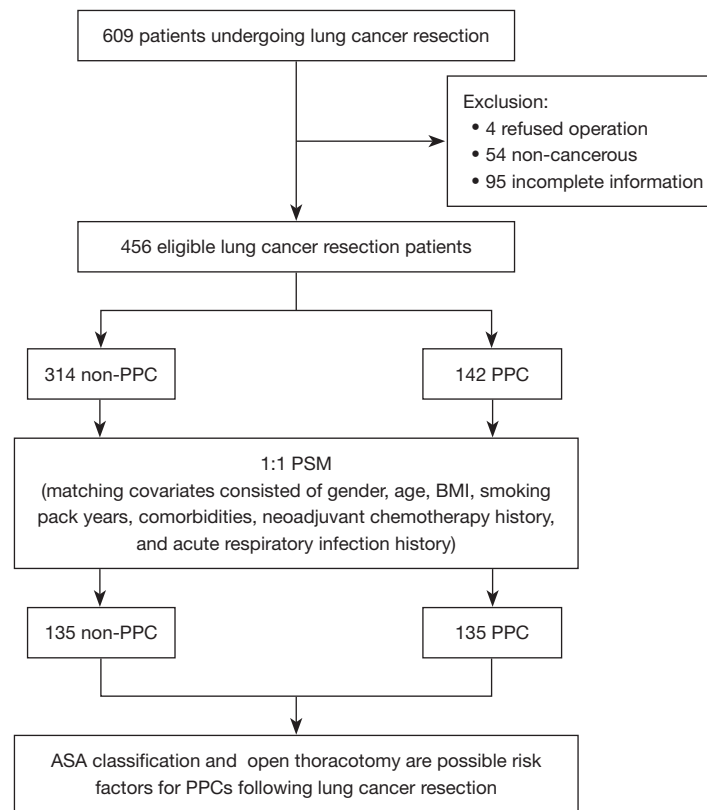


Figure 1 Flowchart describing patient enrollment and propensity score matching. Ninety-five incomplete information include 43 missing pulmonary function test, 17 missing blood report, 35 missing histological type. ASA, American Society of Anesthesiologists; BMI, body mass index; PPC, postoperative pulmonary complication; PSM, propensity score matching.

lung cancer at the Third Affiliated Hospital of Kunming Medical University, China between January 2016 and December 2019. The patient inclusion criteria were as follows: (I) aged more than 65 years and physical status Eastern Cooperative Oncology Group (ECOG) score of 0–1; (II) patients who underwent pulmonary resection for lung cancer. The exclusion criteria were as follows: (I) patients who underwent emergency surgery; (II) patients who had undergone reoperation within 30 days; (III) patients with insufficient clinical data or who were lost to follow-up. Among a total of 609 patients who underwent resection for lung cancer, 456 were eligible and included in our study, including 314 without PPCs and 142 with PPCs. The propensity score matching (PSM) produced 135 matched pairs. *Figure 1* shows the flowchart describing patient enrollment and PSM. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of the Third Affiliated Hospital of Kunming Medical University (No.

KYLX2022016), and the requirement for patient consent was waived due to the retrospective nature of this study.

Data collection

Clinical information was extracted from patient medical records and included the following 5 types: (I) patient information, including age, sex, body mass index (BMI), and smoking status; (II) comorbidities, including hypertension, diabetes, coronary artery disease, cerebrovascular disease, tuberculosis, obstructive and restrictive lung disease, and acute respiratory infection; (III) treatment history, including lung resection, and neoadjuvant chemotherapy; (IV) preoperative characteristics, including pre-albumin (Pre-ALB), forced vital capacity (FVC), forced expiratory volume in the first second (FEV1), FEV1/FVC, diffusing capacity of the lungs for carbon monoxide (DLCO), and American Society of Anesthesiologists (ASA) classification; (V) operative characteristics, including tumor staging,

laterality of resection, surgical approach, resection type, histological type, surgery duration, perioperative bleeding, perioperative fluid infusion, and perioperative blood transfusion.

Surgical treatment

Patients in both groups adhered to an ERAS protocol for perioperative care, which is a patient-centered and evidence-based multimodal approach to care for surgical patients (15). All patients underwent pulmonary resection for lung cancer, the type of resection include lobectomy, sub-lobectomy (pulmonary segment resection, pulmonary wedge resection, double lobectomy, sleeve resection, pneumonectomy). the patients choose VATS approach base on the relationship between tumors and pulmonary hilus or the pulmonary hilar vessels was encapsulated by tumor or not. Preoperative care included patient education, lung function training, and nutritional support. Intraoperative care included maintaining normothermia. Postoperative care included multimodality analgesia, oral nutritional support if food intake was less than 60% of normal, as well as physical therapy when appropriate.

PPCs assessment

PPCs included respiratory failure, pneumonia, pulmonary embolism, pleural effusion. Notably, surgical site infection, empyema, chylothorax, subcutaneous emphysema, rupture of pulmonary bulla, and prolonged air leaks were considered surgical complications and thus were not included as PPCs in this study. The diagnosis of PPCs was based on clinical symptoms [such as a productive cough, fever, oxygen saturation (SpO₂) below 90%, and dyspnea] and findings on postoperative chest X-rays or computed tomography (CT) scans (such as pleural effusion, atelectasis, pulmonary consolidation, and infiltrates). According to the presence or absence of PPCs after surgery, the patients were divided into 2 groups: the PPC group and the non-PPC group.

PSM and statistical analysis

PSM is a statistical matching technique that attempts to estimate the treatment effect by accounting for covariates that predict receiving the treatment (16). It has been widely used in observational studies to reduce confounding biases by balancing all observed relevant covariates between the comparative groups thus minimizing the potential bias (17).

SPSS 26.0 (IBM Corp., Armonk, NY, USA) was used for statistical analysis. PSM analysis was performed to reduce confounding for covariates: with a tolerance of 0.05. PSM is a superior statistical method of adjusting for potential baseline variables, as they were considered the most likely possible confounders, and included gender, age, BMI, smoking pack years, comorbidities (hypertension, diabetes mellitus, coronary artery disease, cerebrovascular disease, tuberculosis, obstructive lung disease, restrictive lung disease, history of previous lung resection, acute respiratory infection, and neoadjuvant chemotherapy history), Pre-ALB, FVC, FEV1, FEV1/FVC, DLCO, history of chemotherapy, chronic respiratory disease, and acute respiratory infection history. In order to avoid pairing dissimilar individuals in this observational study, 1:1 nearest-neighbor matching was used, and a restriction condition was affixed, that is, the difference between the PS to be at most 0.1. Independent 2-sample *t*-test for continuous variables and the chi-squared test or continuity correlation for categorical variables were conducted for group comparisons. Preoperative data and operative data were compared between the PPC group and the non-PPCs group to evaluate the risk factors for PPCs. Factors with statistical differences were then included in the binary logistic regression to identify the potential independent risk factors for developing PPCs. A P value <0.05 was considered statistically significant.

Results

Propensity score matching

A total of 609 elderly patients with suspected lung cancer intended to undergo pulmonary resection during the study period. Among them, 153 cases were excluded due to incomplete information in 95 patients (62.1%), non-cancerous disease in 54 patients (35.3%), and surgical refusal in 4 patients (2.6%).

PSM was performed to reduce confounding. there are no differences were observed in parameters between the PPC group and non-PPC groups. The success of matching can be seen in the dot-plot of individual PSs in *Figure 2* and the standardized differences before and after matching in *Figure 3*.

Patient characteristics

From 2016 to 2019, we performed a retrospective analysis of 456 lung cancer patients including 282 males (61.8%) and 174 females (38.2%), with an average age of

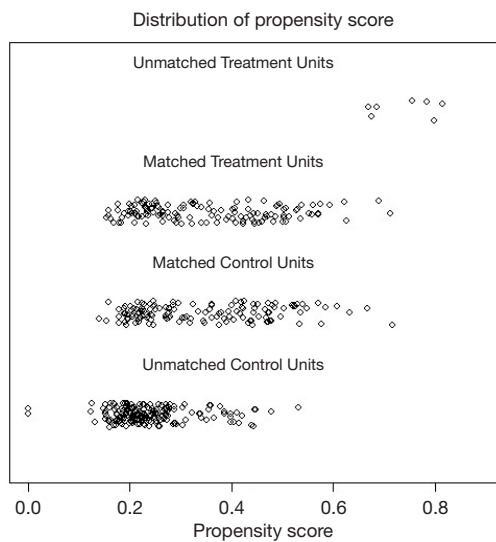


Figure 2 Dot-plot of individual propensity score of units in the non-PPC and PPC groups (treated = non-PPC, control = PPC). PPC, postoperative pulmonary complication.

69.11±3.68 years. PPC developed in 142 (31.1%) patients, including 99 (21.7%) with pneumonia, 39 (8.6%) with pleural effusion, 22 (4.8%) with pneumothorax, 12 (2.6%) with respiratory failure, 13 (2.9%) with atelectasis, and 2 (0.4%) with pulmonary embolism.

Comparison of baseline characteristics between the PPC group and the non-PPC group before and after PSM are shown in *Table 1*. Before PSM, the 2 groups showed significant differences in gender ($P=0.02$), smoking pack years ($P=0.02$), and chronic obstructive pulmonary disease (COPD) ($P=0.003$). In order to reduce the potential for confounding, we established the new cohort using PSM with a ratio of 1:1, which produced 135 matched pairs. This analysis eliminated differences in all the observed baseline characteristics in the primary cohort ($P>0.05$).

Preoperative clinical characteristics

The preoperative clinical characteristics of the PPCs group and the non-PPCs group before and after PSM are listed in *Table 2*. Before PSM, the 2 groups showed significant differences in Pre-ALB ($P=0.04$), FVC ($P=0.001$), FEV1 ($P=0.005$), and FEV1/FVC ($P=0.014$). After PSM, the distribution of preoperative clinical characteristics in the 2 groups was balanced. No differences were observed in the Pre-ALB, FVC, FEV1, and FEV1/FVC between the non-PPC and PPC groups.

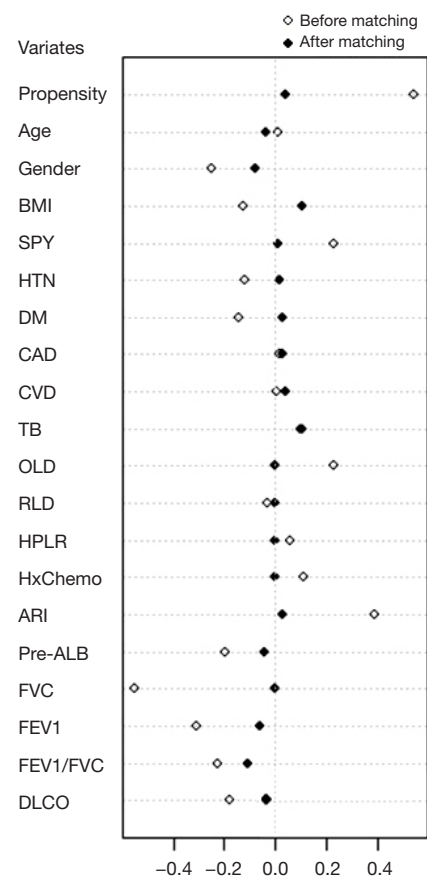


Figure 3 Standardized differences before and after matching. BMI, body mass index; SPY, smoking pack year; HTN, hypertension; DM, diabetes mellitus; CAD, coronary artery disease; CVD, cerebrovascular disease; TB, tuberculosis; OLD, obstructive lung disease; RLD, restrictive lung disease; HPLR, history of previous lung resection; HxChemo, neoadjuvant chemotherapy history; ARI, acute respiratory infection; Pre-ALB, pre-albumin; FVC, forced vital capacity; FEV1, forced expiratory volume in the first second; DLCO, diffusing capacity of the lungs for carbon monoxide.

Operative clinical risk factors for PPCs

Comparison of risk factors between the PPC group and the non-PPC group before and after PSM are listed in *Table 3*. Before PSM, the 2 groups showed significant differences in pathologic stage ($P=0.02$), surgical approach ($P=0.03$), surgery duration ($P=0.02$), perioperative bleeding ($P=0.02$), perioperative blood transfusion ($P=0.04$), and ASA classification ($P=0.01$). After PSM, the ASA classification remained significant, with more cases of ASA class > II in the PPC group than in the non-PPC group (1.6% *vs.*

Table 1 Baseline characteristics before and after PSM

Characteristics	Primary cohort			PSM cohort		
	Non-PPC (N=314)	PPC (N=142)	P value	Non-PPC (N=135)	PPC (N=135)	P value
Gender			0.02			0.51
Male	183 (58.3)	99 (69.7)		88 (65.2)	93 (68.9)	
Female	131 (41.7)	43 (30.3)		47 (34.8)	42 (31.1)	
Age (years)	69.11±3.68	69.15±3.67	0.92	69.30±3.67	69.16±3.71	0.75
Smoking (pack-years)	14.42±21.72	20.09±24.58	0.02	19.16±23.84	19.41±24.48	0.93
BMI (kg/m ²)	22.69±2.77	22.31±3.07	0.05	22.03±2.48	22.35±3.07	0.07
Comorbidities						
Hypertension	108 (34.4)	41 (28.9)	0.24	39 (28.9)	40 (29.6)	0.89
DM	31 (9.9)	9 (6.3)	0.21	8 (5.9)	9 (6.7)	0.80
Coronary artery disease	13 (4.1)	11 (7.7)	0.87	9 (6.7)	10 (7.4)	0.81
Cerebrovascular disease	10 (3.2)	6 (4.2)	0.96	5 (3.7)	6 (4.4)	0.75
Pulmonary tuberculosis	14 (4.5)	8 (5.6)	0.21	5 (3.7)	8 (5.9)	0.39
COPD	3 (1.0)	17 (12.0)	0.003	1 (0.7)	5 (3.7)	0.18
Restrictive lung disease	4 (1.3)	1 (0.7)	0.78	1 (0.7)	1 (0.7)	1.00
Lung resection history	0 (0.0)	3 (2.1)	0.51	3 (2.2)	3 (2.2)	1.00
Neoadjuvant chemotherapy history	17 (5.4)	12 (8.5)	0.21	11 (8.1)	11 (8.1)	1.00
Acute respiratory infection history	68 (21.7)	58 (40.8)	0.21	11 (8.1)	11 (8.1)	1.00

Data are presented as mean ± standard deviation or n (%). PSM, propensity score matching; PPC, postoperative pulmonary complication; BMI, body mass index; DM, diabetes mellitus; COPD, chronic obstructive pulmonary disease.

Table 2 Preoperative clinical characteristics before and after PSM

Characteristics	Primary cohort			PSM cohort		
	Non-PPC (n=314)	PPC (n=142)	P value	Non-PPC (n=135)	PPC (n=135)	P value
Pre-ALB (g/L)			0.04			0.69
<45	90 (28.7)	54 (38.0)		44 (32.6)	48 (35.6)	
≥45	224 (71.3)	88 (62.0)		91 (67.4)	87 (64.4)	
FVC			0.001			0.21
<80%	95 (30.3)	66 (46.5)		49 (36.3)	59 (43.7)	
≥80%	219 (69.7)	76 (53.5)		86 (63.7)	76 (56.3)	
FEV1			0.005			0.58
<80%	64 (20.4)	46 (32.4)		35 (25.9)	39 (28.9)	
≥80%	250 (79.6)	96 (67.6)		100 (74.1)	96 (71.1)	
FEV1/FVC			0.014			0.41
<80%	66 (21.0)	45 (31.7)		35 (25.9)	41 (30.4)	
≥80%	248 (79.0)	97 (68.3)		100 (74.1)	94 (69.6)	
DLCO			0.07			0.65
<80%	51 (16.2)	33 (23.2)		27 (20.0)	31 (23.0)	
≥80%	263 (83.8)	109 (76.8)		108 (80.0)	104 (77.0)	

Data are presented as n (%). PSM, propensity score matching; PPC, postoperative pulmonary complication; Pre-ALB, pre-albumin; FVC, forced vital capacity; FEV1, forced expiratory volume in the first second; DLCO, diffusing capacity of the lungs for carbon monoxide.

Table 3 Comparison of risk factor in PPCs

Characteristics	Primary cohort			PSM cohort		
	Non-PPC (n=314)	PPC (n=142)	P value	Non-PPC (n=135)	PPC (n=135)	P value
Pathologic stage			0.02			0.07
I	184 (58.6)	64 (45.1)		81 (60.0)	63 (46.7)	
II	73 (23.2)	40 (28.2)		31 (23.0)	37 (27.4)	
III	57 (18.2)	38 (26.8)		23 (17.0)	35 (25.9)	
Side of resection			0.79			0.9
Right lobe	182 (58.0)	83 (58.5)		79 (58.5)	80 (59.3)	
Left lobe	132 (42.0)	59 (41.5)		56 (41.5)	55 (40.7)	
Surgical approach			0.03			0.045
Open thoracotomy	80 (25.5)	54 (38.0)		35 (25.9)	49 (36.3)	
VATS	234 (74.5)	88 (62.0)		100 (74.1)	86 (63.7)	
Resection type			0.04			0.22
More than lobectomy	22 (7.0)	20 (14.1)		11 (8.1)	20 (14.8)	
Lobectomy	236 (75.2)	95 (66.9)		96 (71.1)	88 (65.2)	
Sublobar resection	56 (17.8)	27 (19.0)		28 (20.7)	27 (20.0)	
Histology			0.46			0.99
Adenocarcinoma	227 (72.3)	96 (67.6)		93 (68.9)	92 (68.1)	
Squamous-cell carcinoma	81 (25.8)	44 (30.9)		40 (29.6)	41 (30.4)	
Others	6 (1.9)	2 (1.4)		2 (1.5)	2 (1.5)	
Surgical duration (min)	170.00±71.40	186.90±71.52	0.02	171.89±74.49	187.74±71.91	0.07
Perioperative bleeding (mL)	140.94±145.12	196.83±267.52	0.02	158.70±167.27	190.37±268.87	0.24
Perioperative fluid infusion (mL)	1,629.30±442.29	1,716.90±502.22	0.06	1,634.44±462.97	1,701.11±484.17	0.24
Perioperative blood transfusion	8 (2.5)	9 (6.3)	0.04	4 (3.0)	8 (5.9)	0.23
ASA classification			0.01			0.036
≤ II	309 (98.4)	131 (92.3)		133 (98.5)	125 (92.6)	
> II	5 (1.6)	11 (7.7)		2 (1.5)	10 (7.4)	

Data are presented as mean ± standard deviation or n (%). PPC, postoperative pulmonary complications; PSM, propensity score matching; VATS, video-assisted thoracoscopic surgery; ASA, American Society of Anesthesiologists.

7.7%, $P=0.036$). Similar findings were observed in the surgical approach in that there were more cases of open thoracotomy in the PPC group than in the non-PPCs group (36.3% vs. 25.9%, $P=0.045$).

Regression analysis

In order to further identify independent risk factors for PPCs in this study, we performed a binary logistic

regression analysis to control for all potential confounders. As shown in *Figure 4*, ASA class ≤ II and surgical approach [video-assisted thoracoscopic surgery (VATS)] were protective factors for PPC.

Discussion

Lung cancer is common in the aging population, and elderly patients are at increased risk of PPCs due to impaired

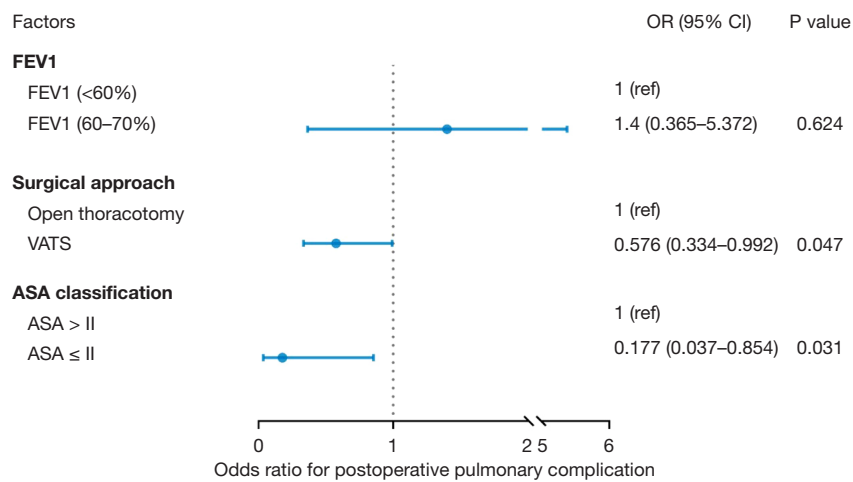


Figure 4 ORs of the predictors by binary logistic regression. FEV1, forced expiratory volume in the first second; VATS, video-assisted thoracoscopic surgery; ASA, American Society of Anesthesiologists; OR, odds ratio; CI, confidence interval.

physiology, decreased metabolic rate, lower baseline health status, poor surgical response, and slower recovery speed. In this study, we performed a retrospective observational study evaluating a cohort of elderly lung cancer patients who underwent lung resection and compared the preoperative and operative characteristics between the PPC and non-PPC groups using PSM, followed by a binary logistic regression to identify predictors of PPCs. We observed an incidence rate of 31.1% for PPCs among elderly lung cancer patients undergoing lung resection surgery, with pneumonia being the most common PPC (21.7%). Both PSM and binary logistic regression showed that ASA class > II and an open thoracotomy approach were independent risk factors for developing PPCs.

The incidence of PPCs in our study was consistent with other studies (18,19) and fell in the range of 10–50% (20). The reported incidence of PPCs following lung resection varies across studies mainly due to a divergence in definition, diagnostic criteria, and assessment of PPCs as well as variation in research design, surgical approaches, and patients' baseline health status among various studies, which all contribute to differences in post-surgical clinical outcomes including PPCs. A standard definition for PPCs will be needed in the future for better cross-study comparisons.

The finding that pneumonia was the most common form of PPC in our study was consistent with the literature showing pneumonia as the most common complication following pulmonary resection in the elderly (10). This may be explained by the fact that our study sample

comprised a high percentage of patients with a history of acute respiratory infection in the past month, which is consistent with previous study (8). This finding suggests that clinicians and researchers should pay special attention to elderly patients' potential risk of developing pneumonia after lung resection and take necessary measures to prevent pneumonia (21).

Our study demonstrated that ASA class > II was an independent risk factor predicting the occurrence of PPCs among elderly patients following lung resection, which was consistent with previous studies showing similar results (22–24). Higher ASA physical status (ASA class > II) has been well demonstrated to be associated with PPCs following thoracic surgeries in various studies (10,25). Miura *et al.* (22) conducted a small retrospective study of octogenarians undergoing therapeutic resection for lung cancer and found that a higher ASA score was correlated with an increased incidence of postsurgical morbidity and mortality. The positive association between ASA score and PPC incidence may be explained by the increased rates of pneumonia, unplanned intubation, and prolonged ventilator support among patients with higher ASA scores (26). This finding indicates that the ASA score is an important and useful indicator for the assessment of PPC risk and burden.

We observed a significantly higher incidence of PPCs following open thoracotomy compared to VATS, a result in line with previous findings (27–29). In Cao *et al.*'s (28) meta-analysis of 4 PSM studies involving 3,634 patients, the VATS group had a significantly lower incidence of pneumonia than the open thoracotomy group. Laursen *et al.*'s PSM study also

showed VATS was associated with fewer post-surgical minor and major complications and lower morbidity (29). Although open thoracotomy provides excellent exposure and access to vital structures for lung cancer resection, it has been reported to result in distorted compliance of the chest wall due to impaired respiratory pathology (30), increased postoperative pain (31), post-surgical complications, and prolonged recovery time (27). In addition, VATS patients have fewer physical limitations in their postoperative rehabilitation period and are more likely to engage in postoperative rehabilitation activities such as deep breathing and effective coughing, which all help prevent the occurrence of PPCs. Our findings provide further evidence of the advantages of VATS over an open thoracotomy in reducing PPCs and improving patient outcomes (32).

In this study, FEV1 was not predictive of PPCs after PSM, although univariate analysis showed significant differences in patients' FEV1 between the PPC group and non-PPC group before PSM. These results were consistent with Berry *et al.*'s (33) study of 340 VATS lobectomy patients showing that FEV1 was not a significant independent risk factor for the 12% of patients who developed PPCs. Preoperative lung function has been a conventionally studied factor for predicting pulmonary morbidity and mortality after pulmonary resection. However, in the past 2 decades, there have been refinements in preoperative risk stratification and cancer staging, combined with advances in surgical and anesthetic approaches, which have allowed for more patients with impaired lung function to undergo therapeutic surgical resection (33,34). Our findings may be related to the implementation of preoperative preventive measures based on an ERAS protocol, which may help prevent PPCs among patients with a poor FEV1.

Several limitations of this study should be noted. First, this study was conducted at a single hospital and the cohort may not be representative of patients from other hospitals or other areas. Second, although we performed PSM to eliminate selection biases, it should be acknowledged that PSM has its own limitations as it requires full data for all covariates used leading us to exclude 153 patients, which may have affected our results. Additionally, there was inevitably some variability in the surgical techniques and skills, which may have affected incidence of the PPCs among patients and needs to be further studied.

Conclusions

Our retrospective propensity-matched study demonstrates

that open thoracotomy and ASA class > II were associated with a higher risk of PPCs among elderly patients undergoing lung cancer resection. Proper patient selection and operative planning may be important considerations in preventing PPCs, and precautions should be taken when operating on elderly patients undergoing an open thoracotomy with an ASA class > II.

Acknowledgments

Funding: This study was supported by the National Natural Science Foundation of China (Nos. 82260508 and 81860325) and The Joint Special Funds for the Department of Science and Technology of Yunnan Province-Kunming Medical University (Nos. 202201AY070001-135, 202201AY070001-156, and 202101AY070001-178).

Footnote

Reporting Checklist: The authors have completed the STROBE reporting checklist. Available at <https://jtd.amegroups.com/article/view/10.21037/jtd-23-923/rc>

Data Sharing Statement: Available at <https://jtd.amegroups.com/article/view/10.21037/jtd-23-923/dss>

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Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <https://jtd.amegroups.com/article/view/10.21037/jtd-23-923/coif>). JL is a robotic proctor for Intuitive Surgical and site principal investigator for clinical trials funded by Genentech and Novartis. The other authors have no conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Review Board of the Third Affiliated Hospital of Kunming Medical University (No. KYLX2022016), and the requirement for patient consent was waived due to the retrospective nature of this study.

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Cite this article as: Huang Q, Rauniyar R, Yang J, Zhou C, Cai D, Chen-Yoshikawa TF, Yutaka Y, Orlandi R, Geraci TC, Lin J, Hu H, Jiang Z, Zhao G, Ye L. Risk stratification of postoperative pulmonary complications in elderly patients undergoing lung cancer resection: a propensity score-matched study. *J Thorac Dis* 2023;15(7):3908-3918. doi: 10.21037/jtd-23-923