



# Comparison of uniport versus triport thoracoscopic single or combined basal segmentectomy for stage IA lung cancer

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**Background:** Single or combined basal segmentectomy (CBS), excluding common basal segmentectomy, is the most difficult of all types of segmentectomies. The purpose of this study was to compare the perioperative outcomes and oncological prognosis between uniport thoracoscopic basal segmentectomy (UTBS) and triport thoracoscopic basal segmentectomy (TTBS).

**Methods:** This study retrospectively collected 300 patients who underwent thoracoscopic single or CBS at the West China Hospital of Sichuan University from April 2015 to May 2022, including 67 and 233 patients in the UTBS and TTBS groups, respectively. Propensity score matching (PSM) was used to reduce confounding bias between the two groups. The primary outcome was recurrence-free survival (RFS). The secondary outcomes were overall survival (OS) and perioperative outcomes.

**Results:** After PSM, the UTBS group (n=64) had significantly less intraoperative blood loss than the TTBS group (n=64) (20 *vs.* 30 mL, P=0.001). Other perioperative outcomes, including the operation time, number of lymph nodes and lymph node stations harvested, duration of chest tube drainage, postoperative hospital stay, and postoperative complications, were comparable. Subgroup analysis demonstrated that the operative time in the group underwent single basal segmentectomy (SBS) was significantly shorter compared to the group underwent CBS (110 *vs.* 120 min, P=0.002). There were 5 cases of recurrence in the overall cohort and no recurrence in the matched cohort. No deaths were observed in the overall cohort. Therefore, a survival analysis was conducted only for RFS in the overall cohort. The RFS rate and OS rate of the overall cohort were 98.3% and 100%, respectively. The surgical approach (UTBS *vs.* TTBS) was not an independent risk factor for RFS (HR: 1.120, 95% CI: 0.342–13.051, P=0.879).

**Conclusions:** UTBS provided similar perioperative outcomes and oncological prognoses compared to TTBS.

**Keywords:** Lung cancer; uniport basal segmentectomy; single-direction; propensity score matching (PSM)

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## Introduction

With the popularity of low-dose spiral computed tomography (CT), more small pulmonary nodules, especially ground glass nodules, are detected, and thus reducing

tumor-related deaths (1). In 2022, the results of JCOG0802/WJOG4607L (2) released by the Japan Clinical Oncology Group showed that for peripheral non-small cell lung cancer (NSCLC) of 2 cm or less in size, segmentectomy is

not inferior to lobectomy in terms of overall survival (OS) and recurrence-free survival (RFS). Recently, Cancer and Leukemia Group B (CALGB) reported their multicenter randomized clinical trial [CALGB140503 (3)], which similarly showed that sublobar resection is not inferior to lobar resection in terms of disease-free survival (DFS) and OS in patients with peripheral NSCLC (T1aN0 <2 cm). Over the past decades, segmentectomy has primarily been carried out using a minimally invasive technique. Studies (4,5) have shown that uniport thoracoscopic surgery, which is increasingly used in segmentectomy, has comparable short- and long-term outcomes to triport thoracoscopic surgery. In addition, uniport thoracoscopic surgery has potential advantages, such as better pain control and cost-effectiveness (6).

Single or combined basal segmentectomy (CBS), excluding common basal segmentectomy, is the most difficult of all types of segmentectomies due to the deeper location of the hilar structures, more structural variation, and more complex intersegmental plane adjacencies. Currently, only a few studies (7,8) have reported on thoracoscopic anatomical basal segmentectomy, and few studies have reported on the uniport approach (9). We previously published the largest case series on basal segmentectomy (10) with single-direction lobectomy (11) and we gradually began exploring uniport thoracoscopic basal segmentectomy (UTBS) and had good results (12). There is no definite criterion for surgeons to determine whether a uniport or triport thoracoscopic surgery should be performed when a basal segmentectomy is needed. On the basis of these studies mentioned, we conduct this study

to explore the differences in perioperative and oncological outcomes between UTBS and triport thoracoscopic basal segmentectomy (TTBS). We present this article in accordance with the STROBE reporting checklist (available at <https://jtd.amegroups.com/article/view/10.21037/jtd-23-477/rc>).

## Methods

### Patient selection

Between April 2015 and May 2022, 300 patients who met the inclusion and exclusion criteria were consecutively included and divided into the UTBS group (n=67) and the TTBS group (n=233) according to the surgical incisions used. The patients who underwent different port approaches were not selected, but from different periods. The surgeons were from the same team, and up until 2019, they mainly performed TTBS. After 2019, almost all surgeons switched from TTBS to UTBS (Figure S1). Clinical data and follow-up data of all patients were obtained from the Western Lung Cancer Database. This study was approved by the institutional review board of West China Hospital (No. 2023-0138) and conducted according to the Declaration of Helsinki (as revised in 2013). In this study, each patient provided written informed consent for surgery and the publication of the study data.

The inclusion criteria included: (I) pulmonary nodules with a diameter of <2 cm, ground-glass opacity (GGO) composition  $\geq 50\%$ ; (II) underwent uniport or triport thoracoscopic intended basal segmentectomy; (III) pathological diagnosis of lung cancer. The exclusion criteria: (I) compromised segmentectomy due to poor cardiopulmonary function; (II) combined with other lobar operation (lobectomy, segmentectomy, or wedge resection); (III) Robot-assisted thoracoscopic basal segmentectomy; (IV) simultaneous bilateral segmentectomy; (V) Previous surgical history of lung cancer. (VI) common basal segmentectomy such as left S8+9+10 resection and right S7+8+9+10 resection (Figure 1).

The surgical margin was more than 2 cm or greater than the maximum diameter of the tumor. CBS was planned for patients with lesions close to the intersegmental boundaries. Thus, surgical methods were split into single segmentectomies and combined dual- or tri-segmentectomies based on where the target nodule was located. Specimens were sent for intraoperative frozen-section pathology to determine if the resection margins

### Highlight box

#### Key findings

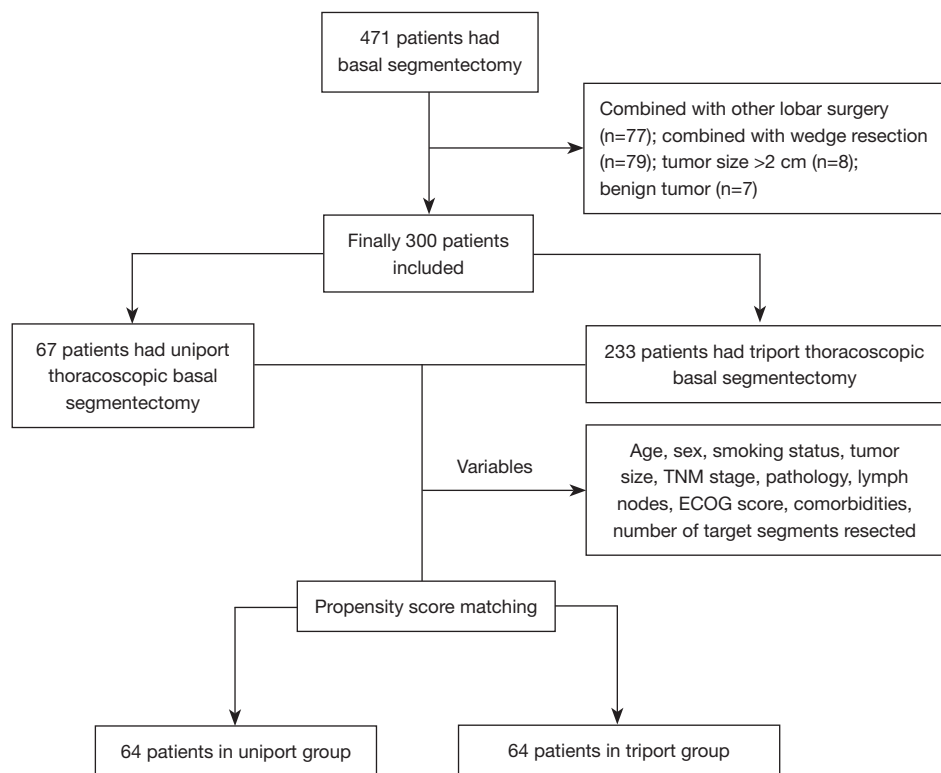
- UTBS provided similar perioperative outcomes and oncology prognosis compared to TTBS for stage IA basal segmental lung cancer.

#### What is known and what is new?

- As our previous study reported, TTBS was reliable and feasible.
- Our study further filled the gap regarding the perioperative outcomes, and oncological prognosis of UTBS via a single-direction approach and further evaluate the perioperative and oncological outcomes of UTBS with that of TTBS.

#### What is the implication, and what should change now?

- UTBS has potential advantages, such as better pain control and less blood loss. UTBS is recommended for stage IA basal segmental lung cancer.



**Figure 1** The patient inclusion flow chart diagram. TNM, tumor-node-metastasis; ECOG, Eastern Cooperative Oncology Group.

were adequate. All adenocarcinoma cases were staged and histologically classified using the 8th edition of the TNM staging system (13) and the new proposed histological classification system (14).

### Operative procedure

#### Preoperative preparation

All patients had standard preoperative preparation, including hematological, biochemical, and cardiopulmonary function tests, as well as imaging tests of the brain, lung, upper abdomen, and bone. The location and adjacent structures of the target nodules, anatomical variation, and positional relationship of the bronchi and blood vessels in the basal segment were carefully assessed and identified on high-resolution computed tomography (HRCT) to design an appropriate surgical resection (Figure 2A-2D) (15).

#### Surgical procedures

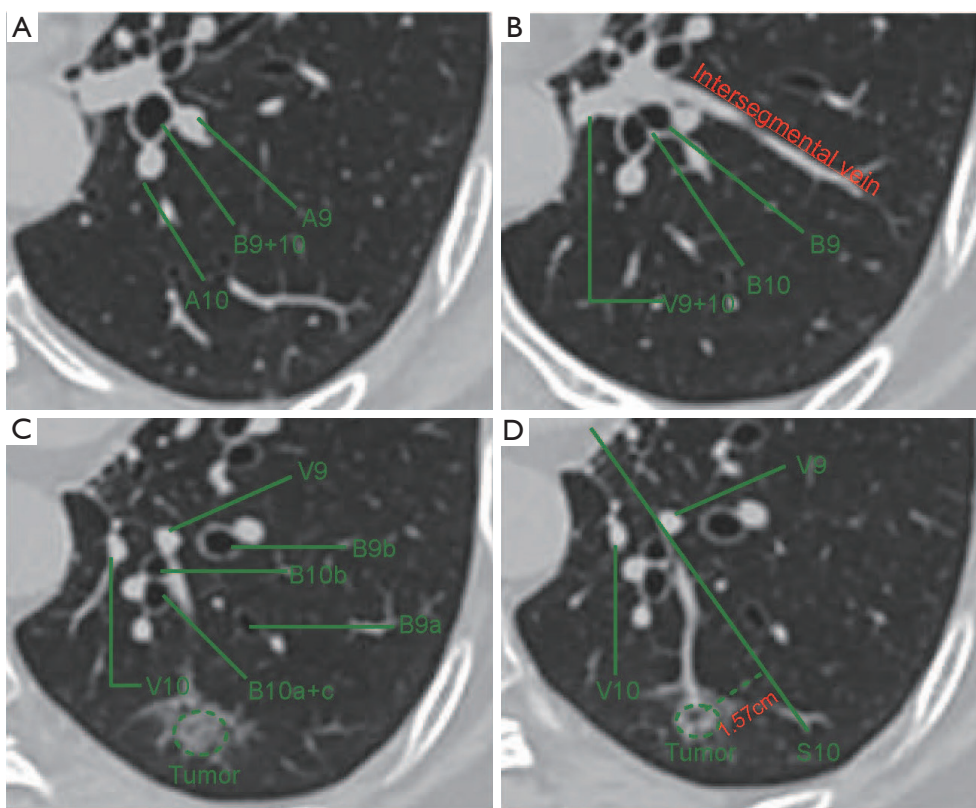
##### Incision strategy

The observation, main operation, and auxiliary ports were in the 7th, 4th, and 9th intercostal spaces (ICS) at the mi-

daxillary line, the anterior axillary line, and the posterior axillary line, respectively. The uniport incision (4 cm) was performed in the 4th or 5th ICS across the midaxillary line, according to the surgeon's preference (port strategies are shown in Figure 3). The target nodule was localized by tactile sensation, and marking was made by sutures ligating on the surface of the visceral pleura (16). All surgical procedures were performed in a single-direction approach through the inferior pulmonary ligament (17) or the interlobar fissure approach. When performing segmentectomies of S9 and/or S10, the trans-inferior ligament approach was routinely selected. When performing segmentectomies of S7 and/or S8, the interlobar fissure approach may be adopted if the interlobar fissure is complete; otherwise, the transinferior pulmonary ligament approach should be preferred.

##### Inferior pulmonary ligament approach

The sequence of the approach through the inferior pulmonary ligament was vein-bronchial-arterial. First, the inferior pulmonary vein was dissected from the surface of the lower lobe to the inside along the inferior pulmonary ligament. The vein of S6 was identified afterwards. Under



**Figure 2** The positional relationship of target segmental vessels and bronchi on high-resolution computed tomography (an example of a left S9+10 combined segmentectomy). (A) A10 is behind A9, while B9 and B10 have a common stem. (B) V9 and V10 have a common stem; B10 runs posterior to B9, identifying the intersegmental vein between B9 and B8; (C) V9 runs anterior to V10, and B10a and B10c have a common stem. (D) The surgical margin of S10 alone was less than 2 cm, so an S9+10 resection was performed.

the guidance of preoperative CT images, the vein of the target segment and its branches were clearly identified, clamped and divided by retracting the target segment to the other side while preserving the intersegmental veins. The lower pulmonary bronchus emerged immediately after the target segmental vein was dissected. Later, the basal segment bronchus and its bifurcations were dissected. According to the principle of the “stem-branch” method (15) and referring to the preoperative CT images, the main stem and bifurcations of the target basal bronchus were tracked and dissected. Then, the target bronchus was clamped subsequently, the lung on the operative side was inflated to further confirm the target bronchus. The accompanying feeding pulmonary artery of the target segment appeared after the target basal segmental bronchus was divided using a stapler. When the artery was clamped and divided, the final step was to process the intersegmental planes. The intersegmental demarcation line was marked

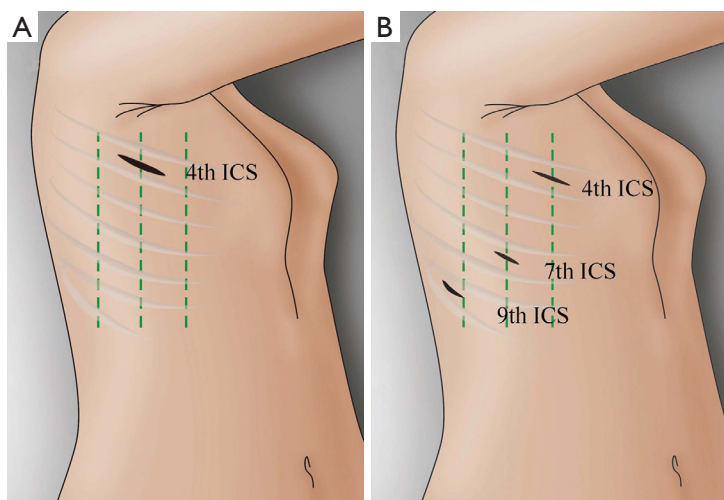
with electrocautery after indocyanine green (18) intravenous injection or showed via the manner of inflation-deflation (19). Finally, the intersegmental pulmonary parenchyma was dissected with the aid of a stapler and energy device along with the intersegmental demarcation line. Finally, a single-direction thoracoscopic basal segmentectomy was completed.

#### *Trans-interlobar fissure approach*

The target artery, bronchus, vein, and intersegmental planes were sequentially accessed as they appeared, proceeding in a single direction.

#### *Lymph node dissection*

The tumor and 13 lymph nodes were sent for frozen-section pathological examination during the surgery. Once the primary cancer was diagnosed, the hilar and mediastinal lymph nodes were dissected. For GGOs with pathologically diagnosed lymph node-negative conditions, we sometimes performed systematic sampling (20) rather than further



**Figure 3** Position of patients and port strategies for uniport or triport thoracoscopic basal segmentectomy (left decubitus position). Uniport incision (A): the uniport incision (4 cm) is located at the 4th or 5th ICS across the midaxillary line, according to the surgeon's habit. Triport incision (B): the observation port in the 7th ICS at the midaxillary line, approximately 1 cm; the main operation port is located in the 4th ICS at the anterior axillary line, approximately 2–3 cm; the auxiliary operating port is located in the 9th ICS between the posterior axillary line and the subscapular line, approximately 1.5 cm. ICS, intercostal space.

systemic lymph node dissection. A thoracic drainage tube was inserted from the posterior mediastinum to the apex of the chest, and then the incision was closed after ensuring proper hemostasis and passing the air leakage test.

### Follow-up

All patients required regular pulmonary CT examination every 3 or 6 months postoperatively. Follow-up data were obtained from the medical center records or from patients or their relatives by telephone. If a patient was lost to follow-up, their survival information was taken from the National Death Registry as a substitute.

### Data collection

The clinical and demographic data collected from patients included age, sex, smoking status, comorbidities, Eastern Cooperative Oncology Group (ECOG) score (21), tumor size, pathological tumor, node, and metastasis (TNM) stage, lymph node dissection or sampling, pathology subtypes, and number of target segments resected (according to the location of the target nodule, surgical methods were divided into single segmentectomies and combined dual- or tri-segmentectomies).

Outcome variables collected from patients included intraoperative conversion to thoracotomy, operative time,

intraoperative blood loss, number of lymph nodes and lymph node stations harvested, duration days of chest tube drainage, postoperative hospital stay, incidence of postoperative complications (pulmonary infection, prolonged air leakage, persistent drainage, cerebrovascular accident), perioperative 30- and 90-day mortality, and survival data. The intraoperative blood loss was judged by the size of the collecting bottle connected to the negative pressure suction device. The duration of chest tube drainage could reflect the early postoperative recovery condition. Pulmonary infections were based on the following criteria: chest radiographs indicating pulmonary infection, at least one examination term (such as fever above 38 °C or an abnormal white blood cell count less than  $4 \times 10^9/L$  or greater than  $12 \times 10^9/L$ ) or at least two symptoms (such as abnormal changes in respiratory secretions or a new or aggravated cough). Prolonged air leakage was defined as persistent pulmonary leakage for more than 5 days. Persistent drainage was defined as drainage time exceeding 7 days. Perioperative 30- and 90-day mortality were defined as any death within the first 30 or 90 days after the operation or hospitalization, respectively. Tumor recurrence in the ipsilateral lung, hilar, and mediastinal lymph nodes was defined as locoregional recurrence. Distant metastases were defined as tumor metastases in other organs (liver, bone, and brain). RFS was defined as the time interval from

the date of surgery to the date of cancer-related recurrence or last follow-up. OS was calculated as the time interval from operation to any death or last follow-up.

### Statistical analyses

Continuous variables following a normal distribution were presented as the mean  $\pm$  standard deviation. Variables with nonnormal distributions were expressed as medians [interquartile ranges (IQRs)]. Data were presented as percentages and proportions for categorical variables. *t*-tests and U tests were used to compare continuous variables. Pearson  $\chi^2$  test or Fisher's exact test was used for categorical variables. To minimize potential selection bias, we performed 1:1 PSM. Propensity scores were calculated using a logistic regression model based on 10 variables: age, sex, smoking status, tumor size, TNM stage, pathology, lymph nodes, ECOG score (21), comorbidities, and the number of target segments resected. The matching tolerance was 0.02, and the matching method was nearest neighbor matching. We performed statistical analysis of the clinical characteristics of the overall and matched cohorts for all patients. Because cases in the uniport group were included since 2019, we chose the 3-year RFS rate and OS rate as the outcome indicators. Recurrence was observed only in the overall cohort and not in the matched cohort. No patient death was observed at the time of the follow-up cutoff. Therefore, the survival analysis was conducted only for RFS in the overall cohort. To ensure baseline comparability between groups, only nonmucinous adenocarcinoma cases ( $n=297$ ) were included in the survival analysis. The RFS of the UTBS and TTBS groups was analyzed by the Kaplan-Meier (KM) method and the log-rank test. Univariate and multivariate Cox proportional hazards regression models were used to analyze variables for 3-year RFS. We applied Firth's penalized partial likelihood to correct Cox regression models because the UTBS group had zero recurrence events and the partial likelihood converged to a finite value on survival analysis (22). Data analysis was performed using SPSS version 26.0. Survival curves were drawn by GraphPad Prism 8.0 software. A  $P<0.05$  was considered statistically significant.

### Subgroup analyses

In clinical practice, we believe that the number of lung segments resected could have an impact on operative time and intraoperative blood loss. Thus, subgroup analyses were

conducted for the operative time and intraoperative blood loss between the single basal segmentectomy subgroup and the CBS subgroup.

## Results

### Clinical characteristics

From April 2015 to May 2022, 300 patients were included in this study (67 patients in the UTBS group and 233 patients in the TTBS group) (*Figure 1*). There were 101 males and 199 females, with an average age of  $49.55\pm 11.28$  years and a median follow-up time of 17 months (IQR, 10–20) in the UTBS group and 30 months (IQR, 20–45) in the TTBS group. The frequency of CBS was 47.8% (32 cases) and 38.2% (89 cases) in the UTBS and TTBS groups, respectively. Postoperative pathology revealed that there were 43 patients with stage IA1 and 21 patients with stage IA2 in the UTBS, 141 patients with stage IA1 and 79 patients with stage IA2 in the TTBS. Pathological examination confirmed that none of the patients had cancerous cells involved in their surgical margins. Forty-three patients underwent lobe-specific hilar and mediastinal lymph node dissection in the UTBS, while in the TTBS group, 127 patients underwent the same procedure. Systemic hilar and mediastinal lymph node dissection was conducted for 19 cases in the UTBS group and 77 cases in the TTBS group. Systemic hilar and mediastinal lymph node sampling was performed for 5 patients in the UTBS group and 29 patients in the TTBS group. Two patients were confirmed lymph node invasion (1 case each of N1 and N2). Both patients declined adjuvant therapy and were followed regularly. The clinical characteristics of the 300 patients before and after PSM are shown in *Table 1*. After PSM, all baseline clinical variables were well balanced across the two groups. The details of single basal segmentectomy and CBS of the overall and matched cohorts can be found in *Table S1*.

### Perioperative outcomes

Perioperative outcomes of the patients in the different groups before and after PSM are shown in *Table 2*. No cases in the UTBS group converted to TTBS, and no cases intraoperatively converted to thoracotomy. Perioperative 30- or 90-day mortality was not observed in either group. In the matched cohort, the median intraoperative blood loss (IBL) [20 (IQR, 10–20) mL] in the UTBS group was significantly less than that [30 mL (IQR, 20–50)] in the

**Table 1** Clinical characteristics of patient and tumor in different VATS groups before and after propensity score matching

Variables	Overall cohort			Matched cohort		
	UTBS (n=67)	TTBS (n=233)	P value	UTBS (n=64)	TTBS (n=64)	P value
Age (years)	50.33±12.04	49.32±11.07	0.521	50.41±12.17	49.83±12.10	0.788
Sex, n (%)			0.297			0.552
Male	19 (28.4)	82 (35.2)		19 (29.7)	16 (25.0)	
Female	48 (71.6)	151 (64.8)		45 (70.3)	48 (75.0)	
Smoking status, n (%)			0.441			1.000
Yes	13 (19.4)	36 (15.5)		11 (17.2)	11 (17.2)	
No	54 (80.6)	197 (84.5)		53 (82.8)	53 (82.8)	
Comorbidities, n (%)			0.793			0.122
Yes	23 (34.3)	76 (32.6)		23 (35.9)	15 (23.4)	
No	44 (65.7)	157 (67.4)		41 (64.1)	49 (76.6)	
ECOG score, n (%)			0.163			0.713
0	43 (64.2)	121 (51.9)		40 (62.5)	42 (65.6)	
1	24 (35.8)	110 (47.2)		24 (37.5)	22 (34.4)	
≥2	0 (0.0)	2 (0.9)				
Tumor size			0.517			0.699
≤1 cm	46 (68.7)	150 (64.4)		44 (68.8)	46 (71.9)	
>1 cm	21 (31.3)	83 (35.6)		20 (31.3)	18 (28.1)	
Pathological tumor, node, and metastasis			0.964			0.395
TNM stage (pTNM), n (%)						
TisN0M0	3 (4.5)	11 (4.7)		3 (4.7)	7 (10.9)	
T1aN0M0	43 (64.2)	141 (60.5)		41 (64.1)	40 (62.5)	
T1bN0M0	21 (31.3)	79 (33.9)		20 (31.3)	17 (26.6)	
T1bN1 or 2M0	0 (0.0)	2 (0.9)				
Lymph nodes, n (%)			0.063			1.000
Lobe-specific or Systemic dissection	62 (92.5)	204 (87.6)		61 (95.3)	60 (93.8)	
Systemic sampling	5 (7.5)	29 (12.4)		3 (4.7)	4 (6.3)	
Pathology, n (%)			1.000			0.588
Adenocarcinoma	67 (100.0)	230 (98.7)	0.435	64 (100.0)	64 (100.0)	
AIS or AAH	4 (6.0)	11 (4.8)		4 (6.3)	7 (10.9)	
MIA	39 (58.2)	117 (50.9)		38 (59.4)	44 (48.9)	
IA	24 (35.8)	102 (44.3)		22 (34.4)	23 (35.9)	
Others	0 (0.0)	3 (1.3)				
Number of target segments resected, n (%)			0.325			0.659
Single segment resection	35 (52.2)	144 (61.8)		35 (54.7)	40 (62.5)	
Two segments resection	27 (40.3)	77 (33.0)		26 (40.6)	22 (34.4)	
Three segments resection	5 (7.5)	12 (5.2)		3 (4.7)	2 (3.1)	

Data are presented as mean ± standard deviation for continuous variables and number (frequency)/No. (%) for categorical variables. VATS, video-assisted thoracic surgery; AIS, adenocarcinoma in situ; UTBS, uniport thoracoscopic basal segmentectomy; TTBS, triport thoracoscopic basal segmentectomy; AAH, atypical adenomatous hyperplasia; MIA, minimally invasive adenocarcinoma; IA, invasive adenocarcinoma; ECOG, Eastern Cooperative Oncology Group.

**Table 2** Surgical and perioperative outcomes of patient in different thoracoscopic groups before and after propensity score matching

Variables	Overall cohort			Matched cohort		
	UTBS [n=67]	TTBS [n=233]	P value	UTBS [n=64]	TTBS [n=64]	P value
Operative time (min)	120 [100–125]	113 [90–132]	0.415	120 [95–123.5]	115 [95–125.5]	0.841
Single segment resection	115 [80–120]	103 [85–125]	0.851	112.5 [70–120]	105 [90–125]	0.872
Multiple segments resection*	120 [117.5–142.5]	120 [100–155]	0.255	120 [113.75–126.25]	120 [106–157.5]	0.758
Intraoperative blood loss (mL)	20 [12.5–20]	20 [20–40]	0.016	20 [10–20]	30 [20–50]	0.001
Single segment resection	20 [20–20]	20 [10–30]	0.068	20 [17.5–20]	30 [10–50]	0.009
Multiple segments resection	20 [10–30]	20 [20–50]	0.076	20 [10–30]	30 [25–50]	0.019
Number of LNs harvested	5 [4–6]	5 [4–6]	0.473	5 [4–6]	5 [4–6]	0.856
Number of LN stations harvested	4 [4–5]	4 [3–5]	0.399	4 [4–5]	4 [3–5]	0.561
Duration of chest tube drainage (day)	2 [2–3]	2 [2–3]	0.085	2 [2–3]	2 [2–3]	0.098
Postoperative hospital stay (day)	3 [3–4]	4 [3–4]	0.245	3 [3–4]	4 [3–4]	0.330
Postoperative complications, n (%)			0.523			0.718
Yes	4 (6.0)	10 (4.3)		3 (4.7)	5 (7.8)	
No	63 (94.0)	223 (95.7)		61 (95.3)	59 (92.2)	

Values are presented as median [interquartile range] or number (percentage). \*, because the total number of three segments resected was too small, we combined the two segments and the three segments resected into one group. UTBS, uniport thoracoscopic basal segmentectomy; TTBS, triport thoracoscopic basal segmentectomy; LN, lymph node.

**Table 3** Postoperative complications of overall cohort and matched cohort

Postoperative complication	Overall cohort			Matched cohort		
	UTBS (n=4)	TTBS (n=10)	P value	UTBS (n=3)	TTBS (n=5)	P value
Pulmonary infection	3	7	1.000	2	4	0.643
Prolonged air leakage (>5 d)	0	1		0	1	
Persistent drainage (>7 d)	1	1		1	0	
Cerebrovascular accident	0	1		0	0	

UTBS, uniport thoracoscopic basal segmentectomy; TTBS, triport thoracoscopic basal segmentectomy.

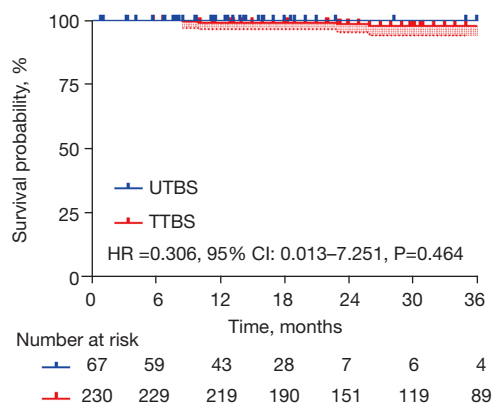
TTBS group ( $P=0.001$ ). Stratified analysis showed that the median IBL of the UTBS group was also less than that of the TTBS group upon single basal segmentectomy (SBS) ( $P=0.009$ ) and CBS ( $P=0.019$ ) (Table 2). Subgroup analysis showed that the IBL were similar between SBS subgroup and CBS subgroup ( $P=0.110$ ) (Table S2). Although there was no significant difference in the operative time between UTBS and TTBS (120 vs. 115 min,  $P=0.841$ ), subgroup analysis showed that the operative time of SBS was less than that of CBS (110 vs. 120 min,  $P=0.002$ ) (Table S2). There was no significant difference in the number of lymph nodes ( $P=0.856$ ) and lymph node stations ( $P=0.561$ ) harvested, duration of chest tube drainage ( $P=0.098$ ) or postoperative hospital stay ( $P=0.330$ ) between the two groups.

The postoperative complication rate of the overall cohort was 4.67% (14/300). In the matched cohort, the postoperative complication rate was 6.25% (6/128), as demonstrated in Table 3. The difference was not statistically significant ( $P=0.643$ ).

### Survival outcomes

The median follow-up times of the overall cohort and matched cohort in this study were 27 (IQR, 17–42) months and 20 (IQR, 15–35) months, respectively. The 3-year RFS of the overall cohort was 98.3%, and recurrence occurred in 0 and 5 patients in the UTBS group and TTBS group, respectively (HR: 0.306, 95% CI: 0.013–7.251,  $P=0.464$ ,





**Figure 4** Kaplan-Meier survival analysis of 3-year RFS for patients who underwent uniport or triport thoracoscopic basal segmentectomy. UTBS, uniport thoracoscopic basal segmentectomy; TTBS, triport thoracoscopic basal segmentectomy; HR, hazard ratio; CI, confidence interval; RFS, recurrence-free survival.

Figure 4). The recurrence pattern was composed of 3 locoregional recurrences and 2 distant metastases (one case of brain metastasis and the other of bone metastasis). Among the cohort of patients with local recurrence, there were two cases of stage IA2 lung cancer and one case of stage IA1 lung cancer. The recurrences were observed at 23, 9, and 80 months of follow-up, respectively. All the patients with recurrences were alive at the 3-year follow-up (the detailed results were presented in Table S3). The 3-year OS of the overall cohort was 100%. In univariate and multivariate analyses, no variables were calculated as independent prognostic factors for RFS, and the surgical approach (UTBS vs. TTBS) was not an independent risk factor for RFS (HR: 1.120, 95% CI: 0.342–13.051,  $P=0.879$ ; HR: 1.399, 95% CI: 0.402–16.472,  $P=0.643$ ) (Table 4).

## Discussion

This study retrospectively included 300 patients to estimate the perioperative outcomes and survival results of UTBS and TTBS. Our study further filled the gap regarding the perioperative outcomes and oncological prognosis of UTBS via a single-direction approach. The results showed that the perioperative and mid-term oncological outcomes of UTBS and TTBS were comparable. Furthermore, UTBS was associated with less IBL.

Over the past decade, JCOG0802 (2), JCOG0804 (23), and JCOG1211 (24) have all reported excellent prognostic results of segmentectomy and wedge resection in mainly

stage T1 (tumor size  $\leq 2$  cm) N0 lung cancer patients. Recently, another multicenter randomized controlled trial CALGB140503 (3), showed sublobar resection (segmentectomy accounting for 37.9%) had similar oncological results as lobar resection in lung cancer patients of stage T1 (tumor size  $\leq 2$  cm) N0. Although there are many studies on segmentectomy, only a few studies with small sample sizes (7,8,25,26) have focused on thoracoscopic basal segmentectomy. In 2015, Kikkawa *et al.* (25) studied complete thoracoscopic S9 or S10 segmentectomy in 23 patients using a pulmonary ligament approach. In 2017, Endoh *et al.* (26) reported the novel posterior approach to perform thoracoscopic S10 segmentectomy in 20 patients. Nevertheless, these reports were all completed under a multiport thoracoscopic strategy. At present, studies on thoracoscopic basal segmentectomy through a uniport approach are few (12,27). Moreover, studies comparing the oncological outcomes of uniport and triport thoracoscopic basal segmentectomy are lacking.

In the present study, we compared the perioperative and oncological outcomes between the UTBS and TTBS groups. Analysis from the overall cohort and the matched cohort together showed that the operative time was comparable between the two groups ( $P=0.415$ ,  $P=0.841$ ). The median IBL in the UTBS group was less than that in the TTBS group ( $P=0.016$ ,  $P=0.001$ ). A possible reason could be that one incision in UTBS oozes less blood than three incisions in TTBS.

Robotic anatomic segmentectomy is being increasingly performed, and previous study (28) showed that robotic segmentectomy achieves similar intraoperative blood loss and shorter median operative time comparing with our study. However, there is still a lack of literature specifically investigating robot-assisted basal segmentectomies, either single or combined with other lower lobe segments. Further research is required to compare oncological outcomes between robotic and thoracoscopic basal segmentectomy, regardless of uniportal or triport surgeries.

For the first time, the mid-term oncological outcomes of patients with peripheral GGO-predominant nodules  $\leq 2$  cm in size who underwent thoracoscopic basal segmentectomy were demonstrated. The local recurrence rate of our basal segmentectomy cohort stands at 1.0% (3/297), mirroring the comparable 1.8% (1/56) recurrence rate observed in the segmentectomy subgroup of JCOG0804 (23). However, the local recurrence rate in the segmentectomy group in JCOG 0802/WJOG 4607L (2) was as high as 10.5% (58/552), with surgical margin recurrence accounting for

**Table 4** Univariate and multivariate Cox proportional hazard regression model analysis for recurrence-free survival

Variables	Recurrence-free survival			
	Univariate analysis		Multivariate analysis*	
	HR (95% CI)	P value	HR (95% CI)	P value
Age	1.063 (0.977, 1.158)	0.157	1.072 (0.978, 1.186)	0.138
Sex (male vs. female)	6.707 (0.743, 885.926)	0.101	7.340 (0.770, 980.876)	0.090
Smoking status	0.528 (0.004, 4.962)	0.641		
Comorbidities	1.359 (0.183, 10.115)	0.764		
ECOG score		0.255		
0 (ref)				
1	2.866 (0.473, 28.808)			
≥2	14.813 (0.541, 329.940)	0.115		
Tumor size	2.859 (0.306, 26.748)	0.357	1.209 (0.103, 14.168)	0.880
Surgical types (UTBS vs. TTBS)	1.120 (0.342, 13.051)	0.879	1.399 (0.402, 16.472)	0.643
Lymph nodes (dissection vs. sampling)	1.291 (0.137, 171.146)	0.860	1.440 (0.145, 193.439)	0.800
Histology subtypes				
AIS/AAH (ref)				
MIA	0.143 (0.001, 5.175)	0.344	0.378 (0.001, 1,304.87)	0.759
IA	1.791 (0.137, 578.068)	0.756	2.983 (0.224, 24,397.2)	0.495
Number of target segments resected				
Single segment resection (ref)				
Two segments resection	3.201 (0.618, 19.352)	0.160		
Three segments resection	3.257 (0.023, 42.850)	0.508		

\*, although the results of univariate analysis showed that none of the variables reached the  $P < 0.1$  threshold, these variables were clinically associated with lung cancer recurrence. Thus, multivariate analysis was still conducted for these variables. CI, confidence interval; HR, hazard ratio; UTBS, uniport thoracoscopic basal segmentectomy; TTBS, triport thoracoscopic basal segmentectomy; AIS, adenocarcinoma in situ; AAH, atypical adenomatous hyperplasia; MIA, minimally invasive adenocarcinoma; IA, invasive adenocarcinoma.

19.0% (11/58) of those cases. Unfortunately, these studies did not separately report the recurrence date of the basal segmentectomy. The final data of our study showed that three locoregional recurrences, two distant metastases, and zero deaths were observed in our segmentectomy cohort. The segmentectomy group in our study had an overall (local plus distant) recurrence rate of only 1.7% (5/297), which was substantially lower than the 12.1% (67/552) of JCOG 0802/WJOG 4607L (2) and 30.4% (102/336) of CALGB140503 (3). The low recurrence rate in our study can be attributed to the following reasons. First, the 3-year follow-up period in our study was too short. Second, the vein-branch-artery proceeding sequence in the single-direction approach, which may reduce repeated turnover of lung and tumor cell dissemination (29). Third, the JCOG0802 and CALGB140503 primarily enrolled patients

with predominantly solid pulmonary nodules, whereas our study focuses on nodules characterized by a predominant GGO pattern. It is noteworthy that an increased proportion of solid components within nodules is associated with a poorer prognosis (30). Local recurrence sites were all found in the ipsilateral lung, and there was no recurrence of the surgical margin. Literature (31,32) suggests that tumor spread through air space (STAS) is an independent prognostic factor for RFS and OS. The presence of STAS can partially explain why most of the recurrences in our study occurred within the ipsilateral lung rather than at the surgical margin. Further pathological studies on STAS are needed to investigate the reasons for tumor recurrence in our study. Another possible reason is that we used preoperative CT scans to locate the tumor and determine the anatomical relationships between surrounding tissues

to guarantee adequate resection margins. The 3-year RFS and OS were 98.3% and 100.0%, respectively. The RFS of UTBS and TTBS was comparable. The surgical approach (UTBS *vs.* TTBS) was not associated with RFS. Therefore, we believe that UTBS could provide a similar oncological prognosis as TTBS for basal segmentectomy.

Our study found no significant difference in the number and station of lymph node harvested between UTBS and TTBS groups. However, the small sample size may limit the generalizability of our findings. Existing literature (33) suggests that the difference in lymph node detection between uniport and triport procedures remains controversial and requires further investigation. Although reduced lymph node detection may not affect the survival of T1a/T1b patients, it may be a significant issue in later stages.

According to previous studies (34,35), the rate of postoperative complications in segmentectomy ranged from 8% to 25%. In this study, the rate of postoperative complications in the overall cohort was 4.67% (14/300), which was less than that in previously published studies (34,35). After matching, the postoperative complication rates of the two groups were 4.69% and 7.81%, respectively, and the difference was not statistically significant ( $P=0.643$ ). Therefore, the uniport procedure is safe compared to the triport procedure in basal segmentectomy. Pulmonary infection was the main complication of all postoperative complications in the overall cohort, occurring in 10 of 14 (71.4%) patients. Perioperative preparations for infection prevention should be performed when performing pulmonary segmental surgery.

Our study had four limitations. First, the choice of uniport and triport surgical options was up to the surgeon, which could lead to a bias. Although we balanced for confounding factors between the two groups by PSM, potential selection bias could not be completely eliminated because this study was retrospective. Second, in our center, the surgeons come from the same team. Before 2019, they mainly performed TTBS. After 2019, almost all surgeons gradually switched from TTBS to UTBS. Although all procedures were performed by experienced thoracic surgeons, there was unavoidable heterogeneity in the surgery. Additionally, there is also a lack of sufficient sample size to draw a learning curve to further explain the reasons for the differences in perioperative outcomes. Third, since the UTBS group was only included after 2019 and the outcome indicators we used were the 3-year RFS and 3-year OS, positive results were hardly found in such a short

follow-up period. Thus, we will conduct further studies to update the survival results after a longer follow-up period. Fourth, as this study is retrospective, we were not able to evaluate the severity of postoperative pain, which is known benefit of uniport surgery. Finally, all results and dates were from one medical center, limiting the generalizability of the conclusions. Therefore, prospective, multicenter, large-scale randomized controlled trials are required to confirm these findings.

## Conclusions

In conclusion, thoracoscopic anatomic segmentectomy performed by the uniport and triport approaches had comparable perioperative results and survival effects. Yet, the uniport procedure was associated with less intraoperative blood loss.

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## Footnote

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*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was approved by the institutional review board of West China Hospital (No. 2023-0138) and conducted according to the

Declaration of Helsinki (as revised in 2013). In this study, each patient provided written informed consent for surgery and the publication of the study data.

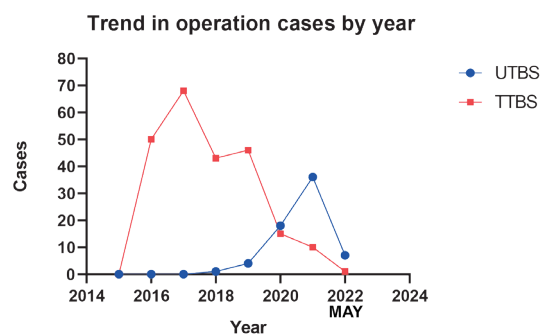
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**Figure S1** Trend in operation related variables by year. Blue solid line indicated uniport thoracoscopic basal segmentectomy, red solid line indicated triport thoracoscopic basal segmentectomy.

**Table S1** Details of single segmentectomy and combined segmentectomy of the overall and the matched cohort

Overall cohort			Matched cohort		
Type	UTBS (N=67)	TTBS (N=233)	Type	UTBS (N=64)	TTBS (N=64)
single segment resection	N=35	N=144	Single segment resection	N=35	N=40
Left lower			Left lower		
S8	14	42	S8	14	22
S9	0	8	S9	0	0
S10	5	29	S10	5	16
Right lower			Right lower		
S7	2		S7	2	0
S8	9	41	S8	9	41
S9	2	10	S9	2	10
S10	3	14	S10	3	14
Two segments resection	N=27	N=77	Two segments resection	N=26	N=22
LS6+10	1	8	LS6+10	1	6
RS6+10	1	6	RS6+10	1	1
RS6c+10	0	1	LS10a+ci	1	0
LS10a+ci	1	0	LS6+8	0	3
LS6+8	0	4	RS6+8	1	2
RS6+8	2	4	RS6b+8	1	0
RS6b+8	1	1	LS6b+8a	0	1
LS6b+8a	0	2	RS7+8	1	0
RS6b+8a	0	1	RS7a+S8	1	0
RS7+10	0	3	LS8+9	2	1
RS7+8	1	4	RS8+9	5	2
RS7a+S8	1	0	LS8a+S9a	1	0
LS8+9	2	4	LS9+10	5	6
RS8+9	5	11	LS9a+10	1	0
RS8+9a	0	1	RS9+10	4	0
LS8a+S9a	1	0	RS9a+10	1	0
LS9+10	5	17			
LS9a+10	1	0			
RS9+10	4	8			
RS9+10b	0	1			
RS9a+10	1	0			
Three segments resection	N=5	N=12	Three segments resection	N=3	N=2
LS6+9+10	2	3	LS6+9+10	1	2
RS7a+6c+6bi	0	1	RS6b+8+9	1	0
RS6c+8+10	0	1	RS6+RS9a+RS10a	1	0
RS6b+8+9	1	0			
RS6+9+10	1	3			
RS7+8+9	0	3			
RS7a+8+9	1	0			
RS8+6a+6b	0	1			
RS6+RS9a+RS10a	1	0			

UTBS, uniport thoracoscopic basal segmentectomy; TTBS, triport thoracoscopic basal segmentectomy.

**Table S2** Subgroup analysis of operative time and intraoperative blood loss within the UTBS and the TTBS group before and after propensity score matching

Variables	Overall cohort			Matched cohort		
	SBS	CBS*	P value	SBS	CBS	P value
Operative time (min)	105 (85-125)	120 (100-155)	0.002	110 (87.5-125)	120 (110-138)	0.002
UTBS	115 (80-120)	120 (117.5-142.5)	0.037	112.5 (70-121.25)	120 (113.75-126.25)	0.070
TTBS	103.5 (85-125)	120 (100-155)	0.001	105 (90-125)	120 (106-157.5)	0.011
Intraoperative blood loss (mL)	20 (10-30)	20 (20-40)	0.159	20 (15-30)	30 (15-40)	0.110
UTBS	20 (20-20)	20 (10-30)	0.289	20 (17.5-20)	20 (10-30)	0.282
TTBS	20 (10-30)	20 (20-50)	0.057	30 (10-50)	30 (25-50)	0.221

Values are presented as median (interquartile range). \*, CBS, combined basal segmentectomy, because the total number of three segments resected was too small, we combined the two segments and the three segments resected into one group called combined segmentectomy. UTBS, uniport thoracoscopic basal segmentectomy; TTBS, triport thoracoscopic basal segmentectomy; SBS, single basal segmentectomy.

**Table S3** Detailed results of recurrence and metastasis of patients

Patient	Postoperative Pathological Stage	Tumor Location	Surgical Procedure	Surgical Rationale	Lymph Node Management	Recurrence Time	Survival Status (During follow-up)
Patient 1	T1bN0M0	Left lower lobe, S9 and S10 junction	Left lower lobe combined S9+10 resection	Sufficient margin requirement	Lobe-specific hilar and mediastinal lymph node dissection	23 months	alive
Patient 2	T1bN0M0	Left lower lobe, anterior basal segment near dorsal segment	Left lower lobe S8 resection + partial dorsal segment resection	Sufficient margin requirement	Systematic hilar and mediastinal lymph node dissection	9 months	alive
Patient 3	T1aN0M0	Right lower lobe, anterior basal segment	Right lower lobe S8 resection	The deep location of the tumor was not suitable for wedge resection	Lobe-specific hilar and mediastinal lymph node dissection	80 months	alive
Patient 4 (brain)	T1bN2M1b	Left lower lobe, anterior basal segment	Left lower lobe S8 resection	The deep location of the tumor was not suitable for wedge resection	Systematic hilar and mediastinal lymph node dissection	26 months	alive
Patient 5 (bone)	T1bN0M1b	Right lower lobe, posterior basal segment near dorsal segment	Right lower lobe posterior basal segment resection + partial dorsal segment resection	Sufficient margin requirement	Systematic hilar and mediastinal lymph node dissection	10 months	alive

Patients 1-3 were local recurrences and patients 4-5 were distant metastases.