

# DNA damage tolerance: a double-edged sword guarding the genome

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**Abstract:** Preservation of genome integrity is an essential process for cell homeostasis. During the course of life of a single cell, the genome is constantly damaged by endogenous and exogenous agents. To ensure genome stability, cells use a global signaling network, namely the DNA damage response (DDR) to sense and repair DNA damage. DDR senses different types of DNA damage and coordinates a response that includes activation of transcription, cell cycle control, DNA repair pathways, apoptosis, senescence, and cell death. Despite several repair mechanisms that repair different types of DNA lesions, it is likely that the replication machinery would still encounter lesions that are mis-repaired or not repaired. Replication of damaged genome would result in high frequency of fork collapse and genome instability. In this scenario, the cells employ the DNA damage tolerance (DDT) pathway that recruits a specialized low fidelity translesion synthesis (TLS) polymerase to bypass the lesions for repair at a later time point. Thus, DDT is not a repair pathway per se, but provides a mechanism to tolerate DNA lesions during replication thereby increasing survival and preventing genome instability. Paradoxically, DDT process is also associated with increased mutagenesis, which can in turn drive the cell to cancer development. Thus, DDT process functions as a double-edged sword guarding the genome. In this review, we will discuss the replication stress induced DNA damage-signaling cascade, the stabilization and rescue of stalled replication forks by the DDT pathway and the effect of the DDT pathway on cancer.

**Key Words:** DNA damage tolerance (DDT); proliferating cell nuclear antigen (PCNA); replicative DNA polymerase; stalled replication forks; translesion synthesis (TLS); translesion polymerase



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## Introduction

DNA, the blueprint of life, encodes the genetic information required for the development, functioning and survival of all known living organisms and many viruses. Damage to DNA impairs essential DNA metabolic processes such as DNA replication, transcription, and recombination and if left unrepaired, leads to events generating chromosomal rearrangements, fusion, deletion, mutations or chromosomal loss. Defective repair or replication errors leads to permanent changes in the genetic information that may pass on to the daughter cells (1). Therefore, a fundamental aspect of life lies in maintaining the integrity of the genome,

ensuring the correct inheritance of the genetic information. On one hand, chromosomal aberrations and mutations lead to loss of tumor-suppressors, cell cycle checkpoint genes or result in improper activation of oncogenes, which collectively result in uncontrolled cellular proliferation and development of cancer. However, on the other hand, unrepaired or mis-repaired gross chromosomal aberrations could also result in cellular senescence and cell death, which prevent cancer development (2-4).

DNA damage, ranging from modification of bases, intra- and inter-strand crosslinks, DNA-protein crosslinks, pyrimidine dimers, 6-4 photoproducts, single- and double-

stranded breaks, can be caused either by spontaneous changes to the chemical structure of the DNA or by endogenous and exogenous DNA damaging agents (5-9). To counteract the damage inflicted on the genome the cell puts into work a well co-ordinated network of signaling cascade, termed the DNA damage response (DDR). DDR senses the DNA lesion and transmits the damage signal to activate cell cycle checkpoint control in order to delay cell cycle progression and allow for the damage to be repaired by recruiting the DNA repair machinery. Several DNA repair pathways namely, nucleotide excision repair (NER), base excision repair (BER), non-homologous end joining (NHEJ), homologous recombination (HR), and fanconi anemia (FA) exist in the cell that repair different types of DNA lesions (*Figure 1*). The DNA repair pathways either work independently or co-ordinate to repair complex DNA lesions [reviewed in (10-14)]. Once the damage is repaired the cell re-enters the cell cycle and the genome is duplicated in an error-free manner by high fidelity DNA polymerases and transmitted to daughter cells. During S-phase, as the DNA is being replicated, if the DNA polymerases encounters DNA adducts and/or distortions in the secondary structure of the DNA, the DNA polymerases stalls. Prolonged stalling of replication forks leads to fork collapse and ultimately genome instability. Thus, a second response to damaged DNA, termed DNA damage tolerance (DDT), has evolved to promote replication through and beyond an altered template, leaving the damage to be repaired at a later time point, thus lowering the overall risk of replication fork collapse and genome instability (15,16). In this review, we will describe the DDR signaling cascade that mediates cell cycle checkpoint activation and recruitment of DNA repair factors to sites of DNA damage, especially at stalled replication forks. We will also discuss lesion bypass by DDT pathways and how they act to maintain genome stability. Further, we will describe the pathological consequences that result from a defective response to DNA damage in humans and how different DNA repair pathways can be targeted for cancer therapy.

### DNA damage response network

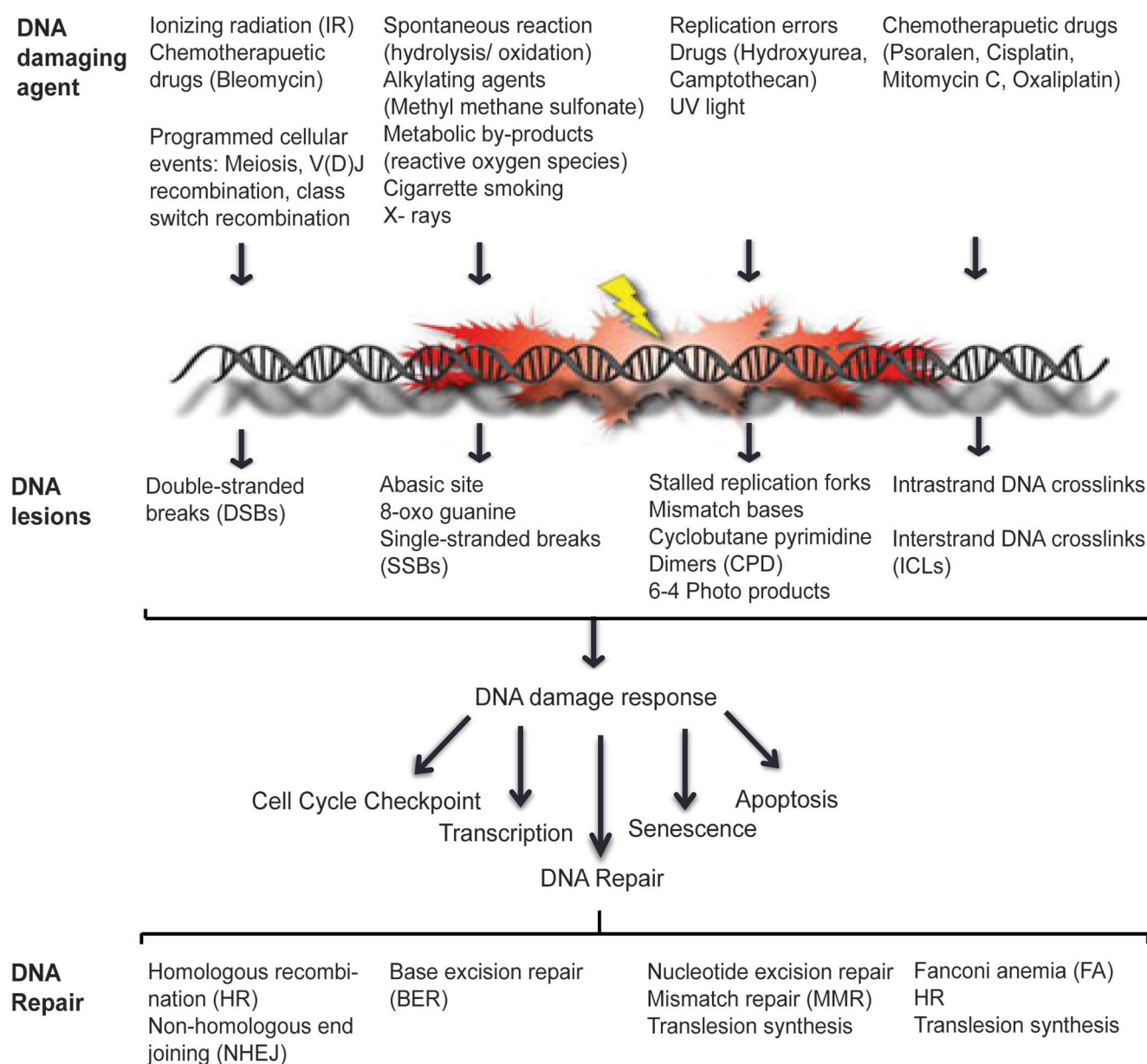
DDR is initiated by sensing of DNA damage by DNA damage sensor proteins which then transduce this information to activate cellular responses that includes cell cycle checkpoint control, transcription, activation of DNA repair pathways, senescence and/or apoptosis. Central to this signal transduction cascade are members of

phosphatidylinositol 3-kinase-like (PIKKs) protein kinases, ATM, ATR, DNA-PKcs and poly (ADP-ribose) polymerase (PARP) family members PARP1 and PARP2, which respond to distinct DNA lesions and transduce damage signals by modulating many downstream events. In response to DNA DSBs, MRE11-RAD50-NBS1 (MRN) complex functions as the sensor, which recruits and activates, ATM kinase, which in turn phosphorylates effector molecules, such as CHK2, SMC1, H2AX and MRN complex itself to mediate cell cycle checkpoint activation and DNA repair (17-21). DSBs are predominantly repaired by either NHEJ or HR repair pathways. While, NHEJ is an error-prone repair pathway that is mediated by the direct joining of the two broken ends, DSB repair by HR is considered error-free, as it involves processing the DNA ends to generate a 3'-ssDNA overhang that then initiates a homology search for genetic exchange with either the sister or homologous chromosome. Other alternative pathways for DSB repair include alternative NHEJ (alt-NHEJ/MMEJ) and single-strand annealing (SSA) pathways [reviewed in (1,10)].

SSBs generated by IR, reactive oxygen species or indirectly during BER of abasic sites or altered DNA bases, such as 8-oxoG and 3-meA, are sensed by PARP family members (22,23). PARP1 and PARP2 via its zinc finger motifs recognize SSBs and DSBs. Upon binding to DNA, PARP1 and PARP2 get activated and synthesize poly (ADP-ribose) (PAR) chains at sites of DNA damage and on target proteins such as histones H1 and H2B, and PARP1 itself. Histone PARylation contributes to chromatin reorganization and PAR structures in turn serve to recruit factors to promote DNA repair [reviewed in (24,25)].

### Signaling stalled replication forks

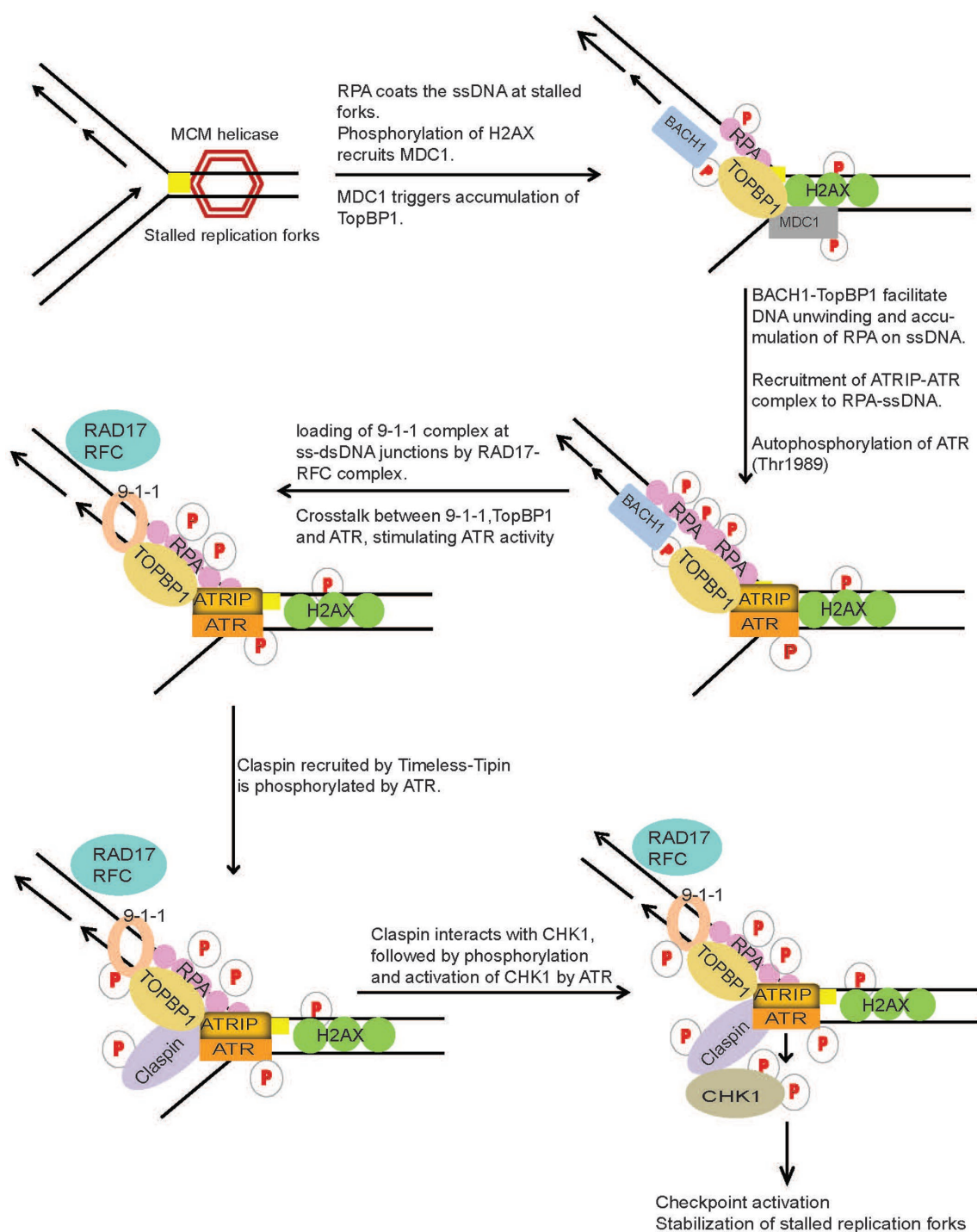
The most dangerous and frequently occurring lesions in cells are those that arise and result in replication fork stalling during normal DNA replication. During DNA replication, replication forks may stall when they encounter secondary DNA structures, repetitive sequences, certain protein-DNA complexes, or lesions generated by DNA damaging agents. Especially in response to Ultraviolet (UV) induced DNA lesions, replicative DNA polymerases stall since they are unable to accommodate altered DNA bases in their active sites, hindering the progression of DNA polymerases. This can result in the stalling and uncoupling of replicative polymerases with helicase activities of the replication machinery at the lesion site, resulting in tracts of ssDNA due to continuous unwinding of DNA by helicases



**Figure 1** DNA damage response network. Endogenous and environmental sources of DNA damaging agent inflict damage to the DNA that range from modified bases, intra- and inter-strand crosslinks, cyclobutane pyrimidine dimers, 6-4 photoproducts, single- and double-stranded DNA breaks. Upon sensing DNA damage the cells activate the DDR network which activates cellular processes such as cell-cycle checkpoint control, transcription, DNA repair machinery, senescence and/or cell death. DNA repair pathways act independently or coordinate to repair DNA lesions

(26,27). The ssDNA tracts generated are recognized and coated by ssDNA binding protein, Replication protein A (RPA) (27). The direct interaction of ATRIP with RPA coated onto the ssDNA serves to recruit ATR-ATRIP kinase complex at DNA damage sites (28). Work from

several groups has helped understand the intricate cross-talk between signaling components at stalled replication forks (Figure 2). Following recruitment, ATR is partially activated by autophosphorylation of ATR at Thr-1989, a process dependent on RPA, ATRIP and ATR kinase



**Figure 2** DNA damage signaling at replication forks. During DNA replication, lesions (yellow square) in the DNA template block the progression of DNA polymerases and result in the stalling and uncoupling of replicative polymerases with helicase activities of the replication machinery at the lesion site, generating tracts of single-strand DNA (ssDNA) due to continuous unwinding of DNA by helicases. ssDNA is subsequently bound by RPA. RPA-ssDNA then serves to signal the recruitment of ATR through its interacting partner, ATRIP, where it phosphorylates and activates Chk1. ATR-Chk1 activation requires intricate crosstalk between TopBP1, BACH1, 9-1-1 complex, Timeless, Tipin and Claspin mediator proteins at the replication forks. ATR-Chk1 pathway serves to activate cell cycle checkpoint and DNA repair machinery to repair the DNA lesion

activity (29). The full activation of ATR-ATRIP requires additional mediators that include RAD17, the RAD9-RAD1-HUS1 [9-1-1] checkpoint clamp, TopBP1 and BACH1 at the damage site (30-33). The initial recruitment of TopBP1 to sites of stalled forks is signaled by RPA-ssDNA. However, in a recent finding it was shown that  $\gamma$ -H2AX plays an indirect role in the recruitment of TopBP1 in response to replication stress. Upon DNA damage H2AX accumulates on dsDNA region adjoining ssDNA at stalled forks and is phosphorylated by ATR or other related kinases, which triggers the recruitment of MDC1. MDC1 then leads to the accumulation of TopBP1 at stalled replication forks via direct protein-protein interaction (34,35). TopBP1 interacts with p-BACH1 (Thr-13) at the damage sites. BACH1 is recruited to the sites of damage, independent of TopBP1. It is proposed that BACH1 together with TopBP1 may facilitate the unwinding of dsDNA or other DNA structures at stalled forks, generating long tracts of ssDNA which is subsequently coated by additional RPA molecules (36). This accumulation of RPA-ssDNA is required for the amplification of replication stress signals leading to the recruitment of ATRIP-ATR and the 9-1-1 complex (36,37). Independent of ATR, Rad17-RFC complex recognizes ssDNA-dsDNA junctions and loads 9-1-1 complex onto dsDNA (38,39). RAD9 in the 9-1-1 complex interacts with and further recruits TopBP1 to the damage sites (40,41). TopBP1 and 9-1-1 complex localized on ssDNA, now communicate with ATR-ATRIP recruited on ssDNA of the damaged fork via TopBP1. TopBP1 stably interacts with phosphorylated ATR via the BRCT domains 7 and 8 and thereby fully activates the ATR-ATRIP complex at damage sites. Additionally, phospho-ATR tethers TopBP1 to stimulate multiple ATR-ATRIP complexes on RPA-ssDNA (29,42). Active ATR kinase can then phosphorylate several components of the replisome, RPA, Claspin (43-45) and also its downstream effector kinase, CHK1 (46,47). As well demonstrated in budding yeast, full activation of the ATR-Chk1 (*mec1/rad53*) checkpoint signaling pathway is also mediated by Tim1 (*Tof1*), Tipin (*Csm3*) and Claspin (*Mrc1*) (48-54). Both Timeless and Tipin are required for normal replication and ATR-Chk1 activation in response to replication stress (52,55,56). Tipin binds to RPA2 subunit of the RPA complex (RPA1, RPA2 and RPA3) and this interaction is required for the stable association of Timeless-Tipin and Tipin-Claspin complexes on RPA-coated ssDNA (57). In response to replication stress, Claspin localized on RPA coated ssDNA is phosphorylated by ATR and this phosphorylation is required for its interaction with

Chk1, thereby serving as a platform for ATR mediated phosphorylation and activation of Chk1 upon DNA damage (58-60). ATR mediated phosphorylation of the chromatin bound Chk1 at S/T-Q sites (S317, S345 and S366), is required to stimulate the kinase activity of Chk1 and its subsequent dissociation from the chromatin, facilitating the transmission of the DNA damage signal to downstream targets, thereby promoting efficient cell cycle arrest in response to DNA damage (61-63) (*Figure 2*). Checkpoint kinases, Chk1 and Chk2, initiate cell cycle arrest primarily by inhibiting Cyclin-Cdk complex activity (Cdk4/cyclin D and Cdk2/cyclin E for G1-phase checkpoint, Cdk2/cyclin A for S-phase checkpoint, and Cdc2/cyclin A and Cdc2/cyclin B for G2-phase checkpoint) upon DNA damage until the DNA damage is repaired [reviewed in (64-66)].

Replication fork stalling can directly generate ssDNA; however, ssDNA structure can also arise during NER, repair of ICLs and at dysfunctional telomeres. In addition, ATR-Chk1 pathway is also activated in response to DSBs, when ssDNA is generated as a result of resection of DSB ends by exonucleases during DNA repair by HR pathway. Further, replication of damaged DNA can result in DSBs when leading-strand DNA polymerases encounter single-strand nicks or abasic sites (27). Thus, although the ATM-Chk2 and ATR-Chk1 pathways are frequently activated together in cells exposed to diverse genotoxic stresses, genetic and biochemical studies show that ATR-Chk1 is the principal effector involved in diverse DNA damage and replication checkpoint controls, while ATM-Chk2 function is mainly restricted to DSBs (67,68). Therefore, it is not surprising that germ-line inactivation of ATR or Chk1, but not ATM or Chk2, result in early embryonic lethality (69-72). Similarly, depletion or inactivation of ATR or Chk1, but not ATM or Chk2, affects cell viability (47,71-73). Interestingly, DT40 lymphoma cells survive genetic inactivation of Chk1, albeit with impaired cell growth and survival (73), suggesting that cells may find ways to bypass this requirement, which may have implications for the development of resistance when CHK1 inhibitors enter in clinical trials.

### **Stabilization of stalled replication forks and replication restart**

Stalled forks are fragile structures and thus prolonged stalling of replication forks can lead to fork collapse, generation of DSBs and genome instability. Therefore, stabilization and rescue of stalled forks and subsequent completion of DNA replication is essential for cell survival

and genome maintenance. RPA coats the ssDNA generated at the stalled forks and signals the recruitment of proteins that stabilize and restart stalled replication forks. Recently, several groups showed that SMARCAL1 and AH2, a new class of enzymes that catalyze the rewinding of RPA-coated ssDNA, are required to stabilize stalled forks in response to replication stress (74-82). While, SMARCAL1 is recruited to damage sites by RPA, AH2 recruitment is mediated by proliferating cell nuclear antigen (PCNA) (74-78,80-82). The annealing activity of SMARCAL1 and AH2 was shown to be required for stabilizing stalled replication forks. Restart of stalled forks is proposed to require the action of several helicase and translocases that include BLM, WRN, FANCM and HLTf that are also recruited by RPA (83-85). BLM, WRN, FANCM, and HLTf have been suggested to regress replication forks by favoring the annealing of the leading- and lagging strands and generating a HJ-like structure also known as “chicken foot,” which could allow the restart of DNA synthesis by template switching and lesion bypass (86,87). SMARCAL1 might also promote replication fork regression, given the similarity of its helicase domain with that of yeast Rad5, which is known to regress replication forks (86,87). However, future studies are needed to reveal the mechanism by which these proteins coordinate and prevent fork collapse at stalled replication forks.

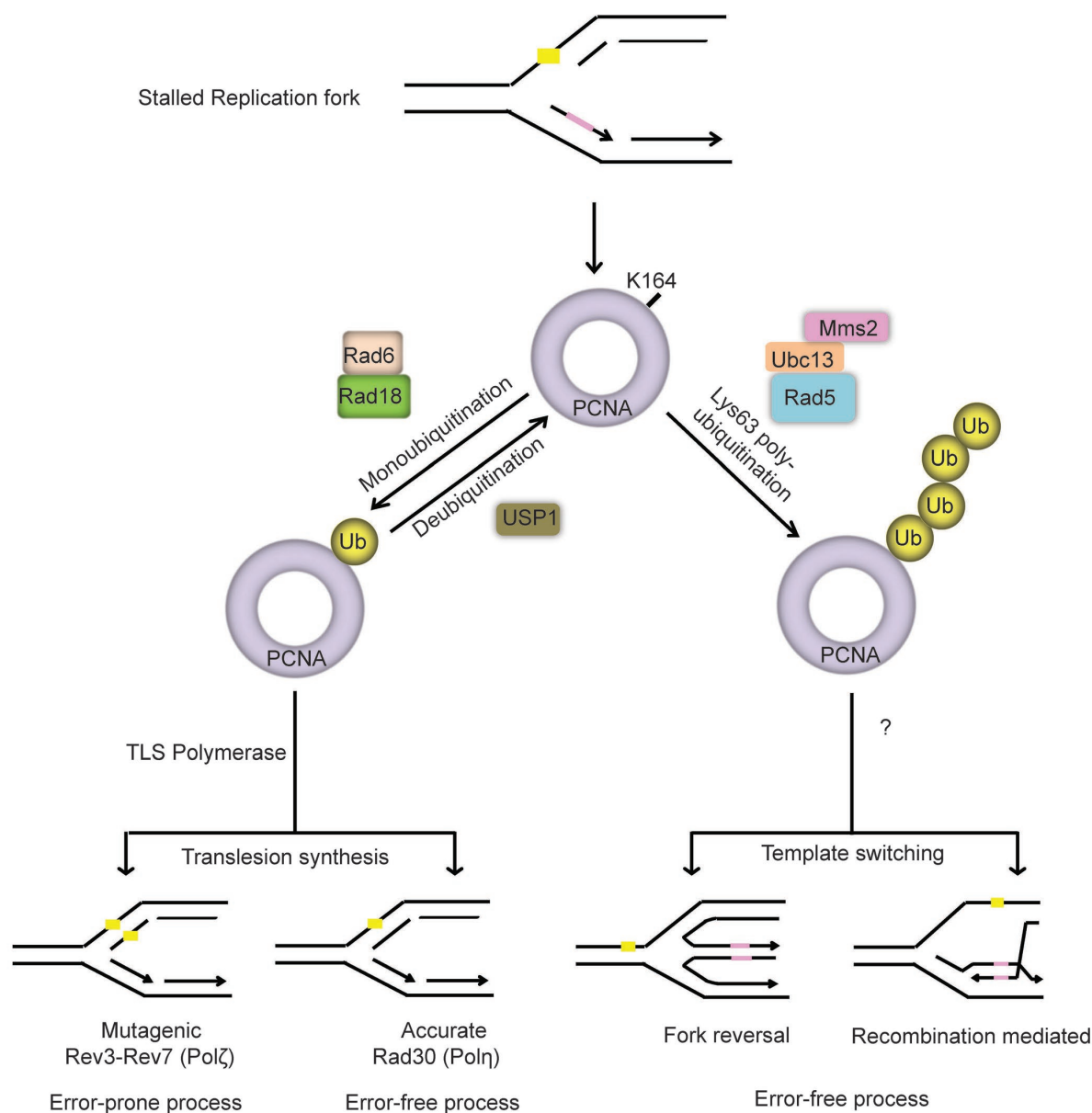
### DNA damage tolerance pathway

Restart of stalled replication forks is achieved by DNA damage tolerance pathways, also known as post replication repair (PRR) that initiate DNA synthesis downstream of the DNA lesion and therefore allow the lesion to be repaired after DNA replication. Earlier studies in both yeast and mammalian cells suggest two major pathways for PRR: translesion synthesis (TLS) and the damage avoidance by template switching (TS) (15,88-90) (*Figure 3*). The replicative DNA polymerases are particularly specific for normal DNA base pairs, but cannot accommodate damage bases or bulky adducts into their active site. During TLS, the stalled replicative polymerase is replaced by TLS polymerases, which are a class of specialized polymerases with low-processivity but can replicate over distortions in DNA and directly bypass lesions. Mammalian cells have at least seven enzymes with TLS activity. These include four Y-family polymerases Pol  $\eta$  (POLH), Pol  $\iota$  (POLI), Pol  $\kappa$  (POLK) and REV1, one B-family polymerase, Pol  $\zeta$ , the catalytic subunit of which is REV3L and two A-family

polymerases Pol  $\theta$  (POLQ) and Pol  $\nu$  (POLN). Each of the TLS polymerases has different substrate specificities for different types of DNA damage (16,91,92). For example, Pol  $\eta$  preferentially inserts two adenines opposite a thymine dimer, while Pol  $\kappa$  has been shown to accurately bypass benzopyrene-induced guanine adducts and Pol  $\zeta$  extends distorted base pairs, such as mismatches that might result from an inaccurate base insertion by a TLS polymerase or a base pair involving a bulky DNA lesion (93-96). Therefore, depending on the TLS polymerase that is recruited, lesions can be bypassed either in a relatively error-free mode, for example when using DNA polymerase eta (Pol  $\eta$ ), or by an error-prone mechanism using Pol  $\zeta$  and Rev1 (96,97). The mechanism of lesion bypass by damage avoidance is unclear, but is thought to involve template switching with the undamaged sister chromatid and/or the use of HR pathway (15,85). Nevertheless, both of these direct (TLS) and indirect (TS) bypass pathways allow for resumption of DNA replication and leave lesions for repair at a later time point (*Figure 3*).

### PCNA ubiquitination

A critical step in the regulation of PRR is the posttranslational modification of PCNA, the replicative sliding clamp that plays an essential role in DNA replication. Following DNA damage and/or replication stress, PCNA is either mono- or poly- ubiquitinated on lysine 164 (K164) (89,98-100). Studies suggest that monoubiquitination of PCNA promotes direct lesion bypass by recruiting TLS polymerases to stalled replication forks (97,101-103), while polyubiquitination of PCNA promotes damage avoidance through a process that is still unclear (98,104) (*Figure 3*). In yeast, ubiquitination of PCNA is mediated by the Rad6 epistasis group and two RING domain-containing E3 ligases, Rad18 and Rad5. Rad18 mediates the monoubiquitination of PCNA, while Rad5 facilitates the further addition of K63-linked ub-chains (97,101,102,105,106). In humans, mono-ubiquitination on lys-164 is the major modification of PCNA detected, which is carried out by RAD18 upon exposure of replicating cells to DNA damage induced by UV or hydroxyurea (HU) (107). Polyubiquitination of PCNA detected at much lower levels is believed to be mediated by HLTf and SHPRH, the mammalian orthologs of yeast Rad5 ubiquitin ligase (85,108). Recent finding challenges this view and suggests that HLTf, which transfers polyubiquitin chains to RAD6, permits RAD18 to attach polyubiquitin K63-linked



**Figure 3** DNA damage tolerance pathway (DDT): lesions (yellow Square) in the DNA template blocks progression of high-fidelity replicative polymerase resulting in stalled replication forks. DNA damage tolerance mechanism mediates bypass of lesions by replicating over damaged DNA by low-fidelity DNA polymerases (translesion synthesis) or using the undamaged sister chromatid as a template (template switching). Template switching is mediated by structural rearrangement of the replication fork either by recombination or fork reversal. The key regulator of DDT pathway is the modification of PCNA. Under undamaged conditions replicative polymerase binds to unmodified PCNA during DNA replication. Upon genotoxic stress, PCNA is ubiquitinated at K164 to initiate DNA damage tolerance pathways. Monoubiquitination of PCNA promotes translesion synthesis, while polyubiquitination facilitates template switching. PCNA is monoubiquitinated by RAD18-RAD6 E3-ligase and polyubiquitinated by Rad5 (human homologue, SHPRH or HLTTF). Following lesion bypass Usp1 deubiquitinates PCNA, thereby facilitating loading of the replicative polymerase to resume DNA synthesis

ubiquitin chains to PCNA. Thus, RAD18 may directly control both states of PCNA ubiquitination (109). However,

the exact mechanism underlying ubiquitin chain elongation on PCNA and what triggers the switch from mono- to

poly-ubiquitination of PCNA remains to be elucidated. Furthermore, how polyubiquitination of PCNA via K63-linked serves as a signal to recruit factors involved in error-free PRR and factors that activate and regulate translesion synthesis and template switching processes remains to be determined.

RPA-coated ssDNA induces ubiquitination of PCNA. However, it is not clear how checkpoint and DNA damage tolerance processes are coordinated at stalled replication forks (110,111). As discussed in the early section, RPA-ssDNA is a central component for ATR-Chk1 checkpoint pathway in response to replication stress. Interestingly, studies show that claspin and Chk1, but not ATR, is required for PCNA ubiquitination (112,113). Thus, it is not yet clear whether the checkpoint proteins directly or indirectly affect the recruitment of RAD18 to RPA-ssDNA. It could be possible that RPA-ssDNA initiates two DNA damage response pathways in parallel: the ATR-dependent checkpoint control and PCNA-mediated DNA damage tolerance, wherein each pathway assembles its own RPA-ssDNA-clamp: RPA-ssDNA-RAD18 and PCNA for PCNA ubiquitination, and the RPA-ssDNA-ATRIP and 9-1-1 checkpoint clamp for ATR activation (114,115). A single stalled fork may be able to accommodate both complexes simultaneously, as 9-1-1 complex loads preferentially onto the 5' end of the primed RPA-ssDNA during checkpoint activation, while PCNA occupies the 3' end during processive DNA replication. Thus, at least part of the solution may lie in clamp specificity (116,117). Moreover, although ATR activity appears to be dispensable for PCNA ubiquitination, genetic studies in yeast suggest a role for the ATR checkpoint pathway in regulating TLS polymerase activity independent of PCNA monoubiquitination (118,119). Thus, the connections between the checkpoint and damage tolerance pathways; especially regarding their recruitment, activity and regulation, are largely unexplored and needs further investigation.

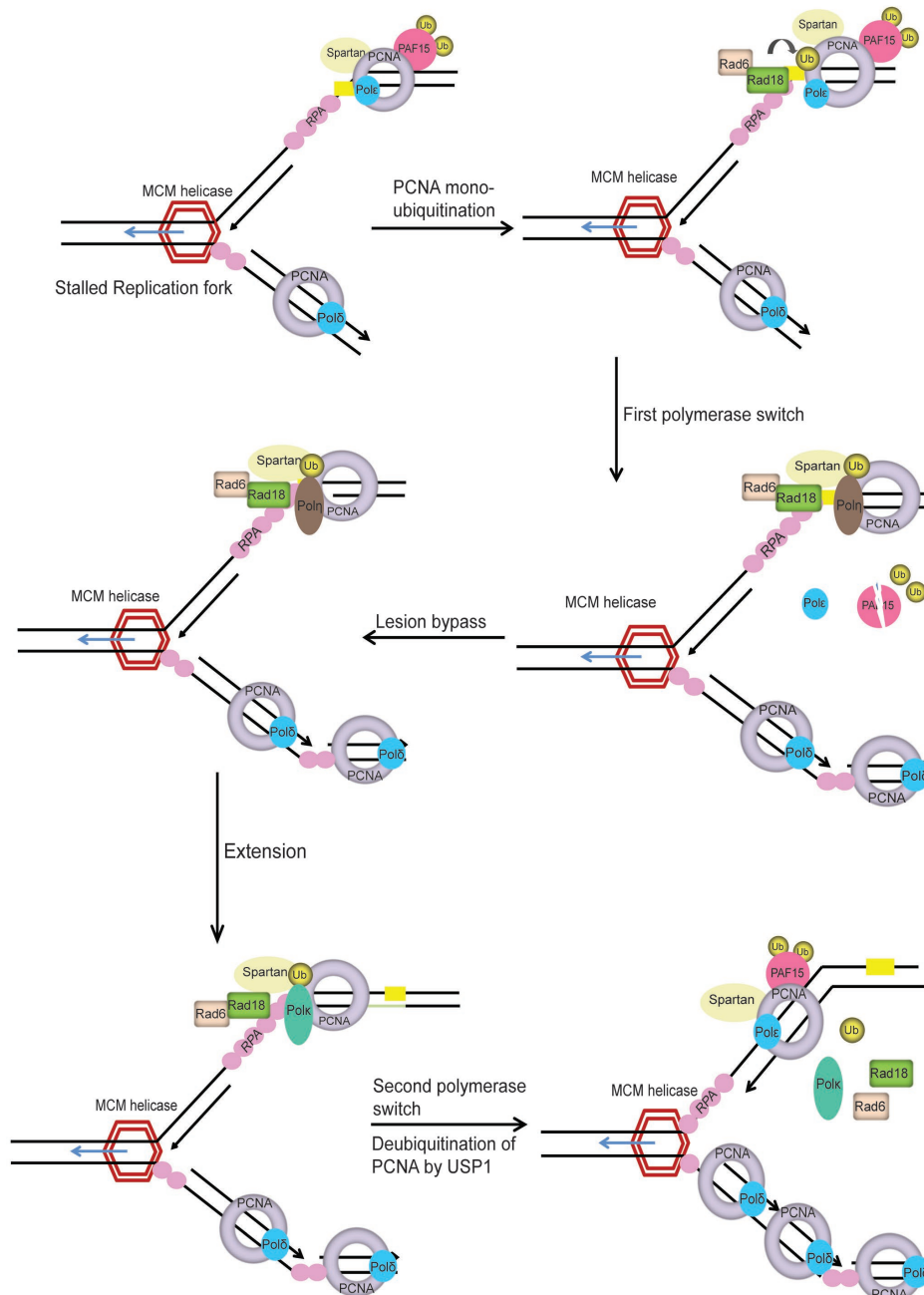
### Translesion synthesis

Monoubiquitination of PCNA (ub-PCNA) increases its affinity for TLS polymerases Pol $\eta$ , Pol $\iota$ , and Rev1 (101,107,120,121). This increase of affinity for ub-PCNA is mediated by ubiquitin-binding domains (UBM or UBZ domains) identified in all of the Y-family polymerases and therefore provide a possible mechanism for polymerase switch, whereby the blocked replicative DNA polymerase is replaced by a TLS polymerase that can bypass the lesion

(101,107,122-124). Thus, the current model for TLS process involves at least two polymerase-switching events, in a step-wise fashion. In the first switch, the stalled replicative DNA polymerase is replaced by a TLS polymerase capable of replicating over the DNA lesion. The TLS 'patch' is then extended by either the same or another TLS polymerase. The extension step allows for the lesion to escape detection by the 3' to 5' exonuclease proofreading activity of the replicative DNA polymerase. This is followed by the second switch, which restores the high fidelity replicative DNA polymerase to the DNA template to resume DNA replication (15,16,96,125) (*Figure 4*).

PCNA ubiquitination is the key event regulating PRR, however, it is insufficient, by itself, to account for the specificity of PRR pathway choice, as several TLS polymerases have ubiquitin-interacting motifs (97). Recently, finding from several groups identified Spartan (C1orf124/DVC1) as a regulator of TLS (126-132). Spartan co-localizes and interacts with unmodified and ub-PCNA at damage sites, which require the PIP box and UBZ domain of Spartan. Spartan was shown to stabilize RAD18 and ub-PCNA at sites of DNA damage (126-132). Interestingly, Spartan binds to replicative DNA polymerase POLD3 and PDIP1 under normal conditions but preferentially associates with TLS polymerase  $\eta$  (POLH) upon UV damage (128). Depletion of Spartan induced the association of POLD3 with Rev1 and the error-prone TLS polymerase Pol  $\zeta$ , and led to elevated mutagenesis that requires POLD3, Rev1 and Pol  $\zeta$  (132). Spartan also binds to the AAA-ATPase VCP (p97) via its SHP domain and recruits p97 to sites of DNA damage where p97 may facilitate the extraction of the TLS polymerase Pol $\eta$  during DNA repair to prevent excessive TLS activity and therefore limit the incidence of mutations caused by the action of TLS polymerases (126-132). Thus, Spartan plays important roles at several steps in TLS, it is required to stabilize RAD18 and PCNA at damage sites; it promotes the switch from replicative to translesion polymerase; and it is required to prevent excessive TLS activity and suppress mutations caused by lesion bypass (*Figure 4*) (126-132).

Another regulator of TLS identified recently is PCNA-associated factor PAF15 (KIAA0101) (133). Study showed that in unperturbed S phase, PAF15 is modified by double mono-ubiquitylation of Lys-15 and 24 sites and is tightly bound to PCNA on the chromatin. Replication stress triggers rapid, proteasome-dependent removal of lys 15/24-ubiquitylated PAF15 from PCNA, facilitating lesion bypass by allowing the recruitment of



**Figure 4** Proposed model for TLS pathway. Replication fork stalling uncouples the replicative helicase from normal high-fidelity DNA polymerases resulting in DNA unwinding and generation of tracts of ssDNA, which is coated by RPA. RPA-ssDNA serves to initiate the ATR-Chk1 pathway to activate cell cycle checkpoint control. RPA-ssDNA also recruits RAD18 E3-ligase to activate DNA damage tolerance pathway. PCNA monoubiquitinated at K164 (ub-PCNA) by RAD18-RAD6 operates as a molecular switch from normal DNA replication to the TLS. Under normal conditions ubiquitinated PAF15 is bound to PCNA. Upon DNA damage PAF15 is degraded by the proteasome and this facilitates the binding of TLS polymerase to ub-PCNA. Additionally, Spartan is recruited to DNA damage sites by ub-PCNA and is required to stabilize RAD18 and ub-PCNA on the chromatin. TLS polymerase Pol $\eta$  (Pol eta) bound to ub-PCNA, inserts a nucleotide directly opposite the lesion and requires an additional TLS polymerase, such as Pol $\zeta$  (Pol zeta), to extend beyond the insertion. Following extension, the second polymerase switch is initiated where the TLS polymerase is replaced by high fidelity replicative DNA polymerase. USP1 deubiquitinates PCNA and DNA synthesis is resumed by high-fidelity replicative DNA polymerase. The precise mechanism of polymerase switching and regulation of TLS by Spartan, PAF15 and USP1 is still unclear

TLS polymerase Pol $\eta$  to ub-PCNA at stalled replisomes (Figure 4) (133). Monoubiquitinated PCNA is shown to be deubiquitinated by the cysteine protease Usp1 (134). Thus, TLS may be regulated by both ubiquitination and deubiquitination. Interestingly, Usp1 degradation is observed after UV treatment but not after treatment with methylmethanesulfonate (MMS) or mitomycin C (MMC), which suggests that deubiquitination by Usp1 may be a UV-specific mode of regulating TLS and that other possible deubiquitinases might function in response to different types of genotoxic stress (Figure 4) (113).

Thus, with several new regulators identified to function in TLS synthesis, several important questions come into sight. Are there more TLS regulators in mammalian cells? Do these regulators talk to each other? How are the functions of TLS regulators such as Spartan and PAF15 regulated and how do they act with repair factors? These are all important biological questions that remain to be addressed. Moreover, while the molecular and physiological roles of some of the TLS polymerases such as Pol $\eta$ , REV1 and REV3L have been studied, the functions of POLN, POLK, POLI and POLQ in lesion bypass still need to be established.

### TLS polymerases in ICL repair and genome maintenance

Studies in chicken DT40 and mammalian cells have shown that REV7, REV3 and REV1 are required for cellular survival to genotoxic stress such as UV, MMS, DNA crosslinking agents, and DSBs (135-141). These suggest that Rev1 and Pol  $\zeta$  have additional roles in promoting survival beyond the conical PRR pathway.

The current model for ICL repair involves the cooperation between the FA pathway, translesion DNA synthesis by Rev1 and Pol  $\zeta$ , and HR. Central to ICL repair is FA pathway that comprises of 15 FA genes (FANC-A, -B, -C, -D1/BRCA2, -D2, -E, -F, -G, -I, -J/BRIP1/BACH1, -L, -M, -N/PALB2, -O/RAD51C, and -P) and five Fanconi Anemia Associated Proteins (FAAP-20, -24, -100, MHF1 and MHF2) (13,142). The critical step in FA pathway is the monoubiquitination and subsequent activation of the FANCI and FANCD2 (I-D2) complex at the ICL site, which is mediated by the FA core complex, comprising of eight FA proteins (FANC-A, B, C, E, F, G, L and M) and FAAP20, -24 and FAAP100, with FANCL serving as the E3 ligase (13,143). Following ubiquitination, the I-D2 complex is recruited to the chromatin and signals the recruitment of

FAN1 nuclease to ICL site. FAN1 is recruited to ICL site via its interaction with ub-ID2 through its ubiquitin binding ZNF domain. FAN1 displays 5'-flap endonuclease and 5'-3' exonuclease activity and once targeted to the chromatin, FAN1 may act alone or together with other structure-specific nucleases (SSEs) to mediate endonucleolytic digestion of cross-linked DNA (144-147).

Incision around the ICL is a key step in initiating the repair process. A number of SSEs have been implicated in the incision events during ICL repair, which include XPF-ERCC1, MUS81-EME1 and hSNM1A (148-152). SLX4 (FANCP) serves as a scaffold protein for three SSEs, XPF-ERCC1, MUS81-EME1 and SLX1 (153-156). The exonuclease SNM1A is biochemically active at sites of crosslinks and required for ICL resistance, which is consistent with an *in vivo* function of this nuclease in ICL repair. However, whether and how SNM1A interacts and acts with FA proteins remains largely unknown, although it is speculated to act in concert with SLX4-associated XPF-ERCC1 to process the crosslink after the initial incision (157). Thus, several SSEs are believed to function in incision and unhooking of ICLs. But, whether these nucleases act in parallel or in sequence in this process and how they are recruited and regulated during ICL repair have not been fully elucidated. The downstream events following ICL incision and unhooking are believed to be mediated by BRCA2, FANCI, PALB2 and RAD51C, which essentially function in HR.

Direct evidence implicating the involvement of Pol  $\zeta$  in ICL repair was obtained using the *Xenopus* egg extract system, where it was shown that ubFANCI-D2 promotes both the incision and TLS steps of ICL repair, suggesting that like PCNA ubiquitination, FANCI-D2 ubiquitination may play a role in recruiting TLS polymerases to ICLs via their ubiquitin-binding domains (158,159). However to date, no evidence exists suggesting a direct interaction between FANCD2 and Rev1. Nevertheless, cells from FA patients are hypomutagenic in response to UV, similar to Rev1 and Rev3-deficient cells (160). Also, FANCC-deleted DT40 cells have a lower frequency of spontaneous point mutations, suggesting that an intact FA pathway may be required for Rev1-mediated error prone TLS (161). Overall, these results imply that Rev1 and Rev3 are regulated by FA pathway to execute lesion bypass in two different scenarios: bypass of UV-generated photoproducts or cisplatin induced intra-strand crosslinks and the repair of ICLs. However, there are differences in the requirement of FA proteins for TLS in response to replication blocks versus ICL repair.

The recruitment of Rev1 to damage sites following UV and cisplatin treatment requires an intact FA core complex but is independent of FANCD2 monoubiquitination, suggesting that FA core complex alone regulates TLS in response to replication fork stalling by UV or cisplatin (136,162). Accordingly, patient cells deficient in FA core components generate spontaneous and UV-induced point mutations at a low frequency compared to cDNA corrected cells (162). Recent findings identified FAAP20 to be an integral part of the FA core complex which plays a role in maintaining the integrity of the core complex, and promotes FANCD2 monoubiquitination (163,164). Studies showed that FAAP20 directly binds to FANCA and the UBZ domain of FAAP20 associates with ub-Rev1, providing a physical link between Rev1 and the FA pathways (163). Together, these studies suggest that FAAP20 specifically promotes Rev1-dependent TLS across replication stalling lesions like thymine dimers and bulky cisplatin adducts and may also directly promote Rev1-dependent TLS during ICL repair (Figure 5). Further, depletion of REV1, REV3 or REV7 in human cells is associated with a reduction in HR efficiency by approximately 50%, similar to FA cells, as opposed to 90-95% seen in cells deficient in RAD51 protein (137). This similar degree of reduction in HR repair is observed in cells depleted of another DNA polymerase POLN that is implicated in ICL repair and HR. Moreover, no additive reduction in gene conversion efficiency is observed upon FANCD2-POLN co-depletion, suggesting that POLN participates in the same FA pathway that regulates , but not essential for, HR repair (165). Since these studies measure repair of a site specific DSB by HR, they imply that alternative DNA polymerases may be needed to perform a subset of these reactions and are not confined to preparing the sister chromatid for HR repair after ICL unhooking. Together, these observations suggest that Rev1 and Pol  $\zeta$  (possibly in collaboration with POLN) may be required to synthesize DNA during a subset of HR reactions that involve extension of distorted or mis-paired primer templates that would otherwise cause stalling of normal DNA polymerases. At least in mammalian cells, the FA pathway may be important for regulating TLS during HR repair and rescues problematic HR templates by recruiting the TLS pathway.

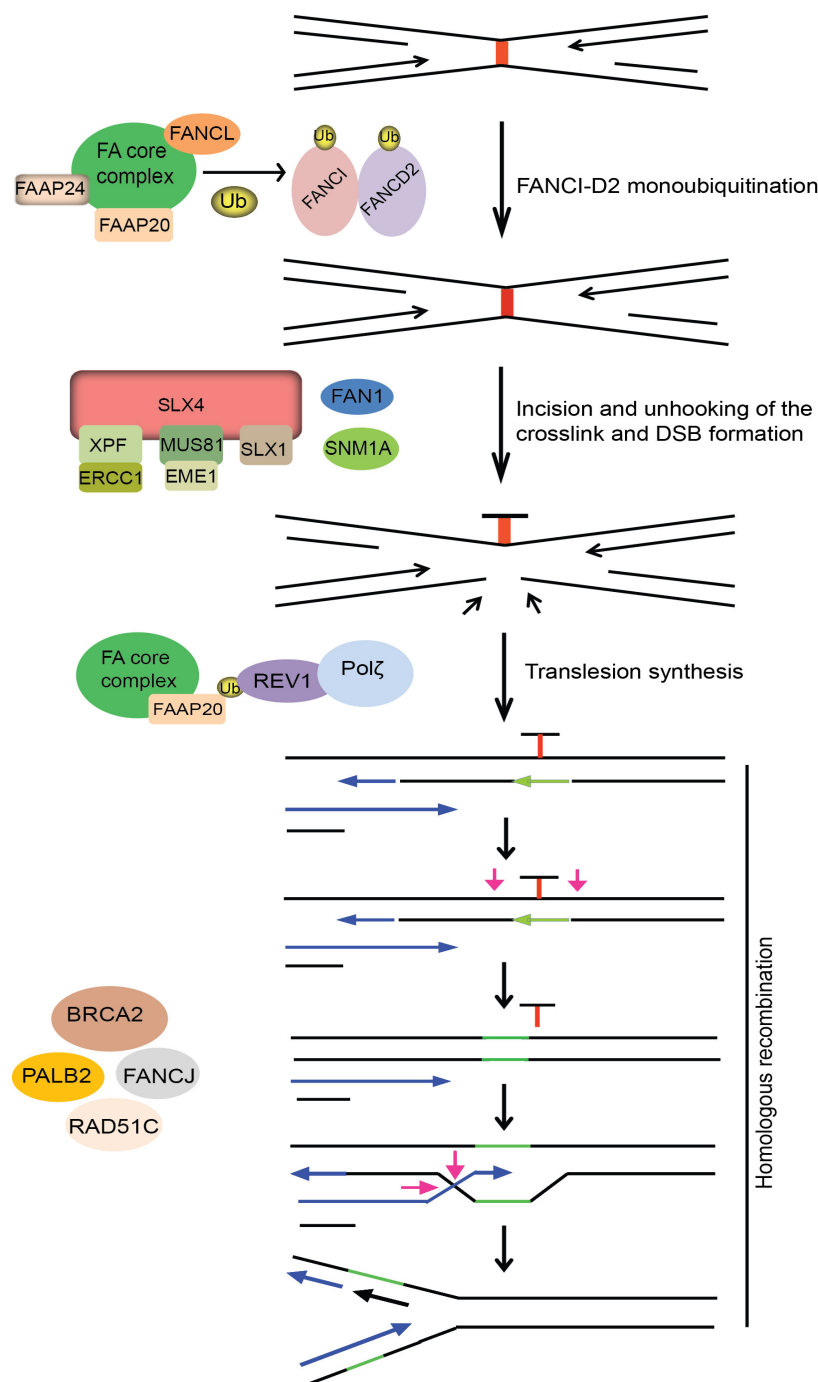
### DDR defects and cancer

The importance of DDR in human physiology is highlighted by the broad spectrum of human diseases

caused by mutations in DDR genes. The phenotypes caused by DDR defects range from neurological dysfunction, immunodeficiency, reproductive and growth defects, premature ageing and cancer (166-171) (Table 1). Since genomic instability is one of the key drivers leading to cancer development (172,173), it is not surprising that most genetic disorders resulting from defective mutation in one or more DDR genes predispose patients to cancer development (174). Somatic mutations in DDR genes are observed in several types of cancer. Hereditary nonpolyposis colorectal cancer (HNPCC) is caused by heterozygous mutations of MMR genes, such as *MLH1*, *MSH2*, *MSH6*, and in fewer cases, *PMS2* (175). The most prevalent mutations leading to hereditary breast and ovarian cancer affect the HR genes *BRCA1* and *BRCA2* (176-178). A broad spectrum of malignancies is displayed by the DDR disorders like Bloom syndrome (BLM), Werner syndrome (WRN), Rothmund Thomson syndrome (RECQL4) and Li-Fraumeni syndrome (TP53) (179,180).

The Y family polymerases are responsible for the majority of mutagenic events and hence play a central part in carcinogenesis. As overactive TLS leads to increased mutagenesis, it can drive a normal cell to become cancerous and also can cause chemo-resistant in cancer cells. On the other hand, reduced TLS activity can lead to increased fork stalling and DSB formation in the genome, triggering genome instability and cancer development. Thus, DNA damage tolerance pathway functions as a double-edged sword guarding the genome. TLS polymerase POLH is directly linked to tumor suppression in humans. XPV, a rare inherited human disorder characterized by increased incidence of sunlight-induced skin cancers is caused by inactivating mutations of *POLH* (181). In the absence of Pol  $\eta$  activity, XPV cells cannot perform accurate bypass of ultraviolet UV induced TT-dimers, and the resulting mutations at TT sites are thought to cause skin cancer (103,182,183). Further, expression of *POLH* is found to be greatly reduced in lung and stomach cancers, while increased *POLH* expression correlated with poor clinical outcomes (184,185). Increased expression of *POLB* has now been observed in gastric, uterine, prostate, ovarian and thyroid carcinomas (186-188). Increased *POLQ* expression in breast cancer tumor samples correlates with poor clinical outcome (189). Apart from TLS polymerases, germ-line mutations affecting the proofreading domain of replicative polymerase *POLD1* and *POLE* also predispose carriers to colorectal adenomas and carcinomas (190).

Cancer therapeutics essentially comprises of surgery



**Figure 5** TLS synthesis in ICL repair by Fanconi anemia (FA) pathway: replicative DNA polymerase stalls upon encounter of an interstrand crosslink (ICL) in DNA. FA core complex (FANCL, FANCI, FANCD2, FANCG, FANCF, FANCA, FANCB, FANCD1, FANCD3, FANCD4, FANCD5, FANCD6, FANCD7, FANCD8, FANCD9, FANCD10, FANCD11, FANCD12, FANCD13, FANCD14, FANCD15, FANCD16, FANCD17, FANCD18, FANCD19, FANCD20, FANCD21, FANCD22, FANCD23, FANCD24, FANCD25, FANCD26, FANCD27, FANCD28, FANCD29, FANCD30, FANCD31, FANCD32, FANCD33, FANCD34, FANCD35, FANCD36, FANCD37, FANCD38, FANCD39, FANCD40, FANCD41, FANCD42, FANCD43, FANCD44, FANCD45, FANCD46, FANCD47, FANCD48, FANCD49, FANCD50, FANCD51, FANCD52, FANCD53, FANCD54, FANCD55, FANCD56, FANCD57, FANCD58, FANCD59, FANCD60, FANCD61, FANCD62, FANCD63, FANCD64, FANCD65, FANCD66, FANCD67, FANCD68, FANCD69, FANCD70, FANCD71, FANCD72, FANCD73, FANCD74, FANCD75, FANCD76, FANCD77, FANCD78, FANCD79, FANCD80, FANCD81, FANCD82, FANCD83, FANCD84, FANCD85, FANCD86, FANCD87, FANCD88, FANCD89, FANCD90, FANCD91, FANCD92, FANCD93, FANCD94, FANCD95, FANCD96, FANCD97, FANCD98, FANCD99, FANCD100) and associated proteins (FAAP20, FAAP24, FAAP100) are activated and recruited to the ICL site where, FANCL serves as E3-ligase, and monoubiquitinates FANCI-D2 heterodimer. Monoubiquitinated FANCI-D2 complex is recruited to the chromatin and recruits FAN1 nuclease to sites of damage. ICLs are incised and unhooked by the action of several structure specific endonucleases; MUS81-EME1, SNM1A, FAN1, XPF-ERCC1 and SLX1-SLX4. Complete repair of ICL is mediated by co-ordinated action of TLS and HR processes. FAAP20 interacts with the FA core complex and binds to monoubiquitinated REV1. FAAP20 may direct REV1 and Pol ζ to the unhooked crosslink to catalyze lesion bypass across the ICL adduct creating a suitable substrate for repair by the HR pathway

**Table 1** Genetic disorders and cancer phenotype associated with defects in DDR pathway

DDR defect	Mutated gene	Syndrome	Cancer predisposition
BER	MYH	MYH-associated polyposis	Colorectal cancer
MMR	MSH2, MSH6, MLH1, PMS2	Hereditary nonpolyposis colorectal cancer (HNPCC)	Colorectal cancer, carcinomas
NHEJ	RAG1, RAG2	Severe combined immunodeficiency (SCID)	
	XLF	Immunodeficiency with microcephaly	
	ARTEMIS	Radiosensitive severe combined immunodeficiency (RS-SCID)	Lymphomas
	LIG4	Ligase IV syndrome	Lymphomas
NHEJ, SSB repair	PNKP	Microcephaly intractable seizures and developmental delay syndrome (MCSZ)	
Class switch recombination (CSR)	AID, UNG	Hyper- IgM syndrome	
SSB Repair; NER	LIG1	Ligase I Syndrome	
SSB Repair	APTX	Ataxia with oculomotor apraxia 1 (AOA1)	
	SETX	Ataxia with oculomotor apraxia 2 (AOA2)	
	TDP1	Spinocerebellar ataxia with axonal neuropathy (SCAN1)	
TC-NER	CSB, XPD, XPG, ERCC1	Cerebo-oculofacio-skeletal syndrome (COFS)	
	CSA, CSB, XPB, XPD, XPG	Cockayne syndrome (CS)	
	XPB, XPD, TTDA	Trichothiodystrophy (TTD)	
NER	XPA, XPB, XPC, XPD, XPE, XPF, XPG, POLH	Xeroderma pigmentosum (XP)	Squamous and basal cell carcinoma, melanoma
NER, ICL repair	XPF	XPF-ERCC1 syndrome (XFE)	
ICL repair, HR	FANC-A, -B, -C, FANCD1 (BRCA2), FANCD2, -E, -F, -G, -I, FANCI (BACH1), FANCL, FANCF (SLX4)	Fanconi Anemia (FA)	AML, squamous cell carcinoma, myelodysplasia
	RAD51C (FANCO)	Fanconi anemia-like disorder	
	FAN1	KIN (Karyomegalic interstitial nephritis)	
HR	ATM, BRCA1, BRCA2, BRIP1, CHK2, NBS1, PALB2, RAD50, RAD51C	Familial breast cancer	Breast and ovarian cancer
	BLM	Bloom Syndrome (BS)	Carcinoma, lymphoma, leukemia
	RECQL4	Rothmund Thomson syndrome (RTS)	Skin cancer, osteosarcoma
	WRN	Werner syndrome (WS)	Sarcoma
DNA replication	POLD1, POLE		Colorectal adenocarcinoma
Telomere maintenance	DKC, TERC	Dyskeratosis congenital (DKC)	Carcinoma
DNA damage signaling	Ribonuclease H2 (RNASEH2A, RNASEH2B, RNASEH2C), TREX1, SAMHD1	Aicardi Goutieres syndrome (AGS)	

**Table 1** (Continued)

Table 1 (Continued)			
DDR defect	Mutated gene	Syndrome	Cancer predisposition
DNA damage signaling, DSB repair	ATM	Ataxia telangiectasia (A-T)	Leukemia, lymphomas, breast cancer
	MRE11	Ataxia telangiectasia-like disorder (A-TLD)	
	NBS1	Nijmegen breakage syndrome	B cell lymphoma
	RAD50	Nijmegen breakage syndrome-like disorder (NBSLD)	
	RNF168	Riddle Syndrome	
	TP53	Li-Fraumeni syndrome (LFS)	Brain and breast cancer, sarcomas
DNA damage signaling, DSB repair, replication fork repair	ATR, PCTN, SCKL2, SCKL3	Seckle syndrome (SS)	AML
	MCPH1	Primary microcephaly 1	
Replication fork repair	SMARCA1	Schimke immunosseous dysplasia (SIOD)	
Mitochondrial DNA maintenance	POLG, TWINKLE	Spino-cerebellar ataxia epilepsy syndrome (SCAE)	
	POLG, POLG2, TWINKLE, RRM2B	Progressive external ophtalmoplegia (PEO)	

in combination with radiotherapy and/or chemotherapy. The mode of action of most chemotherapy drugs relies on the induction of DNA damage in rapidly cycling tumor cells with inadequate DNA repair. For example, platinum drugs, which are particularly active against germ cell tumors and serous ovarian cancer, induce intra- and inter-strand crosslinks in DNA. Anthracyclines induce damage by inhibiting DNA topoisomerases and by producing oxygen radicals. DNA lesions are usually quickly recognized by DDR factors and repaired in normal cells. However, cancer cells often have defective DDR, which leads to genome instability and cancer development. These DDR defects favor cancer therapeutics since they allow preferential killing of tumor cells (191,192). Unfortunately, DDR defects can also lead to acquired drug resistance during treatment. To overcome this problem, increasing effort is being directed to sensitize tumor cells to DNA damaging agents by overriding checkpoint activation, as replication in the presence of DNA damage would lead to mitotic catastrophe and cell death in the absence of DNA damage checkpoints. Potential inhibitors targeting ATM (KU55933, KU60019 and CP466722), ATR (VE-821 and NU6027), CHK1 (UCN-01, G06976), CHK2, WEE1 (MK1775) and CDC25 (IRC-083864) to override cell cycle checkpoints have been developed and are now in clinical trials [reviewed in (192)]. Other strategies, such as pharmacological

inhibition of the interaction between p53 and its negative regulator MDM2 by nutlin, RITA or reactivation of mutant p53 by PRIMA1 (193-196) in p53 deficient tumors are also being evaluated. Furthermore, demethylating compounds such as 5-azacytidine can activate the cyclin-dependent kinase 2A (*Cdkn2a*) locus, which is frequently hypermethylated in many cancers, lead to the repression of INK4A and ARF, and therefore reinforce G1/S cell cycle checkpoint and inhibit tumor proliferation (197).

Several inhibitors that directly block DNA repair pathways have also been identified for cancer therapy. BER pathway repairs DNA damage that is therapeutically induced by IR, DNA methylating agents and topoisomerase I poisons (camptothecin, irinotecan and topotecan). Inhibitors that target various components of BER components include FEN1, ligase 1 and ligase 3 have shown to enhance sensitivity of tumors to IR, TMZ and MMS, respectively (198-200). The most advanced and promising drugs currently targeting DNA repair are AP endonuclease 1 (APE1/APEX1) inhibitors and PARP inhibitors (PARPi) (201-203). Similarly, inhibitors targeting other DNA repair pathways like NHEJ, HR and NER have also been identified and are under study (191,192). Moreover, HDACs are also being tested as druggable targets for inhibiting DNA repair. For example, HDAC inhibitors such as vorinostat may be used as chemosensitizers (192,204,205).

The most promising prospect for future cancer treatment is the exploitation of synthetic lethality that targets specific DDR defects. The best example is the use of PARP inhibitors olaparib, which show additive or synergistic activity in preclinical models of BRCA-deficient cancer (206-209). Knockdown of HR pathway genes such as RAD51, RPA, NBS1 and CHK1 also conferred sensitivity to PARPi, expanding the range of potential targets for PARPi therapy (210). Similarly, inhibition of BER with APE1 inhibitors is also synthetically lethal in cells with HRR dysfunction (211). Other examples of synthetic lethality of HRR defects with PARPi are also emerging such as loss of ATM or MRE11, which occurs secondary to MMR defects in tumors, confers sensitivity to PARPi (212-214). Moreover, ATM knockdown, or inhibition with KU55933, is synthetically lethal in cells with defects in FA pathway. Additionally, CHK1 inhibitor G06976 reduced cell survival and profoundly increased cisplatin sensitivity in cells with defective FA pathway, thus raising the potential for targeted therapy using ATM or CHK1 inhibitors in patients with defective FA pathway (215,216).

Effort is also being directed to target DNA polymerases for chemotherapy. For example, dehydroaltenusin derivatives inhibit Pol  $\alpha$ , but not Pol  $\beta$ , Pol  $\delta$ , Pol  $\epsilon$  or Pol  $\gamma$ , and are cytotoxic in tumor cells and in xenograft tumor models (217,218). Eicosapentaenoic acid is an inhibitor of Pol  $\beta$ , Pol  $\delta$  and Pol  $\epsilon$  and radiosensitizes cells (219). Several small-molecule inhibitors of Pol  $\beta$  have been found that can potentiate the toxic effects of the chemotherapeutic drugs bleomycin and temozolomide (199,220,221). Reduction of *POLB* expression increases sensitivity to the chemotherapeutic agent oxaliplatin (222). The TLS DNA polymerases are also possible targets for enhancing DNA-damaging therapies, and inhibitors of some of these are beginning to emerge (91,223). Suppression of *Rev1* and *Rev3l* expression leads to increased sensitivity to cisplatin and cyclophosphamide in a xenograft model, and tumors in which *Rev1* expression is knocked down do not develop resistance to cyclophosphamide (224). *Rev3l* downregulation increased the sensitivity to cisplatin in a xenograft model of non-small-cell lung cancer (225). Thus, these initial findings indicate that inhibition of the Y family polymerases results in a combination of hyper-sensitivity to killing by DNA damaging agents and, in some cases, reducing damage-induced mutations, suggesting that inhibiting their function may not only sensitize tumors to DNA-damaging chemotherapeutics, but also reduce the incidence of chemotherapy-induced secondary tumors and prevent the

acquisition of resistance to chemotherapy. These potentials make Y-family polymerases attractive cancer drug targets. Of course, a thorough analysis will be needed to determine the promise and limitations of inhibiting TLS as a potential approach for cancer treatment.

## Conclusions

Work over several decades has brought to light important key players, post-translational modifications, cross-talk and co-ordination of several cellular processes in responding, repairing DNA lesions and preserving the integrity of our genetic information. With more knowledge come more questions. With multiple repair pathways, each functioning alone or in co-ordination with one or many other pathways to repair complex lesions such as ICLs, the degree of complexity increases with every step. How do different repair pathways co-ordinate and how are all these pathways regulated as a whole and at the level of each and every signaling and repair step or process? How chromatin context and cell-cycle phase influence repair outcome? How are the DNA lesions repaired within the context of active DNA replication? These are questions that remain a challenge to the field and will be major focuses for future studies. With DDR network being tested as promising targets for cancer therapy, their cytotoxicity, therapy resistance and generation of secondary cancers are being added to these complexities. The rapid advances in genome sequencing and other cutting-edge technologies may make it possible to identify critical mutations in a given tumor prior to therapy, and therefore enable a more targeted approach for the use of current and expanding arsenal of DNA damaging agents as therapeutic drugs. Understanding the basic biology underlying DDR with the careful and calculated use of DDR targets will provide better and efficient therapy for cancer and other human diseases.

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