



# Neutrophil-to-lymphocyte ratio correlates with prognosis and response to chemotherapy in patients with non-M3 *de novo* acute myeloid leukemia

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**Background:** Neutrophil-to-lymphocyte (NLR) ratio can predict survival outcome and assess response to chemotherapy in several tumors. However, the values of NLR in acute myeloid leukemia (AML) remains unknown.

**Methods:** A retrospective review of 181 patients with *de novo* AML excluding acute promyelocytic leukemia (M3) was conducted in our institute. We categorized the patients into two groups by defining NLR =2.0 as the cut-off point. NLR was calculated by the ratio of the number of neutrophils to lymphocytes in the peripheral blood (PB). The baseline clinicopathologic parameters were compared using Chi-squared test or Kruskal-Wallis H test. Kaplan-Meier analysis was used to assess survival, and overall survival (OS) and disease-free survival (DFS) were analyzed using the Cox regression with log-rank tests.

**Results:** We found AML patients with low NLR (<2.0) had longer OS and DFS than those with high NLR (≥2.0). NLR, absolute neutrophil count (ANC), and absolute lymphocyte count (ALC) were significantly associated with OS and DFS in all AML patients. NLR, ANC, and ALC were associated with OS and DFS only in those case with myeloblasts over 50% in bone marrow (BM). Furthermore, the median NLR was dramatically increased in low NLR group when patients achieved complete remission (CR).

**Conclusions:** Pretreatment NLR as a marker can predict the prognosis and NLR can assess the response to chemotherapy in patients with non-M3 AML, especially in those cases with myeloblasts over 50% in BM.

**Keywords:** Acute myeloid leukemia (AML); disease-free survival (DFS); neutrophil-to-lymphocyte ratio (NLR ratio); overall survival (OS)

Submitted May 25, 2020. Accepted for publication Oct 21, 2020.

doi: 10.21037/tcr-20-2179

View this article at: <http://dx.doi.org/10.21037/tcr-20-2179>

## Introduction

Acute myeloid leukemia (AML) is characterized by a block in myeloid differentiation leading to accumulation of immature hematopoietic cells (1,2). Despite advances in

therapeutics and supportive care, most adult AML patients die from their disease. Most patients who diagnosed as AML treated with Idarubicin and arabinocytidine (IA) based chemotherapy, or Daunorubicin and arabinocytidine

(DA) or homoharringtonine and arabinocytidine (HA). Therefore, it is crucial to improve the current grading system including effective diagnostic and prognostic biomarkers for risk stratification of patients and better-customized treatment. Our previous studies have revealed that pretreatment platelet count and plasma fibrinogen levels have predictive values for the prognosis of AML patients excluding acute promyelocytic leukemia (M3) (3,4).

According to the NCCN guidelines, bone marrow (BM) analysis with cytogenetics and evaluation of several molecular markers, including RUNX1-RUNX1T1, CBFβ-MYH11, CEBPA, FLT3-ITD, MLLT3-KMT2A, DEK-NUP214, NPM1, c-KIT and so on, are necessary to establish the diagnosis and risk assessment and prognostication for AML (5). However, the behavior of AML is unpredictable with considerably different clinical outcomes from patients with the same risk status based on validated cytogenetics and molecular abnormalities. It is well recognized that inflammation plays a key role in cancer biology (6-8). Chronic inflammation characterized by continuous production of pro-inflammatory signals may produce a maladaptive circumstance in which continued exposure to stress conditions caused by continued proliferation, BM niche dysfunction, and exposure to stressors including reactive oxygen species facilitates genomic instability and potentially the acquisition of somatic mutations (9,10). Therefore, chronic inflammation may function as an initiator of hematological malignancy (9). The cancer-related inflammatory response contributes to proliferation and survival of malignant cells, subversion of adaptive immunity and reduced response to chemotherapeutic agents (11,12). Neutrophils and lymphocyte as two main types of cells involved in inflammation, and peripheral neutrophil-to-lymphocyte (NLR) ratio, has been proposed as a potential prognostic factor for many solid tumors, such as hepatocellular carcinoma (13), non-small cell lung cancer (14), breast cancer (15), gastric cancer (16), and renal cell carcinoma (17). Additionally, in some hematological tumors, such as non-Hodgkin lymphoma and multiple myeloma, NLR has been recognized to play an important role in predicting response and survival rates in patients (18-20). There are little literatures reported about NLR applied to predict the prognosis of AML. Mushtaq et al made a research about the connection between NLR and OS in patients with relapsed/refractory AML (21). However, the relation of NLR at diagnose with the prognosis of *de-novo* AML patients

remains unknown. Moreover, we considered that M3 is different from other types of leukemia. For patients with non-M3 leukemia, we adopt routine chemotherapy, but for patients with M3, we use different treatments, using all-trans retinoic acid and anthracycline. So we choose non-M3 *de novo* AML patients for our study.

In this study, we investigate the prognostic value of pretreatment NLR and the utility of NLR to predict the response to chemotherapy in a cohort of 181 newly diagnosed non-M3 AML patients. We found that patients with pretreatment NLR below 2.0 possessed a substantially better prognosis than those with higher NLR. Additionally, when patients achieved complete remission (CR), median NLR was dramatically increased in patients with low NLR group, but had no statistical change in patients with high NLR group.

We present the following article in accordance with the REMARK reporting checklist (available at <http://dx.doi.org/10.21037/tcr-20-2179>).

## Methods

### Patients

From June 2007 to December 2015, a total of 181 newly diagnosed patients with *de novo* non-M3 AML at the First Affiliated Hospital of Wenzhou Medical University were enrolled as previously described (3). All patients (98 males and 83 females, median age 40 years, range, 14–60) were diagnosed and classified according to WHO 2008 classification criteria for AML (22) and were required to receive induction chemotherapy for at least one course with intact follow-up records. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Ethics Committee of the First Affiliated Hospital of Wenzhou Medical University (No. 2016-081) and informed consent was taken from all the patients.

Before the patients undergoes chemotherapy, the patients were evaluated NLR, which were calculated by the ratio of the number of neutrophils to lymphocytes in the peripheral blood (PB). In this way, the patients were categorized into two groups by defining NLR =2.0 as the cut-off point.

After initial diagnosis, a majority of patients received IA (idarubicin 8–10 mg/m<sup>2</sup> per day on days 1–3 and cytarabine 100 mg/m<sup>2</sup> per day on days 1–7), 4 patients received DA (daunorubicin 45–60 mg/m<sup>2</sup> per day on days 1–3 and cytarabine 100 mg/m<sup>2</sup> per day on days 1–7), and 9 patients

received HA (homoharringtonine 4–6 mg/m<sup>2</sup> per day on days 1–7 and cytarabine 100 mg/m<sup>2</sup> per day on days 1–7). A total of 100 patients achieved hematological CR after the first course of induction chemotherapy. And there were 24 patients did not achieve CR after two cycles of induction chemotherapy. Patients subsequently received consolidation with high doses of cytarabine [Ara-C 1.5–3.0 g/m<sup>2</sup> q12h d1–3 for 4 courses or until they underwent allogeneic hematopoietic stem cell transplantation (HSCT)]. Additionally, 53 patients underwent high-dose chemotherapy and allogeneic HSCT as a post-remission therapy.

### Cytogenetic analysis

The vast majority of patients had cytogenetic analysis at the initial time of diagnosis by R- and/or G-banding techniques and classification according to the International System for Human Cytogenetic Nomenclature. Risk status was classified to favorable, intermediate and unfavorable risk according to NCCN guidelines (5). In general, favorable risk mainly included patients with t(8;21), inv(16) or t(16;16); unfavorable risk included patients with a complex karyotype ( $\geq 3$  clonal chromosomal abnormalities), monosomal karyotype, abnormalities of chromosome 5 and/or 7, 11q23- non t(9;11), t(6;9); t(9;22), inv(3) or t(3;3); and intermediate risk referred to patients with other findings including normal cytogenetics, +8 alone, t(9;11) and other non-defined.

### Statistical analysis

Overall survival (OS) was calculated from the date of diagnosis until the date of last contact or death. Disease-free survival (DFS) was calculated from the documented date of CR until date of relapse or death from any cause. Relapse was defined by recurrence of >5% blasts in the BM unrelated to recovery or by the presence of extramedullary disease. OS and DFS rates were calculated using the Kaplan-Meier methods, and the curves were compared using the log-rank test. Kruska-Wallis H test was used to compare continuous variables and Chi-square test or Fisher's exact test was used to compare categorical variables. Variables of with  $P < 0.05$  in the univariate Cox regression analysis were selected for multivariate analysis. All statistical tests were two-sided and a  $P$  value less than 0.05 was considered statistically significant. Statistical analyses were carried out using SPSS software (ver. 24.0).

## Results

### Patient characteristics of AML patients

The baseline characteristics of 181 patients were summarized in *Table 1*. Median WBC count was  $14.6 \times 10^9/L$  (range:  $0.38 \times 10^9$ – $464 \times 10^9/L$ ). The median percentages of blasts in PB and BM were 59% (range: 0–98%) and 66.0% (range: 9.0–98.8%), respectively. According to the WHO classification, 23 (12.7%) were AML with t(8;21)(q22;q22); RUNX1-RUNX1T1, 5 (2.8%) were AML with inv(16)(p13.1;q22) or t(16;16)(p13.1;q22); CBFβ-MYH11, 23 (12.7%) were AML with maturation, 69 (38.1%) were acute myelomonocytic leukemia, 50 (27.6%) were acute monoblastic/monocytic leukemia, 7 (3.9%) were acute erythroid leukemia, 1 (0.6%) were acute megakaryoblastic leukemia, and the remaining 3 (1.7%) patients were unclassified. Among these patients, 178 patients had available cytogenetic analysis at diagnosis. A total of 17, 144 and 17 patients showed favorable, intermediate, and unfavorable karyotype, respectively. The estimated 5-year OS was 41.9% [95% confidence interval (CI): 33.6–50.2%] and the estimated 5-year DFS was 40.3% (95% CI: 31.8–48.8%). At the time of analysis, the median follow-up was 28.0 months (range, 0–134 months) and 90 of 181 (49.7%) patients died.

The median NLR for all patients at diagnosis was 0.4878, with 86.74% and 13.26% patients showed low NLR ( $< 2.0$ ) and high NLR ( $\geq 2.0$ ), respectively. Patients between low NLR and high NLR showed a significant difference in blasts in BM, and those who had high NLR tended to have little blasts in BM ( $P = 0.005$ ). Absolute neutrophil count (ANC) tended to have a relationship with NLR. Higher ANC was related with higher NLR ( $P < 0.001$ ). Patient characteristics grouped according to pretreatment NLR were summarized in *Table 1*.

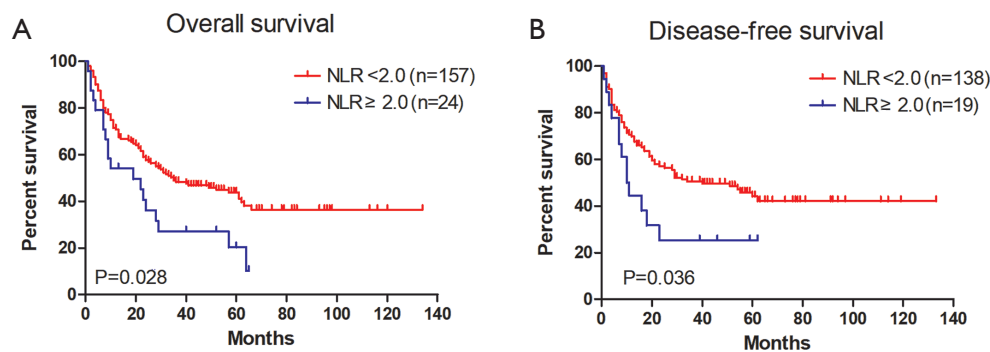
### NLR correlates with prognosis and treatment outcome in patients with no-M3 AML

With a median follow-up of 28 months (range, 0–134 months), there was a significant difference in OS between low NLR and high NLR ( $P = 0.028$ ) (*Figure 1A*). Kaplan-Meier analysis also showed a significant difference in DFS between the two groups ( $P = 0.036$ ) (*Figure 1B*). The univariate Cox regression analysis in *Table 2* showed the following clinical parameters were significantly associated with OS: age (years), ANC (as continuous variable), absolute lymphocyte count (ALC) (as continuous variable) and NLR

**Table 1** Baseline patient characteristics

Characteristics	All patients (n=181)	Low NLR (<2.0) (n=157)	High NLR (≥2.0) (n=24)	P value
Median age (range), years	40 [14–60]	40 [14–60]	35.5 [18–58]	0.530
Male/female	98/83	83/74	15/9	0.378
Median WBC count (range), ×10 <sup>9</sup> /L	14.6 (0.38–464.00)	14.09 (0.38–464.00)	39.22 (3.35–254.20)	0.006
Median hemoglobin (range), g/L	74.00 (34.0–153.00)	77.00 (34.0–153.00)	67.50 (45.00–115.00)	0.089
Median platelets (range), ×10 <sup>9</sup> /L	36.0 (2.0–376.0)	36.0 (2.0–280.0)	40.5 (5.0–376.0)	0.537
Median blasts in PB (range), %	59.0 (0–98.0)	59.0 (0–98.0)	55.5 (0–93.0)	0.912
Median blasts in BM (range), %	66.0 (9.0–98.8)	70.4 (9.0–98.8)	50.0 (15.2–94.5)	0.005
Median ANC (range), ×10 <sup>9</sup> /L	1.2824 (0.0072–31.8300)	0.9816 (0.0072–31.8300)	8.1699 (1.8564–30.3730)	<0.001
Median ALC (range), ×10 <sup>9</sup> /L	2.7440 (0.2544–43.6656)	2.7828 (0.2544–43.6656)	2.2188 (0.4395–8.6780)	0.279
Median NLR (range)	0.4878 (0.0197–10.4000)	0.3333 (0.0197–1.8571)	3.4300 (2.0000–10.4000)	<0.001
Temperature (°C), n (%)				0.939
<38.5	127 (70.2)	110 (70.1)	17 (70.8)	
≥38.5	54 (29.8)	47 (29.9)	7 (29.2)	
Subtypes, n (%)				
AML with t(8;21) (q22;q22); RUNX1–RUNX1T1	23 (12.7)	18 (11.5)	5 (20.8)	0.340
AML with inv[16] (p13.1;q22) or t(16;16) (p13.1;q22); CBFβ–MYH11	5 (2.8)	5 (3.2)	0	1
AML with mutated NPM1	0	0	0	1
AML with mutated CEBPA	3 (1.7)	3 (1.9)	0	1
AML with maturation	20 (11.0)	16 (10.2)	4 (16.7)	0.553
Acute myelomonocytic leukemia	69 (38.1)	56 (35.7)	13 (54.2)	0.082
Acute monoblastic/monocytic leukemia	50 (27.6)	48 (30.6)	2 (8.3)	0.023
Acute erythroid leukemia	7 (3.9)	7 (4.5)	0	1
Acute megakaryoblastic leukemia	1 (0.6)	1 (0.6)	0	1
Unclassified	3 (1.7)	3 (1.9)	0	1
Cytogenetic risk group, n (%)				0.763 <sup>a</sup>
Favorable	17 (9.4)	14 (8.9)	3 (12.5)	
Intermediate	144 (79.6)	126 (80.3)	18 (75.0)	
Unfavorable	17 (9.4)	15 (9.6)	2 (8.3)	
Missing	3 (1.7)	2 (1.3)	1 (4.2)	
Induction chemotherapy, n (%)				0.803
IA	168 (92.8)	146 (93.0)	22 (91.7)	
DA	4 (2.2)	3 (1.9)	1 (4.2)	
HA	9 (5.0)	8 (5.1)	1 (4.2)	
CR <sup>b</sup> , n (%)	157 (86.7)	138 (87.9)	19 (79.2)	0.394
Relapse, n (%)	72 (45.9)	60 (43.5)	12 (63.2)	0.107
No. of patients who underwent HSCT, n (%)	53 (29.3)	49 (31.2)	4 (16.7)	0.145

<sup>a</sup>, comparison of the two cytogenetic subgroups (favorable versus other); <sup>b</sup>, achieved complete remission (CR) after one course of induction therapy. WBC, white blood cell; PB, peripheral blood; BM, bone marrow; ANC, absolute neutrophil count; ALC, absolute lymphocyte count; HSCT, hematopoietic stem cell transplant.



**Figure 1** Survival outcomes of patients with acute myeloid leukemia grouped according to pretreatment NLR. (A) Overall survival after diagnosis was compared between AML patients with low NLR and high NLR. (B) Disease-free survival after complete remission was compared between AML patients with low NLR and high NLR. AML, acute myeloid leukemia; NLR, neutrophil-to-lymphocyte.

**Table 2** Univariate analyses of clinical factors for OS and DFS

Characteristics	OS			DFS		
	OR	95% CI	P value	OR	95% CI	P value
Age (years)	1.026	1.011–1.042	0.001	1.022	1.007–1.037	0.005
Gender	0.830	0.573–1.201	0.323	0.874	0.604–1.264	0.474
Log (WBC)	1.486	1.095–2.016	0.011	1.451	1.073–1.963	0.016
HB (g/L, <100 vs. ≥100)	1.539	0.958–2.474	0.075	1.451	0.903–2.332	0.124
PLT (×10 <sup>9</sup> /L, <100 vs. ≥100)	0.874	0.544–1.405	0.578	0.784	0.488–1.260	0.315
NLR (<2.0 vs. ≥2.0)	0.591	0.360–0.970	0.038	0.555	0.338–0.912	0.020
ANC (×10 <sup>9</sup> /L, continues variable)	1.054	1.023–1.086	0.001	1.046	1.016–1.076	0.002
ALC (×10 <sup>9</sup> /L, continues variable)	1.066	1.031–1.102	<0.001	1.048	1.016–1.081	0.003
Blasts in PB (% , ≤20 vs. >20)	1.016	0.668–1.546	0.940	1.039	0.684–1.578	0.858
Blasts in BM (% , ≤50 vs. >50)	0.721	0.482–1.079	0.112	0.715	0.478–1.070	0.103

WBC, white blood cell; HB, hemoglobin; PLT, platelet; PB, peripheral blood; BM, bone marrow; ANC, absolute neutrophil count; ALC, absolute lymphocyte count; NLR, neutrophil to lymphocyte ratio. 95% CI, 95% confidence interval.

(P=0.001, P=0.001, P<0.001 and P=0.038, respectively).

Multivariate analyses adjusting for age, ANC, ALC and NLR, showed that age or ALC was markedly and independently associated with OS (P=0.001 and P=0.006, respectively) and DFS (P=0.004 and P=0.050, respectively), and the other two failed to reach the statistical difference (Table 3). No statistical difference was observed in NLR between *de novo* AML patients who achieved CR and did not achieve CR (P>0.05, Figure 2A). We further investigated the relationship of NLR and response to therapy and found that NLR was considerably increased when these patients achieved CR regardless of the type of treatments received or only received IA therapy (both P<0.01, Figure 2B,C).

Specifically in patients with low NLR, NLR was significantly increased when these patients achieved CR regardless of treatments received or only received IA therapy (both P<0.01, Figure 2D,E). However, in patients with high initial NLR, there had no statistical change when these patients achieved CR through only receiving IA therapy or including other types of treatment (both P>0.05, Figure 2F,G). In addition, there was no correlation between NLR and the risk stratification of cytogenetics in all patients (Figure 3A). When these patients achieved CR, NLR was significantly up-regulated in all three groups, and patients with favorable risk were highest among three groups (Figure 3B). Taken together, these data suggested that initial NLR can as



**Table 3** Multivariate analysis of clinical factors for OS

Characteristics	OS			DFS		
	OR	95% CI	P value	OR	95% CI	P value
Age (years)	1.026	1.011–1.042	0.001	1.022	1.007–1.037	0.004
Log (WBC)	1.040	0.716–1.511	0.837	1.129	0.776–1.643	0.526
NLR (<2.0 vs. $\geq$ 2.0)	0.567	0.291–1.104	0.095	0.516	0.261–1.022	0.058
ANC ( $\times 10^9/L$ , continues variable)	1.014	0.970–1.059	0.552	1.003	0.959–1.049	0.904
ALC ( $\times 10^9/L$ , continues variable)	1.061	1.017–1.107	0.006	1.041	1.000–1.085	0.050

WBC, white blood cell; NLR, neutrophil to lymphocyte ratio; ANC, absolute neutrophil count; ALC, absolute lymphocyte count. 95% CI, 95% confidence interval.

an independent prognostic biomarker and NLR can reflect the response to treatment in patients with non-M3 AML.

#### **Prognostic impact of NLR for patients with AML with myeloblasts over 50% in BM**

Because the multivariate Cox analysis showed that NLR had a slight but not statistically significant correlation with OS and DFS in all patients, we regrouped the patients with higher myeloblasts in BM (>50%). The patient characteristics regrouped were shown in *Table 4*. A significant difference was observed in ANC, NLC between low NLR group and high NLR group ( $P < 0.001$ ). Kaplan-Meier analysis showed that higher NLR was associated with short OS and DFS, when compared with lower NLR in patients with myeloblasts over 50% in BM ( $P < 0.001$  and  $P = 0.004$ , respectively) (*Figure 4A,B*).

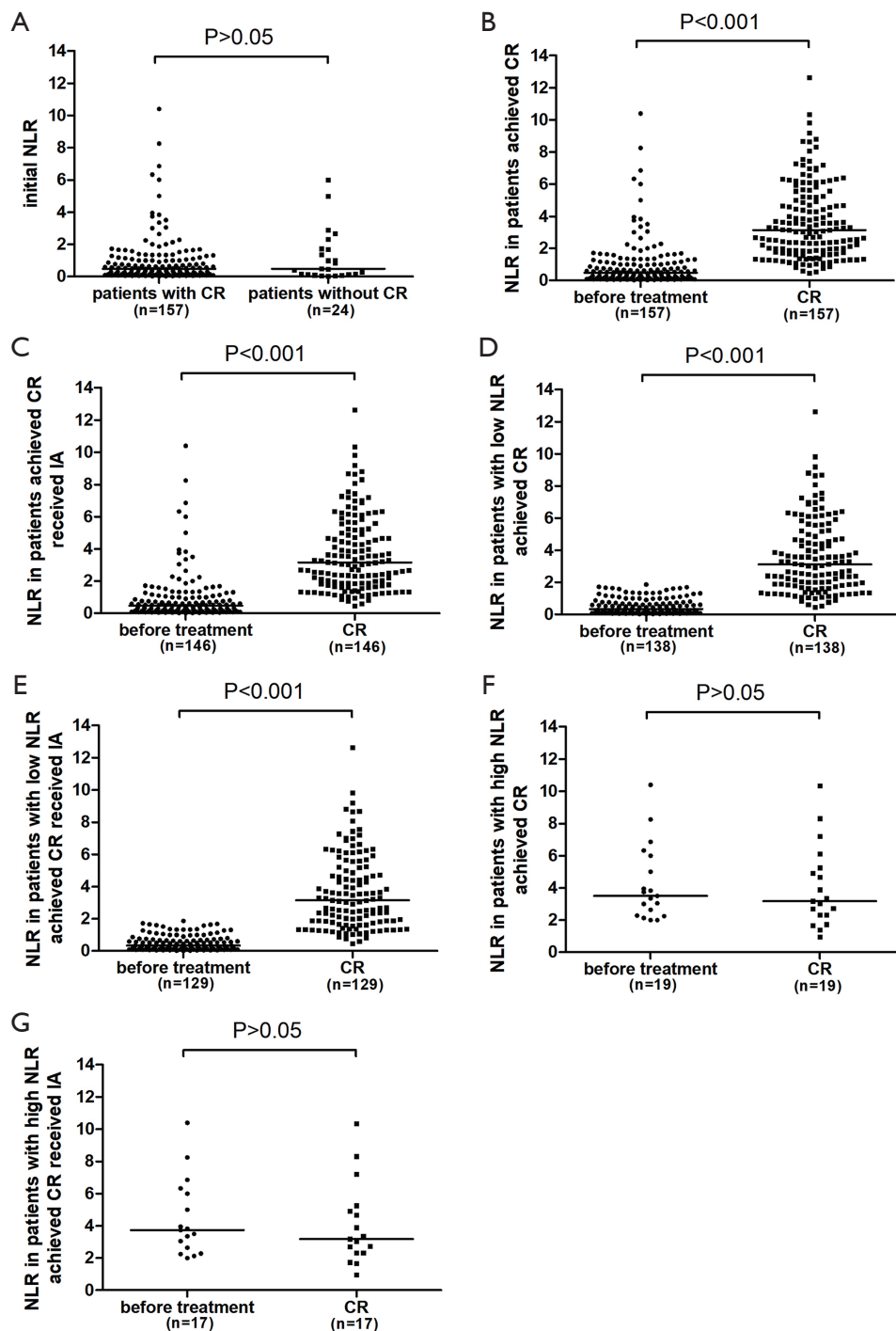
As presented in *Table 5*, the univariate analysis included log(WBC), NLR, ANC, ALC as parameters were significantly associated with OS ( $P = 0.043$ ,  $P < 0.001$ ,  $P < 0.001$ , and  $P < 0.001$ , respectively). The following clinical parameters were significantly associated with DFS: NLR, ANC, ALC ( $P = 0.001$ ,  $P = 0.001$ , and  $P < 0.001$ , respectively). In the multivariate analysis shown in *Table 5*, both NLR and ALC showed a significant association with DFS and OS, respectively. Additionally, we also assessed the relationship of NLR and response to treatment in these cases with higher myeloblasts in BM, similar results were also found (*Figure 5*).

#### **Discussion**

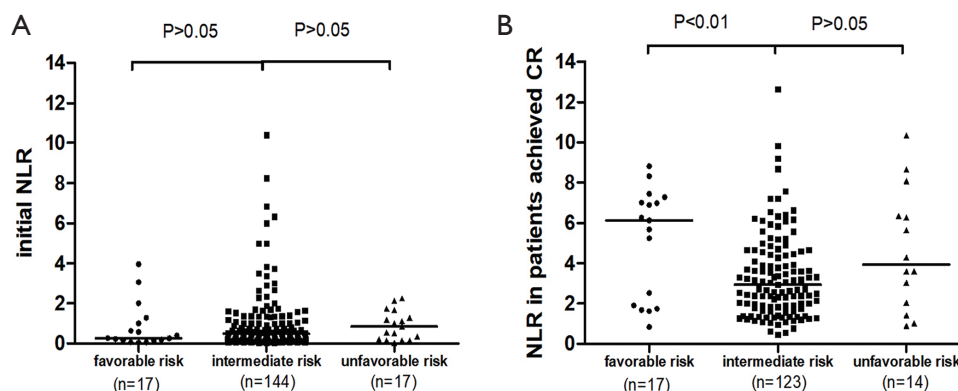
A variety of prior studies have reported that higher NLR is correlated with a worse survival outcome, in both solid

tumors and hematopoietic tumors (23–26). In the present study, we identified NLR = 2.0 as the cut-off point according to two previous studies. Jung *et al.* (27) reported that low NLR (<2.0) group showed significantly better response rates to induction chemotherapy compared with the high NLR ( $\geq 2.0$ ) group and had a better 3-year OS in patients with primary central nervous system lymphoma. And Li *et al.* (28) reported that high NLR ( $\geq 2.0$ ) group experienced shorter OS and PFS compared with low NLR (<2.0) group in patients with multiple myeloma. Thus we also divided patients into two subgroups, as low NLR (<2.0) and high NLR ( $\geq 2.0$ ) group. To our knowledge, this is the first study demonstrated pretreatment NLR as an indicator to predict prognosis in AML patients. Shorter OS and DFS were observed in those with high NLR. Moreover, we also found that NLR was significantly increased when patients achieved CR after induction chemotherapy.

In the tumor microenvironment, NLR reflects the immune responses in patients. It has been reported that persistent chronic inflammation could be a triggered factor to tumorigenesis (29–31). Neutrophils are not only the defenses in anti-inflammation but also secrete cytokines which further promote cancer development, such as interleukin (IL)-2, IL-10, and tumor necrosis factor  $\alpha$  (32). In contrast, lymphocytes play an important role in defending against cancer cells (33,34). In our study, a statistical difference was also observed in pretreatment NLR in all patients including those cases with myeloblasts over 50% in BM, which may be caused by difference in the inflammatory level and the degree of immune response. So we preferred to regard NLR as a potential marker for inflammatory burden and tumor load. In AML patients, high NLR is often accompanied by a lower ALC, which may indicate a decrease in antitumor response *in vivo*



**Figure 2** NLR is upregulated in patients with non-M3 AML when these patients achieve complete remission. (A) No difference was observed in initial NLR in patients who achieve CR and did not achieve CR. (B,C) NLR was considerably increased when patients achieved CR regardless of treatments received or only receiving IA therapy. (D,E) NLR was significantly increased when patients with low initial NLR achieved CR regardless of treatments received or only receiving IA therapy. (F,G) No statistical change was observed in NLR when patients with high initial NLR achieved CR regardless of treatments received or only receiving IA therapy. Differences between medians of two groups were determined using Kruskal-Wallis H test. AML, acute myeloid leukemia; NLR, neutrophil-to-lymphocyte; CR, complete remission.



**Figure 3** NLR has a slight link to cytogenetic classification in non-M3 AML patients. (A) There was no correlation of initial NLR and risk stratification in patients with non-M3 AML. (B) NLR in patients with favorable risk was highest among three groups when these patients achieved complete remission. Mann-Whitney U test was used to test difference among medians of NLR in three groups according to cytogenetic classification.

**Table 4** Baseline for patients with myeloblasts over 50% in BM at diagnose

Characteristics	All patients (n=120)	Low NLR (<2.0) (n=109)	High NLR ( $\geq$ 2.0) (n=11)	P value
Median age (range), years	40 [14–60]	40 [14–60]	41 [21–58]	0.841
Male/female, n	63/57	57/52	6/5	0.887
Median WBC count (range), $\times 10^9/L$	23.90 (0.38–464)	18.56 (0.38)	93.60 (3.35–254.2)	0.005
Median hemoglobin (range), g/L	76.50 [32–136]	80 [32–136]	66 [45–103]	0.087
Median platelets (range), $\times 10^9/L$	36 [3–280]	35 [3–280]	42 [7–262]	0.437
Median blasts in PB (range), %	69.00 [0–98]	69 [0–98]	70 [0–93]	0.453
Median blasts in BM (range), %	77.50 (50.6–98.8)	78 (50.6–98.8)	66 (54.5–94.5)	0.028
Median ANC (range), $\times 10^9/L$	1.2450 (0.01–31.83)	0.99 (0.01–31.83)	10.33 (2.25–30.37)	<0.001
Median ALC (range), $\times 10^9/L$	3.4350 (0.25–43.67)	3.41 (0.25–43.67)	3.46 (0.44–8.68)	0.588
Median NLR (range)	0.3350 (0.02–10.40)	0.28 (0.02–1.86)	3.83 (2.00–10.40)	<0.001
Temperature ( $^{\circ}C$ ), n (%)				0.333
<38.5	80	71	9	–
$\geq$ 38.5	40	38	2	–
CR <sup>b</sup> , n (%)	98 (81.67)	91 (83.49)	7 (63.63)	0.116
Relapse, n (%)	57 (55.88)	50 (52.63)	7 (100.00)	0.017

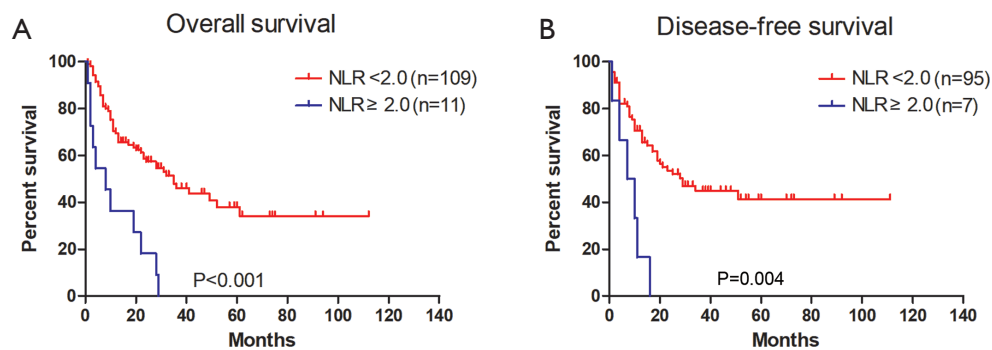
<sup>b</sup>, achieved complete remission (CR) after one course of induction therapy. PLT, platelet; WBC, white blood cell; PB, peripheral blood; BM, bone marrow; ANC, absolute neutrophil count; ALC, absolute lymphocyte count.

reported previously (35), which finally results in a worse survival outcome. Additionally, initial ALC also appeared as an independent predictor of OS in these patients, similar to a previous report (35).

Although our study has many advantages over previous

studies, it also exists limitations. First of all, selection bias was unavoidable and difficult to be well balanced in our study. For examples, proportions of AML with maturation and acute myelomonocytic leukemia subtypes were 12.7% and 38.1% in our cohort, which slightly differed from





**Figure 4** Survival outcomes of AML patients with myeloblasts over 50% in BM according to pretreatment NLR. (A) Overall survival after diagnosis was compared between patients with myeloblasts over 50% in BM with low NLR and high NLR. (B) Disease-free survival after complete remission was compared between patients with myeloblasts over 50% in BM with low NLR and high NLR.

**Table 5** Univariate, multivariate analyses of patients with myeloblasts over 50% in BM for OS and DFS

Characteristics	OS			DFS		
	OR	95% CI	P value	OR	95% CI	P value
Univariate analyses						
Log (WBC)	1.524	1.014–2.290	0.043	1.165	0.780–1.739	0.455
HB (g/L, <100 vs. ≥100)	0.482	0.428–1.429	0.424	1.019	0.577–1.802	0.948
NLR (<2.0 vs. ≥2.0)	3.594	1.796–7.191	<0.001	3.763	1.663–8.512	0.001
ANC (×10 <sup>9</sup> /L, continues variable)	1.072	1.037–1.107	<0.001	1.060	1.023–1.099	0.001
ALC (×10 <sup>9</sup> /L, continues variable)	1.078	1.042–1.116	<0.001	1.068	1.031–1.106	<0.001
Blasts in PB (% , ≤20 vs. >20)	1.230	0.650–2.327	0.524	1.012	0.544–1.880	0.971
Multivariate analyses						
Log (WBC)	1.031	0.665–1.599	0.892	0.889	0.575–1.374	0.596
NLR (<2.0 vs. ≥2.0)	3.730	1.382–10.069	0.009	4.639	1.376–15.633	0.013
ANC (×10 <sup>9</sup> /L, continues variable)	1.010	0.957–1.065	0.727	0.996	0.934–1.063	0.914
ALC (×10 <sup>9</sup> /L, continues variable)	1.083	1.042–1.127	<0.001	1.076	1.034–1.120	<0.001

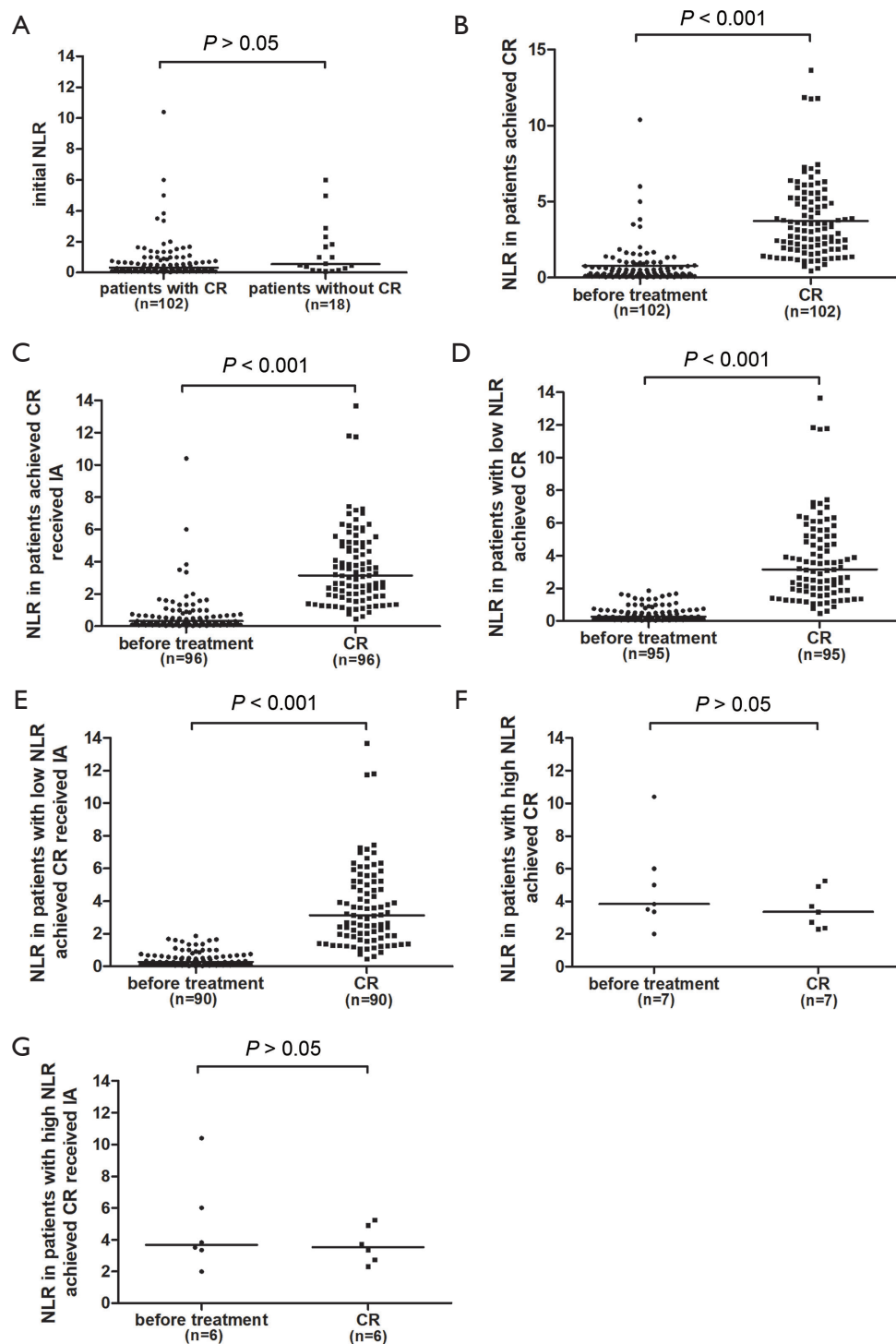
HB, hemoglobin; PLT, platelet; PB, peripheral blood; BM, bone marrow; ANC, absolute neutrophil count; ALC, absolute lymphocyte count; NLR, neutrophil to lymphocyte ratio. 95% CI, 95% confidence interval.

the previously published population-based studies in western countries (36,37). Secondly, a portion of patients only completed BM morphologic and immunologic and cytogenetic analysis, but no molecular biologic analysis due to high medical costs. Pretreatment NLR as a potential prognostic indicator could not be well-validated in the multivariate context including genetic information in this study. Thirdly, a limitation in our study was the bias caused by HSCT. We did not give an additional explanation as no statistical significance was observed in the patients who underwent HSCT or not. Lastly, both neutrophils

and lymphocytes are closely related to tumor immunity, further studies need to be performed to verify the detailed mechanisms.

### Conclusions

We demonstrated the relationship between NLR at diagnosis and prognosis in patients with AML excluding M3 and found that NLR could be used as an independent indicator to predict the prognosis of patients with AML excluding M3, especially in those cases with myeloblasts



**Figure 5** NLR is increased in AML patients with myeloblasts over 50% in BM when these patients achieve complete remission. (A) There was no difference in initial NLR in patients who achieve complete remission (CR) and did not achieve CR. (B,C) NLR was significantly increased when patients achieved CR regardless of treatments received or only receiving IA therapy. (D,E) NLR was dramatically increased when patients with low initial NLR achieved CR regardless of treatments received or only receiving IA therapy. (F,G) There was no statistical in NLR when patients with high initial NLR achieved CR regardless of treatments received or only receiving IA therapy. Kruskal-Wallis H test was used to determine differences between medians of two groups.

over 50% in BM. NLR can also reflect the response to therapy after induction chemotherapy in AML patients.

## Acknowledgments

*Funding:* This work was supported by Zhejiang Provincial Natural Science Foundation of China (No. LY20H080005, LY17H080005, LY17H080006), and the grant of Wenzhou Municipal Science and Technology Bureau (No. Y20150006, Y20150031).

## Footnote

*Reporting Checklist:* The authors have completed the REMARK reporting checklist. Available at <http://dx.doi.org/10.21037/tcr-20-2179>

*Data Sharing Statement:* Available at <http://dx.doi.org/10.21037/tcr-20-2179>

*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/tcr-20-2179>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by the Institutional Ethics Committee of the First Affiliated Hospital of Wenzhou Medical University (No. 2016-081) and informed consent was taken from all the patients.

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**Cite this article as:** Zhang Q, Yang Q, Weng Y, Huang Z, Chen R, Zhu Y, Dai K, Zhang S, Jiang S, Yu K. Neutrophil-to-lymphocyte ratio correlates with prognosis and response to chemotherapy in patients with Non-M3 *de novo* acute myeloid leukemia. *Transl Cancer Res* 2021;10(2):1013-1024. doi: 10.21037/tcr-20-2179