

The role of tolvaptan in managing hyponatremia in small cell lung cancer patients with SIADH: a retrospective study of 23 cases

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Background: Existing studies have shed light on the treatment of small cell lung cancer (SCLC), but data on tolvaptan for the treatment of hyponatremia in SCLC patients remain scarce. Furthermore, the most appropriate initial dose has not been identified. This study aimed to assess the effectiveness, safety, and survival rate associated with tolvaptan in regard to controlling hyponatremia in SCLC patients with the syndrome of inappropriate antidiuretic hormone secretion (SIADH) and to explore the appropriate initial dose.

Methods: A total of 23 SCLC patients with SIADH treated with tolvaptan were retrospectively reviewed between 2011 and 2019, and the efficacy and safety of tolvaptan were evaluated and compared between a 3.75 mg dose and doses higher than 3.75 mg. Then, the Kaplan-Meier method was used to calculate overall survival (OS) and draw survival curves.

Results: In our center, patients had a mean age of 61.7 ± 8.2 years. The mean plasma sodium level after hypertonic saline treatment was (120.7 ± 7.5) mmol/L. The doses of tolvaptan were distributed as follows: 3.75 mg (10 patients), 5 mg (5 patients), 7.5 mg (4 patients), and 15 mg (4 patients). After 3 days of tolvaptan treatment, the mean plasma sodium level was $(136.0\pm4.1) \text{ mmol/L}$, which was significantly higher than the plasma sodium level on admission and before tolvaptan treatment (P<0.05). The mean time for tolvaptan to correct the plasma sodium level was (3.7 ± 5.8) days, and no significant difference was found between the 3.75 mg group and the above 3.75 mg group (P>0.05). Adverse events were observed in 1 patient who presented with severe lethargy and confusion. The median OS was 14.1 months.

Conclusions: In summary, no significant difference was found between the 3.75 mg group and the above 3.75 mg group. Less than 15 mg of tolvaptan is enough to stabilize sodium levels for most patients. There is a risk of overcorrection of plasma sodium when using 15 mg of tolvaptan. Tolvaptan can quickly improve performance status and may bring survival benefits to patients. The effect of tolvaptan in patients with SCLC and SIADH should be confirmed.

Keywords: Small cell lung cancer (SCLC); tolvaptan; hyponatremia; syndrome of inappropriate antidiuretic hormone hypersecretion (SIADH)

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Introduction

Small cell lung cancer (SCLC) is one of the most aggressive malignant tumors, with a five-year survival rate as low as 6.5% (1). The main symptoms of SCLC are respiratory disorders and paraneoplastic syndromes (2). Approximately 10% of lung cancer patients develop paraneoplastic syndromes (3), and the most common paraneoplastic syndrome in SCLC patients is the syndrome of inappropriate antidiuretic hormone secretion (SIADH).

SIADH is characterized by excessive unsuppressible release of antidiuretic hormone (4), unlike the concept of SIADH, the syndrome of inappropriate antidiuresis (SIAD) is a disorder of sodium and water balance characterized by urinary dilution impairment and hypotonic hyponatremia, in the absence of renal disease or any identifiable non-osmotic stimulus able to induce ADH release (5). The main clinical manifestation of SIADH is hyponatremia or a high urinary sodium concentration; hyponatremia occurs in approximately 15% of SCLC patients (6), which can be ascribed to SIADH in 60% of cases (7). Hyponatremia is defined as plasma sodium lower than 135 mmol/L. According to the level of serum osmotic pressure, hyponatremia can be divided into hypertonic (>295 mOsm/kg), isotonic (275-295 mOsm/kg), and hypotonic (<275 mOsm/kg) conditions. Clinical symptoms include weakness, nausea and vomiting, headache and drowsiness, muscle pain and spasms, neuropsychiatric symptoms, and reversible ataxia, which depends on plasma sodium concentration (8). Hyponatremia is thought to be a negative prognostic factor in cancer patients based on a systematic analysis of published studies (7,9,10). Hyponatremia and SIADH increase disease burden, prolong hospitalization, delay scheduled radiochemotherapy, and worsens patient Eastern Cooperative Oncology Group performance status (ECOG-PS) (11,12).

SIADH-related hyponatremia can be improved with tumor regression through effective antitumor therapy, but poor performance status limits the possibility of chemoradiation. Recently, guidelines for managing hyponatremia in cancer patients have been established by several international panels (5,13,14). These guidelines suggest that treatments for SIADH-related hyponatremia include fluid restriction, hypertonic saline, loop diuretics, isotonic saline, and tolvaptan. Only 5–14% of patients achieved plasma sodium levels above 130 mmol/L via fluid limitation and hypertonic saline; however, those therapies were often ineffective and sometimes even aggravated hyponatremia (15).

The SALT trials demonstrated the efficacy and safety of tolvaptan therapy in patients with hyponatremia resulting from multiple diseases, including SCLC with SIADH (16). However, the SALT trials did not show the SCLC subgroup results, and the clinical outcomes have not been reported. Previously, a prospective case series revealed that SCLC patients with SIADH responded well to 15 mg of tolvaptan per day, and no serious adverse events or neuropsychiatric deficits were observed (17). However, three studies have reported that a 15 mg dose of tolvaptan can overcorrect plasma sodium (18-20), and instead recommended an initial tolvaptan dose of 7.5 mg for patients with SIADH. Additionally, pharmacodynamic studies performed by tolvaptan manufacturers have shown that 3.75 mg can increase both urine output and plasma sodium levels (21).

To date, the largest study using tolvaptan to manage hyponatremia is SCLC patients with SIADH included only 10 patients (17). The remaining studies are case reports, and the most appropriate initial dose of tolvaptan has not been identified. To investigate the role of tolvaptan in managing hyponatremia in SCLC patients with SIADH in China and investigate the appropriate initial dose and clinical outcomes, we retrospectively reviewed 23 patients in our hospital. We present the following article in accordance with the STROBE reporting checklist (available at http:// dx.doi.org/10.21037/tcr-20-2123).

Methods

Study design and participants

From June 2011 to June 2019, 23 patients with SCLC and SIADH who received tolvaptan therapy were enrolled in this retrospective study at the Qilu Hospital, Cheeloo College of Medicine, Shandong University. Medical files were retrospectively collected on November 2019. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by Qilu Hospital, Cheeloo College of Medicine, Shandong University (approval No. KYLL-2020-467) and individual consent for this retrospective analysis was waived.

Patients

Patients who received tolvaptan were analysed using data from medical records. The inclusion criteria were as follows: (I) patients were 18 years or older; (II) all patients had a histologically confirmed or cytologically confirmed diagnosis of SCLC; (III) patients had a clinical diagnosis of SIADH before tolvaptan therapy. The exclusion criteria were as follows: (I) patients with second primary tumors; (II) patients who received other treatments to correct hyponatremia while using tolvaptan; (III) patients complicated with other organ diseases; (IV) patients with hyponatremia due to other endocrine causes; (V) patients who had received antitumor therapy within three weeks. All patients were analysed in 23 patients who received tolvaptan.

Protocol for hyponatremia correction

The methods used to correct hyponatremia in this study were hypertonic saline and tolvaptan. The dose of 3% hypertonic saline solution (HTS) was calculated using the Adrogué-Madias formula (8). A rate of 20–30 mL/h was used for intravenous infusion of HTS. Clinicians determined the dose and implementation of tolvaptan intervention based on the patient's condition and plasma sodium concentrations. The doses of tolvaptan were 3.75, 5, 7.5, and 15 mg, and other treatments to correct plasma sodium were stopped 12 hours before initiating tolvaptan treatment. Plasma sodium concentrations were monitored at 12, 24, 48, and 72 hours (0 hours was the start of the drug intervention). The clinicians adjusted the treatment options based on plasma sodium concentrations.

Study protocol

The analysed data were as follows: age, gender, height, weight, body mass index (BMI), ECOG PS, cancer staging, time developed SIADH, plasma sodium at different periods, tolvaptan dose, effects of hyponatremia correction, length of follow up, and overall survival. For analysis, patients were grouped into a 3.75 mg group (n=10) and a >3.75 mg group (n=13). Cancer staging was performed according to the Veterans Administration Lung Group (VALSG) 2-stage system and the American Joint Committee on Cancer's Cancer Staging Manual (eighth edition, 2018).

Follow up

After treatment, all patients were followed up through telephone interview every 3 months until death or last follow-up (2019/12/15).

Statistical analysis

Statistical analysis was performed with SPSS software (version 20.0; SPSS Inc., Chicago, IL, USA). Quantitative data are expressed as the mean ± standard deviation; qualitative data are shown as n (%). Exploratory analyses were performed to characterize the differences between the 3.75 mg group and the above 3.75 mg group. The correlation of categorical covariates was evaluated by Fisher's exact test, and the differences in continuous covariate models were evaluated by Student's t-test. Oneway analysis of variance (ANOVA) was used to assess plasma sodium levels according to different interventions. Overall survival was determined as the time from the date of SCLC diagnosis until death from any cause or the last follow-up. Then, the study used the Kaplan-Meier method to calculate overall survival and draw the survival curve. A P-value of less than 0.05 indicated statistical significance.

Results

Demographic characteristics

A total of 23 SCLC patients with SIADH were enrolled in this case series, and no patient was lost to follow-up. 10 of the 23 patients were first diagnosed with SCLC after hospitalization due to hyponatremia. The patient characteristics in *Table 1* show that the patients (15 females, 8 males) had a mean age of 61.7 ± 8.2 years and an age range of 43–78 years. The mean height, weight, and BMI of the patients were 166.4 ±6.3 , 66.7 ± 13.0 , and 24.0 ± 4.2 , respectively. Eighteen (78.3%) patients had limited disease, and 5 (21.7%) patients had extensive disease. The ECOG PS scores were distributed as follows: 1 (1 patient), 2 (9 patients), and 3 (13 patients). The mean time of SIADH development was (7.4 ±10.2) months. The mean baseline plasma sodium was (122.4 ±6.5) mmol/L, and the maximum value was 134 mmol/L.

The effect of hyponatremia correction and survival on SCLC patients

During hyponatremia correction, 15 of 23 patients were treated with hypertonic saline after hospitalization; one patient achieved a plasma sodium level of 135 mmol/L, and one patient had a plasma sodium level exceeding 135 mmol/ L after 30 days of tolvaptan treatment. The results in *Table 2* show that the mean duration of hypertonic saline treatment

 Table 1 Characteristics of 23 patients with SCLC and SIADH

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Characteristic	Values (total n=23)		
Age (years)	61.7±8.2		
Gender: (male/female)	8 (34.8)/15 (65.2)		
Height (cm)	166.4±6.3		
Weight (kg)	66.7±13.0		
BMI (kg/m²)	24.0±4.2		
ECOG PS			
1/2/3	1 (4.3)/9 (39.1)/13 (56.5)		
T stage			
T1/T2/T3/T4	2 (8.7)/5 (21.7)/8 (34.8)/8 (34.8)		
N stage			
N0/N1/N2/N3	7 (30.4)/7 (30.4)/7 (30.4)/2 (8.7)		
M stage			
M0/M1	13 (56.5)/10 (43.5)		
VALSG stage			
LD/ED	5 (21.7)/18 (78.3)		
Time to develop SIADH (months)	7.4±10.2		
Plasma sodium on admission (mmol/L)	122.4±6.5		

Quantitative data were expressed as mean \pm SD; qualitative data were expressed by n (%). BMI, body mass index; ECOG PS, Eastern Cooperative Oncology Group performance status; TNM, tumor-node-metastasis; VALSG, Veterans Administration Lung Group; LD, limited disease; ED, extensive disease; SIADH, syndrome of inappropriate antidiuretic hormone secretion.

Table 2 The effect and safety of hyponatremia correction

Characteristic	Values (total n=23)	
HTS treatment time(days)	5.0±7.4	
Doses of tolvaptan		
3.75 mg/5 mg/7.5 mg/15 mg	10 (43.5)/5 (21.7)/ 4 (17.4)/4 (17.4)	
Plasma sodium exceeds 135 mmol/L after 3 days of tolvaptan (yes/no)	18 (78.3)/5 (21.7)	
Time to correct plasma sodium after3.7±5.8treatment with tolvaptan (days)		
Adverse events	1 (4.3)	

Quantitative data were expressed as mean \pm SD; qualitative data were expressed by n (%). HTS, hypertonic saline solution.

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was 5.0±7.4 days. The doses of tolvaptan were distributed as follows: 3.75 mg (10 patients), 5 mg (5 patients), 7.5 mg (4 patients), and 15 mg (4 patients). After treatment with tolvaptan, in 18 of 23 patients, the plasma sodium concentrations during the first three days exceeded 135 mmol/L. In the remaining 5 patients, the plasma sodium concentration increased but did not reach 135 mmol/L. The mean time to correct the plasma sodium level after tolvaptan treatment was 3.7±5.8 days, and one patient had a plasma sodium level exceeding 135 mmol/L after 30 days of treatment. Adverse events were observed in 1 patient who presented with severe lethargy and confusion. The results in Figure 1 show that before tolvaptan treatment, the ECOG PS scores were distributed as follows: 1 (3 patients), 2 (10 patients), and 3 (10 patients), after 3 days of tolvaptan treatment, the ECOG PS scores were distributed as follows: 1 (3 patients), 2 (19 patients), and 3 (1 patient), which was a statistically significant difference between the two periods (P>0.05). On admission, the mean plasma sodium was (122.4±6.5) mmol/L, and the mean plasma sodium before tolvaptan treatment was (120.7±7.5) mmol/L. After 3 days of tolvaptan treatment, the mean plasma sodium was 136.0±4.1 mmol/L, which was significantly higher than the plasma sodium on admission and before tolvaptan treatment (P<0.05).

Patients were stratified according to the dose of tolvaptan: the 3.75 mg group [n=10; 6 males/4 females; mean age (62.0 ± 6.9) years] and the >3.75 mg group [n=13; 9 males/4 females; mean age (61.4±9.3) years]. Clinical data are shown in Table 3. On admission, there was no statistically significant difference in age, sex, height, weight, BMI, T stage, N stage, M stage, VALSG stage, plasma sodium, or ECOG PS (P>0.05) between the two groups. Similarly, there was no statistically significant difference in HTS intervention, duration of HTS treatment, or time to correct sodium by tolvaptan (P>0.05) between the two groups. Before tolvaptan treatment, there was no statistically significant difference in plasma sodium or ECOG PS (P>0.05) between the two groups. After three days of tolvaptan treatment, there was no statistically significant difference in plasma sodium or ECOG PS (P>0.05) between the two groups.

The median OS was 14.1 months (*Figure 2*). The median follow-up time was 13 months (range, 3.8–30.0 months), and five patients were alive at the end of follow-up. No patient died from hyponatremia.

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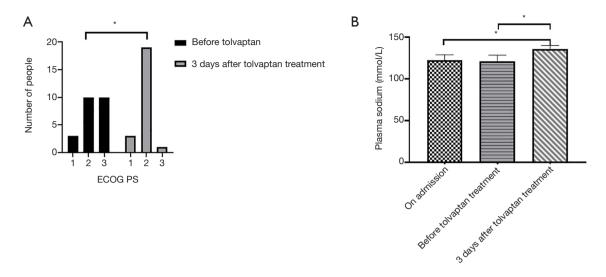


Figure 1 The effect of hyponatremia correction. (A) Distribution of ECOG PS scores in patients at different periods. (B) Comparison of serum sodium at the time of admission, before tolvaptan treatment, and three days after tolvaptan treatment showed that patients had significantly higher plasma sodium after 3 days of tolvaptan treatment. *, P<0.05. ECOG PS, Eastern Cooperative Oncology Group performance status.

Discussion

Hyponatremia related to SIADH is an essential complication in SCLC patients. In China, tolvaptan was indicated for clinically significant euvolemic and hypervolemic hyponatremia, including patients with heart failure, liver cirrhosis, and SIADH (22). In recent decades, many studies have shown Tolvaptan to be effective in correcting plasma sodium (11-16). Petereit et al. (17) summarized the characteristics of 10 patients with SCLC and SIADH in the largest observational study that we found. To the best of our knowledge, our study is the largest monocentric series of SCLC patients with SIADH. Our study found that tolvaptan at a dose of less than 15 mg is safe and effective for most patients. We also observed doserelated effectiveness and safety issues in individual patients. In addition, patient survival may benefit from tolvaptan treatment.

In most cases, SIADH associated with SCLC arises from the ectopic release of ADH/ADH - like substances from tumor cells (23). Hyponatremia secondary to cancer may persist for a long time in the absence of effective antitumor therapies to reduce the tumor burden. In addition, some studies have confirmed that hypertonic saline may be ineffective for SIADH treatment and may even exacerbate hyponatremia (15,24). Petereit *et al.* demonstrated the effectiveness of tolvaptan in correcting hyponatremia in SCLC patients with SIADH, where the plasma sodium levels increased significantly in the majority of patients within four days (17); although two patients still needed more than 10 days of treatment. In our retrospective study, only one patient was successfully treated with hypertonic saline. The mean time to correct plasma sodium after tolvaptan treatment was 3.7 ± 5.8 , and after 3 days of tolvaptan treatment, the mean plasma sodium level was 136.0 ± 4.1 mmol/L; however, one patient took 30 days of treatment to correct hyponatremia. Whether this was due to the lower dose of oral tolvaptan (5 mg) requires further investigation.

So far, no consensus has been reached about the initial dose of tolvaptan for SIADH treatment (18,19,21,25). A previous retrospective study concluded that the recommended initial dose of tolvaptan for the treatment of hyponatremia ranges from 15 to 60 mg/day (26). A study involving 13 patients with SIADH, including 7 SCLC patients, showed that in 11 patients, taking 7.5 mg of tolvaptan alone on alternate days corrected plasma sodium levels (27). Similarly, in one RCT, Castello *et al.* treated patients with hypervolemic or euvolemic hyponatremia using 7.5 or 15 mg tolvaptan, and the authors found that 7.5 mg tolvaptan was both effective and safe; compared to 7.5 mg, 15 mg tolvaptan had a risk for sodium overcorrection (18). A South Korean multicenter study involving 51 patients with SIADH concluded that

 Table 3 The effects of tolvaptan in the 3.75 mg group and >3.75 mg group

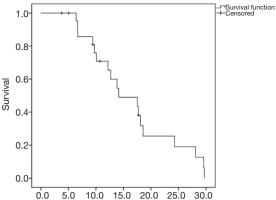
Characteristic	3.75 mg group (n=10)	>3.75 mg group (n=13)	Р
Age (years)	62.0±6.9	61.4±9.3	0.247
Gender (male/female)	6/4	9/4	0.685
Weight (kg)	69.3±13.7	64.62±12.6	0.849
Height (cm)	165.5±7.5	167.1±5.4	0.122
BMI (kg/cm²)	25.19±3.87	23.13±4.39	0.635
T stage (1/2/3/4)	1/2/5/2	1/3/3/6	0.503
N stage (0/1/2/3)	3/5/2/0	4/2/5/2	0.222
M stage (0/1)	8/2	5/8	0.09
VALSG stage (LD/ED)	4/6	1/12	0.127
On admission			
ECOG PS (1/2/3)	0/5/5	1/4/8	0.488
Plasma sodium (mmol/L)	121.6±6.9	123.0±6.4	0.964
HTS Intervention (yes/no)	4/6	4/9	0.685
Duration of HTS treatment (days)	2.9±3.4	6.6±9.2	0.113
Before tolvaptan treatment			
ECOG PS (1/2/3)	1/6/3	2/4/7	0.372
Plasma sodium (mmol/L)	122.0±7.0	120.9±7.5	0.91
Time to correct sodium by tolvaptan (days)	2.7±0.8	4.46±7.8	0.138
After 3 days of tolvaptan treatment			
Plasma sodium (mmol/L)	136.8±4.1	135.46±4.2	0.952
ECOG PS (1/2/3)	1/9/0	2/10/1	0.603

Quantitative data were expressed as mean ± SD; qualitative data were expressed by n (%). HTS, hypertonic saline solution; ECOG PS, Eastern Cooperative Oncology Group performance status; TNM, tumor-node-metastasis; VALSG, Veterans Administration Lung Group; LD, limited disease; ED, extensive disease.

15 mg of tolvaptan was associated with a high incidence of overcorrection, and the authors suggested that Koreans use 7.5 mg tolvaptan as the initial dose (19). In our study, the majority of patients that were administered low doses of tolvaptan showed adequate safety and efficacy. Among the 4 patients who received 15 mg of tolvaptan, the plasma sodium level of one patient increased from 119 to 142 mmol/L within the first 24 hours, and lethargy and unconsciousness were observed. Thus, the recommended initial dose of tolvaptan will require that this safety issue be solved.

A post-marketing evaluation of tolvaptan in Europe found that doctors often split or crush the tablets to make a lower initial dose. Manufacturers' pharmacodynamic studies have shown that both 3.75 and 7.5 mg doses can increase urine output and increase plasma sodium levels, while the 7.5 mg dose can lead to overcorrection (21). No difference was found in our study between the 3.75 mg group and the above 3.75 mg group, our research indicates that 3.75 mg is the proper initial dose of tolvaptan in treating SCLC with SIADH.

Interest in the initial dose has focused on sodium overcorrection in hyponatremic patients treated with 15 mg tolvaptan. Two guidelines recommended that a limit to hyponatremia correction is an increase in sodium concentration of <10–12 mmol/L in 24 h and of <18 mmol/L in 48 h (13,28). Overcorrection of hyponatremia is defined as a rapid increase in plasma sodium



5.0 10.0 15.0 20.0 25.0 Overall survival (months)

Figure 2 Survival curve of 23 SCLC patients with inappropriate antidiuretic hormone. The median overall survival was 14.1 months.

concentration, which can lead to severe complications such as osmotic demyelination syndrome (ODS) associated with high patient mortality (28).

In three studies, the incidence of overcorrection caused by tolvaptan ranged from 4.5% to 31.2% (29-31). Previous studies have demonstrated that overcorrection caused by tolvaptan was associated with lower plasma sodium concentrations at baseline (30,32-35). A retrospective study of tolvaptan in South Korea found that overcorrection of hyponatremia was associated with lower BMI, the presence of cancer, and lower plasma sodium at baseline; in the SIADH subpopulation, baseline sodium and cancer were significant key factors associated with overcorrection (34,35). In our study, one patient experienced lethargy and unconsciousness and a decline in performance status due to sodium overcorrection. It is well known that hyponatremia has a negative influence on the performance status of patients (17,36,37), and few studies have confirmed that tolvaptan improved ECOG performance status in patients with SCLC and SIADH (17).

In our study, the PS of the majority of patients improved during tolvaptan treatment. Improving the performance status of cancer patients allows patients to receive radiotherapy and chemotherapy on time. The negative effects of delayed treatment could thus be avoided. However, it is unclear whether this effect can bring survival benefits. The presence of hyponatremia is a factor associated with poor prognosis of SCLC. From a retrospective study involving 395 SCLC patients, Hermes *et al.* concluded that the hyponatremia subgroup showed poor median survival (9.0 vs. 13.0 months, P<0.001) when compared to that of the normal sodium subgroup (38). Similar conclusions were drawn in another retrospective study involving 453 SCLC patients conducted by Hansen *et al.*, in which the hyponatremia subgroup showed worse median survival (7.1 vs. 11.2 months, P=0.0001) (7). In our study, the median survival of patients after the administration of tolvaptan was 14.1 months. This median survival seems longer than the previously reported values ranging from 7.1 to 13.0 months (7,38). No survival data on patients with SCLC and SIADH treated with oral tolvaptan can be compared, and we cannot rule out the possibility that this discrepancy is due to insufficient sample size.

This study has the following limitations: first, the use of tolvaptan in China has not yet become widespread, resulting in a small sample size; second, because this was a retrospective study, time data were not accurate to the hour; third, the data were summarized from a single institution, making it difficult to form general conclusions. We suggest a series of remedy, multicenter, prospective, randomized controlled experiments in future studies.

Conclusions

In summary, our study shows that using a dose of tolvaptan lower than 15 mg is effective in stabilizing sodium levels for most patients. There is a risk of overcorrection of plasma sodium when using 15 mg of tolvaptan. Tolvaptan can quickly improve the performance status of patients, which may bring survival benefits to patients. The effect of tolvaptan on SCLC patients with SIADH should be confirmed.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The study was approved by Qilu Hospital, Cheeloo College of Medicine, Shandong University (approval No. KYLL-2020-467). and individual consent for this retrospective analysis was waived.

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