



Bioinformatics analysis reveals that *ANXA1* and *SPINK5* are novel tumor suppressor genes in patients with oral squamous cell carcinoma

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Background: Oral squamous cell carcinoma (OSCC) is a solid tumor of squamous epithelial origin. Currently, surgery is still the main treatment for OSCC, with radiotherapy and chemotherapy as important adjuvant treatments. However, the problem of poor prognosis of OSCC patients still exists in clinical practice. To explore further potential biomarkers or treatment targets in OSCC patients, this study used a high-throughput gene expression database to study the potential molecular mechanisms of OSCC carcinogenesis.

Methods: The GEO database related to OSCC was searched and analyzed using GEO2R. OncoPrint and the Human Protein Atlas were used to evaluate the expression level of differentially-expressed genes (DEGs). The cBioPortal dataset was used to analyze the mutations of the potential DEGs and patient survival.

Results: Three GEO datasets, GSE146483, GSE138206, and GSE148944, were downloaded and 7 DEGs were found in common in OSCC tissues. Using OncoPrint and the Human Protein Atlas, *ANXA1*, *IL1RN*, and *SPINK5* were decreased in cancer tissues, while protein levels of *APOE* and *IFI35* were increased accordingly. Interestingly, low levels of *ANXA1* and *SPINK5* were associated with the TNM stage of OSCC patients. No mutations in DEGs were found in OSCC patients, based on the cBioPortal dataset. Survival analysis indicated OSCC patients with high *MSR1* had poor overall survival (OS), while low expression of *CXCR4*, *ANXA1*, *IL1RN*, and *SPINK5* also predicted poor OS in OSCC patients.

Conclusions: Our findings uncovered 7 potential biomarkers of OSCC patients, with *ANXA1* and *SPINK5* serving as potential tumor suppressor genes in OSCC.

Keywords: Oral squamous cell carcinoma (OSCC); oncogenesis; differential; survival

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Introduction

Malignant tumors are one of the main threats to national public health and the second most common cause of death. It is expected that there will be more than 20 million new cases of malignant tumors in 2025 (1).

Among all malignant tumors, squamous cell carcinoma of the head and neck (HNSCC) is the sixth most common malignant tumor worldwide and includes oral squamous cell carcinoma (OSCC) (2,3). In 2012, the mortality rate of 145,000 patients with OSCC was approximately

1.8% (4). Interestingly, the rate of male patients with OSCC is particularly high, with the incidence ranging from 1 per 100,000 to 10 per 100,000 (5). It is accepted that adopting unhealthy lifestyles, such as smoking, poor diet, and lack of exercise increases the risk of OSCC (6,7). According to statistics, the incidence of OSCC in different countries and regions is also different. For example, in Central and South Asia, OSCC is one of the three most common malignant tumors. In India, the age-standardized incidence of OSCC is 12.6 per 100,000 population. In addition, the incidence of OSCC in several countries and regions, including Denmark, France, Germany, Scotland, Central, and Eastern Europe, has increased sharply (4).

OSCC can occur in different locations, being present in squamous epithelium and scattered in smaller salivary glands and lymphatic drainage pathways. Consequently, OSCC cells can easily invade tissues and enter the cervical lymph nodes. Induction of epithelial-mesenchymal transition (EMT) in squamous cell carcinoma promotes tumor development by enhancing the characteristics of cell invasion and metastasis (8). Oncogenes and tumor suppressor genes are the two main gene groups that regulate tumorigenesis, promoting tumorigenesis when they are expressed at high and low levels, respectively. Due to the lack of control mechanisms for cell growth and differentiation, the development of OSCC is related to changes in the expression of related genes. The development of tumors is attributed to a series of genetic events, including the abnormal activity of oncogenes, related invasion genes, and inactivation of tumor suppressor genes.

Tobacco consumption and excessive drinking account for about 90% of the causes of oral malignancies and when combined with alcohol or betel nut consumption (9), the risk of OSCC increases (10). Smokers have long-term exposure to many toxic ingredients, including toxic metals (11). Since neither oral epithelium nor lung epithelium constitutes a substantial barrier to these toxic substances (12), small particles and soluble toxic substances can be absorbed into the epithelium and interstitial tissues (13). The accumulation of acetaldehyde in human tissues depends on the balance between the rate of formation of alcohol dehydrogenase and acetaldehyde, and the rate of removal of aldehyde dehydrogenase. There is a significant association between low-activity aldehyde dehydrogenase and the risk of OSCC in East Asian heavy drinkers (13).

Currently, the main treatment strategy for OSCC is

still surgery. However, since most patients have advanced tumors when diagnosed, radiotherapy and chemotherapy are important adjuvant treatments for OSCC. For patients with locally advanced OSCC who are at high risk of recurrence or metastasis, multiple treatment strategies may improve their prognosis. However, treatment strategies are still limited, with further studies being needed to explore new potential biomarkers for prognosis and novel treatment targets for precision medicine.

Based on high-throughput gene expression databases, the current study explores the potential molecular mechanisms of OSCC, to identify differentially-expressed genes (DEGs) in OSCC and their regulatory relationships in order to elucidate the molecular basis of OSCC and provide a theoretical basis and individualized precise therapeutic targets for the treatment of OSCC patients. We present the following article in accordance with the MDAR reporting checklist (available at <http://dx.doi.org/10.21037/tcr-20-3382>).

Methods

Microarray datasets

Datasets were searched in the GEO database, using OSCC as the keyword. To compare the different gene expression patterns between cancer and normal tissues, the studies using OSCC patients and normal individuals were included and research focusing on OSCC cells was excluded. Three GEO datasets, GSE146483, GSE138206 and GSE148944 were downloaded.

GSE146483 included 8 OSCC tissues and 3 normal oral mucosal epithelial keratinocytes. GSE138206 was obtained from the microarray data of 6 OSCC tissues, tissues adjacent to cancer, and contralateral normal tissues. GSE148944 was collected from a retrospective, cohort study, including up to 40 OSCC cases and 6 non-cancer controls (14). The clinicopathological variables of oral cancer, to identify and validate potentially implementable genes, were analyzed from GSE84846 (15), which provided the age of diagnosis, gender, primary tumor stage and lymph node stage of OSCC patients.

Identification of DEGs

For analyzing differential expression in the GEO datasets, the limma package of R software, GEO2R, was used to analyze the original datasets, with a cutoff value $|\log FC|$

>1 and P-value <0.01. Volcano maps were constructed by SangerBox software. The DEGs common to all three datasets were identified, by using Venn package (<http://bioinformatics.psb.ugent.be/webtools/Venn/>), to find biomarkers or treatment targets of OSCC with the most potential.

Expression pattern of DEGs

An online cancer microarray database-mining platform, the OncoPrint database (<https://www.oncoPrint.org/>), was used to investigate the differences in transcriptional levels of the DEGs in malignant tumors and normal tissues. The protein level of DEGs was analyzed using the Human Protein Atlas (<https://www.proteinatlas.org/>) to obtain the expression levels of DEGs in normal tissues and OSCC tumor tissues.

Relationship between DEG expression and the clinicopathological parameters of OSCC patients

As DEGs are potential biomarkers or therapeutic targets of OSCC, their expression may affect the progress of OSCC development. To explore the relationship between the expression of DEGs and the clinicopathological parameters of OSCC patients, the GSE84846 dataset was downloaded, including the value of DEG expression and the clinical information. The median value was set as the cutoff for low/high expression of DEGs. Chi-square analysis was used to analyze their relationship and a P<0.05 was considered as statistically significant.

Mutation patterns of DEGs

As mutation occurs frequently, playing an important role in the oncogenesis and development of malignant tumors, Oral Squamous Cell Carcinoma (MD Anderson, Cancer Discov 2013) in the cBioPortal dataset (www.cbioportal.org/), was used to analyze the mutation patterns of DEGs in OSCC.

Survival analysis according to DEGs in OSCC patients

To explore the prognostic value of the OSCC DEGs, the Human Protein Atlas (www.proteinatlas.org/) was used to analyze the impact of DEGs on survival of OSCC patients, while the cBioPortal database (<http://www.cbioportal.org/>) for multi-dimensional analysis of data from The Cancer

Genome Atlas (TCGA) was used to analyze the effects of mutations in DEGs on the survival of patients with OSCC.

Statistical analysis and ethical statement

Levels of statistical significance were analyzed with data by using the Chi-square test for categorical variables. All statistical differences were considered significant at the level of P<0.05, conducted with SPSS 16.0 for windows. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

Results

Gene expression differences between normal and OSCC tissues

Based on the search results, three microarray datasets of gene expression, GSE146483, GSE138206, and GSE148944 were downloaded from the GEO database of normal and OSCC tissues. A volcano map indicated the potential DEGs in OSCC tissues (*Figure 1A,B,C*). The intersection between GSE146483, GSE138206, and GSE148944 was comprised of 7 DEGs, specifically *CXCR4*, *ANXA1*, *MSR1*, *IL1RN*, *SPINK5*, *APOE*, and *IFI35* (*Figure 1D*).

Expression of the DEGs in malignant tumors

The OncoPrint dataset provides extensive expression information of several genes in different malignant tumors. Expression patterns of the 7 DEGs in different cancers were diverse. For HNSCC, including OSCC, the expression of *CXCR4*, *APOE*, *IFI35*, and *MSR1* was increased in cancer tissues compared with normal tissues, whereas down-regulated expression of *SPINK5*, *IL1RN* and *ANXA1* was found in cancer tissues compared with normal tissues (*Figure 2*).

The increased DEGs in OSCC tissues

To investigate the potential function of the DEGs in OSCC, further studies were explored and analyzed for their expression in different tissues. However, as research on OSCC is limited, only the expression of *CXCR4*, *IFI35*, and *MSR1* in tumor tissues was higher than that in normal tissues, showing 3.447, 3.104, and 3.432-fold increases, respectively (*Figure 3*).

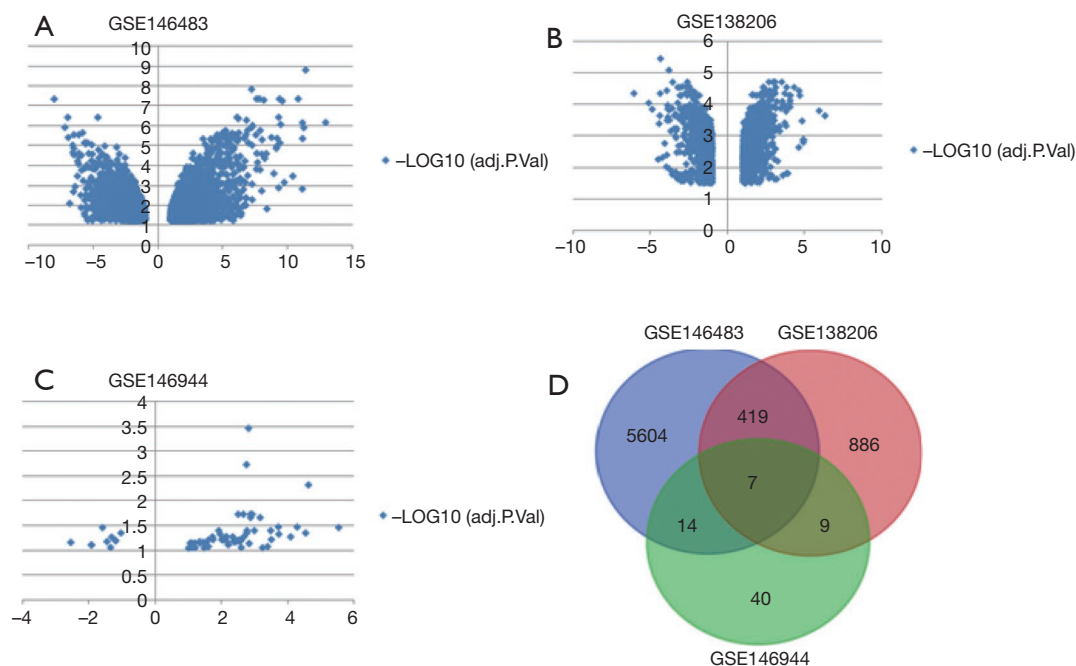


Figure 1 Identification of DEGs in OSCC. (A) Volcano map of GSE146483. (B) Volcano map of GSE138206. (C) Volcano map of GSE148944. (D) Intersection of the three GEOs. DEGs, differentially-expressed genes; OSCC, oral squamous cell carcinoma.

The protein level of DEGs in OSCC and normal tissues

To further analyze the protein levels of the DEGs in tumor tissues, the Human Protein Atlas was used to analyze the expression and location of DEGs in normal tissues and malignant tumors. *ANXA1*, *IL1RN*, *SPINK5*, and *APOE* were down-regulated, and *IFI35* was up-regulated in cancer tissues (Figure 4), supporting our DEG analysis. Protein expression of *MSR1* was negative in normal and cancer tissues both (Figure 4C,4D). No report on the protein level of *CXCR4* in OSCC or normal tissues was found in the Human Protein Atlas.

Expression of the DEGs is associated with TNM stage and node status in OSCC patients

To verify the potential function of DEGs in OSCC, information for the 99 OSCC patients in the GSE84846 dataset was downloaded and analyzed. The age range was from 28 to 89, and the sex ratio was approximately 1:1. In Table 1, low expression of *ANXA1* was positively associated with the early stage of TNM ($P=0.012$), and the expression of *SPINK5* was associated with the TNM stage and node

status, with P-values 0.001 and 0.011, respectively.

No mutations in DEGs were found in OSCC patients

Although mutation occurs frequently in different malignant tumors, no mutations in the 7 common DEGs were found in OSCC patients, regardless of patient smoking, or the nodal or tumor stage (Figure 5), suggesting that the DEG expression pattern rather than mutation of the DEGs plays the important role in oncogenesis and development of OSCC.

Survival value of DEGs in OSCC (Figure 6)

Besides the expression and location of DEGs in tissues, the Human Protein Atlas also provides the prognostic value of genes in different cancers. High expression of *MSR1* in OSCC patients was prognostic of poor overall survival (OS) ($P=0.0014$; Figure 6C), whereas low expression of *CXCR4*, *ANXA1*, *IL1RN*, and *SPINK5* predicted poor OS in OSCC patients ($P<0.01$) (Figure 6A,B,D,E). Although the expression of other DEGs did not show a significant relationship with the survival of OSCC patients ($P>0.05$),

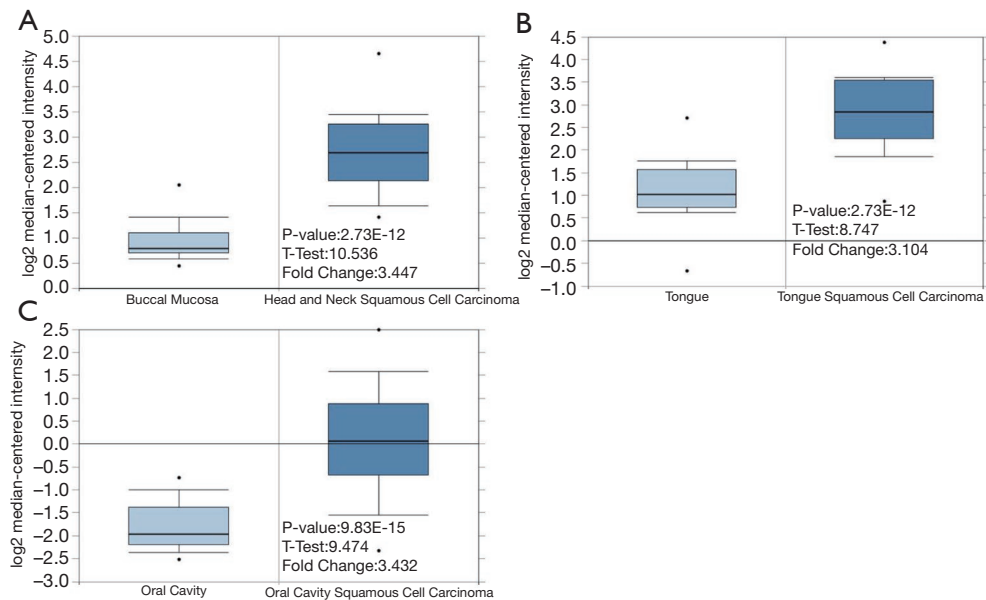


Figure 3 Comparison of DEG expression in normal and OSCC tissues. (A) Expression of CXCR4 was significantly increased in HNSCC tissues. (B) Expression of IFI35 was dramatically increased in tongue squamous cell carcinoma tissues. (C) Expression of MSR1 was significantly increased in OSCC tissues. DEGs, differentially-expressed genes; OSCC, Oral squamous cell carcinoma.

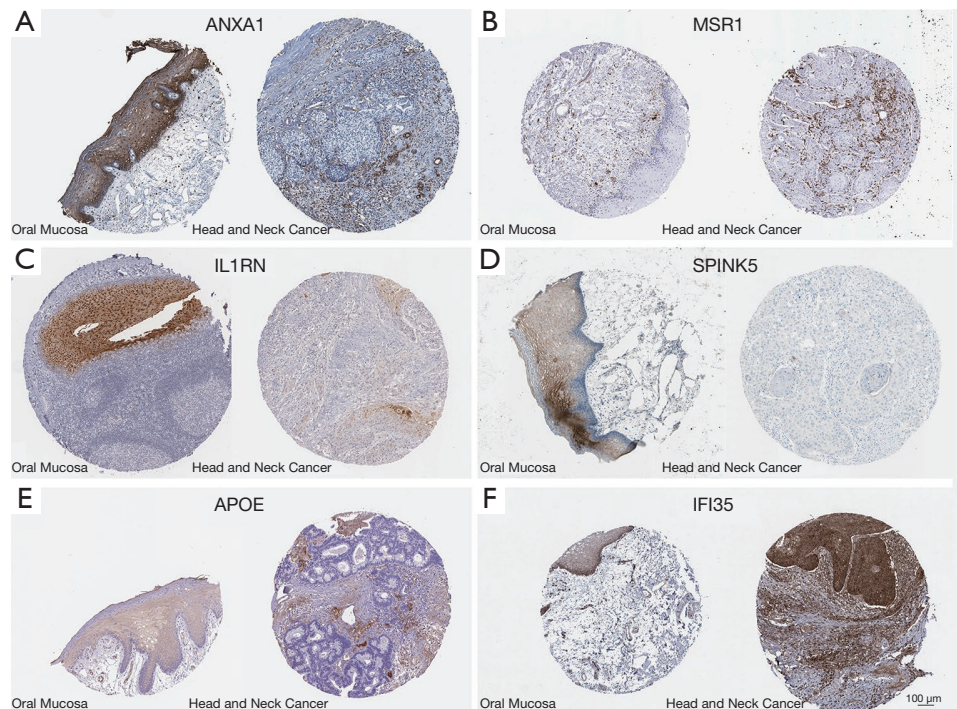


Figure 4 Expression and location of DGEs in normal and malignant tumor tissues. (A) ANXA1 in normal tissue (left, strong) and HNSCC tissue (right, negative). Antibody HPA011271. (B) MSR1 in normal tissue (left, negative) and HNSCC tissue (right, negative). Antibody HPA000272. (C) IL1RN in normal tissue (left, moderate) and HNSCC tissue (right, weak). Antibody HPA001482. (D) SPINK5 in normal tissue (left, strong) and HNSCC tissue (right, negative). Antibody HPA009067. (E) APOE in normal tissue (left, weak) and HNSCC tissue (right, negative). Antibody HPA065539. (F) IFI35 in normal tissue (left, moderate) and HNSCC tissue (right, strong). Antibody HPA075580.

Table 1 The relationship between expression of DEGs and clinicopathological parameters of OSCC patients

| Gene | TNM | | | | | N | | | | |
|---------------|-------|----|----|----|---------|----|----|-----|----|---------|
| | I-III | % | IV | % | P value | 0 | % | 1-2 | % | P-value |
| <i>CXCR4</i> | | | | | | | | | | |
| Low | 25 | 51 | 24 | 49 | 0.369 | 21 | 44 | 27 | 56 | 0.264 |
| High | 30 | 60 | 20 | 40 | | 27 | 55 | 22 | 45 | |
| <i>ANXA1</i> | | | | | | | | | | |
| Low | 21 | 43 | 28 | 57 | 0.012 | 20 | 41 | 29 | 59 | 0.084 |
| High | 34 | 68 | 16 | 32 | | 28 | 58 | 20 | 42 | |
| <i>MSR1</i> | | | | | | | | | | |
| Low | 30 | 61 | 19 | 39 | 0.261 | 25 | 52 | 23 | 48 | 0.612 |
| High | 25 | 50 | 25 | 50 | | 23 | 47 | 26 | 53 | |
| <i>ILIRN</i> | | | | | | | | | | |
| Low | 24 | 49 | 25 | 51 | 0.192 | 22 | 46 | 26 | 54 | 0.477 |
| High | 31 | 62 | 19 | 38 | | 26 | 53 | 23 | 47 | |
| <i>SPINK5</i> | | | | | | | | | | |
| Low | 19 | 39 | 30 | 61 | 0.001 | 18 | 37 | 31 | 63 | 0.011 |
| High | 36 | 72 | 14 | 28 | | 30 | 63 | 18 | 37 | |
| <i>IFI35</i> | | | | | | | | | | |
| Low | 29 | 59 | 20 | 41 | 0.472 | 23 | 49 | 24 | 51 | 0.917 |
| High | 26 | 52 | 24 | 48 | | 25 | 50 | 25 | 50 | |

DEGs, differentially-expressed genes; OSCC, oral squamous cell carcinoma.

have been reported (24). Up to now, it is not practical to screen for oral cancer during each routine check-up, and selective screening is a more realistic and effective solution. Unfortunately, most malignant tumors, when diagnosed, are advanced (third or fourth stage), and the 5-year survival rate is between 10% and 40% (25). The diagnosis rate of ulcerated OSCC in the third to fourth stages can be as high as 60%, but this correlation does not reach statistical significance (26). In addition, although ulcerative lesions indicate poor survival, the predictive value of clinical survival is still controversial.

To explore further potential biomarkers for OSCC, high-throughput gene expression datasets were recruited and 7 potential DEGs, common to all datasets, were identified in the current study. The chemokine receptor CXCR4 is a specific receptor for chemokine stromal cell-derived factor-1 (CXCL12) (27). Blocking CXCR4 significantly reduces chemotaxis of M2 macrophages, and polarized M2

macrophages promote CSC-like transition in OSCC cell lines (27). The CXCL12-CXCR4/CXCR7 axis contributes to cell motility in OSCC (28). Interestingly, hypoxia, closely related to malignant tumors, enhances CXCR4 expression in OSCC through activating HIF-1 (29). Meanwhile, targeted silencing CXCR4 expression significantly inhibits the EMT process of OSCC (30). As the predicting role of low CXCR4 mRNA level is found in the current study, further exploration is needed to investigate the underlying mechanism of CXCR4 in OSCC.

Annexin A1 (ANXA1) protein has been suggested to be an active player in the tumorigenesis of many organs, and a tumor suppressor role for Annexin A1 in OSCC is shown in the current study as well as several prior investigations. Faria *et al.* first reported down-regulated ANXA expression at the mRNA level in OSCC and correlates with age, sex, and the anatomical site of the tumor lesion (31). Interestingly, loss of plasma membrane ANXA1 expression is significantly

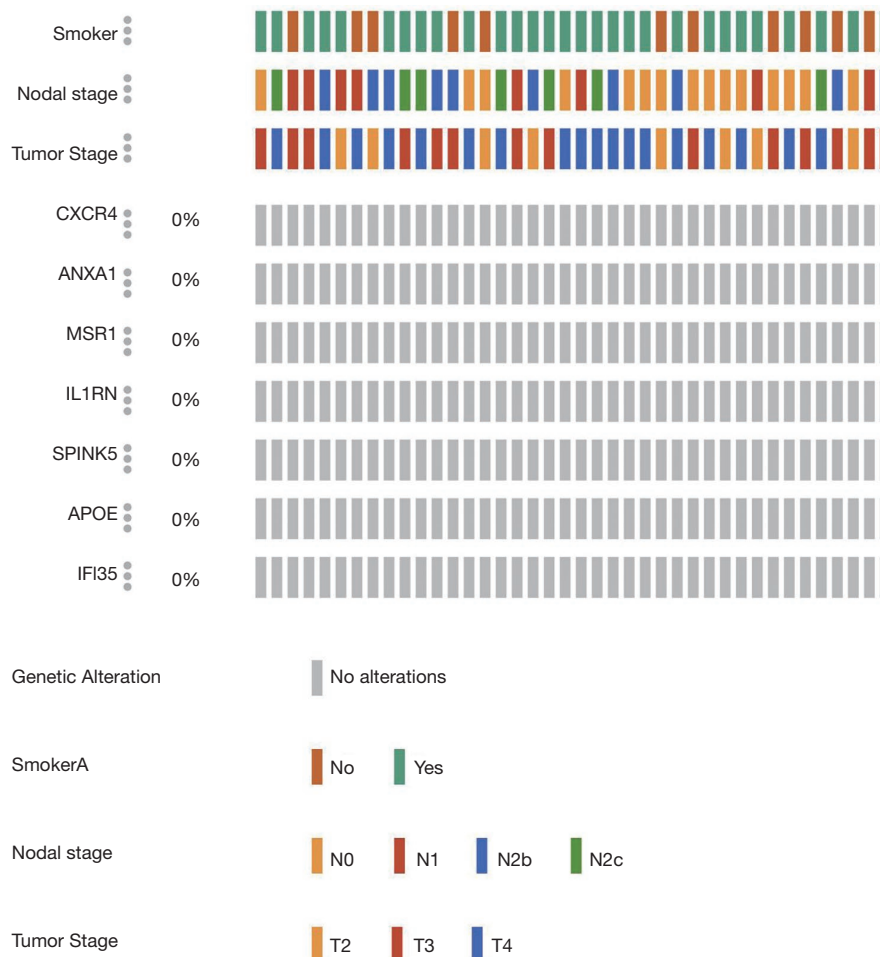


Figure 5 No mutations in DEGs were found in OSCC patients. The dataset was analyzed in cBioPortal under Oral Squamous Cell Carcinoma (MD Anderson, Cancer Discov 2013).

correlated with a poorly differentiated status of OSCC cells, which is frequent during oral carcinogenesis (32). On the other hand, Lin *et al.* reported the nuclear localization of ANXA1 as a prognostic factor of OSCC and occurs frequently (33). Consistently, current research has predicted ANXA1 to be a novel tumor suppressor gene in the oncogenesis of OSCC, and may be related EMT (34). Furthermore, TGF β 1/EGF-induced EMT in OSCC cell lines is attenuated by ANXA1 overexpression and TGF β 1/EGF-induced EMT can be reversed by ANXA1 in OSCC (35), suggesting ANXA1 to be a potential marker as well as novel therapeutic target for OSCC.

MSR1 repeats are a 36-38 bp minisatellite element that has recently been implicated in the regulation of gene expression, through copy number variation (CNV), and act as molecular switches to modulate gene expression (36). Up

to now, no report has shown a relationship between OSCC and MSR1, which is usually associated with hereditary prostate cancer (37). Interestingly, low expression of MSR1 without mutation predicts long overall survival, serving as a prognostic biomarker for OSCC patients.

Interleukin-1 receptor antagonist (IL1RN), a potent anti-inflammatory molecule, modulates the biological activity of the pro-inflammatory cytokine, interleukin-1. After investigating the expression of IL1RN in OSCC, Shiiba *et al.* reported that IL1RN may exhibit opposing characteristics in oral malignancies, depending on the stage of cancer development, suppressing early carcinogenic events, yet promoting tumor development in some lesion sites (38). Shan *et al.* reported that salivary proteomics is promising for the discovery of OSCC biomarkers (39). In the microarray dataset, expression of IL1RN was also

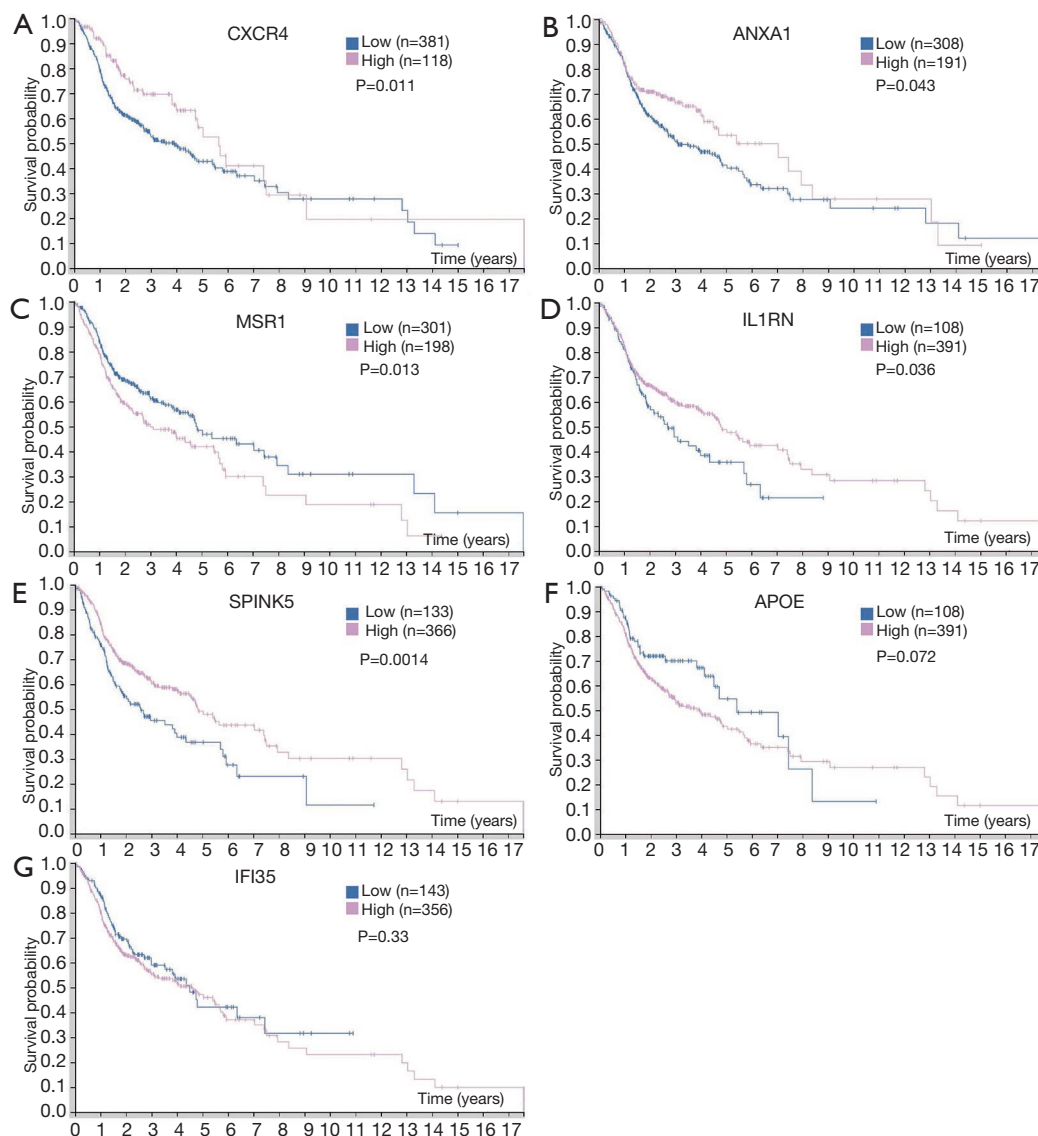


Figure 6 Survival analysis according to DEGs in OSCC patients. (A) CXCR4; (B) ANXA1; (C) MSR1; (D) IL1RN; (E) SPINK5; (F) APOE; (G) IFI35.

found to be downregulated in OSCC tissues compared with normal tissue and predicts poor overall survival of patients.

Serine protease inhibitor Kazal-type 5 (SPINK5) is an inhibitor of kallikrein (KLK) 5 and KLK7, which have been recently validated in a lymph node metastasis-predicting gene expression profile in OSCC (40). The SPINK5 gene provides instructions for making a protein called LEKT1, a serine peptidase inhibitor, controlling the activity of enzymes to break down other proteins (40). Zhao *et al.* demonstrated that SPINK5 is significantly associated with the survival of OSCC patients, and its differential

expression in OSCC patients has been further confirmed by reverse transcription-quantitative polymerase chain reaction in OSCC and normal oral cell lines (41). The SPINK5 gene caused by epigenetic changes via DNA methylation may be associated with the development and progression of OSCC (41). Consistently, the findings in this study show the prognostic value of SPINK5 in OSCC patients, with low expression patterns being closely associated with TNM stage and node status.

Apolipoprotein E (APOE) combines with fats (lipids) in the body to form lipoproteins, responsible for packaging

cholesterol and other fats and carrying them through the bloodstream (42). Maintaining normal levels of cholesterol is essential for the prevention of disorders that affect the heart and blood vessels. Importantly, abnormal APOE expression is also related to the development of malignant tumors, including melanoma (43), and gastric cancer (44). However, research focused on APOE in OSCC is limited. Jayakar *et al.* investigated the function of APOE in OSCC and found that APOE can promote the invasion of OSCC cells through regulating the levels of phospho-extracellular signal-regulated kinase 1/2, phospho-c-Jun N-terminal kinase, phospho-c-Jun and activator protein 1 (AP-1) (45). Further investigation needs to explore the underlying molecular mechanism.

Interferon-induced protein 35 (IFI35), an important element of the cellular response to viral infection, has been suggested to regulate the innate immune response (46). Up to now, the function of IFI35 on the development of malignant tumors is still unclear. However, Shirai *et al.* reported IFI35 negatively regulates the TLR3-IFN- β -P-STAT1-RIG-I-CXCL10/CCL5 axis in U373MG cells (47), at least partially uncovering the molecular mechanism of IFI35 in tumors and forging a relationship between IFI35 and cytokines, which is significantly associated with the development of malignant tumors. In conclusion, our findings uncover 7 potential biomarkers common to OSCC patients, in particular identifying ANXA1 and SPINK5 as novel tumor suppressor genes in OSCC, to provide new treatment strategies for precision medicine in individual patients.

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Footnote

Reporting Checklist: The authors have completed the MDAR reporting checklist. Available at <http://dx.doi.org/10.21037/tcr-20-3382>

Conflicts of Interest: All authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/tcr-20-3382>) and reports grants from National Natural Science Foundation of China, during the conduct of the study. The authors have no other conflicts of interest to declare.

Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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