



# Clinical characteristics and prediction model of long time survival of patients with stage M1 Siewert type II esophagogastric junction adenocarcinoma

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**Background:** We aimed to study the clinical manifestations of the patients with stage M1 Siewert type II esophagogastric junction adenocarcinoma and more than 1-year overall survival and establish a prognosis prediction model

**Methods:** From the SEER database, 638 patients were chosen between 2011 and 2017. Patients were separated into two groups, including the long-time survival group ( $\geq 1$  year), and the shorter time survival group ( $< 1$  year). The analysis of differences in clinical characteristics (age, race, sex, stage T, stage N, grade, surgery, distant metastasis, survival status and time) between the different groups was performed by using the chi-square test. The predictors of overall survival was selected by using the Cox regression. The calibration curves and C-index were used to verify the nomogram.

**Results:** The chi-square test showed that the proportion of patients with the age of  $\geq 65$  years in the long time survival group was lower than the short time survival group ( $P=0.008$ ). The proportion of patients who received surgery was higher in the long time survival group (13.5% vs. 5.3%,  $P<0.001$ ). There was a significantly lower proportions of bone metastasis in the long time survival group ( $P=0.036$ ). Multivariate analyses indicated factors such as age, surgery, bone, liver, and lung metastasis were associated with prognosis. The C-index of the nomogram was 0.860.

**Conclusions:** Age, surgery, bone, liver, and lung metastasis were related to the overall survival of a patient with stage M1 Siewert type II esophagogastric junction adenocarcinoma. We constructed a nomogram to help predict 1-year overall survival for a patient with stage M1 Siewert type II esophagogastric junction adenocarcinoma.

**Keywords:** Siewert type II; overall survival; SEER; esophagogastric junction adenocarcinoma; nomogram

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## Introduction

The incidence of adenocarcinomas of the esophagogastric junction are increasing in the whole wide world over the past two decades with a very poor 5-year overall survival for advanced esophagogastric junction adenocarcinoma (1-4). Siewert divided esophagogastric junction adenocarcinoma

into three subgroups: Siewert types I (the distal esophagus adenocarcinoma with the epicenter situated within 1–5 cm above the esophagogastric junction), II (adenocarcinoma of the cardia with cancer epicenter located between 1–2 cm below the esophagogastric junction), and III (adenocarcinoma with cancer epicenter located between

2–5 cm below the esophagogastric junction) (5). About 30% of the patients were diagnosed with esophagogastric junction adenocarcinoma at an advanced stage with a rather poor prognosis. Moreover, many patients were presenting with metastases at the time of diagnosis with less than 11% of the overall 5-year survival rate for the Siewert type II esophagogastric junction adenocarcinoma (6,7). Given the special anatomic location, the prognosis of Siewert type II esophagogastric junction adenocarcinoma could be distinct from that of others (8-11). Although all cases are in stage M1, the survival time of patients varies. Some people lived for a long time, others lived for a short time. Some studies have shown that the prognosis of patients is related to their clinical characteristics. The study of Zhu K showed that the patients with Siewert type II esophagogastric junction adenocarcinoma had a favorable prognosis in the young group (9). The study of Chen K showed that patients who had bone metastases possessed worse cancer-specific survival than other distant metastasis. The purpose of the present study was to assess the clinical characteristics of the long-time survivors and establish a clinical nomogram by using the SEER database.

We present the following article in accordance with the TRIPOD reporting checklist (available at <http://dx.doi.org/10.21037/tcr-20-3291>).

## Methods

### *Research object*

For our study, the inclusion criteria were that (I) patients with M1 stage Siewert type II adenocarcinoma of the esophagogastric junction were diagnosed between 2010 and 2017; (II) the patients was older than 18; (III) patient information included age, race, sex, grade, stage T, stage N, surgery, distant metastasis, survival status, survival time. Patients with incomplete information were excluded. The vast majority of patients with M1 stage Siewert type II adenocarcinoma of the esophagogastric junction live less than a year. Patients were separated into two groups, including the long-time survival group, and the shorter time survival group. Patients who survived for at least 1 year were assigned to the long-term survival group and those who survived for less than 1 year were assigned to the short-term survival group. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This article does not contain any studies with human participants performed by any of the authors. All procedures performed

in study involving human data were extracted freely from the SEER Research Data available to the public online (<https://seer.cancer.gov/data/access.html>).

### *Statistical analysis*

The analysis of differences in clinical characteristics between the different groups was performed by using the chi-square test. We evaluated the risk factors associated with overall survival using the Cox regression and R software was used to build a nomogram.  $P < 0.05$  was regarded as statistically significant. The results were assessed by using the calibration curve. The calibration of the nomogram was checked by using the calibration curves. By using the R software data analyses were all implemented.

## Results

### *Demographics of the patients*

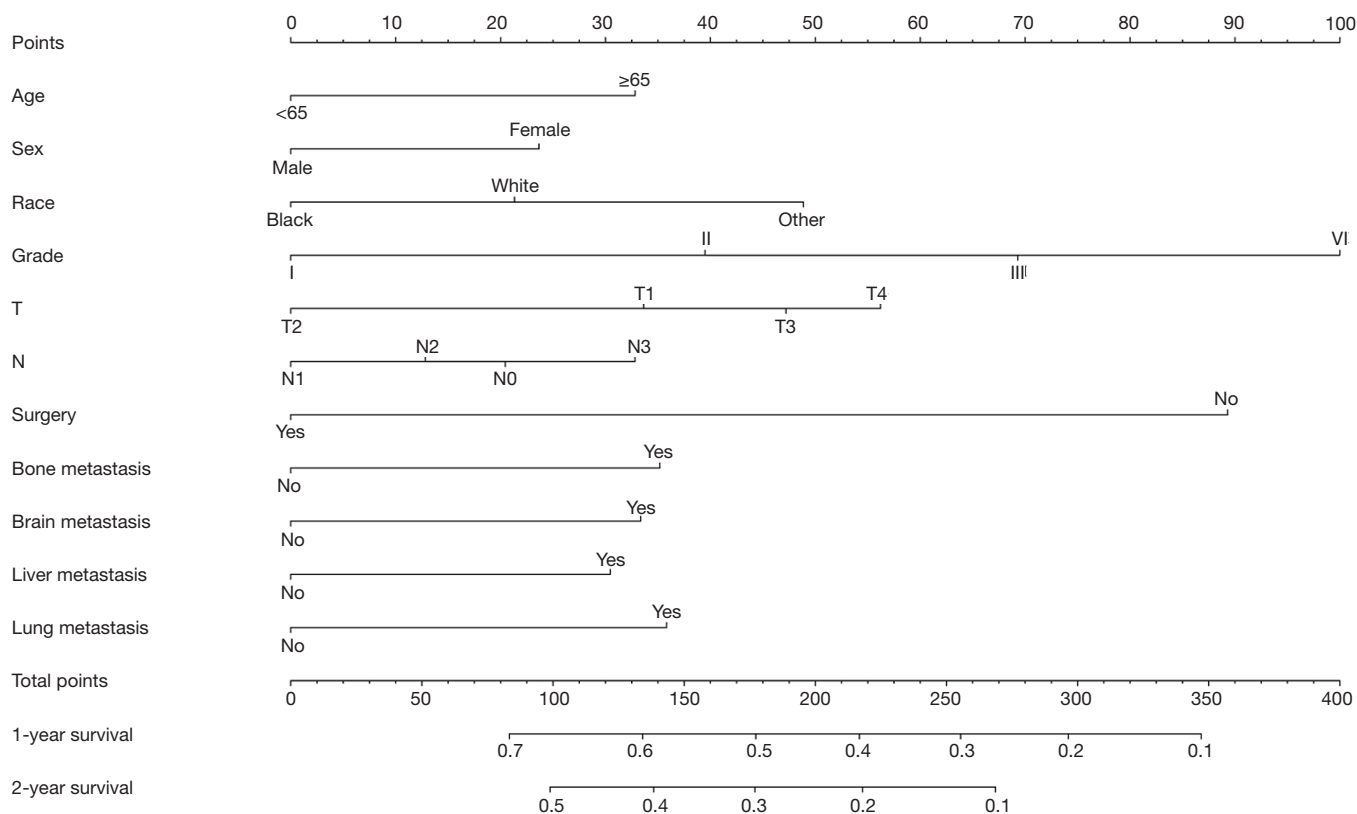
Overall, 638 patients in the SEER database were extracted for analysis among which 185 (28.7%) patients were in the long time survival group and 453 (71.3%) were in a short time survival group. There was no statistical difference in sex, race, grade, N and T stage, brain, liver, and lung metastasis was found between the two groups. The proportion of patients with the age of  $\geq 65$  years in a long time survival group was lower, compared to the short time survival group ( $P = 0.008$ ). Surgical information from the SEER database showed that patients in the long time survival group had a higher ratio of surgery (13.5%, 5.3%,  $P < 0.001$ ). There was some difference between the two groups in the distribution of distant metastasis. The proportion of patients with bone, brain, liver, and lung metastasis in the long time survival group was 16 (8.6%), 4 (2.2%), 99 (53.5%), and 31 (16.8%) respectively; while in the short time survivals group, the proportion was 67 (14.8%), 14 (3.1%), 252 (55.6%), and 75 (16.6%) respectively. The bone metastatic rate was lower in the long time survival group with statistical difference ( $P = 0.036$ ) (Table 1).

### *Cox regression to analysis the risk factors*

The role of each variable in predicting overall survival was evaluated by using the Cox proportional hazards model. Multivariate analyses showed that age, surgery, bone, liver, and lung metastasis were related with prognosis of patients.

**Table 1** Demographic and clinicopathologic characteristics of the different overall survival

Characteristics	Overall survival				P
	>1 year (n=185, 28.7%)		≤1 year (n=453, 71.3%)		
	N	%	N	%	
Age					0.008
<65	100	54.1%	193	42.6%	
≥65	85	45.9%	260	57.4%	
Sex					0.713
Female	36	19.5%	94	20.8%	
Male	149	80.5%	359	79.2%	
Race					0.689
White	162	87.6%	385	85.0%	
Black	11	5.9%	31	6.8%	
Other	12	6.5%	37	8.2%	
Grade					0.250
I	5	2.7%	7	1.5%	
II	69	37.3%	138	30.5%	
III	109	58.9%	302	66.7%	
IV	2	1.1%	6	1.3%	
T					0.285
T1	59	31.9%	166	36.6%	
T2	19	10.3%	29	6.4%	
T3	79	42.7%	183	40.4%	
T4	28	15.1%	75	16.6%	
N					0.434
N0	49	26.5%	134	29.6%	
N1	92	49.7%	217	47.9%	
N2	31	16.8%	59	13.0%	
N3	13	7.0%	43	9.5%	
Surgery (primary tumor)					<0.001
Yes	25	13.5%	24	5.3%	
No	160	86.5%	429	94.7%	
Bone metastasis					0.036
Yes	16	8.6%	67	14.8%	
No	169	91.4%	386	85.2%	
Brain metastasis					0.384
Yes	4	2.2%	14	3.1%	
No	181	97.8%	439	96.9%	
Liver metastasis					0.626
Yes	99	53.5%	252	55.6%	
No	86	46.5%	201	44.4%	
Lung metastasis					0.950
Yes	31	16.8%	75	16.6%	
No	154	83.2%	378	83.4%	



**Figure 1** The nomogram that we built to predict the overall survival.

**The construction of novel nomogram**

The model that incorporated the above factors was developed and presented as the nomogram (Figure 1). Every predictor was scored according to a point scale. By using the total scores projected in the scale at the bottom, we could then foretell the probability of overall survival for patients with M1 stage Siewert type II adenocarcinoma of the esophagogastric junction. The C-index was 0.860. The probability of 1- and 2-year overall survival of the calibration curves showed a good consistency (Figures 2,3).

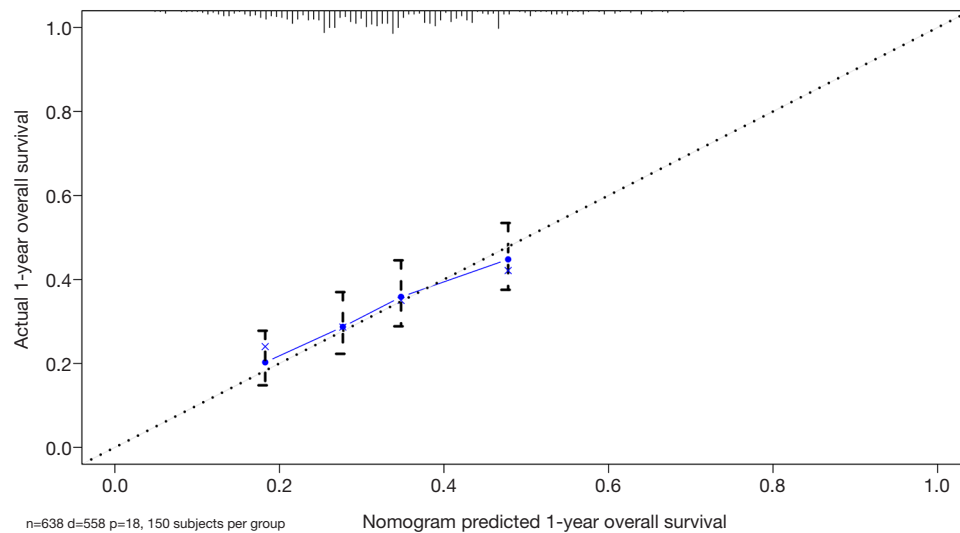
**Discussion**

We investigated the difference between a long time survival group and a short time survival group and we also constructed a nomogram to help predict 1- and 2-year overall survival in patients with stage M1 Siewert type II esophagogastric junction adenocarcinoma. The results could assist doctor to choose the appropriate treatment strategies.

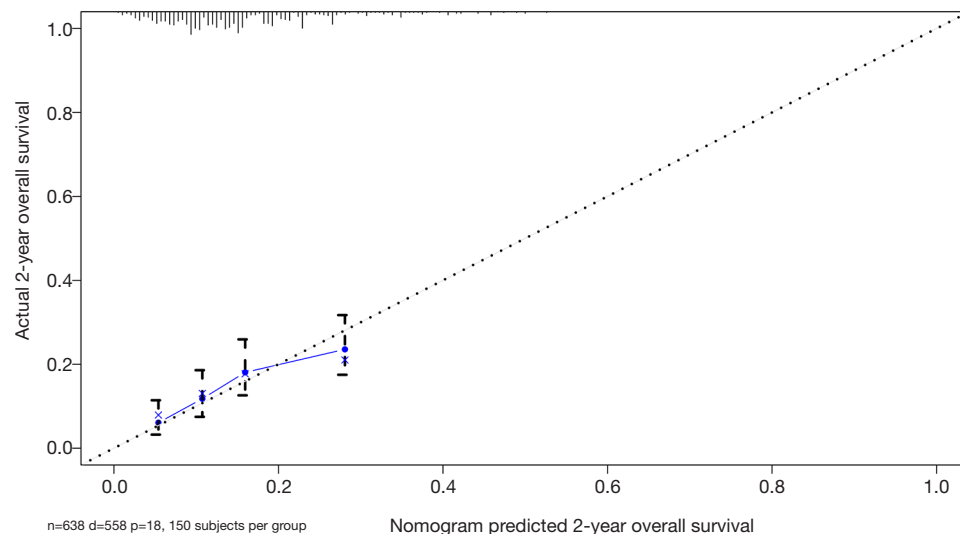
The function of the body’s organs will decrease when

people age with their unique characteristics of pathology, physiology, and metabolism. The study of Zhu K showed that the patients with Siewert type II esophagogastric junction adenocarcinoma had a favorable prognosis in the young group (<65) than the old group (≥65) with statistically significant (9). The research of Zhang *et al.* also demonstrated that patients with esophagogastric junction adenocarcinoma who were older than 65 years old had a worse prognosis than those under 65 years old, which was consistent with our study (12). The possible reasons were as follows. First of all, it might be related to the characteristics of the elderly patients themselves. Second, there was less possibility that older patients could receive surgery than young.

In our study, the most common site of metastasis was the liver, followed by lung, bone, and brain for the patients with stage M1 Siewert type II esophagogastric junction adenocarcinoma, which was similar to the reported findings (13,14). The long time survival group had significantly lower proportions of bone metastasis than the short time survival group (P=0.036). The study of Chen K showed



**Figure 2** The calibration curve of nomogram-predicted 1-year overall survival.



**Figure 3** The calibration curve of nomogram-predicted 2-year overall survival.

that patients who had bone metastases possessed worse cancer-specific survival than other distant metastasis (15). Patients with bone metastasis from esophageal cancer had a poor prognosis (16). The reason that why bone metastasis could cause poor survival is indistinct. The study of Carmona-Bayonas *et al.* also demonstrated that the number of distant metastases was connected with overall survival (17). However, a previous report showed that the number of distant metastases and the metastatic site was not linked with overall survival (18). Similarly, the research

of Blank *et al.* demonstrated that esophagogastric junction adenocarcinoma patients with distant metastases have poor prognoses, which had nothing to do with the metastatic site or the number of metastases (19). The reasons that could cause the inconsistency between the studies were might that the sample size was too small in the previous reported studies to ascertain the links.

Surgical information from the SEER database showed that patients in the long time survival group had a higher ratio of surgery (13.5%, 5.3%,  $P < 0.001$ ), which might mean

that palliative surgical resection could improve survival in patients with stage M1 Siewert type II esophagogastric junction adenocarcinoma. The study of Carmona-Bayonas *et al.* showed that in the patients with metastatic esophagogastric junction adenocarcinoma surgical resection might improve the prognosis of patients (14). Similarly, the study of the Riihimäki M revealed that patients who had the localized metastatic cancers showed a satisfying survival when they received neoadjuvant chemotherapy followed by surgery (20). In the future, it still needed a large number of prospective randomized studies to prove that whether surgical resection would turn into an essential treatment in the patients with stage M1 Siewert type II esophagogastric junction adenocarcinoma.

For the study, there were still some limitations. First, because the study was a retrospective study, it will have an unavoidable confounding bias. In the second place, The population studied was mainly American and not representative of the global population. Finally, before the proposed nomogram could be used in clinical practice, it still needed to be replicated and verified prospectively.

## Conclusions

In conclusion, age, surgery, bone, liver, and lung metastasis were related to the overall survival of a patient with stage M1 Siewert type II esophagogastric junction adenocarcinoma. We constructed a nomogram to predict 1-year overall survival for a patient with stage M1 Siewert type II esophagogastric junction adenocarcinoma. Clinicians can use the prognostic model to predict a patient's prognosis.

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## Footnote

*Reporting Checklist:* The authors have completed the TRIPOD reporting checklist. Available at <http://dx.doi.org/10.21037/tcr-20-3291>

*Conflicts of Interest:* Both authors have completed the ICMJE uniform disclosure form (available at <http://dx.doi.org/10.21037/tcr-20-3291>). The authors have no conflicts

of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). This article does not contain any studies with human participants performed by any of the authors. All procedures performed in study involving human data were extracted freely from the SEER Research Data available to the public online (<https://seer.cancer.gov/data/access.html>).

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