



# Systemic immune microenvironment and regulatory network analysis in patients with lung adenocarcinoma

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**Background:** This study applied a complex bioinformatics analysis to explore the hub regulators and immune network to further elucidate the molecular mechanisms of lung adenocarcinoma (LUAD) immune regulation.

**Methods:** LUAD immunological microenvironment features and microenvironment-related differential expression genes (DEGs) were identified by ESTIMATE algorithm and linear models for microarray analyses (LIMMA), respectively. CIBERSORT and Igraph algorithms were applied to construct the LUAD-related immunocyte infiltration and regulatory network. Kaplan-Meier survival analysis, and univariate and multivariate Cox analysis were used to predict independent risk factors and screen for the hub genes. In addition, hub genes-correlated gene set enrichment analysis (GSEA), tumor mutation burden (TMB), and clinic pathological relation analyses were also performed.

**Results:** Stromal, immune, and microenvironment comprehensive features (ESTIMATE score) were associated with overall survival (OS) in LUAD patients (all,  $P < 0.05$ ). T-cell activation, chemokine activity, and immune effect or dysfunction gene ontology maps were associated with the LUAD immune microenvironment. The immune infiltration cell subtypes mast cells (masT-cells) resting [The Cancer Genome Atlas (TCGA):  $P = 0.01$ ; Gene Expression Omnibus (GEO):  $P = 1.79 \times 10^{-5}$ ] and activated T-cells (CD4 memory) (TCGA:  $P < 0.01$ ; GEO:  $P = 8.52 \times 10^{-5}$ ) were found to have an important role in the immune cell regulatory network. Finally, *ITGAL* [univariate hazard ratio (HR) = 0.80, 95% confidence interval (CI): 0.69–0.93,  $P < 0.01$ ; multivariate HR = 0.59, 95% CI: 0.40–0.86,  $P = 0.01$ ] and *KLRB1* (univariate HR = 0.78, 95% CI: 0.69–0.89,  $P < 0.01$ ; multivariate HR = 0.72, 95% CI: 0.58–0.90,  $P < 0.01$ ) were correlated with the T-cell receptor signaling pathway and anaplastic lymphoma kinase (ALK) fusion (*ITGAL*:  $P = 0.034$ ; *KLRB1*:  $P = 0.050$ ), and were considered as candidate biomarkers. A significant relation between *KLRB1* expression level and TMB ( $P = 3.6 \times 10^{-5}$ ) was identified, while no relation was detected for *ITGAL* ( $P = 0.11$ ).

**Conclusions:** The T-cell activation and activated T-cell (CD4 memory) pathways were predominantly involved in LUAD immune microenvironment regulation. The expression levels of *ITGAL* and *KLRB1* were significantly correlated with the T-cell receptor signaling pathway and LUAD TMB, and were independent risk factors for OS.

**Keywords:** Lung adenocarcinoma (LUAD); immunological microenvironment features; T-cell activation; tumor mutation burden (TMB); immunoregulation

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## Introduction

Lung cancer is a relatively common malignancy, and global cancer statistics indicate that the incidence is increasing yearly (1,2). In the United States in 2019 there were approximately 116,000 newly diagnosed lung cancers in females and 112,000 in males (1). However, with advances of medical technology, delay-adjusted incidence rates in males decreased 2.9% from 2008 to 2015, and decreased 1.5% in females from 2006 to 2015 (3). Mortality rates also decreased for lung cancer patients: from 2012 to 2016 the mortality rate decreased 4.3% for males and 3.1% for females (1). In countries with more advanced healthcare and education systems, there has been a decline in the incidence of new lung cancer cases of about 3% annually from 2008 through 2016. In addition, data has suggested that lung cancer-specific survival has improved in large part due to advances in immune-targeted treatments (4).

The use of immunotherapies, including those targeted at anti-cytotoxic T-lymphocyte associated protein 4 (CTLA-4) and programmed death-1 (PD-1) and its ligands (PD-L1 and PD-L2), has become an important treatment for many solid tumors, including lung cancer. Nishio *et al.* demonstrated that treatment with pembrolizumab, a humanized monoclonal antibody against PD-1, was well-tolerated and improved progression-free survival (PFS) and overall survival (OS) in Japanese patients (5). Quiox *et al.* reported that treatment with TG4010, an immunosuppressive agent targeting CD16, CD56, and CD69 triple-positive activated lymphocytes (TrPAL), combined with chemotherapy, improved PFS of patients with advanced non-small cell lung cancer (NSCLC) (6). Hui *et al.* also reported that pembrolizumab improved long-term OS in patients with advanced NSCLC (7).

Emerging evidence has revealed a close relation between immune system dysfunction and NSCLC, and immune checkpoint inhibitors are considered as a second-line treatment option for patients who have failed chemotherapy (8). However, the significant heterogeneity had revealed between the lung adenocarcinoma (LUAD) and lung squamous cell carcinoma (LUSC) in term of their genomic and clinical attributes. For example, the subtype and prediction model constructed based on gene expression can accurately assess the response to the checkpoint of CD274 (programmed death ligand 1) in patients with LUSC and LUAD (9). Studies of the effectiveness of immunotherapies have shown the importance of interactions between the tumor microenvironment and cancer cell heterogeneity (10). Identifying the truly cancer-specific biomarkers or

neoantigens can help to elucidate the immune adaptation, cancer cells escape, and thus is important to develop the individualized therapy. Especially, LUAD can be considered as a treatable cancer if targeting to the driver biomarkers (3).

Thus, this study applied a complex bioinformatics analysis to explore the hub regulators and immune network, and to further elucidate the molecular mechanisms of LUAD immune regulation.

## Methods

### *Analysis of LUAD immunological microenvironment features*

In order to determine tumor purity and the degree of immune infiltration, the ESTIMATE algorithm (<https://bioinformatics.mdanderson.org/estimate/>) and gene expression signatures was used (11). The algorithm calculates a stromal and immune score of each tissue sample which predicts tumor stromal and immune infiltration, and then produces the ESTIMATE score, a comprehensive score reflecting the tumor microenvironment, which reflects the tumor purity (11). The RNA-seq fragments per kilobase of exon per million fragments mapped reads (FPKM) from The Cancer Genome Atlas (TCGA)-LUAD project were downloaded using the R Package “TCGA biolinks” (12). Raw Affymetrix microarray data of GSE31210 was downloaded from Gene Expression Omnibus (GEO; <http://www.ncbi.nlm.nih.gov/geo/>) (13). The download included data of 246 tumor samples, including 11 ALK fusion tumor samples, 20 KRAS mutation samples, 127 epidermal growth factor receptor (EGFR) mutation samples, and 68 EGFR/KRAS/ALK tumor samples. In addition, the GSE31210 dataset matching the GPL570-Affymetrix Human Genome U133 plus 2.0 Array platform (Affymetrix, Santa Clara, CA, USA) was also downloaded. For each sample, the stromal score, immune score, and TCGA-LUAD and GSE31210 estimated scores were calculated using the ESTIMATE algorithm in the “ESTIMATE” R package. Kaplan-Meier survival analysis using the stromal, immune, and ESTIMATE scores was performed using the “survminer” package, and an optimal cutoff point for each variable was calculated using the “maxstat” algorithm. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

### *Data processing and identification of co-differentially expressed genes*

Based on the cutoff point of ESTIMATE score, TCGA-

LUAD and GSE31210 tumor samples were divided into a higher risk group and a lower risk group. In order to facilitate comparative analysis, FPKM data were transformed into transcripts per kilobase million (TPM) (14,15), using the formula:

$$TPM_i = \left( \frac{FPKM_i}{\sum_j FPKM_j} \right) \times 10^6 \quad [1]$$

where  $i$  is total exon fragments and  $j$  is mapped reads. Differential expression analysis of TPM normalized TCGA-LUAD data was performed using the “DESeq2” R package (16). Raw GSE31210 data were subjected to background correction, quantile normalization, and Log2 transformation of the expression level, then a linear model for microarray analyses (LIMMA) algorithm was used to identify differential expressed genes (DEGs) (17). Importantly, the raw data were background-adjusted, normalized, and log-transformed using the robust multi-array average (RMA) method, and missing values in the microarray dataset were calculated using the K-Nearest Neighbor (KNN) classifier method. For multiple probes mapped to the same gene symbol, the average value was considered the gene expression value (17,18). For statistical effectiveness, the P value of the false discovery rate (FDR) was adjusted using the Benjamini-Hochberg method, and subsequently used to detect the gene expression fold-change (FC). In this study, genes satisfying the standard criterion of  $|\log_2 FC| > 1.5$  and adjusted P value  $< 0.05$  in both the TCGA-LUAD project and microarray dataset were considered DEGs (16-18). Venn diagrams were drawn, and intersections of DEGs from the TCGA-LUAD project and microarray dataset were considered co-DEGs.

### ***Gene function enrichment analysis***

Gene Ontology (GO) functional enrichment analysis was performed using “GO plot”, “Annotation Hub”, and “Cluster Profiler” of the R package (19,20). These components of R package allow extraction of biological process (BP), molecular function (MF), and cellular composition (CC) among DEGs from large lists of genomic studies and databases (19,20). GO terms associated with a value of  $P < 0.05$  were considered to be significantly enriched.

After the identification of co-DEGs, extensive functional enrichment analysis was performed using the Metascape online database tool (<http://metascape.org/gp/index>.

<http://main/step1>) and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway networks were constructed using cutoff criteria of  $P < 0.05$  and enrichment score (ES)  $> 3.0$  (21). Cytoscape software (V3.5.1; <http://cytoscape.org/>) was used to visualize and evaluate interactions of the KEGG pathway networks (22).

### ***Identification of candidate biomarkers***

After extracting enriched genes from significant KEGG pathways, an automatic Kaplan-Meier survival analysis based on the “maxstat” algorithm was performed to visualize and evaluate prognostic effects and identifying the hub gene for the subsequent analysis. Genes enriched in KEGG pathway networks with a survival analytical  $P < 0.05$  were considered candidate genes. Univariate and multivariate Cox regression analyses were used to examine the associations of candidate genes combined with clinical and pathological factors (stromal score, immune score, ESTIMATE score, pathological stage, smoking history, primary tumor, lymph node status, distant metastasis status) and OS of patients from the TCGA-LUAD database. Different from the Kaplan-Meier survival log-rank test, Cox regression analysis allows predicting the effect (hazard) of risk variables as follows:  $H_{(t)} = H_{0(t)} \times \exp(b_1 X_1 + b_2 X_2 + \dots + b_k X_k)$ . Here,  $X_1 \dots X_k$  are considered as predictor variables, and  $H_{0(t)}$  is the baseline effect at the time point  $t$ . Factor with a value of  $P < 0.05$  were defined as significant risk factors.

### ***Immunocyte infiltration and regulatory network analysis***

To characterize the prognosis associated with LUAD immune cell subsets, CIBERSORT estimate software was used to quantify the immune cell fractions of the gene expression matrices derived from LUAD samples. The advantage of the CIBERSORT algorithm is that it uses the v-support vector regression (v-SVR) method to reduce data noise from high-dimensional genomic matrices owing to multicollinearity, and thus accurately captures the infiltration of various immune cell subtypes (23). Subsequently, an automatic Kaplan-Meier survival analysis of immune cell subtypes was constructed to detect the cell populations significantly associated with survival and prognosis. To increase the credibility of the results, analyses were performed using immune cell subsets from TCGA-LUAD and GEO-LUAD tissue expression profiles, and common immune cell subtypes with a significant prognostic

value were extracted. Spearman correlation analysis was used to examine correlations of immune cell subtypes, and P values were calculated. Based on the correlation values, immune cell association networks were constructed using a graph algorithm (Igraph; <https://github.com/igraph>).

### ***Gene set enrichment analysis (GSEA) and detection of tumor mutation burden (TMD)***

GSEA, based on Molecular Signature Database gene sets, was performed to identify hub gene correlated regulatory pathways involved in LUAD pathogenesis. Here, GSEA analysis stratified by the cut-point of each hub gene expression level calculated by the “maxstat” algorithm. Based on weighted Kolmogorov-Smirnov-like statistics, ES and normalized enrichment scores (NES) were calculated to reflect the correlation of gene sets with phenotype (24).

To gain further insight into the TMB involved in LUAD pathogenesis in response to hub genes expression, somatic mutation analysis was performed based on the Wilcoxon signed-rank test. The somatic variants of TCGA-LUAD 567 tumor samples were obtained from “TCGA biolinks” as the raw mutation count. For estimates, the files were aligned to the genome of hg38 GRCh38 (25).

### ***Validation of hub gene expression and clinical-pathological variables***

The expression profiling of TCGA-LUAD with the different levels of the immune score, stromal score, and ESTIMATE score were used to validate the candidate biomarkers; the KRAS/EGFR mutation, pathological stage, and tissue differential expression. In addition, hub gene expression levels and corresponding clinical features associated with ALK fusion and KRAS/EGFR mutations were extracted from the GSE31210 dataset and included in further differential analysis.

### ***Statistical analysis***

To sum up the statistical methods being mentioned in previous analyses. No descriptive statistics were used. Kaplan-Meier survival analysis and log-rank test was used to compare the OS among subgroups stratified by a single variable. Univariate and multivariate Cox proportional hazards models were used to investigate factors associated with OS. All independent variables were entered into both univariate and multivariate models, and variables which

were significant in both univariate and multivariate models were considered factors associated with OS, and associations were reported as hazard ratio (HR) and 95% confidence interval (CI). Pearson and Spearman correlation coefficients were calculated, and used to determine the degree of correlation between variables. For the GSEA analysis, ES, NES, FDR, and q-value were reported. The statistical significance level for all tests was set at a two-tailed value of  $P < 0.05$ . All analyses were done using R version 3.5.2 statistical software.

## **Results**

### ***LUAD immunological microenvironment features***

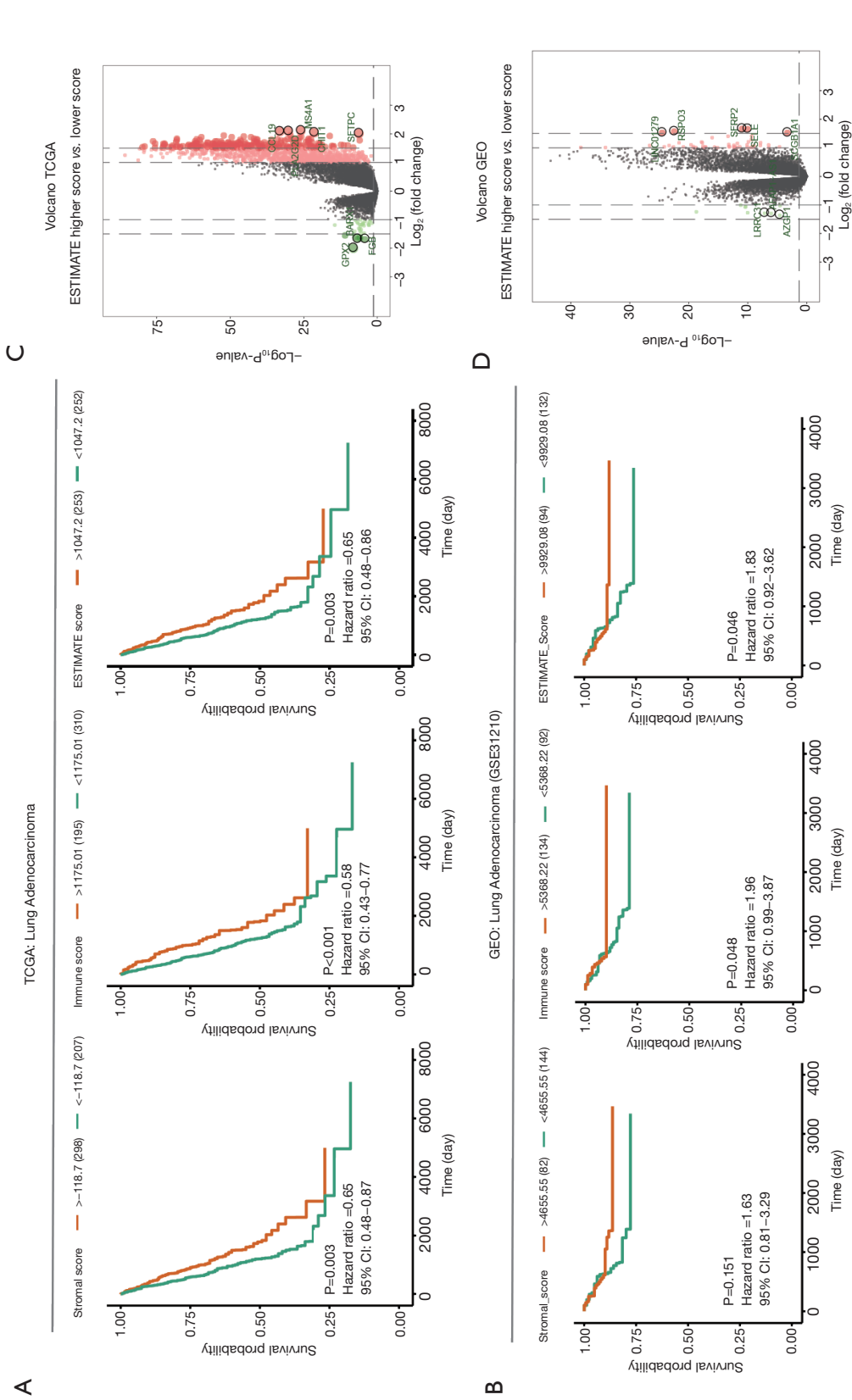
Kaplan-Meier survival analyses were performed using TCGA-LUAD and GEO-LUAD data for determination of LUAD microenvironment score (table available at: <https://cdn.amegroups.cn/static/public/tcr-20-2275-1.pdf>) (Figure S1). The results showed that immunological microenvironment features including the stromal score (TCGA: HR =0.65,  $P=0.003$ ; GEO: HR =1.63,  $P=0.151$ ), immune score (TCGA: HR =0.58,  $P < 0.001$ ; GEO: HR =1.96,  $P=0.048$ ), and ESTIMATE score (TCGA: HR =0.65,  $P=0.003$ ; GEO: HR =1.83,  $P=0.046$ ) were positively correlated with a better prognosis of patients with LUAD (Figure 1A,B).

### ***Differential microenvironment score-related differential gene detection***

Based on the cutoff points using ESTIMATE score and prognostic information, and Linear Models for Microarray Data (LIMMA) powers differential expression analyses, 250 DEGs (7 down-regulated, 243 up-regulated) were identified in the comparison between higher ESTIMATE score and lower ESTIMATE score samples in TCGA-LUAD patients. In GEO-LUAD patients, 511 DEGs were identified (102 down-regulated, 409 up-regulated) (Figure 1C,D and table available online: <https://cdn.amegroups.cn/static/public/tcr-20-2275-2.pdf>).

### ***DEG functional enrichment analysis***

The GO enrichment analysis results of DEGs identified in the TCGA-LUAD group are as follows: (I) with respect to ESTIMATE score-related DEGs, the BP maps included T-cell activation (gene count =46;  $P=3.20e-27$ ), leukocyte cell-cell adhesion (gene count =36;  $P=4.03e-25$ ), and regulation of leukocyte cell-cell adhesion (gene count =33;



**Figure 1** Overall survival analysis and immunological microenvironment-related DEGs detection for LUAD immunological microenvironment features. (A,B) The Kaplan-Meier survival analysis including the stromal, immune, and ESTIMATE scores using TCGA-LUAD and GEO-LUAD data. (C,D) Volcano plots showing the immunological microenvironment-related DEGs of TCGA-LUAD and GEO-LUAD data. TCGA, The Cancer Genome Atlas; LUAD, lung adenocarcinoma; GEO, Gene Expression Omnibus.

$P=2.20e-23$ ). Based on the BP network, 3-block clusters of T-cell activation related to immune inflammatory response, leukocyte proliferation, and regulation of immune effectors processes were detected. (II) The MF maps of significantly enriched in immune microenvironment-related DEGs included chemokine activity (gene count =12;  $P=2.79e-13$ ), chemokine receptor binding (gene count =13;  $P=5.76e-13$ ), and signaling pattern recognition receptor activity (gene count =8;  $P=7.77e-08$ ). (III) The CC maps of significantly enriched in immune microenvironment-related DEGs included the external side of the plasma membrane (gene count =44;  $P=3.78e-33$ ), specific granule (gene count =14;  $P=3.10e-09$ ), and collagen trimer (gene count = 8;  $P=5.54e-06$ ) (Figure 2A,B,C and table available online: <https://cdn.amegroups.cn/static/public/tcr-20-2275-3.pdf>).

In summary, the DEGs analysis indicated that immune microenvironment-related DEGs are predominantly involved in the regulation of T-cell activation, chemokine activity, and immune effector dysfunction.

#### Identifying candidate biomarkers from immunological microenvironment feature

A total of 83 co-DEGs were identified in the TCGA-LUAD and GEO-LUAD groups (Figure 2D). Metascape analysis indicated that the primary areas of enrichment were immunodeficiency (gene count =4;  $P=8.0e-06$ ), cell adhesion molecules (CAMs) (gene count =7;  $P=7.0e-07$ ), NF-kappa B signaling pathway (gene count =6;  $P=2.0e-05$ ), tumor necrosis factor (TNF) signaling pathway (gene count =3;  $P=0.002$ ), and chemokine signaling pathway (gene count =12;  $P=1.0e-12$ ) (Figure 2E). A visualization of the significant interactions among these pathways is shown in table available online: <https://cdn.amegroups.cn/static/public/tcr-20-2275-4.pdf>.

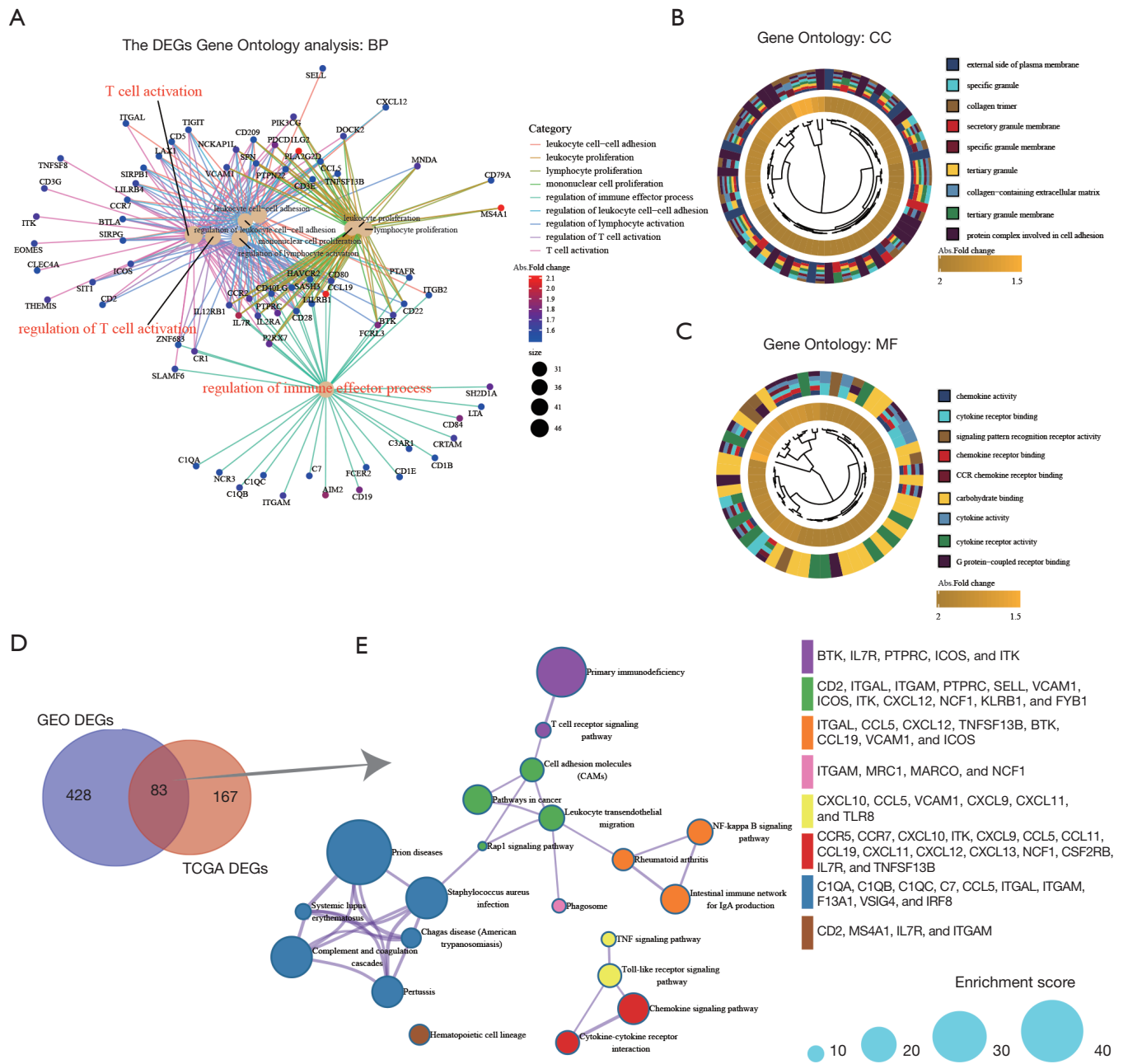
A total of 36 co-DEGs considered key regulatory genes were identified in the KEGG pathway enrichment network results ( $P<0.05$  and  $ES >3.0$ ). To access potential biomarkers targeting immunological microenvironment feature and their value for predicting survival LUAD, we performed Kaplan-Meier survival analysis using TCGA-LUAD data. Eight genes were found to be significantly correlated with prognosis: tyrosine-protein kinase (*ITK*; HR =1.34,  $P=0.046$ ), neutrophil cytosol factor 1 (*NCF1*; HR =1.4,  $P=0.028$ ), macrophage receptor (*MARCO*; HR =1.49,  $P=0.007$ ), cytokine receptor common subunit beta (*CSF2RB*; HR =1.37,  $P=0.031$ ), integrin alpha-L (*ITGAL*; HR =1.41,  $P=0.019$ ), killer cell lectin-like receptor subfamily B member

1 (*KLRB1*; HR =1.39,  $P=0.026$ ), chemokine ligands-11 (*CCL11*; HR =0.71,  $P=0.03$ ), and v-set and immunoglobulin domain-containing protein-4 (*VSIG4*; HR =1.41,  $P=0.039$ ) (Figure 3).

Univariate and multivariate Cox regression analysis was performed to identify variables associated with OS, the 8 candidate genes, and clinicopathological characteristics in the TCGA-LUAD group. Significant factors included pathologic stage (univariate HR =1.64, 95% CI: 1.40–1.92,  $P<0.01$ ; multivariate HR =1.61, 95% CI: 1.04–2.49,  $P=0.03$ ), pathological tumor stage (univariate HR =1.72, 95% CI: 1.39–2.13,  $P<0.01$ ; multivariate HR =1.48, 95% CI: 1.10–1.89,  $P<0.01$ ), *ITGAL* (univariate HR =0.80, 95% CI: 0.69–0.93,  $P<0.01$ ; multivariate HR =0.59, 95% CI: 0.40–0.86,  $P=0.01$ ), and *KLRB1* (univariate HR =0.78, 95% CI: 0.69–0.89,  $P<0.01$ ; multivariate HR =0.72, 95% CI: 0.58–0.90,  $P<0.01$ ) (Table 1). As the hub genes, *ITGAL* higher expression was significantly correlated with poorer OS (HR =1.41; 95% CI: 1.05–1.89;  $P=0.019$ ), as was *KLRB1* higher expression (HR =1.39; 95% CI: 1.03–1.86;  $P=0.026$ ) (Figure 3).

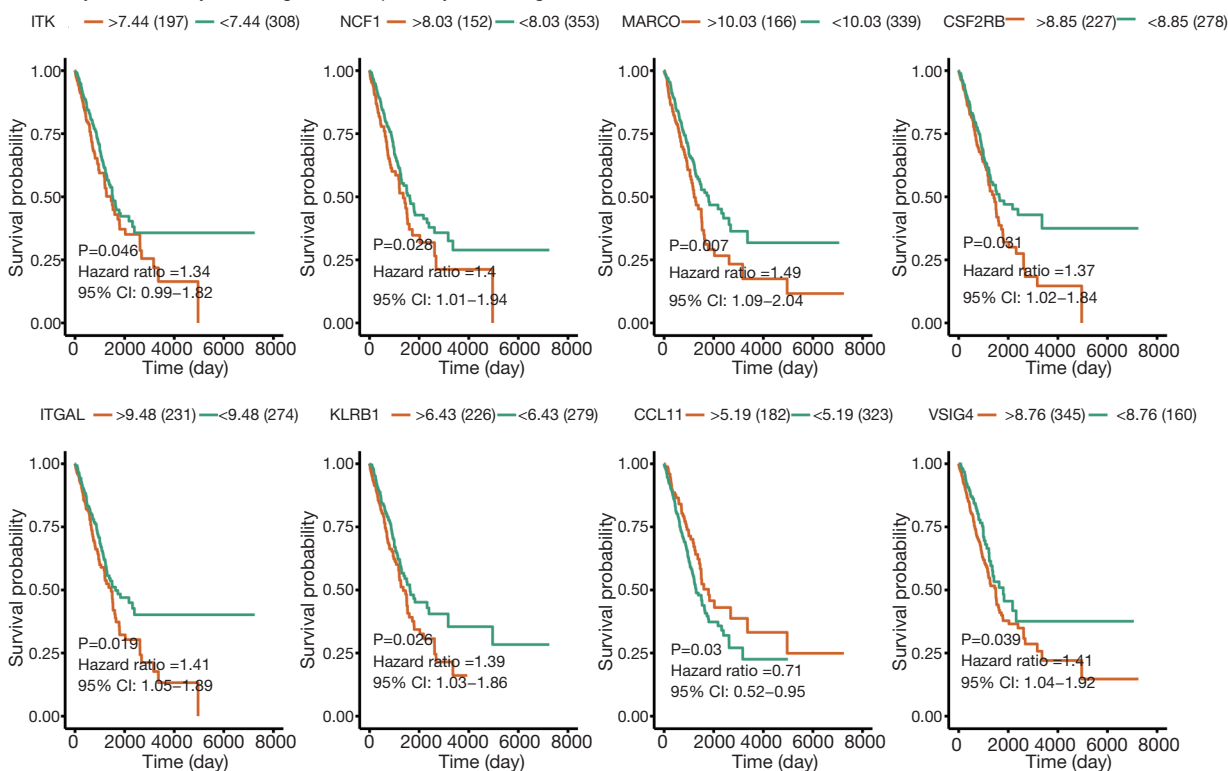
#### Construction of the immune cell regulatory network

After filtering the relative values of immune cell infiltration, supervised clustering analysis was applied to all TCGA-LUAD and GEO-LUAD samples. The comprehensive landscape interactions of tumor-immune regulatory networks involved in OS and Pearson correlation analysis coefficient are calculated according to the HR and weighted coefficient index from the comprehensive evaluation. The distinct subtypes involved in ESTIMATE score and landscape interactions are illustrated in plots for the TCGA-LUAD group (Figure 4A,B) and GEO-LUAD group (Figure 4C,D). Based on the effects on the OS of TCGA-LUAD and GEO-LUAD patients, key regulators were found to be infiltration subtypes of mast T-cells resting (TCGA-LUAD HR =0.66, 95% CI: 0.42–1.01,  $P=0.038$ ; GEO-LUAD HR =0.34, 95% CI: 0.17–0.68,  $P=0.008$ ), and activated T-cells (CD4 memory) (TCGA-LUAD HR =1.55, 95% CI: 1.05–2.29,  $P=0.026$ ; GEO-LUAD HR =2.3, 95% CI: 1.17–4.52,  $P=0.017$ ) (Figure 4E,F; Figure S1). In addition, immune infiltration subtypes mast cells (masT-cells) resting (TCGA-LUAD HR =0.01, 95% CI: 0.01–3.04, log-rank  $P=0.01$ , weighted coefficient index =1.97; GEO-LUAD HR =1.88e-07, 95% CI: 5.07e-13 to 0.07, log-rank  $P=1.79e-05$ , weighted coefficient index =4.75), and activated T-cells (CD4 memory) (TCGA-LUAD HR



**Figure 2** Detection of Gene Ontology (GO) terms and Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway network with regards to LUAD immunological microenvironment. (A,B,C) The terms, including biological process (BP), molecular function (MF), and cellular composition (CC), were analyzed by the GO plot algorithm based on TCGA-LUAD DEGs. The bar color represents the absolute value of fold-change, the size of the dots represents the gene counts of enriched terms. (D) Venn diagram illustrating the co-DEGs among the TCGA-LUAD and GEO-LUAD DEGs. (E) The KEGG pathway network was identified using the MetaScape database. The size of the dots represents the enrichment score. TCGA, The Cancer Genome Atlas; LUAD, lung adenocarcinoma; GEO, Gene Expression Omnibus.

Survival analysis: to identify the hub genes from pathway enriched genes



**Figure 3** The Kaplan-Meier survival analysis of candidate genes for TCGA-LUAD dataset. Eight genes (*ITK*, *NCF1*, *MARCO*, *CSF2RB*, *ITGAL*, *KLRB1*, *CCL11*, and *VSIG4*) were significantly correlated with LUAD patient prognosis. TCGA, The Cancer Genome Atlas; LUAD, lung adenocarcinoma.

=896.32, 95% CI: 0.59–1,372,396.37, log-rank  $P < 0.01$ , weighted coefficient index =4.16; GEO-LUAD HR =0.40, 95% CI: 0.01–5945.07, log-rank  $P = 8.52 \times 10^{-5}$ , weighted coefficient index =4.07) were also found to have important effects on the LUAD-related immune cells interaction network (table available online: <https://cdn.amegroups.cn/static/public/tcr-20-2275-5.pdf>).

### GSEA and detection of TMB

GSEA of low- and high-expression levels of *ITGAL* and *KLRB1* revealed the following: (I) the maps of the T-cell receptor signaling pathway (ES =0.75, NES =2.19, FDR q-value =0.013) and B-cell receptor signaling pathway (ES =0.7, NES =2.1, FDR q-value =0.011) were correlated with higher *ITGAL* expression, while the citrate cycle TCA cycle (ES =-0.62, NES =-2.05, FDR q-value =0.023) and RNA polymerase (ES =-0.59, NES =-1.97, FDR q-value =0.024) were correlated with lower *ITGAL* expression. (II) The maps of T-cell the receptor signaling pathway

(ES =0.73, NES =2.07, FDR q-value =0.018) and FC epsilon Ri signaling pathway (ES =0.67, NES =2.04, FDR q-value =0.027) correlated with higher *KLRB1* expression, while the excision repair (ES =-0.65, NES =-1.96, FDR q-value =0.047) and splice some (ES =-0.48, NES =-1.89, FDR q-value =0.040) were correlated with lower *KLRB1* expression (Figure 5A; table available online: <https://cdn.amegroups.cn/static/public/tcr-20-2275-6.pdf>). Thus, based on the overall results of GO enrichment, immune cell regulatory network, and GSEA analyses, the map of the T-cell receptor signaling pathway was selected as the most important regulatory pathway. The expression level of enriched genes (including *ITGAL* and *KLRB1*) in the T-cell receptor signaling pathway are shown in Figure 5B.

TMB has been reported as a clinically validated biomarker involved in anti-tumor immunity that drives T-cell responses. As shown in Figure 5C and table available online: <https://cdn.amegroups.cn/static/public/tcr-20-2275-7.pdf>, there was a significant difference between *KLRB1* higher expression and lower expression ( $P = 3.6 \times 10^{-5}$ ), while



**Table 1** Univariate and multivariate Cox regression analysis of factors associated with overall survival in LUAD patients

Variable	Univariate regression		Multivariate regression	
	HR (95% CI)	P value	HR (95% CI)	P value
Age	0.95 (0.67–1.35)	0.79	1.27 (0.87–1.84)	0.22
Pathological stage	1.64 (1.40–1.92)	<0.01	1.61 (1.04–2.49)	0.03
Smoking history	1.05 (0.90–1.23)	0.50	1.09 (0.92–1.30)	0.31
Pathological metastasis	2.00 (1.12–3.56)	0.02	0.58 (0.20–1.72)	0.32
Pathological lymph node	1.78 (1.46–2.18)	<0.01	1.15 (0.80–1.66)	0.46
Pathological stage	1.72 (1.39–2.13)	<0.01	1.48 (1.10–1.89)	0.01
<i>CCL11</i>	1.00 (0.92–1.10)	0.87	0.98 (0.86–1.11)	0.76
<i>CSF2RB</i>	0.88 (0.77–1.01)	0.07	0.78 (0.55–1.11)	0.17
<i>ITGAL</i>	0.80 (0.69–0.93)	<0.01	0.59 (0.40–0.86)	0.01
<i>ITK</i>	0.85 (0.75–0.96)	<0.01	1.35 (0.99–1.83)	0.06
<i>KLRB1</i>	0.78 (0.69–0.89)	<0.01	0.72 (0.58–0.90)	<0.01
<i>MARCO</i>	0.98 (0.90–1.06)	0.65	1.14 (0.96–1.36)	0.15
<i>NCF1</i>	0.90 (0.80–1.01)	0.10	1.22 (0.89–1.66)	0.22
<i>VSIG4</i>	0.97 (0.87–1.08)	0.61	0.86 (0.65–1.13)	0.28

LUAD, lung adenocarcinoma; HR, hazard ratio; CI, confidence interval.

no statistical difference was detected in *ITGAL* expression level (P=0.11).

### Correlation of hub gene expression levels and clinicopathological variables

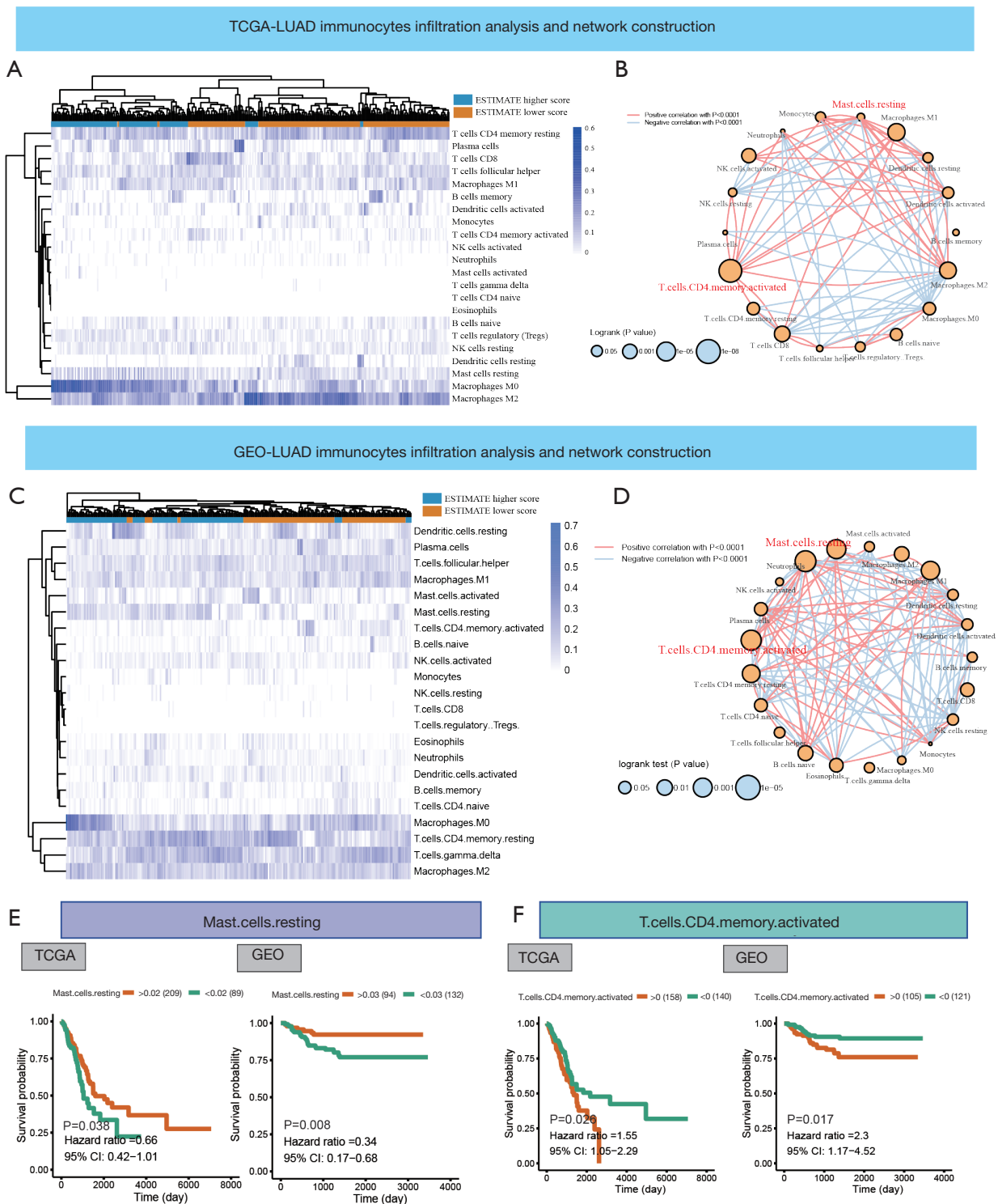
As shown in Figure 5D,E, decreased expression of *ITGAL* and *KLRB1* was significantly correlated with lower ESTIMATE, immune, and stromal scores (all, P<0.001), as well as correlated with clinical stage (*ITGAL*: stage I vs. stage III, P=0.042, stage I vs. stage IV, P=0.028; *KLRB1*: stage I vs. stage III, P=0.038, stage I vs. stage IV, P=0.005). There was a significant difference between cancer and para-cancer samples in both *ITGAL* and *KLRB1* genes (all, P<0.001; Figure 5F). Additionally, reduced *ITGAL* and *KLRB1* gene expression in LUAD samples was significantly associated with ALK fusion (*ITGAL*: P=0.034; *KLRB1*: P=0.050), but not with EGFR and KRAS mutations (Figure 5G,H).

## Discussion

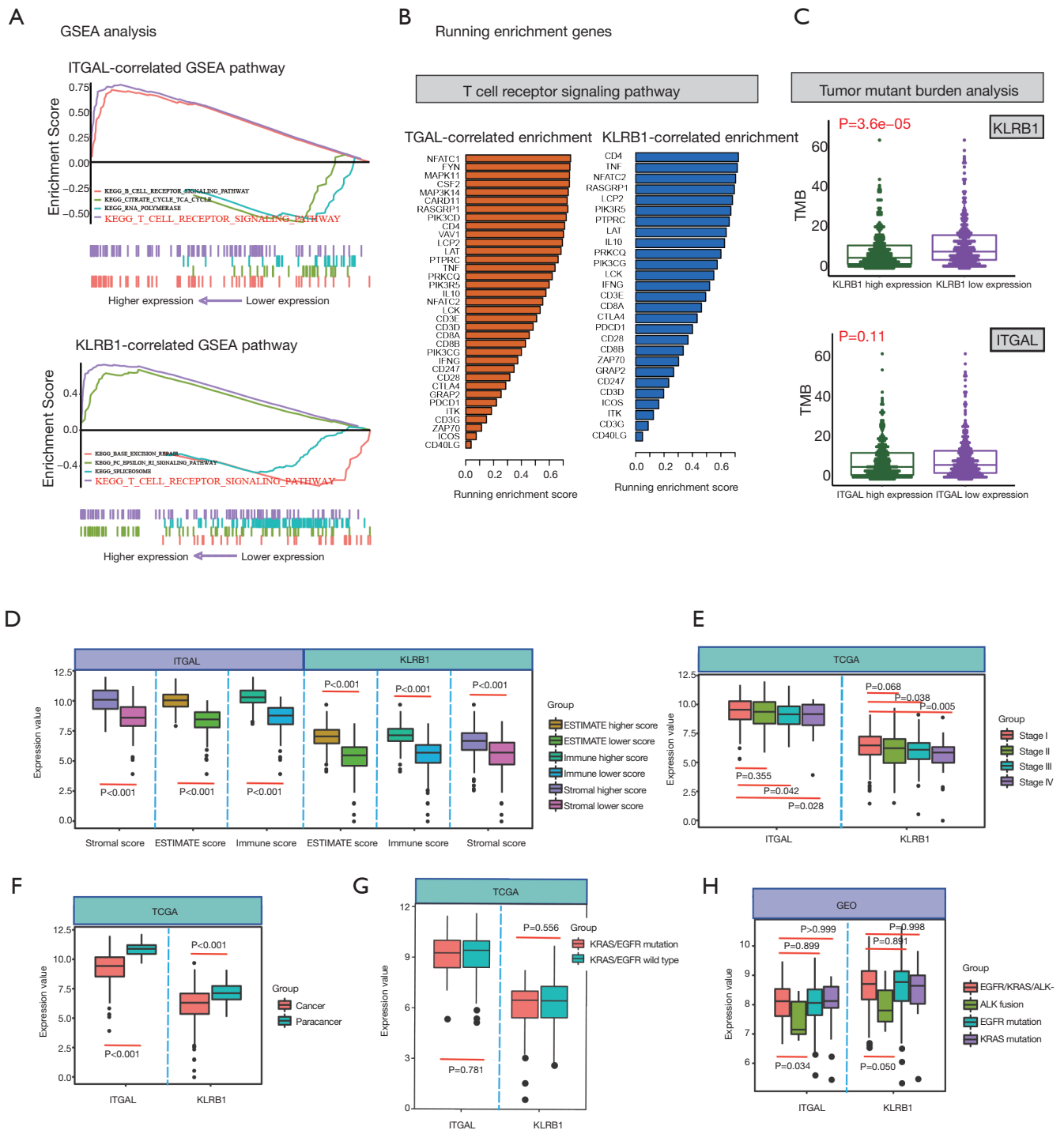
Calculation of the microenvironment features of LUAD

found that the stromal, immune, and ESTIMATE scores were correlated with patient OS. Subsequently, based on the cutoff value of the ESTIMATE score, an indirect index of the immune microenvironment, we identified 250 DEGs in the TCGA-LUAD group based on higher or lower ESTIMATE score, and 511 DEGs in the GEO-LUAD group. In addition, 83 co-DEGs were identified. GO enrichment analysis showed the processes primarily enriched in immune microenvironment-related DEGs were T-cell activation, leukocyte cell-cell adhesion, and regulation of leukocyte cell-cell adhesion. Additionally, primary immunodeficiency, CAMs, NF-kappa B signaling pathway, TNF signaling pathway, and chemokine signaling pathway were primarily enriched in co-DEGs.

After filtering the results by KEGG pathway network criterion, Kaplan-Meier survival analysis and univariate/multivariate Cox regression analysis identified *ITK*, *NCF1*, *MARCO*, *CSF2RB*, *ITGAL*, *KLRB1*, *CCL11*, and *VSIG4* genes as candidate biomarkers, and subsequently *ITGAL* and *KLRB1* were considered predictor genes for LUAD OS. A LUAD-related immune cell regulatory network based on the OS of TCGA-LUAD and GEO-LUAD patients was constructed, and the immune infiltration subtypes of



**Figure 4** Immunocyte infiltration analysis and regulatory network construction. (A,B) The immunocyte infiltration and the regulatory network analysis of TCGA-LUAD data. (C,D) The immunocyte infiltration and the regulatory network analysis of GEO-LUAD data. The dot size represents the log-rank P-value of the immune cell interaction network. (E,F) Kaplan-Meier survival analysis of masT-cells resting and activated T-cells (CD4 memory). TCGA, The Cancer Genome Atlas; LUAD, lung adenocarcinoma; GEO, Gene Expression Omnibus; masT-cells, mast cells.



**Figure 5** The Gene set enrichment analysis (GSEA), tumor mutation burden, and clinic-pathological relevance analysis of *ITGAL* and *KLRB1* genes. (A) *ITGAL*- and *KLRB1*-correlated GSEA pathways. (B) Running enrichment genes of the *ITGAL*- and *KLRB1*-correlated T-cell receptor signaling pathway. (C) Tumor mutation burden analysis for *ITGAL* and *KLRB1* gene expression levels. (D) Hub genes expression difference analysis in response to the level of stromal, immune, and ESTIMATE infiltration. (E) Hub genes expression difference analysis in response to LUAD clinical stage. (F) Hub genes expression difference analysis among cancer and para-cancer tissue. (G,H) Hub genes expression difference analysis based on KRAS/EGFR mutation and ALK fusion mutation status.

mas T-cells resting and activated T-cells (CD4 memory) was identified. Correlation with GSEA enrichment results, the T-cell receptor signaling pathway was found to be primarily correlated with *ITGAL* and *KLRB1* higher expression, and *KLRB1* expression level was correlated with LUAD patient TMB. In addition, the expression level of both *ITGAL* and *KLRB1* was correlated with clinicopathological features and ALK fusion.

After many relevant studies, immune-cancer dysfunction has become an accepted concept and immune checkpoint therapy (ICT) has become a common treatment for NSLSC (26). Due to tumor heterogeneity, LUAD patients can detect tumor markers for ICT (27). The anti-tumor function of peripheral T-cells, which shuttle back and forth between the tumor and systemic circulation, has received attention recently (28). Profiling of peripheral blood T-cell receptors has been found to have predictive value with respect to the prognosis of patients with advanced lung cancer (29). Immunotherapies, which are at the forefront of lung cancer therapeutics, are directed towards boosting host anti-tumor immunity, and T-cells play an important role (30). Zhang *et al.* showed that the high infiltration of T-cell presence in the tumor microenvironment is correlated to chemo-resistance in mesenchymal lung cancer cells (31). Furthermore, a recent study showed that targeting the DNA damage response promotes anti-tumor immunity through STING-mediated T-cell activation in small cell lung cancer (32).

*ITGAL* encodes the LFA-1 (aLb2) subunit of integrin, which is highly expressed in microglia, the spleen, bone marrow, and most immune cell populations (33). The results of the current study suggests that inherited variations of *ITGAL* can affect the expression level or function of encoded proteins, and thus may be potentially associated with cancer prognosis (34). Similarly, Boguslawska *et al.* showed that the expression signature of *ITGAL* is correlated with poor survival (35). Other study showed that *ITGAL* is involved in regulating triple-negative breast cancer cell migration through regulation of the actin cytoskeleton (36). Vendrell *et al.* also reported that under expression of *ITGAL* was correlated with survival of R0 Dukes B and C colorectal carcinomas patients (37).

The *KLRB1* gene which encodes the CD161 receptor is located on chromosome 12 and is part of the natural killer gene complex (NKC) (38). Abnormal up-regulation of *KLRB1* has been related to inflammatory syndromes, such as cryptococcosis-associated immune reconstitution inflammatory syndrome (39). A meta-analysis revealed a positive association of *KLRB1* gene expression with

favorable outcomes of NSCLC (40). In patients with myocardial infarction *KLRB1* mRNA expression was found to be significantly down-regulated as compared to patients with angina patients and healthy controls (41). Gentles *et al.* found that *KLRB1* mainly reflects the level of leukocytes infiltration in tumor tissues and is a good prognostic gene (42). Another study reported that expression of *KLRB1* on human specific T-cells in the blood and cerebrospinal fluid (CSF) might be related to the compartmentalized inflammatory process in the central nervous system of patients with multiple sclerosis (43).

In summary, we found that the T-cell activation and activated T-cell (CD4 memory) pathways were predominantly involved in LUAD immune microenvironment regulation. The expression levels of *ITGAL* and *KLRB1* were significantly correlated with the T-cell receptor signaling pathway and LUAD TMB, and were independent risk factors for OS.

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### Footnote

**Conflicts of Interest:** All authors have completed the ICMJE uniform disclosure form (available at <https://dx.doi.org/10.21037/tcr-20-2275>). The authors have no conflicts of interest to declare.

**Ethical Statement:** The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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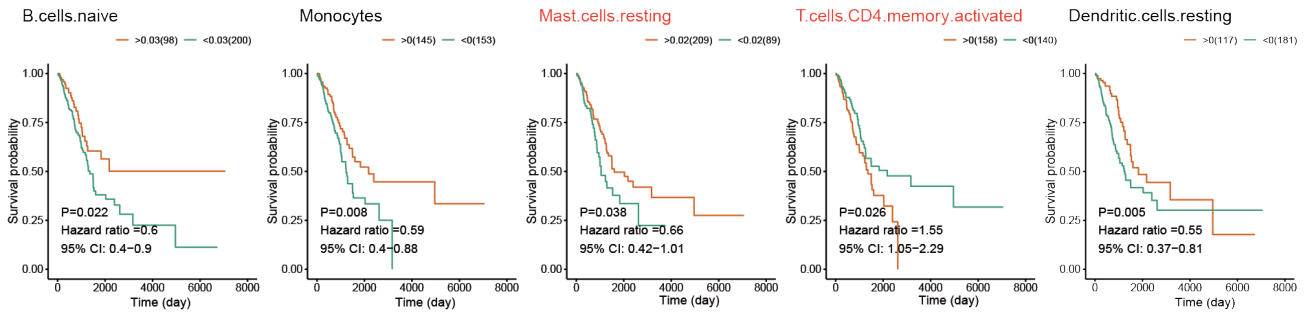
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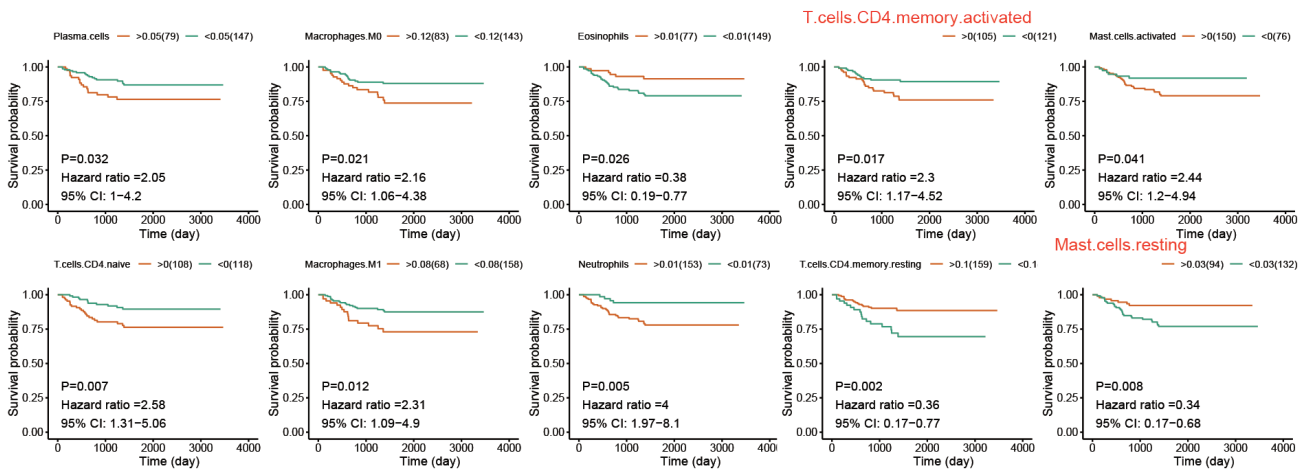
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TCGA-LUAD



GEO-LUAD



**Figure S1** Overall survival analysis of immune cell infiltration for TCGA-LUAD and GEO-LUAD patients. TCGA, The Cancer Genome Atlas; LUAD, lung adenocarcinoma; GEO, Gene Expression Omnibus.