



# Associations of CD34, Ki67, layer of invasion and clinical pathological characteristics, prognosis outcomes in gastrointestinal stromal tumors – a retrospective cohort study

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**Background:** Gastrointestinal stromal tumors (GIST) is the most common interstitial tumor of the digestive tract. GIST, like other malignancies, can recur, metastasize, and even metastasize to the brain, leading to death. Therefore, the prevention and treatment of GIST is very important. The clinical features of GIST are uniquely different to those of other common malignancies. Therefore, it is of great significance to explore the relationship between the pathological features and prognosis of GIST to strengthen the prevention and treatment of GIST. The objectives of this study were to study the clinical features of Ki67, Cluster Differentiation 34 (CD34), and their correlations in the Jianghuai region of China in recent years, and to analyze their relationship with prognosis.

**Methods:** A total of 423 cases of GIST in Northern Jiangsu People's Hospital in Yangzhou from 2013 to 2020 were retrospectively analyzed. The data of CD34, Ki67 and layer of invasion was collected, and their associations with the clinical pathological characteristics, prognosis outcomes of GIST were studied. CD34 and Ki67 were tested by immunohistochemistry (IHC) And data was analyzed by chi-square test, *t*-test, Kaplan-Meier (KM) method survival curve, Log-rank test, and Cox regression.

**Results:** The results showed that CD34 was associated with the clinical features of primary site, tumor size, risk, recurrence, and progression-free survival (PFS) ( $P < 0.001$ ,  $= 0.01$ ,  $< 0.001$ ,  $= 0.039$  and  $= 0.018$ ), but not with nuclear division or overall survival (OS) ( $P > 0.05$ ). Further, Ki67 was associated with nuclear division, tumor size, risk, recurrence, and PFS ( $P < 0.001$ ,  $< 0.001$ ,  $< 0.001$ ,  $< 0.001$  and  $< 0.001$ ), but there was no significant correlation with the primary site and CD34 ( $P > 0.05$ ), and Ki67 was associated with OS, but there was no statistical significance ( $P = 0.0507$ ). The layer of invasion was associated with the primary site, nuclear division, tumor size, risk, CD34, smooth muscle actin (SMA), recurrence, Ki67, and PFS ( $P < 0.001$ ,  $< 0.001$ ,  $< 0.001$ ,  $< 0.001$ ,  $< 0.001$ ,  $< 0.001$  and  $= 0.0025$ ), but not with OS ( $P = 0.6680$ ).

**Conclusions:** CD34, Ki67, and layer of invasion may play important roles in the occurrence and development of GIST, affecting the prognosis of GIST.

**Keywords:** Gastrointestinal stromal tumors (GISTs); CD34; Ki67; layer of invasion

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## Introduction

Gastrointestinal stromal tumor (GIST) is a rare gastrointestinal tumor with an annual global incidence of 1–2 per 100,000. A study has shown an incidence of 2.11 per 100,000 in Shanghai, China (1), and an incidence of 1.8 per 100,000 in Italy (2). GIST is the most common interstitial tumor of the digestive tract (3), which occurs mostly in organs of the digestive tract system such as the stomach (the most common site), small intestine, colorectum, and peritoneum (4). Before 2000, due to the lack of clarity about the molecular mechanism of GIST, our understanding of GIST was insufficient, the treatment methods were relatively few, and the overall prognosis was poor (5). Like other malignancies, GIST can recur, metastasize, and even metastasize to the brain, resulting in death (6). In recent years, with the progress of life sciences, the molecular mechanism of GIST has gradually been recognized, the application of targeted therapy under the guidance of genetic testing in GIST has been promoted, the prognosis of GIST has been greatly improved, the importance of genetic testing has been recognized, and it has played an important role in guiding GIST treatment (7,8). However, in the real world, especially in Asia (9), due to economic and other reasons, genetic testing and drug use may not be readily suitable for clinical trials, especially among low-risk patients, who are reluctant to undergo expensive genetic testing, and even some medium- and high-risk patients who refuse genetic testing and related drug treatment after surgery. In addition to commonly used treatments, including surgery and targeted therapy, the use of radiotherapy in GIST is also being explored (10), and the correlation between treatment and prognosis has also been studied (11).

In recent years, with the development of endoscopic technology, the diagnosis and treatment of GIST has also improved. A Japanese study by Akahoshi *et al.* showed that endoscopic technology played an important role in the early management of GIST (12), and the overall diagnostic rate of submucosal tumors has been shown to be 62.0–93.4% (13,14). Although surgery was previously taken as the main means of pathological investigation, endoscopic technology is more conducive to achieving a comprehensive understanding of the changes in clinical characteristics and immune indicators during the development of GIST and their correlations. At present, there is a lack of authoritative biomarkers to predict the prognosis of GIST patients. To date, the role of risk classification in the development of GIST has been clear (15), but the significance of Cluster

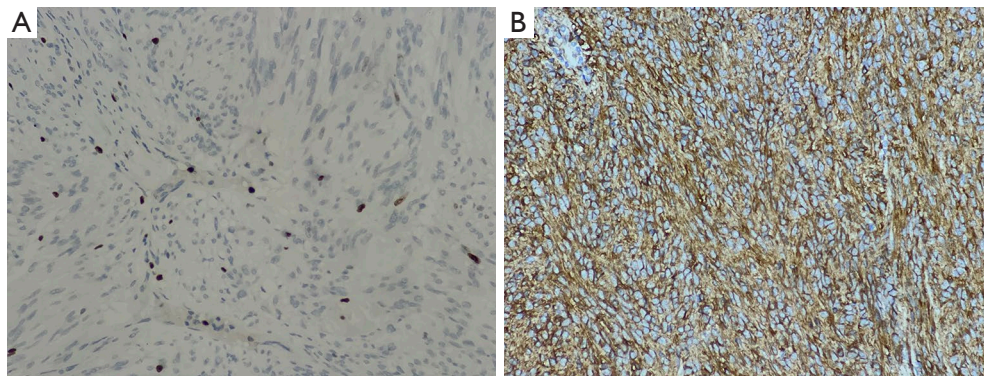
Differentiation 34 (CD34) and Ki67 in GIST has remained controversial (16–18), and the layer of invasion of GIST has rarely been studied. But with advances in endoscopic technology in recent years, there have been more and more cases of endoscopic submucosal dissection (ESD). To gain a more comprehensive understanding of GIST and studied the factors affecting the prognosis, we included cases of combined targeted therapy with ESD surgery or surgery, in order to study its clinical features, immune indicators, and their correlations, and to analyze their role in GIST and their relationship to prognosis. We present the following article in accordance with the REMARK reporting checklist (available at <https://tcr.amegroups.com/article/view/10.21037/tcr-22-1777/rc>).

## Methods

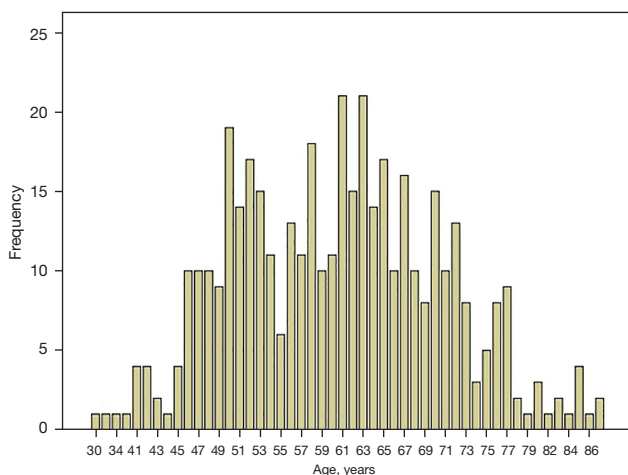
### *Patient selection*

From 2013 to June 2020, 878 patients from the Northern Jiangsu People's Hospital in Yangzhou were selected. A total of 455 cases of GIST were retrospectively enrolled, and the remaining 423 cases were screened according to the exclusion criteria. All patients had confirmed pathologies (including very low-risk, low-risk, medium-risk, and high-risk patients). The exclusion criteria were as follows: (I) concomitant malignancy that affected the progression-free survival (PFS) or overall survival (OS); (II) lost to follow-up; and (III) death within one month after surgery. Among them, 73 cases were treated with ESD, 273 cases were treated with surgery, and 77 cases were treated with surgery and imatinib. The data were derived from patients' case records, or subsequent follow-up reports. There were a few patients for whom individual information was missing, which will be shown in subsequent charts, and all patient information was anonymized. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The ethical approval was waived by the Ethics committee of Northern Jiangsu People's Hospital due to the following reasons: (I) this study was a retrospective observational study; only the clinical data of the patients were analyzed, which could not negatively impact the patients. (II) The authors will protect the information provided by patients from encroaching on their personal privacy. Individual consent for this retrospective analysis was waived.

The last follow-up time was in August 2021, and the survival time was from onset to death or the last follow-up.



**Figure 1** Expression of Ki67 and CD34 in tumor tissue. (A) IHC to detect the expression of Ki67 in tumor tissue in patients with gastrointestinal stromal tumors ( $\times 100$ ); (B) Patients with gastrointestinal stromal tumors IHC CD34 positive ( $\times 100$ ). IHC, immunohistochemistry.



**Figure 2** Distribution of patients' age.

### Evaluation criteria

Evaluate the risk of the patients according to the improved grading criteria of the National Institutes of Health (NIH; Bethesda, MD, USA) (tumor size, primary site, nuclear division, and rupture). Patient progression, including recurrence and metastasis, was confirmed by gastroscopy or CT.

### Immunohistochemistry (IHC)

During surgery, patients' pathological specimens were obtained, and the enzyme chain immunoassay was used to analyze the expression of CD34 and Ki67 in the tumor

tissue. After the specimen was fixed, it was made into wax blocks (stored at room temperature and protected from light), and then unified into 5- $\mu$ m thick specimens and placed on slides. The CD34 and Ki67 staining was processed by an automated staining instrument (Wentana Medical Systems, Tucson, AZ, USA) (Figure 1).

### Statistical analysis

The software SPSS 24.0 (IBM Corp., Armonk, NY, USA) was used for statistics, and  $P < 0.05$  indicated a statistical difference (two-sided). The associations between CD34, Ki67, layer of invasion and clinical pathological characteristics in GIST were analyzed using chi-square test; the association between the layer of invasion and Ki67 was analyzed using chi-square test; and the associations between CD34, Ki67, layer of invasion and prognosis were analyzed Kaplan-Meier (KM) survival curves.

## Results

### General condition of the patients

A total of 423 cases were enrolled, including 202 males and 221 females. Their average age was  $60.59 \pm 10.19$  years (aged from 30 to 87 years), the median age was 61 years (Figure 2).

### Correlation of CD34 with clinical features, PFS, and OS

Among the 423 patients, 21 cases were CD34 negative, and 402 cases were positive. Our study found that CD34 was

**Table 1** Correlation between CD34 and the other factors

Variables	CD34, n		$\chi^2$	P value
	Negative	Positive		
Primary site			57.496	<0.001
Stomach	2	335		
Small intestine	15	36		
Colon/rectum	1	14		
Peritoneum	3	17		
Tumor size (cm)			14.983	<0.001
≤2	0	119		
>2 and ≤5	10	142		
>5 and ≤10	5	101		
>10	6	40		
Risk level			17.788	<0.001
Very low	0	116		
Low	8	130		
Intermediate	2	79		
High	11	77		
Relapsed or not			4.239	0.039
Relapsed	4	22		
Not relapsed	17	380		
Mitotic index (HPF)			1.030	0.310
≤5/50	16	348		
>5/50	5	54		

CD34, Cluster Differentiation 34; HPF, high power field.

associated with clinical features such as primary site, tumor size, risk, and recurrence, and had no significant correlation with nuclear division (*Table 1*). Although CD34 had a significant correlation with PFS, it was not significantly correlated with OS (*Figure 3*).

#### ***Correlation between Ki67 and clinical features, PFS, and OS***

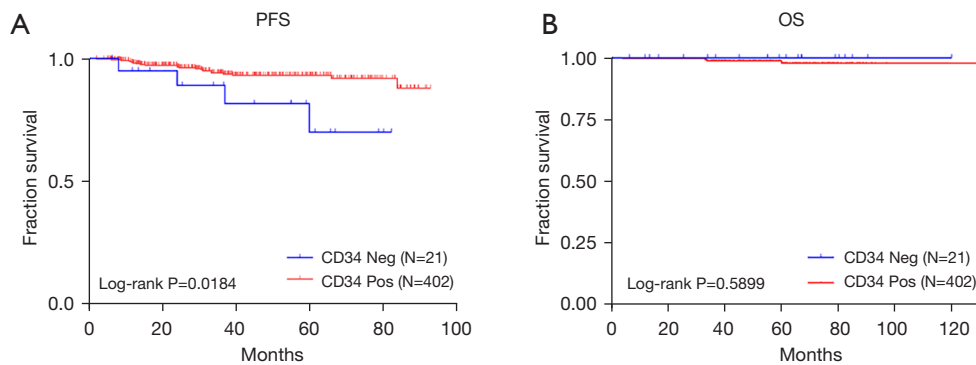
In 423 cases, 374 patients had the value of Ki67 detected in tumor tissue, and Ki67 was shown to be associated with nuclear division, tumor size, risk, and recurrence (*Table 2*). It was shown that Ki67 had a correlation with PFS ( $P<0.05$ ) and some correlation with OS, although not statistically significant ( $P>0.05$ ) (*Figure 4*).

#### ***Correlation between the layer of invasion and clinical features, PFS, and OS***

Of the 423 patients, a total of 244 had data of the layer of invasion, which was found to be associated with primary site, nuclear division, tumor size, risk, CD34, smooth muscle actin (SMA), and recurrence (*Table 3*). The layer of invasion was also associated with PFS ( $P=0.0025$ ), but not significantly with OS ( $P=0.6680$ ) (*Figure 5*).

#### ***Relevance of the layer of invasion to Ki67***

Of the 423 patients, there were data for both Ki67 and the layer of invasion for a total of 223 cases, and we found a clear correlation between them (*Table 4*).



**Figure 3** Correlation between CD34 and prognosis. (A) Correlation between CD34 and PFS. (B) Correlation between CD34 and OS. PFS, progression-free survival; OS, overall survival; Neg, negative; Pos, positive.

**Table 2** Correlation between Ki67 and the other factors

Variables	Ki67, n			$\chi^2$	P value
	$\leq 0.01$	$> 0.01$ and $\leq 0.05$	$> 0.05$		
Mitotic index (HPF)				61.878	<0.001
$\leq 5/50$	141	155	31		
$> 5/50$	2	19	26		
Tumor size (cm)				32.457	<0.001
$\leq 2$	58	45	6		
$> 2$ and $\leq 5$	46	66	20		
$> 5$ and $\leq 10$	33	43	16		
$> 10$	6	20	15		
Risk level				39.96	<0.001
Very low	56	45	5		
Low	46	61	14		
Intermediate	27	32	12		
High	14	36	26		
Relapsed or not				17.504	<0.001
Relapsed	1	12	9		
Not relapsed	142	162	48		

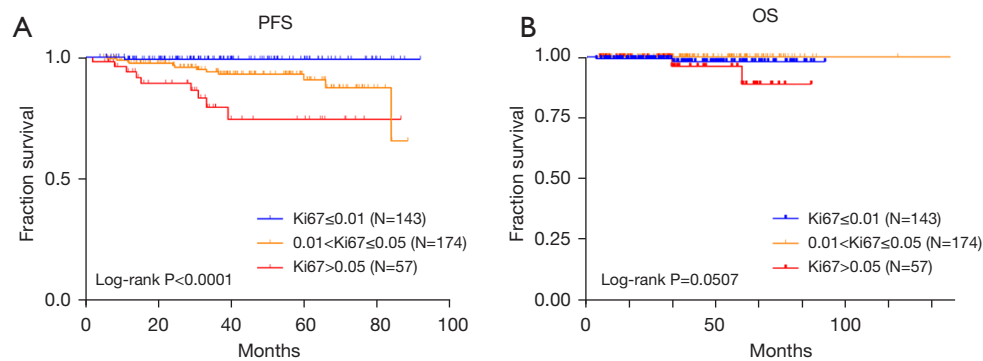
HPF, high power field.

## Discussion

Through the study of GIST cases in Northern Jiangsu People's Hospital, it was found that the number of male cases is slightly lower than that of females (202/221), which is slightly different to a previous study (2). The average age of the patients in this study was 60.69 years, and the median was

61 years, which was different from the studies of Liu *et al.* and Alghamdi *et al.* (16,19) wherein the average age was 54–58 years. Their patients were from Italy, Saudi Arabia, or Guangdong Province, China, and our study patients were mainly from the Jiangsu Province, China, indicating that the gender and age of GIST still varies from region to region.

Although Magnetic resonance imaging has been widely



**Figure 4** Correlation between Ki67 and prognosis. (A) Correlation between Ki67 and PFS. (B) Correlation between Ki67 and OS. PFS, progression-free survival; OS, overall survival.

used in the diagnosis and treatment of cancer (20), the effect of Magnetic resonance imaging in differentiating the benign and malignant GIST is poor. IHC is key to the diagnosis of GIST, and a study has suggested that immune indicators play an important role in the prognosis of GIST (21). We found that CD34 was closely related to the clinical features and prognosis of GIST, which is consistent with the study by Miettinen *et al.* (22). However, some studies have concluded that CD34 is not associated with the prognosis of GIST (23,24). In our study, the overall negative rate for CD34 was 4.96%, but in a previous study, the negative rate for CD34 was 20–40% (9). We found that the negative rate was as high as 22.09% in non-gastric tumors, and the negative rate from gastric sources was 0.59%. A study has shown that different sources of stromal tumors have different biological characteristics (25), and that lesions derived from the stomach have a better prognosis (26). Another study showed that CD34 has a high negative rate in large lesions, with the highest negative rate (13.04%) in the >10 cm group and the lowest negative rate (0%) in the  $\leq 2$  cm group (9). There is a consensus that tumors smaller than 2 cm can be left untreated and observed regularly (9), and a study concluded that very small GIST has a very low likelihood of malignancy (27). The risk level classification is an internationally recognized prognostic standard. In high-risk patients, the CD34 negative rate is 12.50%. In very low-risk patients, the CD34 negative rate was 0%. In the relapsed case group, the CD34 negative rate was 15.38%, and in the non-recurrence group, the CD34 negative rate was 4.28%. A previous study showed that positive CD34 may be an unfavorable prognostic factor for GIST (28), but in our study, the positive CD34 group had a longer PFS. The above results showed that CD34 played a certain role in the

occurrence and development of GIST, and the increase in its negative rate was an unfavorable factor for the prognosis of GIST, but it had no obvious significance in terms of OS.

The degree of malignancy of a tumor is correlated with its cell growth activity (29). Ki67 is an associated antigen with cell cleavage and is closely related to mitosis, and has been found to be a potential prognostic factor for GIST (30). This study found that Ki67 was associated with several clinical features, including nuclear division, tumor size, risk, and recurrence or not. Ki67 has a clear correlation with internationally recognized risk levels, and it has been reported that Ki67 is strongly associated with tumor sources (31), which was not found in our study. The results of this study suggested that there are significant differences in PFS in patients with different stratifications of Ki67, indicating that Ki67 is of great significance in predicting the development of GIST before recurrence. From this study, we inferred that Ki67 also plays an important role in the occurrence and expansion of GIST, and that higher Ki67 is an unfavorable factor in the prognosis of GIST, which was similar to a previous study (32).

The layer of invasion indicates the growth direction and state of the tumor, which is an important clinical indicator. Endoscopy plays an important role in the management of early GIST (12), and with the popularization of endoscopic use, we have a better understanding of the layer of invasion in GIST. Our study showed that the layer of invasion was associated with multiple clinical features and immune markers; the layer of invasion varied markedly from different sources. A study showed that cases originating in the small intestine were significantly more susceptible to serous membrane (21/40) and full-thickness (9/40) invasion, and GIST derived from the small intestine had a

**Table 3** Correlation between the layer of invasion and the related factors

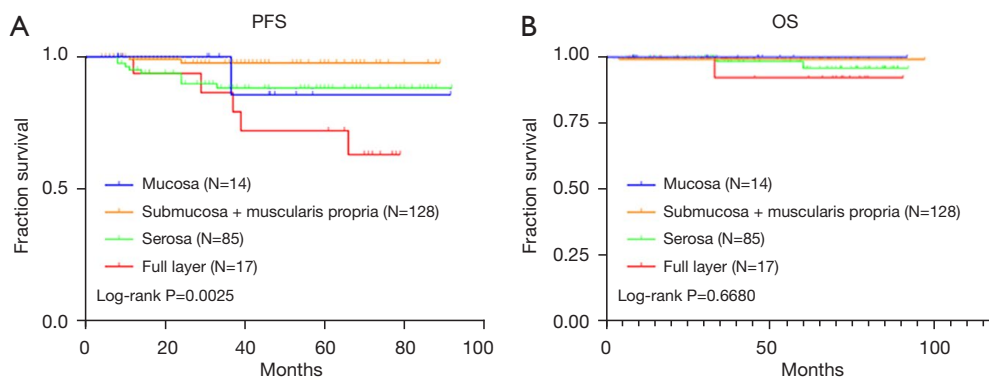
Variables	The layer of invasion, n				$\chi^2$	P value
	Mucosa	Submucosa + muscularis propria	Serosa	Full layer		
Primary site					37.726	<0.001
Stomach	11	116	57	7		
Small intestine	2	8	21	9		
Colon/rectum	1	4	4	0		
Peritoneum	0	0	3	1		
Mitotic index (HPF)					13.775	<0.001
$\leq 5/50$	10	118	69	11		
$> 5/50$	4	10	16	6		
Tumor size (cm)					45.3	<0.001
$\leq 2$	0	39	5	1		
$> 2$ and $\leq 5$	7	54	27	7		
$> 5$ and $\leq 10$	5	29	35	4		
$> 10$	2	6	18	5		
Risk level					52.821	<0.001
Very low	0	39	5	1		
Low	5	52	25	5		
Intermediate	4	25	21	2		
High	5	12	34	9		
Relapsed or not					17.946	<0.001
Relapsed	1	2	9	5		
Not relapsed	13	126	76	12		
CD34					13.795	<0.001
Negative	0	3	5	5		
Positive	14	125	80	12		
SMA					11.075	<0.001
Negative	12	121	68	16		
Positive	2	7	17	1		

SMA, smooth muscle actin; CD34, Cluster Differentiation 34; HPF, high power field.

worse prognosis than those from the stomach (33), which is consistent with our study. We found that in the full-thickness invasion group, the rate of nucleus division  $> 5/50$  was 35.29%; in the mucosal layer invasion group, the rate of nucleus division  $> 5/50$  was 28.57%; in the serous layer invasion group, the rate of nucleus division  $> 5/50$  was 18.82%; and in the submucosa and muscle invasion group,

the rate of nucleus division  $> 5/50$  was 7.8%.

When the tumor size  $\leq 2$  cm, 86.67% cases had only invaded the submucosal and muscular layers; when the lesion was  $> 2$  and  $\leq 5$  cm, 56.84% patients had only invaded the submucosal and muscular layers. When the tumor size  $> 5$  and  $\leq 10$  cm, the most invaded layer was the serous layer (47.95%); when the tumor size  $> 10$  cm, the most invaded



**Figure 5** Correlation between the layer of invasion and prognosis. (A) Correlation between the layer of invasion and PFS. (B) Correlation between layer of invasion and OS. PFS, progression-free survival; OS, overall survival.

**Table 4** The layer of invasion and Ki67

Variables	The layer of invasion, n				$\chi^2$	P value
	Mucosa	Submucosa + muscularis propria	Serosa	Full layer		
Ki67					16.558	<0.01
≤0.01	1	43	24	6		
>0.01 and ≤0.05	4	63	37	5		
>0.05	7	14	14	5		

layer was also the serous layer (58.06%). This indicates that the larger the tumor, the deeper the layer of invasion. This intuitively allows us to recognize the trend of GIST growth. Among patients with recurrence, we found that the recurrence rate of invasion of the full layer was the highest (29.41%), followed by invasion of the serous layer (10.59%).

We also found that there was a correlation between the layer of invasion and CD34 and SMA. The CD34 negative rate (29.41%) was highest in the patients with full layer invasion, and the SMA expression of those with different invasion layer was different, which is worth further exploration. At the same time, the layer of invasion of GIST has obvious correlation with PFS and no obvious correlation with OS. In addition, we also found that Ki67 had a clear correlation with the layer of invasion: in the group of invasions of the mucosal layer, Ki67 >0.05 accounted for the highest proportion of 58.33%, followed by the full layer invasion group (31.25%), which notably suggested that the tumor activity of GIST that violated the mucosal layer is large. These findings suggest that the layer of invasion is an important factor influencing the prognosis of GIST, especially in patients with full-thickness invasion

who are at higher risk and more prone to recurrence.

Risk classification is a recognized prognostic indicator of GIST, and previous studies have reported that the prognosis of GIST may be related to CD34 and Ki67 (22,30,32). However, there have also been reports of no correlation between CD34 and the prognosis of GIST (23,24). There are currently no clear reports on the layer of invasion and the prognosis of GIST. Our research suggests that CD34, Ki67, and the layer of invasion may be important factors affecting the development and prognosis of GIST, and for the first time, we found that layer of invasion was associated with GIST prognosis. However, due to the influence of pathological outcome data and the limited number of cases, some indicators have a certain correlation with prognosis, but they are not statistically significant. Our next step is to continue to expand the sample size and conduct more in-depth research.

Our study confirmed the correlation between the relevant indicators and prognosis of GIST and found that they could serve as new prognostic factors of the GIST, guiding the prognostic assessment of the GIST. With further research on GIST worldwide, we will find more and



more clinical features and immune indicators that influence the prognosis of this disease, so as to guide the treatment and improve the outcome in GIST patients. The limitations of the present study was that it was a retrospective study and some data of the patients was missing.

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### Footnote

*Reporting Checklist:* The authors have completed the REMARK reporting checklist. Available at <https://tcr.amegroups.com/article/view/10.21037/tcr-22-1777/rc>

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*Conflicts of Interest:* All authors have completed the ICMJE uniform disclosure form (available at <https://tcr.amegroups.com/article/view/10.21037/tcr-22-1777/coif>). The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013). The ethical approval was waived by the Ethics committee of Northern Jiangsu People's Hospital due to the following reasons: (I) This study was a retrospective observational study; only the clinical data of the patients were analyzed, which could not negatively impact the patients. (II) The authors will protect the information provided by patients from encroaching on their personal privacy. Individual consent for this retrospective analysis was waived.

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