TRIPOD Checklist: Prediction Model Development and Validation

Section	Item		Checklist description	Reported on Page Number/Line Number	Reported on Section/Paragraph
Title and abstract					
Title	1	D;V	Identify the study as developing and/or validating a multivariable prediction model, the target population, and the outcome to be predicted.	Page1/Line 1-3	Title
Abstract	2	D;V	Provide a summary of objectives, study design, setting, participants, sample size, predictors, outcome, statistical analysis, results, and conclusions.	Page2/Line 1-25 Page3/Line 1-8	Abstract/Paragraph 1-4
Introduction					
Background and objectives	3a	D;V	Explain the medical context (including whether diagnostic or prognostic) and rationale for developing or validating the multivariable prediction model, including references to existing models.	Page4/Line 2-26 Page5/Line 1-6	Introduction/Paragraph 1-2
	3b	D;V	Specify the objectives, including whether the study describes the development or validation of the model or both.	Page5/line 7-17	Introduction/Paragraph 3
Methods					
Source of data	4a	D;V	Describe the study design or source of data (e.g., randomized trial, cohort, or registry data), separately for the development and validation data sets, ifapplicable.	Page5/Line 21-25 Page6/Line 1-11	Methods/Paragraph 1
	4b	D;V	Specify the key study dates, including start of accrual; end of accrual; and, if applicable, end of follow-up.	Page5/Line 24-25	Methods/Paragraph 1,3
Participants	5a	D;V	Specify key elements of the study setting (e.g., primary care, secondary care, general population) including number and location of centres.	Page5/Line21-25 Page6/Line1-11	Methods/Paragraph 1
	5b	D;V	Describe eligibility criteria for participants.	Page5/Line21-25	Methods/Paragraph 1
	5c	D;V	Give details of treatments received, if relevant.	Page10/Line13-22	Methods/Paragraph 8
Outcome	6a	D;V	Clearly define the outcome that is predicted by the prediction model, including how and when assessed.	Page 8/Line 10-17 Page 8/Line 22-25	Methods/ Paragraph 3,4
	6b	D;V	Report any actions to blind assessment of the outcome to be predicted.	Page 8/Line 11-13	Methods/ Paragraph 3
Predictors	7a	D;V	Clearly define all predictors used in developing or validating the multivariable prediction model, including how and when they were measured.	Page 8/Line 20-22	Methods/ Paragraph 4
	7b	D;V	Report any actions to blind assessment of predictors for the outcome and other predictors.	Page7/Line 10-25	Methods/Paragraph 3
Sample size	8	D;V	Explain how the study size was arrived at.	Page5/Line21-25	Methods/Paragraph 1

Missing data	9	D;V	Describe how missing data were handled (e.g., complete-case analysis, single imputation, multiple imputation) with details of any imputation method.	None.	None.
Statistical analysis methods	10a	D	Describe how predictors were handled in the analyses.	Page6/Line 16-26	Methods/Paragraph 2-3
	10b	D	Specify type of model, all model-building procedures (including any predictor selection), and method for internal validation.	Page7/Line 13-25 Page8/Line 1-17	Methods/Paragraph 3
	10c	V	For validation, describe how the predictions were calculated.	Page8/Line 11-13	Methods/Paragraph 3
	10d	D;V	Specify all measures used to assess model performance and, if relevant, to compare multiple models.	Page8/Line 13-17	Methods/Paragraph 3
	10e	V	Describe any model updating (e.g., recalibration) arising from the validation, if done.	None.	None.
Risk groups	11	D;V	Provide details on how risk groups were created, if done.	Page8/Line 3-5	Methods/Paragraph 3
Development vs. validation	12	V	For validation, identify any differences from the development data in setting, eligibility criteria, outcome, and predictors.	Page8/Line 14-25 Page9/Line 1-6	Methods/Paragraph 3-4
Results					
Participants	13a	D;V	Describe the flow of participants through the study, including the number of participants with and without the outcome and, if applicable, a summary of the follow-up time. A diagram may be helpful.	Figure 1	Figure 1
	13b	D;V	Describe the characteristics of the participants (basic demographics, clinical features, available predictors), including the number of participants with missing data for predictors and outcome.	Table 1	Table 1
	13c	V	For validation, show a comparison with the development data of the distribution of important variables (demographics, predictors and outcome).	Figure 4-5	Figure 4-5
Model development	14a	D	Specify the number of participants and outcome events in each analysis.	Figure 3	Figure 3
	14b	D	If done, report the unadjusted association between each candidate predictor and outcome.	Figure 5	Figure 5
Model specification	15a	D	Present the full prediction model to allow predictions for individuals (i.e., all regression coefficients, and model intercept or baseline survival at a given time point).	Page13/Line 3-23 Figure 5	Results/Paragraph 2 Figure 5
	15b	D	Explain how to the use the prediction model.	Page13/Line4-6	Results/Paragraph 2
Model performance	16	D;V	Report performance measures (with CIs) for the prediction model.	Page 15/Line 7-15	Results/Paragraph 5
Model-updating	17	V	If done, report the results from any model updating (i.e., model specification, model performance).	None.	None.
Discussion					
Limitations	18	D;V	Discuss any limitations of the study (such as nonrepresentative sample, few events per predictor, missing data).	Page22/Line25-26 Page23/Line1-4	Discussion/Paragraph 5

Interpretation	19a	V	For validation, discuss the results with reference to performance in the development data, and any other validation data.	Page 19/Line 10-19	Discussion/ Paragraph 1
	19b	D;V	Give an overall interpretation of the results, considering objectives, limitations, and results from similar studies, and other relevant evidence.	Page 19-22	Discussion/ Paragraph 1-4
Implications	20	D;V	Discuss the potential clinical use of the model and implications for future research.	Page23/Line 10-13	Conclusion/Paragraph 1
Other information					
Supplementary information	21	D;V	Provide information about the availability of supplementary resources, such as study protocol, Web calculator, and data sets.	Page22/Line 18	Footnote
Funding	22	D;V	Give the source of funding and the role of the funders for the present study.	Page22/Line 16	Acknowledgments

* Items relevant only to the development of a prediction model are denoted by D, items relating solely to a validation of a prediction model are denoted by V, and items relating to both are denoted D;V. We recommend using the TRIPOD Checklist in conjunction with the TRIPOD Explanation and Elaboration document.

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*As the checklist was provided upon initial submission, the page number/line number reported may be changed due to copyediting and may not be referable in the published version. In this case, the section/paragraph may be used as an alternative reference.