



# A novel prognostic and therapeutic target biomarker based on complement-related gene signature in gastric cancer

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**Background:** Gastric cancer (GC) is one of the most prevalent cancer types that reduce human life expectancy. The current tumor-node-metastasis (TNM) staging system is inadequate in identifying higher or lower risk of GC patients because of tumor heterogeneity. Research shows that complement plays a dual role in the tumor development and progression of GC.

**Methods:** We downloaded GC data from The Cancer Genome Atlas (TCGA) and Gene Expression Omnibus (GEO). A complement-related risk signature was constructed through bioinformatics analysis. Subsequently, the predictive ability of this signature was validated with GSE84437 dataset, and a nomogram integrating risk score and common clinical factors was established. Besides, we evaluated the association of risk score with the immune and stromal cell infiltration in TCGA. Furthermore, immunotherapy response prediction and drug susceptibility analysis were conducted to assess the ability of the risk signature in predicting the therapeutic effect.

**Results:** A complement-related gene (CRG) signature, based on six genes (*SPLG*, *C9*, *ITIH1*, *ZFPM2*, *CD36*, and *SERPINE1*), was established. In both the training and validation sets, the overall survival of GC patients in the high-risk group was lower than that of the low-risk group, and the nomogram to predict the 1-, 2-, and 3-year survival rates of GC patients was developed. In addition, CIBERSORT algorithm showed the high-risk patients had higher levels of immune cell infiltration than low-risk patients, and the ESTIMATE results implied that the high-risk group had more stromal component in tumor microenvironment. Besides, compared to the low-risk group, there were higher expressions of most immune checkpoint genes and HLA genes in the high-risk group, and the high-risk patients showed higher sensitivity to the chemotherapy and targeted drugs (axitinib, dasatinib, pazopanib, saracatinib, sunitinib and temsirolimus).

**Conclusions:** The novel CRG signature may act as a reliable, efficient tool for prognostic prediction and treatment guidance in future clinical practice.

**Keywords:** Gastric cancer (GC); complement; overall survival analysis (OS analysis); prognosis; treatment

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## Introduction

Gastric cancer (GC) is one of the most prevalent cancer types that reduce human life expectancy. According to the latest data on cancer statistics, GC ranks as the fifth most commonly diagnosed cancer and the fourth leading cause of cancer-related deaths globally (1). Early-stage GC is associated with few signs or symptoms, and the majority of GC patients are diagnosed at advanced stage and have less than 30% 5-year survival rate (2). Current treatment methods for GC include surgery, chemotherapy, radiation, targeted therapy and immunotherapy (3,4). The American Joint Committee on Cancer (AJCC) tumor-node-metastasis (TNM) staging criterion remains the most commonly used system to predict prognosis and guide treatment decision-making in GC patients in clinical practice. However, patients with GC at the same clinical stage would show different therapeutic efficacy due to GC's considerable heterogeneity (5-7). Therefore, TNM staging may be unable to distinguish between higher and lower risk patients. New strategies are urgently needed to improve survival prediction and further guide individualized cancer treatment.

The complement-related genes (CRGs) have attracted growing attention in recent years in the field of oncology. The complement system, composed of more than 50 proteins, is an important part of the innate immune system. It is traditionally believed that the complement plays a

critical role in the immune surveillance and eradication of tumor cells. The activation of the complement pathway can occur via the classical, lectin or alternative pathways. This process can help antigen-presenting cells (APCs) recognize tumor cells, and form membrane attack complex (MAC), leading to tumor cell lysis (8,9). The tumor microenvironment (TME) consists of tumour cells, stromal cells, immune cells and extracellular matrix, which plays a crucial role in tumor development and progression (10). Evidence has recognized the close relationship between complement factors and TME (11). The complement can recruit and activate immunosuppressive cells in TME, which contributes to the formation of an immunosuppressive microenvironment and promotes tumor progression (12,13). The low expression of Claudin-18 was closely related to nerve invasion in GC, which indicated the poor clinical prognosis of GC patients (14). A recent study showed that C3 overexpression could activate the JAK2/STAT3 pathway, and then induce GC progression (15). Chen *et al.* (16) believed that the C5a/C5aR complement pathway inhibits p21/p-p21 expression by activating the PI3K/AKT signaling pathway, thereby promoting GC progression. These findings show that complement plays an important role in cancer. Whether these CRGs are related to the prognosis of GC needs further study.

In the present study, we collected the sequences of ribonucleic acid (RNA-seq) data and the corresponding clinical data from public databases. We developed a CRG signature for predicting the prognosis of GC and explored the potential associations of the TME, immunotherapy response and drug resistance with CRG risk score. We present this article in accordance with the TRIPOD reporting checklist (available at <https://tcr.amegroups.com/article/view/10.21037/tcr-23-628/rc>).

## Methods

### Data acquisition

The RNA-seq data and corresponding clinicopathological information of GC samples were downloaded from The Cancer Genome Atlas (TCGA) database (<https://cancergenome.nih.gov>). GSE84437 dataset was acquired from Gene Expression Omnibus (GEO) database (<http://www.ncbi.nlm.nih.gov/gds>). Additionally, a list of 200 CRGs was obtained from the Molecular Signature Database (MSigDB). Samples without complete survival information were excluded. The TCGA dataset and GSE84437 dataset

### Highlight box

#### Key findings

- The novel complement-related gene (CRG) signature may act as a reliable, efficient tool for prognostic prediction and treatment guidance of gastric cancer (GC) patients in future clinical practice.

#### What is known and what is new?

- GC is one of the most prevalent cancer types that reduce human life expectancy. The current TNM staging system is inadequate in identifying higher or lower risk of GC patients because of tumor heterogeneity. Research shows that complement plays a dual role in the tumor development and progression of GC.
- We performed bioinformatics analysis to construct a CRG risk model, and potential associations of the tumor immune microenvironment, immunotherapy response and drug resistance with CRG risk score were comprehensively explored.

#### What is the implication, and what should change now?

- The novel CRG signature can be used for prognostic prediction and treatment guidance of GC patients in future clinical practice.

were used as the training cohort and the external validation cohort, respectively. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

### ***Identification of differentially expressed complement-related genes (DECRCGs)***

Differentially expressed genes (DEGs) between GC tissues and normal gastric tissue were selected from the gene matrix acquired from the TCGA database using the R software “DESeq2” package. The screening criteria were set as adjusted P-value <0.05 and  $|\log_2FC| \geq 1$ . Then, the intersection of CRGs and DEGs was identified as DECRCGs.

### ***Establishment and verification of the prognostic CRG signature***

A univariate Cox regression analysis was applied to screen genes related to prognosis from DECRCGs. Based on a P value of less than 0.05, we selected the top 10 genes with higher absolute hazard ratio (HR) values as the significant prognostic differences. To further narrow the range of genes and create a more simplified prognostic model, least absolute shrinkage and selection operator (LASSO) Cox regression analysis was adopted using the “glmnet” package for R software. Finally, a prognostic CRG signature was developed to calculate individual risk scores. The formula was as follows: risk score =  $\sum x_i \times \text{coef } i$ , represents the gene coefficient, and X reflects the gene expression level. Patients with GC were classified into a high- and low-risk group with the median risk score as the cutoff point. Kaplan-Meier (K-M) survival analysis was performed to demonstrate the prognostic significance of the two risk subgroups. Time-dependent receiver operating characteristic (ROC) curves were used to evaluate the performance of the CRG signature. To verify the reliability of the model, we applied the same procedures in GSE84437 data. The R package “survminer” was used to visualize K-M survival curve, and the R package “survival ROC” was used to draw ROC curve.

### ***Stratification analysis of the CRG signature***

Stratified analysis was employed to test the predictive power of signature in various subgroups of patients. According to their clinicopathological characteristics, patients were divided into different subgroups, including age

( $\leq 65$  and  $>65$  years), sex (male and female), tumor stage (I–II and III–IV), T stage (T1–2 and T3–4), N stage (N0 and N1–3), grade (G1–2, G3). Overall survival (OS) analysis was performed to compare survival differences in different subgroups. Similarly, we also conducted a stratified analysis in the GSE84437 dataset.

### ***Development of a prognostic nomogram***

To assess the potential clinical utility of the prognostic model, we designed a nomogram integrating the risk score and common clinical factors to estimate the 1-, 2- and 3-year survival probability. Moreover, calibration curves for 1-, 2-, and 3-year OS were plotted to evaluate the goodness-of-fit and consistency of the model. The nomogram and calibration curves were drawn using “rms” and “survival” packages for R.

### ***Gene set enrichment analysis (GSEA)***

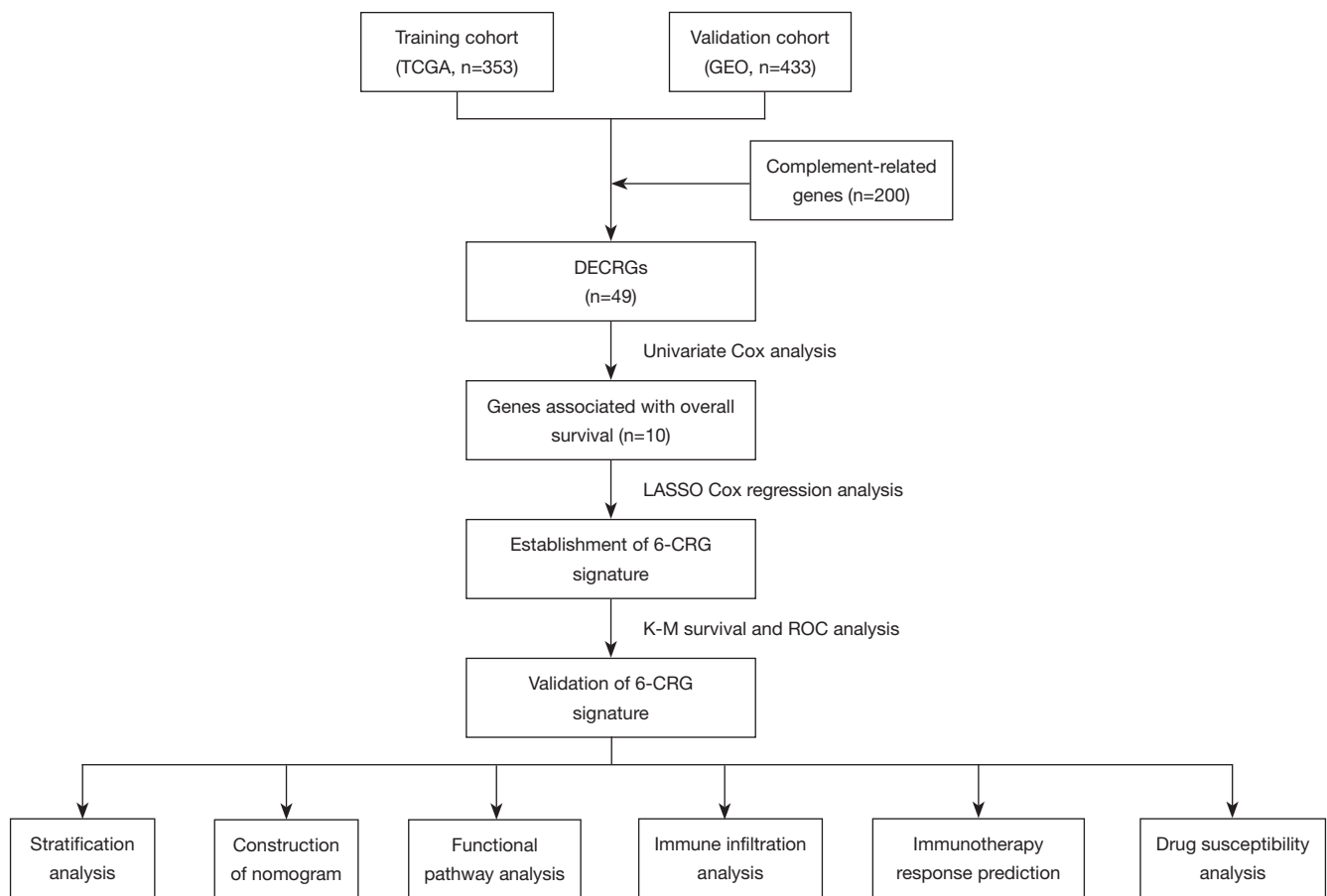
To further investigate the molecular mechanism of risk signature, the R package “Limma” was used to carry out GSEA in high- and low-risk groups. KEGG gene sets were obtained from the GSEA online database (Gsea-msigdb.org).

### ***Comprehensive analysis of immune characteristics and gene mutations in different risk groups***

The CIBERSORT algorithm was employed to estimate the relative proportions of tumor-infiltrating 22 types of immune cells. The single-sample GSEA (ssGSEA) method was performed by its R package “gsva” to investigate the differences in immune cell function between different risk subgroups. The ESTIMATE algorithm was applied to compare the immune and stromal scores between high- and low-risk groups. In addition, we also explored the correlations between the expression of six prognostic CRGs and immune cells. To further investigate the genetic variation between different risk subgroups, we obtained information on genetic alterations from the cBioPortal database, and used R package “Maftools” to analyze the differences of gene mutations in two risk subgroups.

### ***Immunotherapy response prediction and drug susceptibility analysis***

To evaluate whether CRG signature can predict response to immunotherapy, we analysed the associations of



**Figure 1** The flowchart presenting design and main procedures of our study. TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; DECRGs, differentially expressed complement-related genes; LASSO, least absolute shrinkage and selection operator; CRG, complement-related gene; K-M, Kaplan-Meier; ROC, receiver operating characteristic.

risk score with immune checkpoint gene expression and human leukocyte antigen (HLA) gene expression. To explore the application value of CRG signature in clinical drug selection, we assessed drug susceptibility in the two risk groups and calculated the half-maximal inhibitory concentration (IC<sub>50</sub>) values of commonly used chemotherapy or targeted therapeutic drugs by using R package “pRRophetic”.

### Statistical analyses

Statistical analyses were performed using R statistical software (version 4.1.1). Differences between groups were analyzed using the Student’s *t*-test or the Wilcoxon test for continuous variables, and  $\chi^2$  test or Fisher’s exact test for categorical variables. To measure the correlation between two variables, the Spearman correlation coefficient was

calculated. All statistical P values were two-sided, and  $P < 0.05$  was considered statistically significant (\*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$  and \*\*\*\*,  $P < 0.0001$ ).

## Results

### Clinicopathologic features of patients with GC

Figure 1 depicts the design of the current study. This study included 353 GC samples from the TCGA database and 433 GC patients from the GSE84437 dataset. The detailed clinicopathological information of the two cohorts is summarized in the *Table 1*.

### Identification of DECRGs

A total of 4,406 DEGs were screened from TCGA

**Table 1** Clinicopathologic characteristics of gastric cancer patients in TCGA and GEO cohorts

Variables	TCGA cohort (n=353)	GEO cohort (n=433)
Age (years)	65.51±10.62	60.06±11.58
≤65	158 (44.8)	283 (65.4)
>65	192 (54.4)	150 (34.6)
Missing data	3 (0.8)	–
Gender		
Female	125 (35.4)	137 (31.6)
Male	228 (64.6)	296 (68.4)
Grade		
G1–2	137 (38.8)	–
G3	207 (58.6)	–
Missing data	9 (2.6)	–
Stage		
I	48 (13.6)	–
II	109 (30.9)	–
III	146 (41.4)	–
IV	35 (9.9)	–
Missing data	15 (4.2)	–

Data are presented as mean ± SD or n (%). TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; SD, standard deviation.

database and 200 CRGs were obtained from the MSigDB (Table S1). As presented in Figure 2A, 49 DECRGs were identified through Venn diagram analyses.

### Development of CRG signature

Sixteen genes associated with OS were screened from DECRGs using univariate Cox regression analysis. The top ten genes with the higher absolute HR values were presented in Figure 2B. Subsequently, a LASSO Cox regression model was constructed (Figure 2C,2D), and six CRGs were finally identified, including *PLG*, *C9*, *ITIH1*, *ZFPM2*, *CD36*, and *SERPINE1*. The signature formula was as follows: risk score = (0.1804 × expression of *PLG*) + (0.3302 × expression of *C9*) + (0.1029 × expression of *ITIH1*) + (0.0078 × expression of *ZFPM2*) + (0.0740 × expression of *CD36*) + (0.1385 × expression of *SERPINE1*). According to the median risk score, 350 GC cases were divided into high-

and low-risk groups. The risk curves showed the death sample survival time decreased with an increase in the risk score. Most deaths occurred in the high-risk group (Figure 3A). Heatmap depicted the expression of six genes in the prognostic model, and it was higher in the high-risk group (Figure 3A). Moreover, we conducted further survival analysis on the basis of the risk score, and found that the overall prognosis of patients with low-risk score was better than that of patients with high-risk score (Figure 3B). To further evaluate the model performance, we plotted ROC curves. The area under the curve (AUC) values for 1, 3, and 5 years were 0.616, 0.686, and 0.771, respectively (Figure 3C).

### Validation of CRG signature

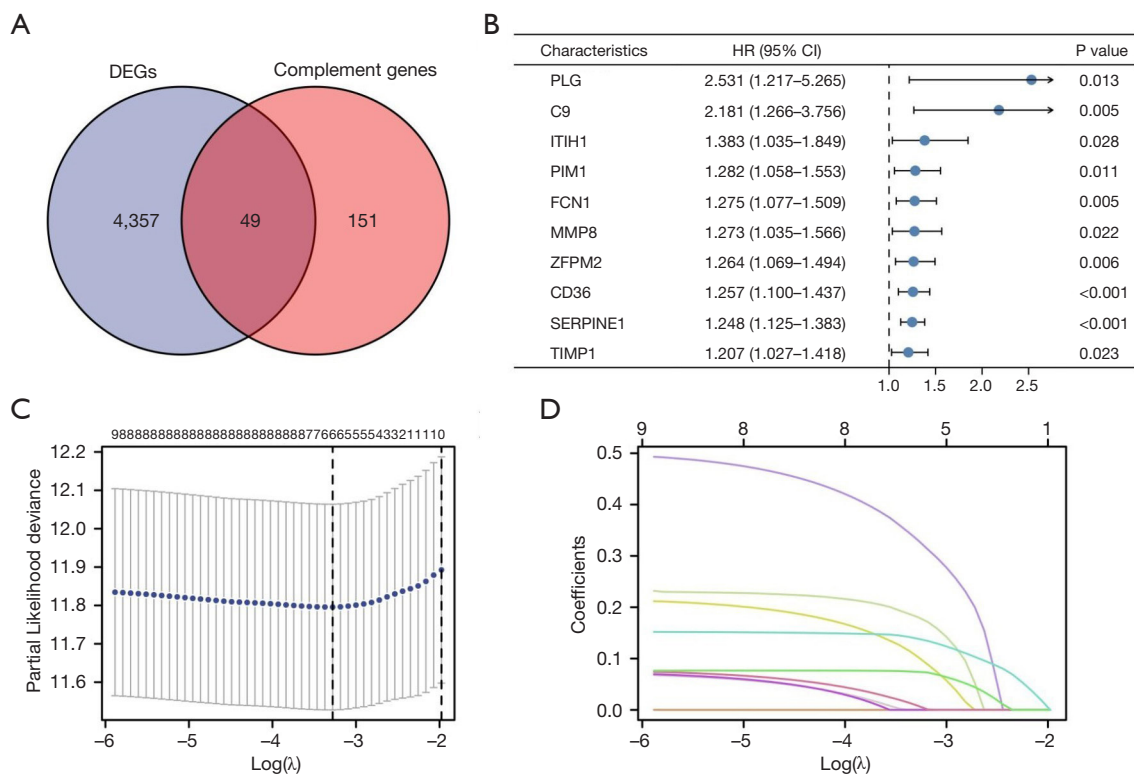
We used the GSE84437 dataset for external validation. The same risk model was applied to calculate individual risk scores. As shown in Figure 3D, the high-risk group had less survival time and more deaths. Heatmap also showed that the six genes were highly expressed in the high-risk group (Figure 3D). The K-M survival curves showed that the survival probability in the high-risk group was significantly shorter than that in the low-risk group (Figure 3E). The AUC values for 1, 3 and 5 years were 0.603, 0.608 and 0.601, respectively (Figure 3F). These results indicate that this model has a moderate accuracy in predicting the prognosis of GC patients.

### Stratification analysis of the CRG signature

In different clinicopathological subgroups, such as age, sex, tumor stage, T stage, N stage and grade, the OS had a significant difference between high- and low-risk patients. High-risk GC patients had worse OS than low-risk patients (Figure 4A-4L). The same conclusion can be reached in GSE84437 (Figure 5A-5H).

### Construction and validation of nomogram based on CRG risk score

The CRG risk score and common clinicopathological factors, including age, gender, clinical stage and pathological grade were analyzed using univariate and multivariate Cox regression analyses. It revealed that CRG risk score was an independent prognostic factor for GC patients in the TCGA cohort (Table 2). To further explore the prognostic value of the risk signature, we constructed the nomogram



**Figure 2** Prognostic CRG signature construction. (A) The complement genes were intersected with DEGs, 49 DECRGs were screened out. (B) A univariate Cox regression analysis to screen genes related to prognosis from DECRGs. (C,D) Six CRGs were screened based on LASSO Cox regression analysis. The left dashed line represents the minimum mean squared error, corresponding to the optimum number of variables. Each colored curve represents the trajectory of the change of an independent gene selected from univariate Cox regression analysis. DEGs, differentially expressed genes; DECRGs, differentially expressed complement-related genes; CRG, complement-related gene; LASSO, least absolute shrinkage and selection operator; HR, hazard ratio.

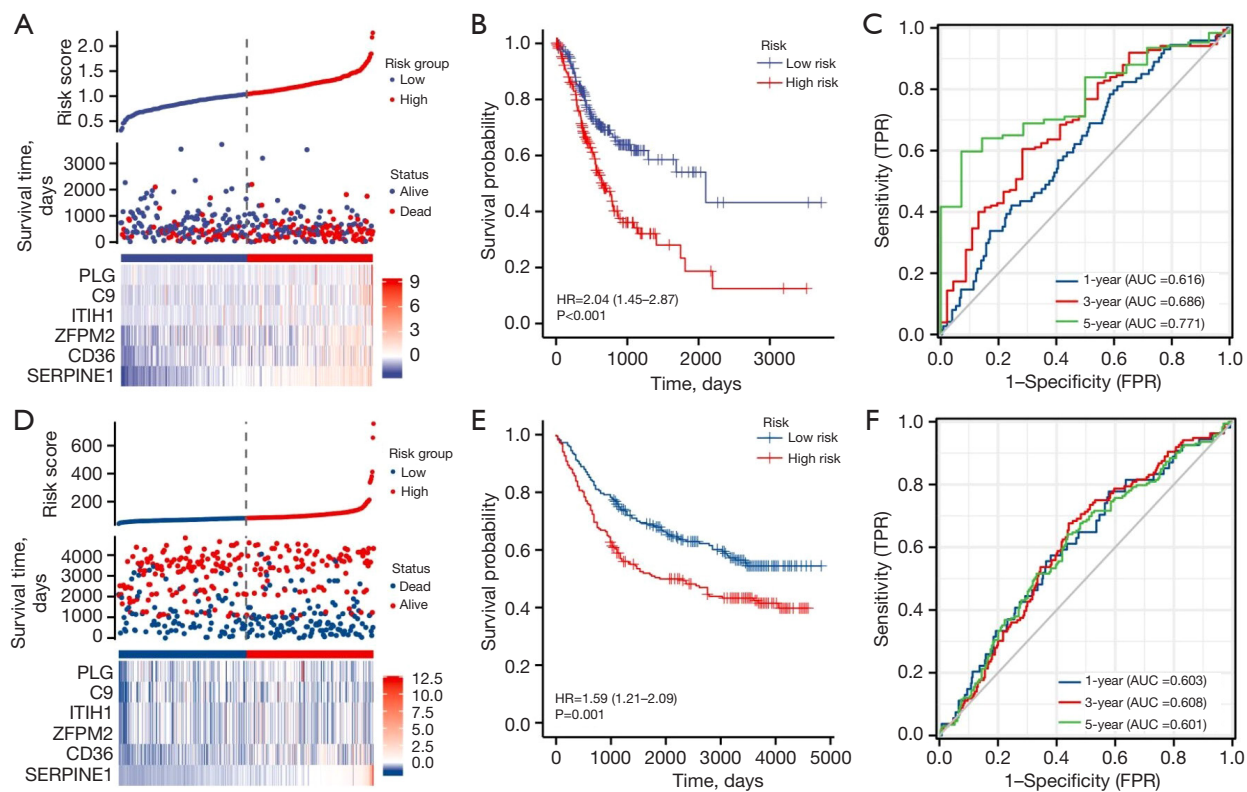
with combined risk score and factors showed significance in the multivariate analysis (Figure 6A). The 1-, 2-, and 3-year calibration curves demonstrated the accuracy of the nomogram (Figure 6B–6D).

### GSEA between different risk subgroups

GSEA was conducted to identify KEGG enrichment differences in different risk subgroups. We observed that the pathways such as cytosolic DNA sensing, olfactory transduction, regulation of autophagy, and receptor signaling were enriched in the high-risk group, while the gene sets in the low-risk group were enriched in RIG-I like receptors, base excision repair, DNA replication, and nucleotide excision repair pathways (Figure 7A,7B).

### Immune characteristics and mutation analysis in the high- and low-risk groups

CIBERSORT algorithm results indicated positive associations between the prognostic CRG risk score and eosinophils, activated mast cells and neutrophils, and negative correlations with activated natural killer (NK) cells (Figure 8A–8D). We further examined the relationship between 6 prognostic CRGs and 22 human immune-related cells. It could be found that the majority of immune cells were significantly positively regulated with CD36, ITIH1, SERPINE1, ZFPM2, while negatively regulated with C9 and PLG (Figure 8E). The relative proportion of immune infiltration was also visualized (Figure 8F). In addition, ssGSEA analysis showed that the high-risk score was positively associated

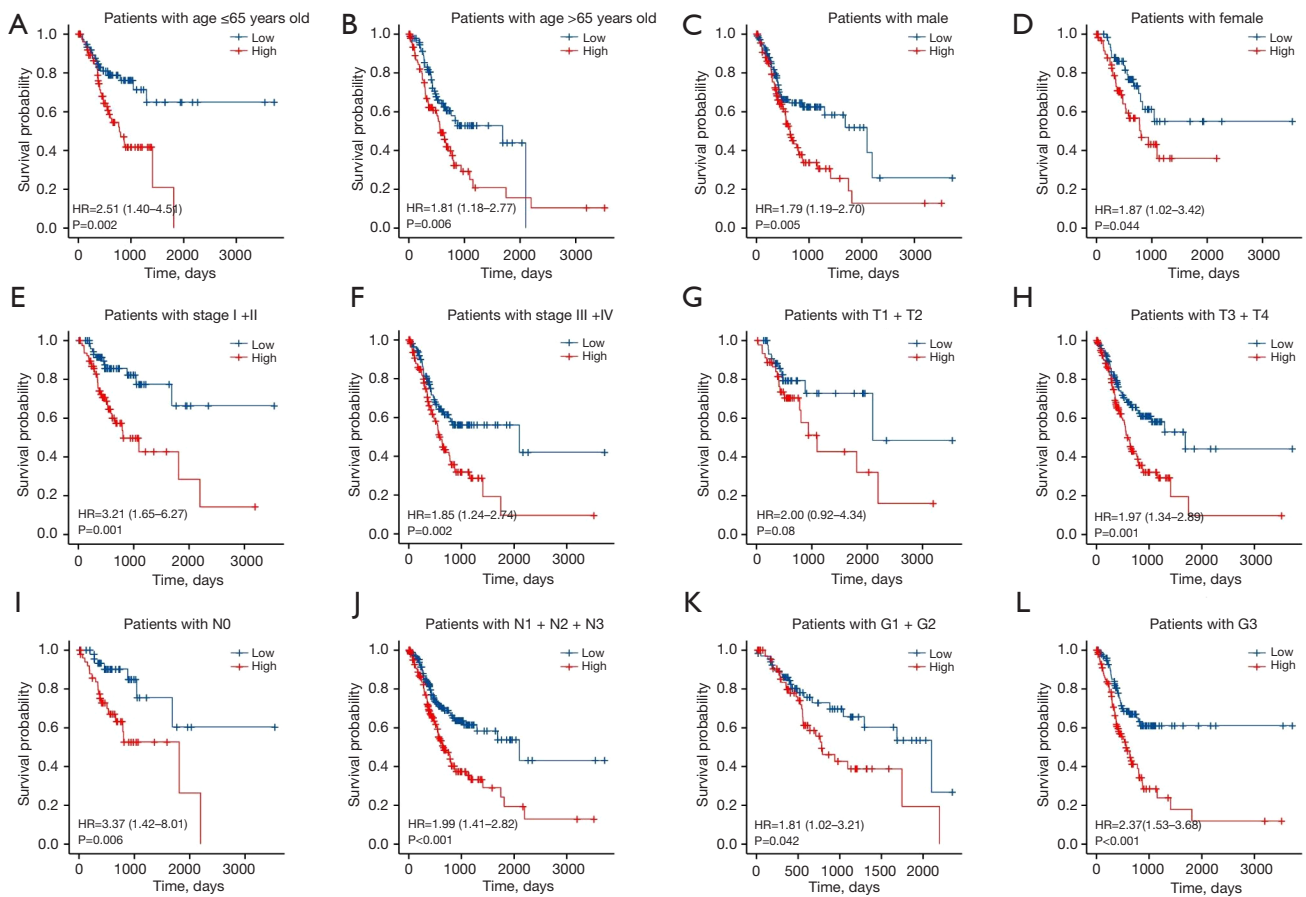


**Figure 3** Construction of the CRG signature in TCGA. (A,D) The distribution of the risk score (top panel), the recurrent status (middle panel) and the heatmap (bottom panel) of patients in TCGA and GEO cohort. (B,E) K-M survival curves of CRG signature in TCGA and GEO cohort. (C,F) ROC curves of CRG signature in TCGA and GEO cohort. TPR, true positive rate; FPR, false positive rate; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; CRG, complement-related gene; K-M, Kaplan-Meier; ROC, receiver operating characteristic.

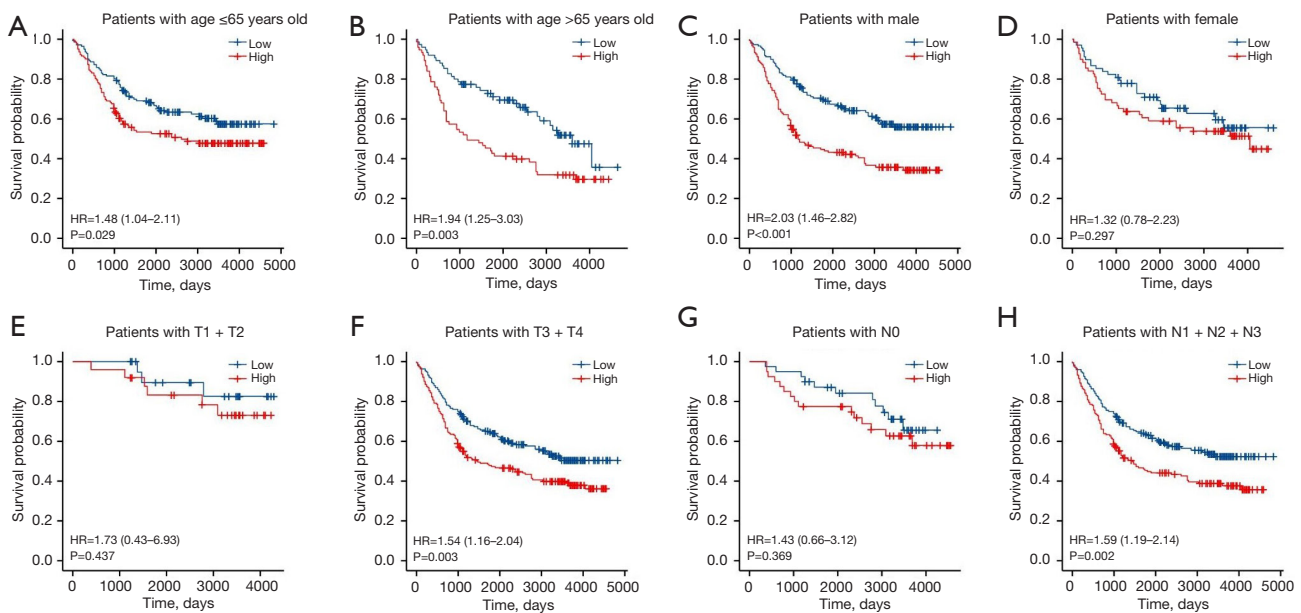
with most immune cells and immune-related pathways (Figure 8G). Next, the ESTIMATE algorithm was applied to explore the relationship between risk score and TME. The results showed that the immune score, stromal score, and estimate score of patients in the high-risk group were higher than those in the low-risk group. It suggested that high-risk group had more stromal component in the TME (Figure 8H). Furthermore, mutation analysis in TCGA samples revealed that 5%, 9%, 5%, 10%, 4%, and 5% of patients had mutations in PLG, C9, ITIH1, ZFPM2, CD36 and SERPINE1, respectively (Figure 9A). The distribution of somatic mutations was roughly the same between two risk subgroups. Missense variations, nonsense variations, and frameshift deletions were the common mutation types. The mutation rates of TTN, TP53 and MUC16 were more than 30% in the two subgroups (Figure 9B,9C).

### Immunotherapy response prediction and drug susceptibility analysis

To evaluate the predictive ability of the model for immunotherapy response, we analyzed the relationship between CRG risk score with immune checkpoint genes and HLA gene expression. Notably, the results indicated that most immune checkpoint genes and HLA genes were highly expressed in the high-risk groups (Figure 10A,10B). In addition, the differences in drug susceptibility between the high- and low-risk groups were analyzed to detect suitable chemotherapy or targeted drugs for GC patients. Interestingly, patients in the high-risk group had lower IC50 values for axitinib, dasatinib, pazopanib, saracatinib, sunitinib and temsirolimus (Figure 11A-11F), while patients in the low-risk group had significantly lower IC50 values for



**Figure 4** Stratification analyses in TCGA (A-L). TCGA, The Cancer Genome Atlas; T, tumor invasion depth; N, lymph node metastasis; G, tumor dysplasia.



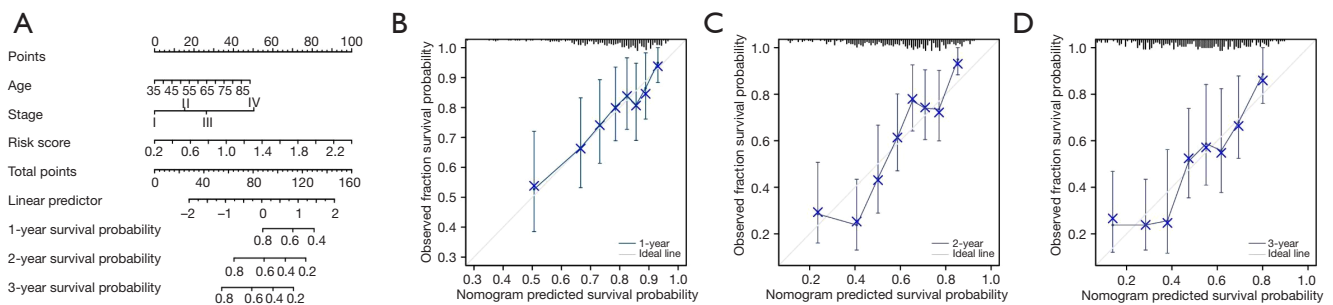
**Figure 5** Stratification analysis in GSE84437 (A-H). T, tumor invasion depth; N, lymph node metastasis.



**Table 2** Univariate and multivariate Cox regression analyses regarding overall survival in gastric cancer patients

Characteristics	Univariate analysis		Multivariate analysis	
	HR (95% CI)	P value	HR (95% CI)	P value
Age	1.022 (1.005–1.038)	0.010*	1.030 (1.012–1.049)	0.001*
Sex				
Male	Reference			
Female	0.771 (0.541–1.101)	0.152		
Grade				
G2	Reference			
G3	1.305 (0.918–1.856)	0.138		
G1	0.607 (0.147–2.500)	0.490		
Stage				
I	Reference			
II	2.385 (1.258–4.522)	0.008*	2.437 (1.283–4.630)	0.007*
III	1.553 (0.783–3.082)	0.208	1.678 (0.845–3.334)	0.140
IV	3.827 (1.855–7.898)	<0.001*	5.518 (2.628–11.586)	<0.001*
Risk score	4.220 (2.507–7.102)	<0.001*	4.672 (2.631–8.294)	<0.001*

\*, P<0.05; HR, hazard ratio; 95% CI: 95% confidence interval.



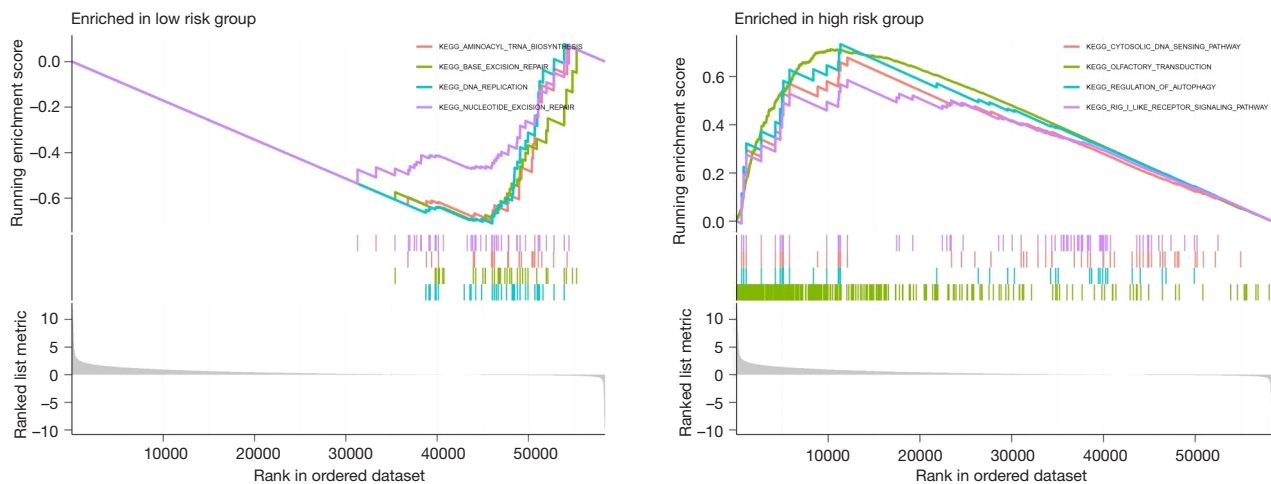
**Figure 6** Construction and validation of nomogram based on CRG risk score. (A) The nomogram of the CRG-Score model. (B-D) The 1-, 2-, and 3-year calibration curve of the CRG-Score model. CRG, complement-related gene.

5-fluorouracil, epothilone, mitomycin, and pyrimethamine (Figure 11G-11J). Taken together, these data support the feasibility and potential utility of CRG signature in the prediction of drug sensitivity and selection of appropriate treatment drugs for GC patients.

**Discussion**

GC is one of the most common malignant tumors worldwide, with a high incidence rate, poor prognosis and high mortality (17). Due to the limitations of the

traditional TNM staging system, it is still a great challenge to accurately predict the prognosis and risk stratification of patients with GC. The complement system is an ancient part of the immune system that bridges innate and adaptive immunity (18). This system is responsible for clearing immune complexes, invading bacteria, and eliminating abnormal somatic cells, including tumor cells (19). Research evidence has showed that complement plays a dual role in cancer and can regulate the fate of tumors in both directions. Meanwhile, the expression of complement genes is associated with the survival of various tumors, including



**Figure 7** GSEA correlated with the risk score. The top four pathways significantly enriched in (A) the low-risk group and (B) the high-risk group. GSEA, gene set enrichment analysis.

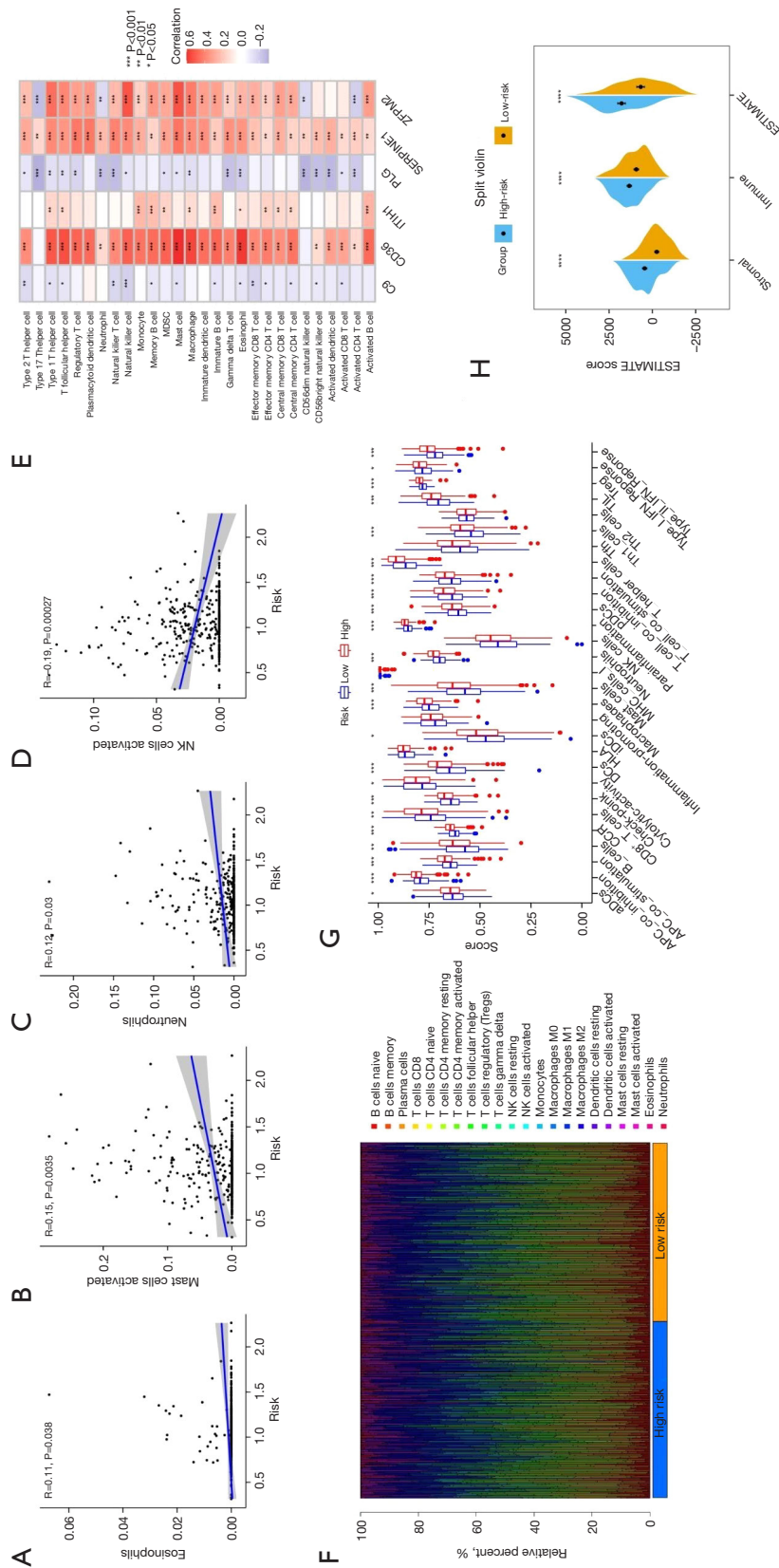
GC (19,20). At present, there are few studies on CRG-associated biomarkers for predicting prognosis of GC patients. In view of the potential impact of complement system on the occurrence and development of GC, the identification of novel CRG-associated biomarkers may facilitate prognosis assessment and therapeutic guidance for patients with GC.

In our study, we first intersected DEGs acquired from TCGA cohorts with CRGs obtained from MSigDB. A total of 49 DECRGs between GC tissues and normal gastric tissue were identified. After discovering the survival-related CRGs using univariate regression analysis, the LASSO Cox regression analysis was applied, and a 6-gene signature was generated to predict individual survival among patients with GC. Risk curve analysis, survival analysis and ROC curve analysis were conducted in both the training set and validation set. The results demonstrated that our signature was robust enough to predict the prognosis of GC patients. In addition, we created the nomogram integrated independent prognostic factors including risk score, age and stage for predicting 1-, 2-, and 3-year OS. This nomogram might offer convenient and reliable prognosis prediction information of patients with GC for clinicians.

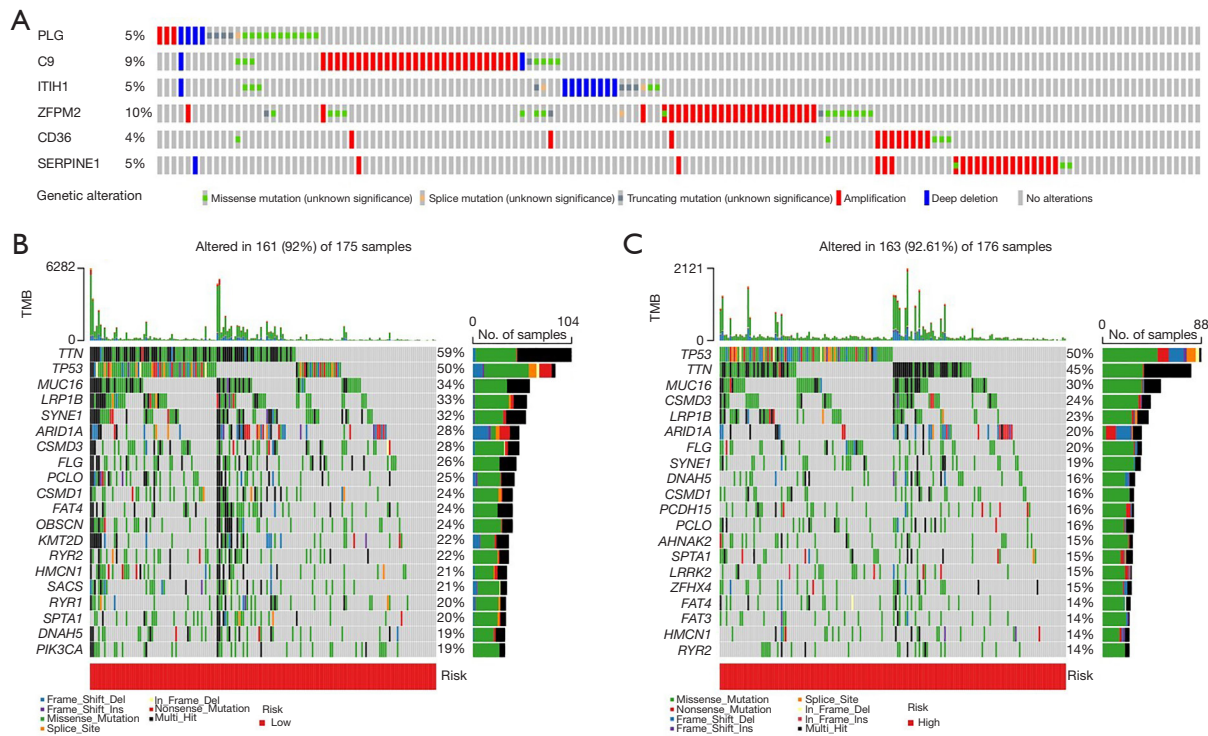
To further understand the mechanism underlying our risk signature, GSEA was conducted to determine the differences in enrichment pathways between high- and low-risk group. We found that the enrichment pathways in the low-risk group were mostly related to genetic repair. The activation of DNA damage repair in tumor such as breast cancer serves to restore genetic integrity and impede tumor

progression (21). Functional genetic variants of DNA repair genes may change the host DNA repair ability and thus affect tumor prognosis (22).

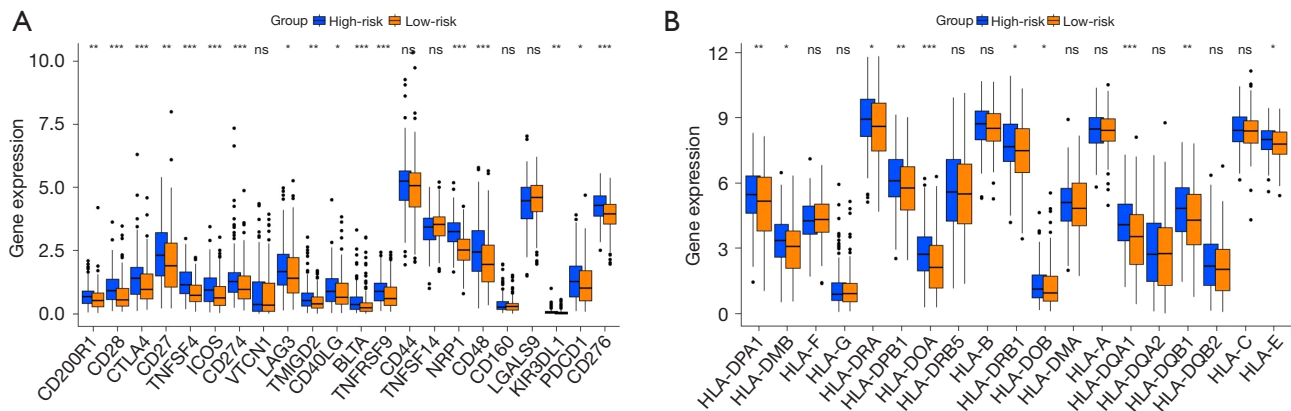
In recent years, the rapid development of immunotherapy has revolutionized tumor therapy. However, GC is a highly heterogeneous malignant tumor, and the proportion of patients who benefit from these treatments clinically remains small (23,24). Considering the close connection between the complement system and the immune system, we examined whether our signature could also play a role in predicting immunotherapy response. The infiltration of TME immune cells is considered one of the most important factors to predict the immunotherapeutic response of many tumors in clinic (25,26). Therefore, we further compared the difference of TME between two groups. By using the CIBERSORT algorithm, we observed that the risk score was positively correlated with eosinophils, activated mast cells and neutrophils. This suggests that infiltration of these cells may contribute to formation of TME and higher the risk of fatality. And the risk score was negatively correlated with activated NK cells. High neutrophil infiltration of immune cells in pancreatic ductal adenocarcinoma and hepatocellular carcinoma indicated a higher malignancy and a worse prognosis (27,28). Infiltration of neutrophils could also promote GC cell migration and invasion via EMT pathway (29). It has been reported that NK cells are positively correlated with T-cell infiltration, and associated with favorable prognosis in patients with neuroblastoma (30). The ssGSEA analysis based on 29 immune signatures demonstrated a higher enrichment score



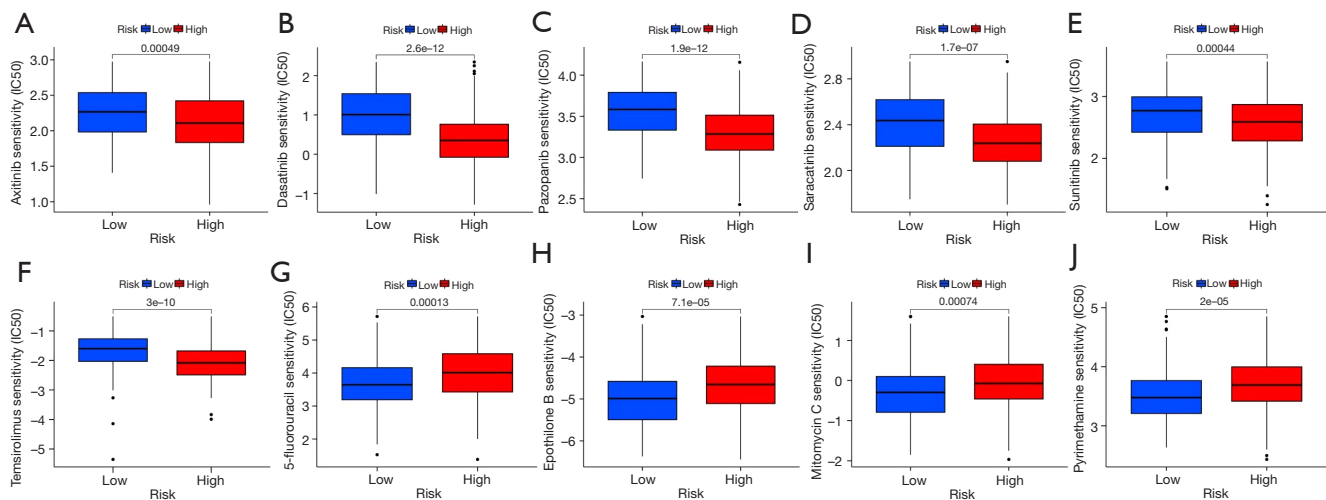
**Figure 8** Association of TME with CRG risk score. (A-D) Correlation of infiltrating immune cells with CRG risk score including eosinophils (A), activated mast cells (B), neutrophils (C), activated NK cells (D). (E) Correlation of immune cells with 6 CRGs. (F) Heatmap of CRG risk score with immune/stromal scores. (G) The relative proportion of 29 immune cells in the high-risk and low-risk groups. (H) The boxplot for 29 immune functions in the high-risk and low-risk groups. \*  $P<0.05$ ; \*\*  $P<0.01$ ; \*\*\*  $P<0.001$ ; \*\*\*\*  $P<0.0001$ . TME, tumor microenvironment; CRG, complement-related gene; NK, natural killer.



**Figure 9** Gene mutations between the two risk subgroups. (A) Mutation analysis in GC patients in TCGA cohort. (B,C) Somatic mutation features in the high- and low-risk groups. GC, gastric cancer; TCGA, The Cancer Genome Atlas; TMB, tumor mutation burden.



**Figure 10** Immunotherapy response prediction and drug susceptibility analysis. (A) Correlation of CRG risk score and immune checkpoint gene expression. (B) Correlation of CRG risk score and HLA gene expression. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; ns, non-significant. CRG, complement-related gene; HLA, human leukocyte antigen.



**Figure 11** Drug susceptibility analysis of chemotherapy and targeted therapy in high- and low-risk groups. (A) Axitinib, (B) dasatinib, (C) pazopanib, (D) saracatinib, (E) sunitinib, (F) temsirolimus, (G) 5-fluorouracil, (H) epothilone, (I) mitomycin, and (J) pyrimethamine.

in the high-risk group. These results showed that patients in the high-risk group may benefit from immunotherapy. Attractively, our research showed that the high-risk score was characterized by high stromal score, which indicated that patients in the high-risk group had a larger ratio of stroma component but poorer prognosis. We speculated that it is due that the TME of the high-risk group belonged to the immune-excluded subtype. Although there are a large number of infiltrating immune cells in TME, they cannot recognize and eliminate cancer cells because of the obstruction of abundant stromal elements (31). In breast cancer, the presence of stromal cells in the TME has been confirmed to be related to epithelial-mesenchymal transition (32).

The ability of immune system to kill tumor cells depends on the efficient antigen presentation of HLA molecules. There is increasing evidence that HLA is a useful predictor of immunotherapy efficacy (33). Patients with higher expression of HLA-related genes might have a better response to immune checkpoint blockade (34,35). In our present study, the expression of most HLA-related genes and immune checkpoint genes was higher in the high-risk group. The results indicated that patients in the high-risk group may have a better response to immunotherapy, and this conclusion is consistent with a recent study (36). In addition, analysis of resistance and susceptibility to chemotherapy or targeted therapeutic agents verified the potential of CRG signature to predict the therapeutic effect. In a drug sensitivity analysis, high-risk group were

more sensitive to targeted agents such as axitinib, dasatinib, pazopanib, saracatinib, sunitinib and temsirolimus, low-risk group were more sensitive to chemotherapy agents such as 5-fluorouracil, epothilone, mitomycin, and pyrimethamine. Therefore, our signature provides guidance for the selection drugs for advanced GC.

Among the six genes in the risk signature, SERPINE1, a fibrinolytic inhibitor, is exactly a tissue plasminogen activator and urokinase inhibitor. Yang *et al.* (37) discovered that SERPINE1 was elevated in the GC tissues, and it could promote migration and invasion by regulating EMT. CD36, a cell surface receptor, has been found to promote the occurrence and development of multiple types of cancers (38,39). Chen *et al.* (40) reported that elevated expression of CD36 in GC tissues correlated with poor prognosis. A study (41) has shown that CD36 mediates palmitate acid-induced metastasis of GC via AKT/GSK-3 $\beta$ / $\beta$ -catenin pathway. It has been reported that C9 is a potentially useful biomarker for the detection of GC (42). Elevated levels of C9 have been found in serum samples from patients with acute leukemia and sarcoma (43). ZFPM2 is one important member of the FOG family. In the study of hepatocellular carcinoma (44), the higher expression level of ZFPM2 gene was associated with a more favorable prognosis. As reported, plasminogen (Plg) is involved in extracellular matrix degradation, cell migration, angiogenesis, tumorigenesis and metastasis (45,46). Fang *et al.* demonstrated that plasminogen kringle 5 suppressed the growth of GC by inhibiting angiogenesis and apoptosis (47). ITIH1 is one of

five members of the inter- $\alpha$ -trypsin inhibitor (ITI) family. Studies have shown that all members of the ITI family play an important role in cell malignant processes and tumor growth (48,49). The previous pan-cancer study has reported that ITIHs were mostly down-regulated in cancers (48). Therefore, the role of ZFPM2, PLG, and ITIH1 in the progression of GC needs further exploration.

The innovative aspect of this study is that analyze the prognosis of GC by CRGs, and to establish a prognosis model associated with OS by fewer genes. However, it should be noted that there are some limitations to the current study. First, the survival prediction model in this study was constructed and validated with retrospective data from public databases. In addition, this study was mainly analyzed by bioinformatics methods. Hence, future multicenter prospective clinical studies are necessary, and further experimental research is required to explore the underlying mechanisms for the association between the identified CRGs and the prognosis of GC patients.

## Conclusions

We successfully developed and validated a novel GC prognostic model, which may act as a reliable, efficient tool for prognostic prediction and treatment guidance in future clinical practice.

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aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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Table S1 Complement-related genes

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ACTN2
ADAM9
ADRA2B
AKAP10
ANG
ANXA5
APOA4
APOBEC3F
APOBEC3G
APOC1
ATOX1
BRPF3
C1QA
C1QC
C1R
C1S
C2
C3
C4BPB
C9
CA2
CALM1
CALM3
CASP1
CASP10
CASP3
CASP4
CASP5
CASP7
CASP9
CBLB
CCL5
CD36
CD40LG
CD46
CD55
CD59
CDA
CDH13
CDK5R1
CEBPB
CFB
CFH
CLU
COL4A2
CP
CPM
CR1
CR2
CSRP1
CTSB
CTSC
CTSD
CTSH
CTSL
CTSV
CTSO
CTSS
CXCL1
DGKG
DGKH
DOCK10
DOCK4
DOCK9
DPP4
DUSP5
DUSP6

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Table S1 (continued)

Table S1 (continued)

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DYRK2
EHD1
ERAP2
F10
F2
F3
F5
F7
F8
FCER1G
FCN1
FDX1
FN1
FYN
GATA3
GCA
GMFB
GNAI2
GNAI3
GNB2
GNB4
GNG2
GNGT2
GP1BA
GP9
GPD2
GRB2
GZMA
GZMB
GZMK
HNF4A
HPCAL4
HSPA1A
HSPA5
IL6
IRF1
IRF2
IRF7
ITGAM
ITIH1
JAK2
KCNIP2
KCNIP3
KIF2A
KLK1
KLKB1
KYNU
L3MBTL4
LAMP2
LAP3
LCK
LCP2
LGALS3
LGMN
LIPA
LRP1
LTA4H
LTF
LYN
MAFF
ME1
MMP12
MMP13
MMP14
MMP15
MMP8

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Table S1 (continued)

Table S1 (continued)

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MT3
NOTCH4
OLR1
PCLO
PCSK9
PDGFB
PDP1
PFN1
CPQ
PHEX
PIK3CA
PIK3CG
PIK3R5
PIM1
PLA2G4A
PLA2G7
PLAT
PLAUR
PLEK
PLG
PLSCR1
PPP2CB
PPP4C
PRCP
PRDM4
PREP
PRKCD
PRSS3
PRSS36
PSEN1
PSMB9
RABIF
RAF1
RASGRP1
RCE1
RHOG
RNF4
S100A12
S100A13
S100A9
SCG3
MSRB1
SERPINA1
SERPINB2
SERPINC1
SERPINE1
SERPING1
SH2B3
SIRT6
SPOCK2
SRC
STX4
TFPI2
TIMP1
TIMP2
TMPRSS6
TNFAIP3
USP14
USP15
USP16
USP8
VCPIP1
WAS
XPNPEP1
ZEB1
ZFPM2
RBSN

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