

#### **Instructions**

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earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

Xue 1



| Section 1.  | Identifying Inform        | ation                         |  |
|---|---------------------------|-------------------------------|--|
| 1. Given Name (Firs<br>Ruidong  | st Name)                  | 2. Surname (Last Name)<br>Xue | 3. Date<br>28-July-2016  |
| 4. Are you the corresponding author?  |                           | Yes ✓ No                      | Corresponding Author's Name<br>Ning Zhang, Fan Bai   |
| 5. Manuscript Title<br>Understanding intra-tumor heterogeneity  |                           | ity and tumor evolution to    | o facilitate hepatocellular carcinoma therapy  |
| 6. Manuscript Ident   | tifying Number (if you kn | ow it)                        |  |
|   |                           |                               | -  |
| Section 2.  | The Work Under Co         | onsideration for Public       | ation  |
| any aspect of the su<br>statistical analysis, e   | ıbmitted work (including  | but not limited to grants, da | a third party (government, commercial, private foundation, etc.) for<br>ta monitoring board, study design, manuscript preparation, |
| Section 3.  | Relevant financial        | activities outside the s      | ubmitted work.   |
| Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo |                           |                               |  |
| Section 4.  | Intellectual Proper       | ty Patents & Copyrig          | hts  |
| Do you have any լ   | patents, whether plan     | ned, pending or issued, br    | oadly relevant to the work? ☐ Yes ✓ No   |

Xue 2



| Section 5.   |  |  |  |
|--|--|--|--|
|  | Relationships not covered above  |  |  |
|  | elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?  |  |  |
| Yes, the following relationships/conditions/circumstances are present (explain below): |  |  |  |
| ✓ No other rela  | tionships/conditions/circumstances that present a potential conflict of interest   |  |  |
|  | anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships. |  |  |
| Section 6.   | Disclosure Statement   |  |  |
| Based on the abo<br>below.   | ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box  |  |  |
| Dr. Xue has noth   | ning to disclose.  |  |  |

#### **Evaluation and Feedback**

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**Royalties:** Funds are coming in to you or your institution due to your patent

Li 1



| Section 1. Identifyi  | ng Information                      |  |  |  |
|---|-------------------------------------|--|--|--|
| 1. Given Name (First Name)<br>Ruoyan  | 2. Surname (Last Name)<br>Li        | 3. Date<br>28-July-2016                            |  |  |
| 4. Are you the corresponding a  | uthor? Yes V                        | Corresponding Author's Name<br>Ning Zhang, Fan Bai |  |  |
| 5. Manuscript Title<br>Understanding intra-tumor h  | neterogeneity and tumor evolution t | o facilitate hepatocellular carcinoma therapy      |  |  |
| 6. Manuscript Identifying Numb  | per (if you know it)                |  |  |  |
|   |                                     | _  |  |  |
| Section 2. The Work   | Under Consideration for Publi       | cation   |  |  |
| Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No   |                                     |  |  |  |
|   |                                     |  |  |  |
| Section 3. Relevant   | financial activities outside the    | submitted work.                                    |  |  |
| Place a check in the appropriate boxes in the table to indicate whether you have financial relationships (regardless of amount of compensation) with entities as described in the instructions. Use one line for each entity; add as many lines as you need by clicking the "Add +" box. You should report relationships that were <b>present during the 36 months prior to publication</b> .  Are there any relevant conflicts of interest? Yes Vo |                                     |  |  |  |
|   |                                     |  |  |  |
| Section 4. Intellectu   | al Property Patents & Copyri        | ghts   |  |  |
| Do you have any patents, wh   | ether planned, pending or issued, b | roadly relevant to the work? Yes V No              |  |  |

Li 2



| Section 5.  |   |  |  |
|---|---|--|--|
|   | Relationships not covered above   |  |  |
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| Yes, the following relationships/conditions/circumstances are present (explain below):          |   |  |  |
| ✓ No other relationships/conditions/circumstances that present a potential conflict of interest |   |  |  |
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| Dr. Li has nothin   | g to disclose.  |  |  |

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Zhang 1



| Section 1.  | Identifying Inform  | ation   |                         |  |
|---|---|---|-------------------------|--|
| 1. Given Name (Fi<br>Ning   | rst Name)   | 2. Surname (Last Name)<br>Zhang                     | 3. Date<br>28-July-2016 |  |
| 4. Are you the cor  | responding author?  | ✓ Yes No  |                         |  |
| •   | 5. Manuscript Title<br>Understanding intra-tumor heterogeneity and tumor evolution to facilitate hepatocellular carcinoma therapy |   |                         |  |
| 6. Manuscript Ider  | ntifying Number (if you kn  | ow it)  |                         |  |
|   |   |   |                         |  |
| Section 2.  | The Work Under Co   | onsideration for Publication                        |                         |  |
| Did you or your institution <b>at any time</b> receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)?  Are there any relevant conflicts of interest?  Yes  No   |   |   |                         |  |
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| Do you have any   | patents, whether plani  | ned, pending or issued, broadly relevant to the wor | rk? ☐ Yes ✓ No          |  |

Zhang 2



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Bai 1



| Section 1. Ide  | ntifying Information  |                                      |                         |
|---|---|--------------------------------------|-------------------------|
| 1. Given Name (First Nar<br>Fan   | me) 2. Surname (Last Na<br>Bai  | me)                                  | 3. Date<br>28-July-2016 |
| 4. Are you the correspor  | nding author? Yes No  |                                      |                         |
| 5. Manuscript Title<br>Understanding intra-t  | umor heterogeneity and tumor evolu  | tion to facilitate hepatocellular c  | carcinoma therapy       |
| 6. Manuscript Identifyin  | g Number (if you know it)   |                                      |                         |
|   |   |                                      |                         |
| Section 2. The  | Work Under Consideration for I  | Publication                          |                         |
| Did you or your institution   | on <b>at any time</b> receive payment or service ted work (including but not limited to gra | s from a third party (government, co |                         |
| Section 3. Rele   | evant financial activities outside  | the submitted work.                  |                         |
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| Section 4. Inte   | ellectual Property Patents & Co   | pyrights                             |                         |
| Do you have any pate  | nts, whether planned, pending or issu   | ed, broadly relevant to the work     | x?                      |

Bai 2



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