

# The prognostic effect of TTF-1 expression in the Chinese population of patients with advanced lung adenocarcinomas

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**Background:** Thyroid transcription factor 1 (TTF-1), which is usually expressed by lung adenocarcinomas and small cell carcinomas, is usually used to distinguish adenocarcinoma and small cell carcinoma from cells of another type of lung cancer. We examined the association between TTF-1 expression and overall survival between patients with stage IV pulmonary adenocarcinoma to investigate the role of TTF-1 as a predictive and/or prognostic tumor marker in patients with advanced lung adenocarcinomas.

**Methods:** Analysis of the clinicopathologic features, treatment regimens, and overall survival of 209 lung adenocarcinoma patients who had been detected for TTF-1 expression and received consecutive treatments in the Affiliated Hospital of Qingdao University.

**Results:** TTF-1 expression was positive in 166 (79%) and negative in 43 (21%) patients who were reviewed. Moreover, there was no significant difference between the clinicopathologic features of TTF-1 positive and TTF-1 negative tumors. In the multivariable review, the overall survival of TTF-1 positive tumor patients was significantly longer than that of TTF-1 negative tumor patients [22.7 vs. 11.8 months (P<0.0001)], increasing the prognostic effect of Karnofsky performance status and receiving first-line chemotherapy or targeted therapy. Positive TTF-1 and negative TTF-1 patients receiving pemetrexed-based chemotherapy improved the duration of treatment compared to those receiving non-pemetrexed chemotherapy.

**Conclusions:** TTF-1 expression was associated with an improved survival in patients with advanced lung adenocarcinomas. Both patients, either TTF-1 positive or negative, could benefit from the first-line chemotherapy or pemetrexed treatment option. However, as discovered by our investigation, TTF-1 cannot forecast a portion of the lung adenocarcinomas that had a selective sensitivity to pemetrexed.

Keywords: Thyroid transcription factor 1 (TTF-1); lung adenocarcinomas; pemetrexed

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### Introduction

Lung cancer, for which non-small cell lung cancer (NSCLC) accounts for the largest portion, remains the leading cause of cancer-related mortality worldwide. In the advanced

stage of lung adenocarcinoma, the effect of palliative chemotherapy is small. Patients with specific genetic modifications, such as EGFR, ALK, HER2, MET, BRAF, RET and ROS1, however, benefit from new systemic

#### Translational Lung Cancer Research, Vol 9, No 1 February 2020

therapies targeted at genetic alteration with better overall survival compared to chemotherapy (1-5). These studies demonstrate that the recent advances in the treatment strategy of advanced NSCLC can significantly improve the poor survival in stage 4 adenocarcinoma of the lung through novel driver oncogene–based precision therapy.

Thyroid transcription factor 1 (TTF-1), with its frequent expression in pulmonary adenocarcinoma (72.1%) (6), is one of the most commonly used immunohistochemical indicators to differentiate adenocarcinoma from squamous cell carcinoma and extra-thoracic adenocarcinomas (7). It is also a special lineage-survival oncogene in lung cancer in line with recent researches (8-10). It has become apparent that TTF-1 expression acts as an antagonist in its biological and clinical functions for tumor progression. Therefore, TTF-1 may represent a relevant predictive and/or prognostic indicator for patients with lung adenocarcinoma though its role in tumour biology.

Lung adenocarcinomas as the subgroup of lung cancers have better responsiveness to pemetrexed (11), especially those harboring RET and ROS1 re-arrangements (12,13). Recent research has found that expression of TTF-1 in the non-Asian population is associated with increased survival in patients with advanced lung adenocarcinomas (14). Nevertheless, in Chinese patients with advanced lung adenocarcinomas, the prognostic benefit of TTF-1 remains unknown. The objective of this study was to examine the relationship between expression and survival of TTF1 in Chinese patients with advanced stage lung adenocarcinoma. Furthermore, we hypothesised that TTF-1 might predict sensitivity pemetrexed-based chemotherapy.

### Methods

### Patients and regimen

We obtained clinical data from 346 newly diagnosed patients with phase IV adenocarcinomas who received treatment at Qingdao University's Affiliated Hospital between January 2013 and December 2014. Among them, 102 patients did not have immunohistochemical detection of TTF-1, and 35 patients did not have a continuous systemic treatment in our hospital. Finally, 209 patients with advanced lung adenocarcinoma met our inclusion criteria. We collected demographic and clinicopathologic data from those patients including age at diagnosis, sex, location of carcinoma, date of diagnosis, TTF-1 expression, gene mutation state, grade of differentiation, Karnofsky performance status, smoking history and treatment regimens. Medical records collected clinicopathological data and follow-up information. Overall survival has been estimated from the date of lung adenocarcinoma diagnosis to the date of death, or the date of the last follow-up. We performed the follow-up by phone or by querying the medical records to get the patient's last point of contact or date of death. The predictive effect of TTF-1 on the response to pemetrexed-based chemotherapy was evaluated in patients receiving pemetrexed-based dual or triple chemotherapy.

### TTF-1 expression testing

TTF-1 (D2E8) rabbit mAb#12373 was investigated through immunohistochemistry. For each set, 1 tumor slide was analyzed by two investigators who examined the cases at the same time without having knowledge medically using the multi head viewing microscope and reported as a binary variable (positive was equal to there being a nuclear reaction in the tumor sample tested; negative meant that there was no reaction).

### Statistical analysis

We used chi-squared and t-tests to assess the relationship between the expression of TTF-1 and the clinicopathologic characteristics. The effect of TTF-1 expression on specific chemotherapy responses was estimated in patients receiving either the initial, double, or triple chemotherapy based on cisplatin. We evaluated the duration of the treatment to assess effects of the chemotherapy. The Kaplan-Meier approach was used to measure the univariate impact of clinicopathological features and TTF-1 expressions on the overall survival of the patient and to determine the discrepancies in the survival of subgroups compared to the log-rank test of Mantel. A multivariate method was used to study the effects of TTF-1 expression on overall survival using a Cox proportional hazard regression. Because of their associations with TTF-1 expression, the model was modified for the level of distinction, gene mutation status, Karnofsky performance status, smoking history, diagnostic age and gender as confounding factors. Nomogram model was designed to calculate our marker's prognostic value. The concordance index (C-index) measured the efficacy of discrimination of a nomogram model, ranging from 0.5 (no discrimination) to 1 (highest discrimination). A comparison between expected survival outcome and observed survival was tested and the bootstrap technique of 800 repetitions

*			
Patients details	TTF-1 positive	TTF-1 negative	Р
Number of patients	166 (79%)	43 (21%)	
Age (years)			
Median	57	57	
Range	39–75	44–79	0.08
Sex, n (%)			
Male	83 (73%)	31 (27%)	0.01
Smoking, n (%)			
Never	101 (60.8%)	18 (41.8%)	
Former	32 (19.3%)	10 (23.3%)	
Current	33 (19.9%)	15 (34.9%)	0.05
Karnofsky Performance status, n (%)			
<70	23 (13.9%)	12 (27.9%)	
80+	143 (86.1%)	31 (72.1%)	0.02
Genotype, n (%)			
Unknown	65 (39.2%)	25 (58.1%)	0.02
EGFR mutant	53 (31.9%)	7 (13.2%)	0.43
ALK mutant	1 (0.6%)	0 (0)	0.50
EGFR/ALK WT	47 (28.3%)	11 (25.6%)	0.72

Table 1 Clinical characteristics of patients with advanced lung adenocarcinoma

was used for internal verification to assess this model's validity. SPSS 22.0 software (IBM SPSS Inc. USA) and R version 3.5.1 (R Foundation for Statistical Computing) with ggplot2 and rms statistical packages were analyzed. All P values are based on two-sided statistical analysis and considered significant a P value of <0.05. The Affiliated Hospital of Qingdao University (No. QYFY WZLL 25595) approved this retrospective biomarker study.

### **Results**

### Clinical features and the association with TTF-1 expression

We analyzed 209 patients who met our criteria, of which 166 (79%) patients were TTF-1 positive, and 43 (21%) patients were TTF-1 negative. There was no significant difference in the clinical features of the patients with TTF-1 positive tumors and those with TTF-1 negative tumors (*Table 1*). Sixty patients (28.7%) had positive activation of the EGFR mutation tumors, and among TTF-1 positive

and negative patients, the proportion of EGFR mutations was not statistically significant (31.9% *vs.* 13.2%, P=0.43).

### The univariate relationship between TTF-1 and overall survival in patients

Median survival was significantly prolonged in patients with TTF-1 positive tumors compared to the patients with TTF-1 negative tumors [22.7 vs. 11.8 months, (P<0.0001)] (*Figure 1A*). Among those with EGFR mutations (n=60), no difference in survival between TTF-1 expression groups and non-TTF-1 expression groups (27.3 vs. 19.5 months, P=0.16, *Figure 1B*). When excluding patients with negative EGFR or ALK-targeted somatic cell changes (or patients with unbeknown EGFR/ALK status), survival was longer in patients with TTF-1 positive [n=140, 19.5 vs. 11.8 months, (P<0.0001)] (*Figure 1C*). Among those who were treated with initial doublet or triplet chemotherapy based on cisplatin, both TTF-1 positive and negative were closely related to survival (n=21.3 vs. 11.8 months, P<0.0001, *Figure 2*).

Translational Lung Cancer Research, Vol 9, No 1 February 2020



Figure 1 Relationship between TTF-1 expression and overall survival in patients with advanced lung adenocarcinoma (A) all patients, (B) EGFR mutants, and (C) a subset of patients with EGFR/ALK+ excluded.



Figure 2 The relationship between the expression of TTF-1 and the overall survival time of patients with advanced lung adenocarcinoma in patients receiving double or triple chemotherapy.

### TTF-1 prognostic effect: the multivariate association with overall survival

We used variables that may be associated with survival, such as age, sex, smoking history, physical status, molecular subsets and treatment received, for multiple regression analysis. After adjusting for these variables, the effect of TTF-1 positive on overall survival (HR 0.42, 95% CI: 0.292–0.606, P<0.0001) exceeded Karnofsky Performance Status  $\geq$ 80% (HR 0.52, 95% CI: 0.343–0.775, P=0.001) and received combined chemotherapy (HR relative to single-agent chemotherapy 0.742, 95% CI: 0.411–1.339, P=0.321) or targeted therapy (HR relative to single-agent chemotherapy 2.226, 95% CI: 1.07–4.634, P=0.032) (*Table 2*).

### A nomogram based on TTF-1 markers to predict lung cancer survival

The above evidence indicates that TTF-1 was a prognostic factor for lung adenocarcinoma. A nomogram model was built by the combination of TTF-1 and other clinical factors (*Figure 3*) to assess its function in lung adenocarcinoma. During the variable selection process, the number of variates was restrained, and the number of events was supposed to be no less than 10 times the predictors.

Finally, sex, smoking status, Karnofsky Performance Status, TTF-1, and EGFR mutation were selected as the predictors for survival status of lung adenocarcinoma. The

#### Li et al. The prognostic impact of TTF-1 expression in patients with advanced lung adenocarcinomas

Table 2 Multivariate (	Cox propor	tional hazards	regression a	nalysis of	overall survival	(n=209)
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Variable		95%	95% CI	
Variable	HR	Lower	Upper	- P
Age (<80 <i>vs.</i> ≥80)	1.178	0.762	1.821	0.461
Sex (female <i>vs.</i> male)	1.163	0.848	1.594	0.35
Smoking situation (never vs. current/former)	1.002	0.774	1.297	0.988
TTF-1 (+ vs. –)	0.42	0.292	0.606	<0.0001
KPS (≥80 <i>vs.</i> <80)	0.52	0.343	0.775	0.001
Mutation status				
EGFR mutant vs. wild type	0.658	0.476	0.91	0.011
ALK rearranged vs. wild type	0	0	0	0.961
First-line chemotherapy				
Doublet/triplet vs. singlet	0.742	0.411	1.339	0.321
Targeted vs. singlet	2.226	1.07	4.634	0.032



Figure 3 A nomogram based on TTF-1 markers to predict lung cancer survival.

C-index and 95% CI of the nomogram was 0.705 (0.678–0.798). The calibration curve showed a good efficiency (*Figure 3*), demonstrating that our predicted survival chances were indeed consistent with actual observations.

### *TTF-1 predictive impact: benefit to first-line pemetrexedbased chemotherapy*

In order to determine the predictive impact of TTF-1,

we evaluated the duration of first-line pemetrexed-based chemotherapy rather than progression-free survival. We analyzed the data of TTF-1 expression and non-TTF-1 expression patients respectively in the interest of avoiding other confounding factor affected the survival. We hypothesized that only patients with a positive TTF-1 expression would have the benefit of the initial pemetrexedbased chemotherapy. However, the duration of treatment was improved in TTF-1-positive and TTF-1-negative patients

0.2

0

0.4

0.6

0.8

1

Model

AUC=0.705

#### Translational Lung Cancer Research, Vol 9, No 1 February 2020

87

Variable	Pemetrexed-based chemotherapy	Non-pemetrexed-based chemotherapy	HR (95% CI)	Р
TTF-1+ patients				
Ν	114 (84%)	21 (16%)		<0.0001
The median duration of first-line chemotherapy	8.0 months	4.5 months	0.34 (0.20–0.57)	
Median overall survival	24.0 months	21.0 months	1.17 (0.71–1.94)	0.54
TTF-1- patients				
Ν	29 (76%)	9 (24%)		
The median duration of first-line chemotherapy	6.5 months	2.9 months	0.69 (0.30–1.60)	0.39
Median overall survival	10.6 months	11.8 months	0.97 (0.42–2.23)	0.97

who received pemetrexed basic chemotherapy compared with those who received non-pemetrexed chemotherapy (among TTF-1 positive patients: HR =0.34, 95% CI: 0.20–0.57, P<0.0001, *Table 3*; among TTF-1 negative patients: HR =0.69, 95% CI: 0.30–1.60, P=0.39, *Table 3*).

### Discussion

To clarify the prognostic influence of TTF-1, we collected a series of consecutive patients with stage IV lung adenocarcinoma in China. We found that patients with TTF-1 positive had a better survival than the patients with TTF-1 negative (median overall survival time of 22.7 and 11.8 months respectively, P<0.01 except the subgroup of patients with EGFR mutation or received targeted therapy). The lack of statistical significance may be due to the limited number of patients with TTF-1 negative TTF-1 mutations (only 7 of 60 patients with identified EGFR mutations are TTF-1 negative), which limits this comparison's performance. The conclusion that TTF-1 is robust prognostic marker is further strengthened by the increased overall survival in almost all subgroups in multivariate analysis of clinical and treatment characteristics. The prognostic influence of TTF-1 is the same as, or even exceeds other variables examined in our study, including age, performance state, smoking history, receiving doublet or triplet chemotherapy or targeted therapy. Our study suggests that TTF-1 was an independent, farreaching significant prognostic variable in advanced lung adenocarcinomas among non-Asian patients.

TTF-1 has been researched as a potential prognostic indicator in NSCLC presenting inconsistent results. One

study (15) demonstrated that TTF-1 expression is associated with worse survival. Several investigations have reported no relationship between TTF-1 expression and lung adenocarcinomas (16-21). However, some studies showed a positive influence of TTF-1 on survival (22-30). The reason TTF-1's prognostic effect seems to be underused may be due to relatively small sample size or lack of control over histology and staging in previous studies. Barletta et al. (26), for example, found that TTF-1 expression was linked to better survival, but the effect of the disease stage on the association between TTF-1 expression and overall survival did not enter the decision. A larger cohort study suggested TTF-1 expression has a positive effect on the survival of patients with stage I pulmonary adenocarcinoma (11). The prognostic effects of TTF1 in advanced NSCLC were previously tested in two small studies showing a strong prognostic benefit of TTF1-positive (25,31). A recently published meta-analysis indicated that TTF-1 overexpression is associated with a favorable prognosis in patients with NSCLC, particularly in patients with stage I and stage IIIb-IV NSCLC and lung AC (32). Except for evaluating the prognostic impact of TTF-1 on survival between advanced lung adenocarcinomas, we also examined whether TTF-1 positive lung adenocarcinoma patients will benefit more from pemetrexed-based chemotherapy than TTF-1 negative patients. The findings of TTF1 expression showed by Sun et al. (33) to be substantially related to improved clinical results in NSCLC-treated non-squamous patients with pemetrexed therapy. However patients who had not been exposed to pemetrexed were not analyzed, and histology was not limited strictly. Different from previous studies, we used the duration

of treatment on initial pemetrexed-based chemotherapy instead of progression-free survival in our study. We found that both TTF-1 positive and negative patients receiving pemetrexed-based chemotherapy could be improved in the duration of treatment. A phase III study demonstrated that patients with advanced-stage NSCLC could benefit from chemotherapy based on cisplatin/pemetrexed. Our results are consistent with previous study on non-Asian population and provided that TTF-1 expression does not forecast the apparent benefit of pemetrexed chemotherapy.

However, there are many limitations to our research. First of all, the way we conducted research as a retrospective study. Based on the retrospective nature, we have instead used the duration of treatment instead of the progressionfree survival to avoid other confounding factors which had affected the survival rate and to compensate for the retrospective analysis. Additionally, the data was drawn from a single institute, and various potential biases could not be eliminated, such as the amount of metastatic lesions. But we are the first one to study whether the expression of TTF-1 can further predict the lung adenocarcinoma subgroup sensitive to pemetrexed in a Chinese population.

In conclusion, TTF-1 expression was significantly associated with a better clinical outcome for the patients with advanced lung adenocarcinomas. Therefore, TTF-1 expression in tumor tissues might be a helpful prognostic factor. Further research is needed to explore the physiologic mechanisms underlying the effects of TTF-1 on the biology of lung cancers. We found that TTF-1 expression does not forecast an apparent profit from pemetrexed chemotherapy. Furthermore, the predictive role of TTF-1 expression for pemetrexed-based chemotherapy ought to be confirmed in a prospective and randomized study.

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### Footnote

*Conflicts of Interest:* The authors have no conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The Affiliated Hospital of Qingdao University (No. QYFY WZLL 25595)

approved this retrospective biomarker study.

Data Sharing Statement: No additional data available.

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### Translational Lung Cancer Research, Vol 9, No 1 February 2020

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