

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

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Zhou 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Qing	2. Surname (Last Name) Zhou		3. Date 15-April-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Authory	or's Name
5. Manuscript TitleA phase III clinical trial of CS1001 as cosmall cell lung cancer (NSCLC) who die6. Manuscript Identifying Number (if you keep)	not have disease progress	•	
Section 2. The Work Under C	onsideration for Publi	cation	
Did you or your institution at any time recany aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of interesting the state of the submitted work (including statistical analysis).	g but not limited to grants, da		ent, commercial, private foundation, etc.) for tudy design, manuscript preparation,
Section 3. Relevant financia	activities outside the	submitted work.	
	ribed in the instructions. Use port relationships that we sest? Yes No	se one line for each e	cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication.
, -5, -5, -6, -6, -6, -6, -6, -6, -6, -6, -6, -6			
Name of Entity	Grant	n-Financial Other?	Comments
AstraZeneca			Honorary
Roche			Honorary
Section 4. Intellectual Prope	rty Patents & Copyri	ghts	
Do you have any patents, whether plan	nned, pending or issued, bi	oadly relevant to the	work? Yes V No

Zhou 2



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Dr. Zhou reports honorary from AstraZeneca and Roche, outside the submitted work; .

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Zhou 3



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•	ISCLC) who did not have d	n therapy in patients with locally advanced/unresectable isease progression after prior concurrent/sequential
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4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Yi-Long Wu
•	not have disease progress	ients with locally advanced/unresectable (stage III) nonsion after prior concurrent/sequential chemoradiotherapy
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CHANG 1



Section 1. Identifying Inform	mation	
1. Given Name (First Name) JianHua	2. Surname (Last Name) CHANG	3. Date 16-April-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Yi-long WU
	d not have disease progres	tients with locally advanced/unresectable (stage III) non- ssion after prior concurrent/sequential chemoradiotherapy
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CHANG 2



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Cui 1



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O Yes, the following relationships/conditions/circumstances are present (explain below):
No other relationships/conditions/circumstances that present a potential conflict of interest
At the time of manuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements. On occasion, journals may ask authors to disclose further information about reported relationships.
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Dr. Cui has nothing to disclose.

Evaluation and Feedback

Section 5.

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Cui 3



Instructions

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This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Royalties: Funds are coming in to you or your institution due to your patent

Han 1



Section 1. Identifying Inform	nation					
1. Given Name (First Name) Guang	2. Surname (Last Name) Han	3. Date 15-April-2020				
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Yi-Long Wu				
5. Manuscript Title GEMSTONE-301: A phase III clinical trial of CS1001 as consolidation therapy in patients with locally advanced/unresectable (stage III) non-small cell lung cancer (NSCLC) who did not have disease progression after prior concurrent/sequential 6. Manuscript Identifying Number (if you know it)						
Section 2. The Work Under C	onsideration for Public	ation				
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No						
Section 3. Relevant financial	activities outside the s	ubmitted work.				
of compensation) with entities as descr	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by se present during the 36 months prior to publication.				
Section 4. Intellectual Proper	rty Patents & Copyri <u>c</u>	jhts				
Do you have any patents, whether plan						

Han 2



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LIN 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) QIN	2. Surname (Last Name) LIN	3. Date 15-April-2020
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name YI- LONG WU
(stage III) non-small cell lung cancer (N 6. Manuscript Identifying Number (if you k	NSCLC) who did not have d	on therapy in patients with locally advanced/unresectable isease progression after prior concurrent/sequential
Section 2. The Work Under C	Consideration for Public	cation
any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the s	submitted work.
of compensation) with entities as descr	ribed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4. Intellectual Prope	rty Patents & Copyrig	ghts
Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes No

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Section 5. Polationships not sovered above
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Fang 1



Section 1. Identifying Inform	nation					
1. Given Name (First Name) Jian	2. Surname (Last Name) 7. Surname (Last Name) 7. Date 7. Tel-April-2020					
4. Are you the corresponding author?	✓ Yes No					
5. Manuscript Title GEMSTONE-301: A phase III clinical trial of CS1001 as consolidation therapy in patients with locally advanced/unresectable (stage III) non-small cell lung cancer (NSCLC) who did not have disease progression after prior concurrent/sequential 6. Manuscript Identifying Number (if you know it)						
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of compensation) with entities as descri	in the table to indicate whether you have financial relibed in the instructions. Use one line for each entity; port relationships that were present during the 36 lest? Yes No	add as many lines as you need by				
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Do you have any patents, whether plar	nned, pending or issued, broadly relevant to the worl	k? ☐ Yes ✓ No				

Fang 2



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Section 1.	Identifying Inform	ation				
1. Given Name (Fi	rst Name)	2. Surname (Last Name) 3. Date Chen 17-April-2020				
4. Are you the cor	responding author?	✓ Yes	No			
5. Manuscript Title A phase III clinical trial of CS1001 as consolidation therapy in patients with locally advanced/unresectable (stage III) nonsmall cell lung cancer (NSCLC) who did not have disease progression after prior concurrent/sequential chemoradiotherapy 6. Manuscript Identifying Number (if you know it)						
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of compensation clicking the "Add Are there any rel	n) with entities as descri	bed in the port relation	e instructions. Use one	e line for each entity; a	lationships (regardless of amount add as many lines as you need by nonths prior to publication.	
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Do you have any	patents, whether plani	ned, pend	ling or issued, broadly	relevant to the work?	? ☐ Yes ✓ No	



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Place a check in the appropriate boxes in of compensation) with entities as descril clicking the "Add +" box. You should rep Are there any relevant conflicts of intere If yes, please fill out the appropriate info	bed in the instruction ort relationships the st? Yes	ns. Use one line fo	or each en	tity; add as many lines as you need by	
ii yes, pieuse iiii out the appropriate iiiio	mation below.				
Name of Entity	Grant? Personal Fees?	Non-Financial Support?	Other?	Comments	
AstraZeneca				Speaker fees	
BMS	✓			Speaker fees; Grant to Insititute	
Boehringer Ingelheim				Speaker fees	
Eli Lilly				Speaker fees	
MSD				Speaker fees	
Pfizer	✓			Speaker fees; Grant to Insititute	
Roche	✓			Speaker fees; Grant to Insititute	



Section 4. Intellectual Property Patents & Copyrights
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Dr. Wu reports personal fees from AstraZeneca, grants and personal fees from BMS, personal fees from Boehringer Ingelheim, personal fees from Eli Lilly, personal fees from MSD, grants and personal fees from Pfizer, grants and personal fees from Roche, outside the submitted work; .

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