

Ex vivo culture of circulating tumour cells derived from non-small cell lung cancer

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Background: Tumour tissue-based information is limited. Liquid biopsy can provide valuable real-time information through circulating tumour cells (CTCs). Profiling and expanding CTCs may provide avenues to study transient metastatic disease.

Methods: Seventy non-small cell lung cancer (NSCLC) patients were recruited. CTCs were enriched using the spiral microfluidic chip and a RosetteSepTM using bloods from NSCLC patients. CTC cultures were carried out using the Clevers media under hypoxic conditions. CTCs were characterized using immunofluorescence and mutation-specific antibodies for samples with known mutation profiles. Exome sequencing was used to characterized CTC cultures.

Results: CTCs (>2 cells) were detected in 38/70 (54.3%) of patients ranging from 0 to 385 CTCs per 7.5 mL blood. In 4/5 patients where primary tumours harboured an EGFR exon 19 deletion, this EGFR mutation was also captured in CTCs. ALK translocation was confirmed on CTCs from a patient harbouring an ALK-rearrangement in the primary tumour. Short term CTC cultures were successfully generated in 9/70 NSCLC patients. Whole exome sequencing (WES) confirmed the presence of somatic mutations in the CTC cultures with mutational signatures consistent with NSCLC.

Conclusions: We were able to detect CTCs in >50% of NSCLC patients. NSCLC patients with >2 CTCs had a poor prognosis. The short-term CTC culture success rate was 12.9%. Further optimization of this culture methodology may provide a means by which to expand CTCs derived from NSCLC patient's bloods. CTC cultures allow for expansion of cells to a critical mass, allowing for functional characterization of CTCs with the goal of drug sensitivity testing and the creation of CTC cell lines.

Keywords: Non-small cell; circulating tumour cells (CTCs); liquid biopsy; ex vivo culture; patient-derived culture

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Introduction

Lung cancer (LC) is the leading cause of cancer-related deaths amongst men and women worldwide (1). In 2018, the incidence of LC was estimated to be 1.8 million new cases, accounting for 12.9% of all new cancers diagnosed globally (2,3). The 5-year survival rate is 18% for all stages of LC, with poor outcomes, largely due to late diagnosis, progression of disease and resistance to therapy (1,4,5). The majority of patients with LC present with locally advanced or metastatic disease with the annual mortality rate being higher than colon, breast and prostate cancers combined (4-6). Therefore, it is imperative that novel biomarkers are identified to assist in clinical decision making to improve outcomes for patients.

Metastasis is a highly complex process and is the main cause of cancer related deaths (7). Circulating tumour cells (CTCs) play a pivotal role in metastasis (8). CTCs disseminate into the bloodstream as single CTCs, clusters or as circulating tumour microemboli (CTM) (9-14). Cellular aggregates termed CTM include platelets, stromal cells and heamatopoietic cells which 'protect' tumour cells from undergoing apoptosis or being attacked by the immune system (12,15). CTC clusters have shown to have a higher metastatic capacity compared to single CTCs (9,16). Whilst CTCs were first described over a century ago, it is now that they have gained attention due to the rapid advancement of technologies to enrich for these rare cells from a large background of hematopoetic cells (17). Clinical trials and meta-analyses' have demonstrated that the presence of CTCs in the blood correlates with poor overall survival in patients with metastatic prostate, breast, lung and colon cancers (18-23). Analysis of CTCs as a liquid biopsy has shown promise for the serial assessment of tumour evolution during disease progression, real-time monitoring of recurrences or relapses, as well as providing a snapshot of the tumour heterogeneity using a simple blood draw from a cancer patient (24,25).

Currently, therapeutic decisions rely on tumour biopsies, which are invasive and especially difficult to access due to the anatomical location of the tumour, resulting in limited tumour material. In order to make clinical decisions based on the tumour tissue activity, there is need for serial biopsies to evaluate the dynamics changes of tumour activities, which is extremely invasive and, in most cases, unattainable. In addition, when a cancer patient has undergone surgery,

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there is no availability of tumour tissue post resection. It is important to also note that the recurrence rates for NSCLC are between 30% to 50% and therefore, non-invasive means of assessing the disease are needed (26). Liquid biopsies have also shown great promise in detecting and characterizing minimal residual disease (MRD), leading to recurrences or relapse (27).

Studies have sought to expand CTCs outside a patients' body for in depth characterization of metastasis initiating cells as well as to understand the biology of metastatic disease (28). Once expanded, *ex vivo* cultures also present the possibility of pre-clinical testing to determine drug susceptibility. CTC enrichment techniques have also impacted on the development of CTC culture models and therefore optimal culture conditions are yet to be established (28). The immortalization of stable long term cell lines hold vital clues when developing personalized treatments for cancer as well as testing of therapeutic effectiveness using drug screening (29). This approach could better assist in determining therapeutic regimen that is beneficial for patients, and therefore drive towards personalized oncology (30).

There is a rising interest in genomic profiling of CTCs in order to monitor the tumour's response to therapy over the course of treatment, as CTCs may signify intact and functional cancer cells circulating in the peripheral blood with the capacity to metastasise and initiate secondary tumour growth (31). Previous studies have shown clinical value in sequencing individual CTCs to identify driver mutations and possible drug targets to prevent tumour resistance (32-35). In the current study, the spiral microfluidic chip technology was utilised to isolate CTCs in a cohort of NSCLC patients. Using this technology CTCs are separated using hydrodynamic forces for sizebased sorting (36). In addition, isolated CTCs were assessed for clinically relevant markers EGFR exon 19 deletion and ALK translocations. CTCs were detected in 54.3% in bloods from patients with NSCLC. We found that NSCLC patients with >2 CTCs at diagnosis showed a poor outcome compared with the patients with <2 CTC cells in their bloods. In parallel, RosetteSep[™] (antibody-based depletion) was used to isolate and expand CTCs. We were able to maintain CTC in culture (n=9) in most cases for up to 40 days. One CTC culture was confirmed by WES to be of NSCLC origin, providing evidence that the CTCs are derived from primary tumour tissue.

Methods

Patients and samples

Ethics approval was obtained from the Metro South Health District Human Research Ethics Committee in accordance with the National Health and Medical Research Council's guidelines (HREC/11/QPAH/331) to collect blood samples from the Princess Alexandra Hospital (PAH). All methods in this study were performed in accordance with these ethical guidelines and regulations and with the Helsinki Declaration (as revised in 2013). This study has Queensland University of Technology ethics approval (1100001420). Following written informed consent, 15–20 mL of blood samples were collected from 70 NSCLC patients. The patient demographic and clinicopathological findings are presented in *Table 1*.

CTC enrichment and detection

The workflow that we have adopted is shown in Figure 1.

RosetteSep and ex vivo CTC culture

Patients whole blood (10 mL) was collected in heparin tubes (BD-Plymouth, UK) and incubated with RosetteSepTM (Human CD45 depletion cocktail, Stemcell TechnologiesTM, Vancouver, Canada) at 50 µL/mL of whole blood for 20 minutes at room temperature. After incubation, cellular separation was achieved in SepMateTM-50 mL tubes containing LymphoprepTM (Stemcell TechnologiesTM, Vancouver, Canada) density gradient medium and centrifugation at 1,200 g for 10 minutes. The enriched cellular suspension was resuspended in Clevers media and seeded in 96 well standard microplates (Greiner Bio-One, Austria) and 16 well Chamber SlideTM (Lab-Tek[®], Australia).

Preparation of Clevers media

Clevers media is comprised of Advanced DMEM/F12 with the following additives: 50 ng/mL EGF (Sigma), 5% v/v R-spondin 1, 10% v/v Noggin, 10 ng/mL FGF10 (Peprotech), 1 ng/mL FGF2 (Peprotech), 10 nM Nicotinamide (Acros), 0.5 µM A83-01 (Tocris), 10 µM SB202190 (Sigma Aldrich), 10 µM Y-27632 (Selleck Chemical), 1X B27 Additive (Invitrogen), 1.25 mM N-Acetyl-L-cysteine (Sigma-Aldrich), 2 nM Glutamax
 Table 1 Clinicopathological patient data for the NSCLC patient cohort

Variables	N			
Total	70			
Gender				
Male	42 (60%)			
Female	28 (40%)			
Age, y				
<60	17 (24.3%)			
>60	53 (75.7%)			
Age range (years)	36–89			
Tumour type				
NSCLC adenocarcinoma	57 (81.5%)			
NSCLC squamous cell carcinoma	12 (17.1%)			
NSCLC adenosquamous carcinoma	1 (1.4%)			
Tumour stage				
I	1 (1.4%)			
II	2 (2.9%)			
III	26 (37.2%)			
IV	40 (57.1%)			
Unknown tumour stage	1 (1.4)			
Mutation status (tumour)				
EGFR wild type	2 (2.9%)			
EGFR mutation	10 (14.3%)			
ALK wild type	1 (1.4%)			
ALK translocation	1 (1.4%)			
KRAS mutant	11 (15.7%)			
BRAF mutant	2 (2.9%)			
Treatment prior to consent	40			
Treatment naive	30			
CTC findings				
CTC >2 (pCK⁺DAPI⁺CD45⁻)	38/70 (54.3%)			
CTC <2 (CD45 ⁺ DAPI ⁺)	32/70 (45.7%)			
CTC cluster count (C5 ⁺ DAPI ⁺)	16/70 (22.9%)			
# Patients with EGFR ⁺ CTCs	4/5 (80%)			
Successful ex vivo culture	9/70 (12.9%)			

CTC >2 includes single CTCs and CTC clusters. CTC, circulating tumour cell; NSCLC, non-small cell lung cancer.



Figure 1 The experimental workflow for isolating and enriching circulating tumour cells (CTC) and downstream characterization methods. Using spiral microfluidics and negative depletion isolation platforms in parallel, putative CTCs are isolated. Captured cells by microfluidic enrichment are characterized using multifluorescent markers. Enriched cells by CD45-negative selection, guaranteed sterile conditions for CTC culture. Following the enrichment and expansion of viable CTCs, further downstream analysis was performed. *Figure 1* was modified from Servier Medical Art, licensed under a Creative Common Attribution 3.0 Generic License. http://smart.servier.com/.

(Invitrogen), 10 mM HEPES (Sigma Aldrich), 1:100 v/v Primocin (Invitrogen), 10% Foetal Bovine Serum as previously described (37). The enriched cells were cultured in Clevers media under hypoxic conditions $(1-2\% O_2)$.

Microfluidic spiral chip

Isolation of CTCs using spiral microfluidic technology was performed as previously described (14,36). In brief, whole blood (10 mL) was collected in K2EDTA tubes (BD-Plymouth, UK), red blood cell lysed in 1:3 dilution (Astral Scientific, Australia), and run through a spiral chip using a syringe pump at 1.7 mL/min. The resulting CTC output was collected and spun down at 300 g for 5 min.

Characterization of CTCs

Spiral chip enriched samples were cyto-centrifuged onto glass slides and CTCs were identified by immunocytochemistry (ICC). Cells were fixed with 4% paraformaldehyde (PFA) and permeabilized with 0.25% Triton in PBS. The cells were then blocked with 10% FBS. Cells were immunostained with a combination of Cytokeratin Pan Antibody (Invitrogen), Vimentin Monoclonal Antibody (V9) (Invitrogen) and CD45 (AD). Subsequently, slides were stained with DAPI for nuclear staining. ProLong[™] Gold Antifade mountant (Invitrogen) was used to prevent photobleaching and for the preservation of the fluorescent labelled molecules for long term storage. Slides were coverslipped and imaged using Zeiss Axio Imager Z2 microscope.

CTCs were identified as: (I) morphologically larger than background cells with intact nuclei; (II) high nucleus-cytoplasmic ratio; (III) pan-cytokeratin positive; (IV) DAPI positive; (V) CD45 negative; and (VI) cells larger than 14 μ m. CTCs were additionally characterized for EGFR exon 19 deletion (1:200) (EGFR E746-A750, Cell Signaling, Beverly, MA, USA).

DNA fluorescence in situ hybridization

Enriched CTC samples were cyto-centrifuged, fixed in 4% PFA and dehydrated through an ethanol series of 70%, 85% and 96%. Slides were treated with RNAse (4 mg/mL) (Sigma, USA) and DNA FISH was then performed with EML4-ALK probe (Vysis LSI ALK break apart, Abbott, USA) as previously described (38). The cytospots were further counterstained with DAPI, coverslipped and imaged

on a Zeiss Axio Imager Z2 microscope.

DNA extraction of CTCs from culture

DNA extraction was carried out using the QIAmp DNA Mini Kit (Qiagen, Germany) in concordance to the manufacturer's instructions. DNA samples were evaluated for purity and quantified on a Qubit Fluorometer (Thermo Fisher Scientific, USA). In order to determine whether there was sufficient DNA prior to whole exome sequencing (WES) studies, DNA (50 ng) was used as a template for all polymerase chain reaction (PCR) amplifications. Human β-globin (forward: CAACTTCCACGGTTCACC; reverse: GAAGAGCCAAGGACAGGTAC) was used as a control to verify that cultured cells are of human origin. The qPCR used the following conditions: 50 °C for 2 min; 95 °C for 10 min; 40 cycles at 95 °C for 15 s and 60 °C for 1 min; and a final melting curve analysis with following conditions: 95 °C for 15 s, 60 °C for 1 min, and 95 °C for 15 s. gPCR was carried out for each sample on a QuantStudio[™] 7 Flex Real-Time PCR System (Applied BiosystemsTM) using PowerUp[™] SYBR[®] Green Master Mix (Applied Biosystems, Thermo Fisher Scientific, USA).

WES

Genomic DNA previously isolated was used for exome capture. The qualified genomic DNA sample was randomly fragmented by Covaris technology (Covaris Inc., Woburn, MA, USA), after which the sizes of the DNA fragments were distributed between 150-250 bp. End repair of DNA fragments was performed and an 'A' based was added at the 3' end of each stand, then ligated to both ends of the resulting fragments for amplification and sequencing. Extracted DNA was amplified by ligation-mediated PCR (LM-PCR) with hybridization, amplification and purification for enrichment. Each resulting qualified captured library was then sequenced on the BGISEQ-500 sequencing platform (Beijing Genomics Institute, Guangdong, China) and the desired average sequencing coverage for each sample was attained. Raw image files were processed using default parameters by BGISEQ base-calling software to generate pair-end reads for the data of each individual.

Variant analysis

Somatic variants were identified using the Australian Translational Genomics Centre's clinical genomics bioinformatic pipeline v3.2.0. Briefly, FASTQ reads were aligned the GRCh37 human genome reference sequence using Novoalign v.3.09.00 (http://www.novocraft.com). Somatic variants were called from tumour-normal (cultured CTC versus blood from the same patient) pairs using MuTect2 as part of the Genome Analysis Toolkit v3.7 (39). In order to avoid spurious variant calls, filter PASS variants with variant allele frequencies (VAF) <0.1 were removed. Variants were subsequently annotated using variant effect predictor (VEP) v.86 (40) and converted to MAF format using vcf2maf.pl (https://github.com/mskcc/vcf2maf). Mutational signature analysis, tumour heterogeneity analysis and oncogenic pathway analysis was performed using Maftools v.1.9.20 (41) as implemented in R v.3.4.3 (42).

Statistical analysis

Correlation of CTC count at baseline with clinical stage was compared using Spearman's ρ analysis. Associations of CTC baseline counts (>2 cells) and progression-free survival (PFS) were assessed using Kaplan-Meier analysis and logrank tests. P<0.05 was considered to signify a statistically significant difference. Association with baseline CTC counts and short-term culture success was studied using the Mann-Whitney test.

Results

Patient demographic, CTC counts and ex vivo expansion

A total of 70 NSCLC patients were included in this study. Patient demographics are shown in *Table 1*. The median age was 66 years (range, 36–89 years); including n=42 men and n=28 women. The histological classifications were adenocarcinoma (n=57), squamous cell carcinoma (n=12) and adenosquamous carcinoma (n=1). Patient demographics are listed in *Table 1*.

CTC enrichment and characterization using spiral microfluidics

Peripheral blood samples from 70 patients were isolated using microfluidic spiral chip and RosetteSep as described previously (11,14,43). Putative CTCs and CTC clusters were defined as pan-cytokeratin-positive and CD45negative cells (*Figure 2A*). Thirty-seven of 70 patients were positive for single CTCs (*Figure 2A*) with a CTC count of >2 while 16 patients had CTC clusters using spiral

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Figure 2 Circulating tumour cell characterization and enumeration. (A) CTCs isolated using a spiral microfluidic chip. Representative images of single CTCs, CTC clusters and circulating tumour microemboli (CTM). Immunofluorescent staining using anti-CK-FITC, anti-CD45-APC and DAPI. Composite image of CTCs staining with EGFR-A750 deletion specific antibody, anti-CD45 APC and DAPI. Scale bar represents 20 µm. (B) Stacked bar graph showing distribution of baseline single CTCs (black) and CTC clusters (red) counts in 7 mL of blood based on NSCLC clinical stage. Asterix (*) signifies presence of CTM. CTC, circulating tumour cell; NSCLC, non-small cell lung cancer.



Figure 3 Kaplan Meier curves for (A) CTC count for treatment naive patients [hazard ratio (HR): 3.368; 95% confidence interval (CI): 0.9549–12.34; P=0.0993]; (B) CTC count for relapsed/refractory patients (HR: 1.630; 95% CI: 0.5227–5.085; P=0.3241). CTC counts are divided into two categories >2 or <2 for non-small cell lung cancer patient cohort. CTC, circulating tumour cell.

microfluidic technology (Table S1). Of the 16 CTC-cluster patients, 15 had single CTCs also detected. Identification of CTM were seen in one patient (Pt #41) who also had single CTCs. In four patients where EGFR exon 19 deletion in the primary tissue was identified by Queensland Pathology and corresponding bloods was taken for CTC evaluation, CTCs were identified in 4/5 patients with an EGFR exon 19 deletion specific antibody (EGFR E746-A750, Cell Signalling, USA) (Figure 2A). Pt #17 was positive for 25/30, Pt #23 was positive for 5/25, Pt #44 was positive for 27/30 and Pt #67 was positive for 1/1. The distribution of CTC types identified from the patient cohort is shown in Table 1. Single CTC and cluster count distribution for NSCLC clinical stages is illustrated in Figure 2B. CTCs were identified 3/3 stage I-II, 24/26 stage III, 37/40 stage IV patients. Higher CTC counts were observed in patients with advanced stage disease.

Association of CTC with PFS in NSCLC

The CTC assessment at baseline was measured against patient survival curves using Kaplan-Meier curves. In total, 32 patients had <2 CTCs at baseline and 37 patients had >2 CTCs. Survival was evaluated for treatment naive and relapse/refractory patients. There was not a significant association between survival outcomes and treatment naive *vs.* relapse/refractory patients. Treatment naive patients with CTC counts of <2 did not have any significant PFS compared to those with >2 CTCs [hazard ratio (HR): 3.368; 95% confidence interval (CI): 0.9549–12.34; P=0.0993] (*Figure 3A*). This was also observed for relapse/refractory patients (HR: 1.630; 95% CI: 0.5227–5.085; P=0.3241) (*Figure 3B*).

Analysis of ALK FISH using spiral enriched CTCs

Detection of ALK rearrangements from enriched CTCs using FISH by Vysis Break Apart FISH Probe kit (Abbott Molecular, Abbot Park, IL, USA). One patient was known to have an ALK-positive tumour, also had detectable CTC counts (*Figure 4*). EML4-ALK translocation was identified in in 8/9 (88.9%) CTCs (*Figure 4*).

Ex vivo culture of isolated CTCs from patients with nonsmall cell lung cancer (NSCLC)

Short term cultures were established from 9 patients (13%) using RosetteSep (Stemcell TechnologiesTM, Vancouver, Canada) enrichment for up to 40 days in Clevers media and hypoxic conditions (1–2% O_2) (*Figure 5A* and *Table 2*). Once patient derived cultures were confluent (80–90%) the wells were collected for molecular/protein characterization assays. ICC on the cultured cells was showed that these cells were of epithelial origin and CD45 negative (*Figure 5A*). In patients 7, 25, 46, 51 and 58 high confluency was reached in 7–14 days and were proliferative for up to 50 days. Patients



Figure 4 Molecular fluorescence *in situ* hybridization (FISH) assessment on CTCs enriched from NSCLC. Cells were stained using Vysis Break Apart FISH probe and further counterstained with DAPI. Red and green signals represent a separation of the original fusion signal (arrows), indicating rearrangement in the 2p23 ALK-gene locus. Scale bar represents 10 µm. CTC, circulating tumour cell; NSCLC, non-small cell lung cancer.

17, 34, 41 and 66 reached a low cell confluency by day 14 and were proliferative for up to 40 days. All patients were CTC positive with clusters were detected in 2/7 cultured samples (Pt #17 and #41), additionally the presence of CTM was identified in patient #41 as shown in *Table 2*. Cultures were positive in samples with 5 or more CTCs in 7mls of blood as shown in *Figure 5*. It was also observed that the culturability wasn't affected by an increased number of CTCs (*Figure 5B*).

WES and bioinformatics analysis of expanded CTCs

WES of cultured CTC and matched germline (blood) material from Patient #7 was conducted. A total of 1,633 somatic variants were detected in the CTCs, of which 1,430 were silent mutations. The remaining mutations were

predominately missense mutations (n=191), as well as inframe INDELs (n=5), splice site variants (n=3) nonsense mutations (n=2) and frameshift deletions (n=2) (*Figure 6A*). Density plotting of the variant allele frequency (VAF) distribution revealed four distinct clusters of VAFs with frequencies typically ranging from 0.1 to 0.25. These numerous, low VAF, clusters were indicative of a high degree of high tumour heterogeneity/polyclonality (Figure 6B). The mutational composition (a.k.a. signature) of the sample was compared to mutational signatures present in the COSMIC database (44) to determine the underlying mutational processes generating the somatic variants. Following 100 computational iterations, three distinct mutational signatures were noted: signature 1 (average cosine similarity 0.79) a common mutational signature across all cancer types which results from spontaneous

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Figure 5 *Ex vivo* expansion of circulating tumour cells. (A) Isolation, expansion and characterization of CTCs from patients with advanced stage NSCLC. Cultured CTCs derived from patient blood in 96 well standard microplate. In well staining of proliferating cells in culture at day 7. Immunofluorescent staining using anti-CK-FITC and anti-CD45-APC. These cells were shown to be CD45 negative. (B) Correlation between baseline CTC count with short term culture success. CTC, circulating tumour cell.

Patient #	Subtype	Stage	CTC count/ 7 mL blood	CTC cluster count/ 7 mL blood	Culturability
7	Squamous cell carcinoma	IV	20	0	30% confluent on day 7, proliferative up to day 20
17	Adenocarcinoma	II	25	1	10% confluent on day 14, proliferative up to day 40
25	Adenosquamous cell carcinoma	IV	15	0	50% confluent on day 14, proliferative up to day 20
34	Adenocarcinoma	IV	20	0	10% confluent on day 14, proliferative up to day 40
41	Adenocarcinoma	Ш	55	5	10% confluent on day 14, proliferative up to day 50
46	Adenocarcinoma	IV	5	0	50% confluent on day 14, proliferative up to day 30
51	Adenocarcinoma	IV	35	0	30% confluent on day 7, proliferative up to day 20
58	Adenocarcinoma	IV	5	0	50% confluent on day 14, proliferative up to day 40
70	Adenocarcinoma	IV	5	2	30% confluent on day 20, proliferative up to day 40

 Table 2 Clinical details, CTC counts and culture observations of short-term culture positive samples

CTC, circulating tumour cell.

deamination of 5-methylcytosine, signature 2 (average cosine similarity 0.75) a common mutational signature with unknown aetiology which is also observed across all cancer types, and signature 3 (average cosine similarity 0.74) a signature resulting from defective DNA mismatch repair which is noted to occur in LC as well as some other cancer types. Whilst the precise mutational process driving somatic mutation remains unclear, the observed mutational signature was consistent with that of a LC (*Figure 6C*).

Three missense mutations were noted to occur in oncogenic pathways, two of which were predicted to be benign (TSC1-322Thr in the PI3K pathway and WNT8B-11Ser in the WNT pathway). The third mutation (FGFR4-388Arg in the RTK-RAS pathway) was predicted to be damaging and has previously been noted to promote LC progression (45). A full list of annotated variants is available at http:// fp.amegroups.cn/cms/91cf48db5d4b8fef6b36eba0510a33 ea/TLCR-20-521-1.pdf.







database. The three identified signatures were consistent with lung cancer. WES, whole exome sequencing; CTC, circulating tumour cell; VAF, variant allele frequency.

Discussion

Metastasis is the leading cause for cancer-related deaths. Over 30% of patients with NSCLC develop recurrences due to metastasis at diagnosis (46-48). One of the reasons for this is the lack of consideration for metastatic seed, CTCs. In our study, CTCs were identified in 38/70 (54.3%) NSCLC patient's blood samples, with the inclusion of single CTCs, CTC clusters and CTM. Our CTC detection rates were comparative to that of Freidin et al. who detected 56% and 65% (49). We found no significant association between CTC counts in NSCLC cancer patients with early stage than with late stage disease. This is supported by previous studies by Freidin et al., Chudsama et al., Mascalchi et al., who reported no significant correlation between tumour stage and number of CTCs detected (49-51). Consistent with previous studies investigating the prognostic role of CTCs in LC (52-57), we confirmed that baseline CTC counts are significantly associated with PFS.

We were able to ex vivo culture CTCs derived from advanced stage patients' blood samples. Cultures grown in hypoxic conditions were successful from CTC counts as low as 5 CTCs/7.5 mL of blood and were proliferative for up to 40 days. Hypoxia is a vital growth stimulus that promotes metastatic progression and is commonly used for CTC culture to 'mimic' conditions observed in vivo at distant sites where oxygen tensions are low (58-61). Another essential culture condition was the use of Clevers media, previously used for the culture of prostate derived CTCs (37). Clevers media promotes a stem cell phenotype. Additional growth factors in the media such as R-spondin-1 is used to sustain survival through Wnt signalling as a pivotal requirement for proliferation and growth of epithelial cells (30,37,62,63). We were able to confirm that the CTC culture from 1 patient showed a NSCLC genotype, which provided us with confidence that the developed culture methodology could be used in NSCLC. Further studies, using advance stage patients with higher burden-of-disease may be desirable to determine the effectiveness of the methodology.

In this study, we identified the presence of CTM in the blood of one patient, who also showed successful expansion short term (Pt #41). The presence of CTC clusters has been previously shown to have higher metastatic potential when compared with single CTCs (9). Clusters comprised of CTCs known as CTM, allow tumour cells within to be protected from apoptosis and have shown to drive cell cycle progression and increase metastatic potential of CTCs (12,15).

Clinically relevant biomarkers, EGFR del 19 mutation and ALK rearrangements were also analysed in a subset of patients. The presence of ALK rearrangement on CTCs from an ALK positive patient, validating previous studies (64,65). In 4/5 patients where tumours were identified as EGFR exon 19 del, EGFR mutation was detected in isolated CTCs, confirming that CTCs can be used as proxy to investigate tumour activities. This supports previous studies where EGFR mutation has been detected in CTCs which were concordant with mutation status in corresponding tumour (31,66-68). The mutation-specific antibody for E746-A750 selected has previously identified EGFR mutation detection in CTCs (11). This fast, low-cost approach shows promise for the detection of this mutation in NSCLC, particularly, where access to tumour tissue is limited, may enable early interventions and better treatment management (69). Further validation is necessary in order to confirm the clinical utility for screening EGFR del 19 mutation in NSCLC patients (70,71).

To determine the concordance between the primary tissue and CTC cultures, WES was performed in a subset of patients where sufficient DNA was available from the CTC cultures (n=9). Exome sequencing of CTC and white blood cells (as germline control) confirmed the presence of somatic mutations in the CTC culture of patient #7 with mutational signatures consistent with LC. Three missense mutations were observed to arise in oncogenic pathways. While two mutations (TSC1-322Thr in the PI3K pathway and WNT8B-11Ser in the WNT pathway) were predicted to be benign, the third mutation (FGFR4-388Arg in the RTK-RAS pathway) is predicted to be functionally relevant, having been reported to promote activation of pathways relative to cancer, and has been associated with the progression of LC (45). To better understand genomic heterogeneity, it is desirable to obtain genomic profiles throughout the course of the disease at multiple timepoints. Acquiring longitudinal samples was limited in the current study as the patient cohort had advanced stage with poor survival. Sufficient DNA yields were not available on the other eight CTC cultures at the timepoint at which the cultures were harvested.

Several efforts to propagate CTC *ex vivo* cultures have had limited success, particularly for NSCLC (72,73). Here we describe a method that allowed expansion of CTCs in culture in a subset of patients, which may provide a better understanding into CTC heterogeneity and mechanisms of metastasis. Further studies are necessary in the NSCLC field to establish CTC cell lines to model transient 1806

disease, in order to evaluate and discover new therapeutic approaches. Ideally, cancer cell lines would be routinely generated from each cancer patient but this is currently not realistic (15,28,30). This culture method may provide new spectrum of prospective functional analyses which in turn provide powerful ability for modelling cancer heterogeneity at clinically relevant timepoints.

Conclusions

With LC being the highest cause of cancer mortality worldwide, one of the biggest challenges for managing and treating patients is the lack of early screening/diagnostic methods (5,74). In order to monitor tumour evolution and understand mechanisms underlying resistance, genomic analyses of NSCLC are essential however, is currently limited by the accessibility to tumour specimens. The use of CTCs as a minimally-invasive liquid biopsy could accompany standard screening tests and also allow for molecular and genetic characterization during tumour progression in real time (75). Additionally, genomic analysis of CTCs provides important information for personalized therapy, however the difficulty of low DNA yield from the small number of captured CTCs remains a large hurdle. To meet this challenge, expansion of CTCs in cell culture systems allows for downstream functional analysis.

As demonstrated in our current study, the facilitation of liquid biopsies to capture CTCs for genomic analysis, has promise in non-invasive cancer genotyping and monitoring of disease. In addition, the expansion of CTCs captured through minimally invasive clinical samples provide valuable opportunity to study NSCLC biology. In the future, the authors envisage recruiting longitudinal samples from NSCLC patients for the monitoring of disease to determine the changes in CTCs over time.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was conducted according to the principles of Declaration of Helsinki (as revised in 2013). Ethics approval was obtained by Metro South Health District Human Research Ethics Committee in accordance with the National Health and Medical Research Council's guidelines (HREC/11/QPAH/331) and Queensland University of Technology (1100001420). All patients enrolled completed the informed consent form.

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Table S1 CTC detection and ex vivo culture confirmed by basic CTC characterisation

Pt ID	Single CTC enumeration (7.5 mL)	CTC cluster enumeration (7.5 mL)	Establishment of culture
1	5	0	
2	10	0	
3	0	1	
4	55	0	
5	10	0	
6	15	0	
7	20	0	Yes
8	5	1	
9	5	1	
10	15	0	
11	35	3	
12	5	0	
13	15	0	
14	5	0	
15	30	0	
16	25	0	
17	25	1	Yes
18	10	0	
19	5	0	
20	20	3	
21	10	0	
22	20	0	
23	25	1	
24	10	0	
25	15	0	Yes
26	15	0	
27	0	0	
28	0	0	
29	45	1	
30	5	0	
31	0	1	
32	25	0	
33	10	0	
34	20	0	Yes
35	15	0	
36	15	0	
37	5	0	
38	0	0	
39	0	0	
40	15	0	
41	55	0	Yes
42	5	0	
43	45	0	
44	30	0	
45	25	0	
46	5	0	Yes
47	50	0	
48	70	0	
49	15	0	
50	35	0	Yes
51	45	0	
52	5	0	
53	25	0	
54	60	2	
55	385	0	
56	45	3	
57	5	0	
58	5	0	Yes
59	0	0	
60	5	0	
61	5	1	
62	15	0	Yes
63	5	0	
64	30	1	
65	25	0	
66	0	0	
67	5	1	
68	10	0	
69	50	0	
70	5	2	Yes

CTC, circulating tumour cell.