

Clinicopathologic characteristics of patients with *ROS1* fusion gene in non-small cell lung cancer: a meta-analysis

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Background: The receptor tyrosine kinase *ROS1* is a driver gene in the non-small cell lung cancer (NSCLC) with promising target treatment potential. The clinical features of NSCLC patients harboring *ROS1* fusion gene were not fully understood due to small-to-modest sample sizes of these association studies.

Methods: We systematically searched PubMed, EMBASE, and the Cochrane Central Register of Controlled Trials (CENTRAL) from their inception to March 31, 2015. We analyzed the association between *ROS1* fusion genes and four common clinical variables, i.e., gender, smoking status, pathological type and clinical stage.

Results: Eighteen studies consisting of 9,898 NSCLC patients were included in this meta-analysis. Pooled results showed that significantly higher rate of *ROS1* fusion gene was detected in female NSCLC patients (OR =1.54, 95% CI: 1.02-2.34, P=0.042), patients without a smoking history (OR =3.27, 95% CI: 1.44-7.45, P=0.005), patients with adenocarcinomas NSCLC (OR =10.24, 95% CI: 5.13-20.40, P<0.001), and patients with an advanced clinical stages III-IV (OR =2.57, 95% CI: 1.78-3.71, P<0.001). The pooled prevalence of *ROS1* fusion gene was 2.4% (95% CI: 1.8-3.1%) in adenocarcinoma and a significantly lower (0.2%) in non-adenocarcinoma tumors.

Conclusions: *ROS1* rearrangement was more prevalent in female patients, patients without a smoking history, patients with adenocarcinoma, and patients on more advanced stages (stages III to IV).

Keywords: *ROS1*; clinicopathologic features; non-small cell lung cancer (NSCLC); meta-analysis

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Introduction

Lung cancer remains the leading cause of cancer death worldwide and non-small cell lung cancer (NSCLC) accounts for more than 80% of lung cancer cases. Since the last decade, the identification of key driver genes in NSCLC [epidermal growth factor receptor (EGFR) and anaplastic lymphoma kinase (ALK), etc.] and the promising results of tyrosine kinase inhibitors (TKIs) targeting these driver genes to treat NSCLC have rapidly facilitated the development of targeted therapy and precision medicine (1-3). In the era of precision medicine, molecular testing

became extremely important in both the classification and treatment of lung cancer (4). *ROS1* is a receptor tyrosine kinase of the insulin receptor family. *ROS1* fusion proteins were firstly demonstrated to be involved in NSCLC in 2007 through global survey of phosphotyrosine signaling of NSCLC cell lines and tumors (5,6). *ROS1* fusion kinase is constitutively activated and leads to activation of downstream oncogenic pathways (STAT3, PI3K/AKT/mTOR, RAS-MAPK/ERK pathways) which controls cell proliferation, survival and cell cycling, and eventually results in cell transformation (7,8).

It's striking that preliminary results from two independent studies showed that crizotinib, an inhibitor of ALK but also effective on *ROS1*, was highly active at treating patients who had *ROS1* rearrangement, showing a response rate of 72-80% (9,10). These promising results highlighted the necessity of thorough investigation of *ROS1* fusion gene in NSCLC patients, but the clinical features of *ROS1*-fusion-gene-harboring patients, which was the base of further research, was not fully understood: the vast majority of studies had small-to-modest sample sizes, which comprised the detection power of each individual study. Therefore in this study, we performed a meta-analysis to determine the clinicopathologic features of NSCLC patients with *ROS1* rearrangements.

Materials and methods

Literature search

We adopted guidelines from the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) statement to perform our meta-analysis (11). We systematically searched PubMed, EMBASE, and the Cochrane Central Register of Controlled Trials (CENTRAL) from their inception to March 31, 2015, using the following search terms and key words: ["carcinoma, non-small-cell lung" (Mesh) OR "lung neoplasm" OR "lung cancer" OR "lung carcinoma" OR "pulmonary neoplasm" OR "pulmonary cancer" OR "pulmonary carcinoma" OR NSCLC] and (*ROS1* OR ROS-1 OR "ROS 1"). We also manually checked references of the identified reports and relevant reviews. No language restrictions were applied.

Study selection

Two investigators (Q Zhu and X Zhang) performed study selection independently, with disagreements resolved by consensus. To be included, studies had to meet all the following criteria: (I) included NSCLC patients, regardless of the pathological phenotypes; (II) genotyped whether *ROS1* fusion gene is present in NSCLC patients; (III) provided the total number of patients and number of patients harboring *ROS1* fusion gene in two categorical groups stratified by one or more of these clinical variables, i.e., gender, smoking status, pathological type and clinical stage; and (IV) were published as full-text articles. We excluded studies without sufficient data to estimate odds ratios (ORs) and their corresponding 95% confidence intervals (CIs).

Outcomes

The pre-specified primary endpoints were to investigate whether there was any association between *ROS1* fusion gene and these clinical variables in overall population. The secondary endpoints were to determine whether these associations were different among different ethnic populations.

Data collection and quality assessment

For each study, the following information was independently extracted by two investigators (Q Zhu and X Zhang): first author, year of publication, the performing country of the study, number of patients, ethnicity, age, pathological type of tumors, genotyping methods, and the number of *ROS1* fusion genes. For each study, we also recorded the number of *ROS1* fusion genes in categorical groups stratified by clinical parameters stated above.

Statistical analysis

In NSCLC patients, we analyzed the association between *ROS1* fusion genes and four common clinical variables, i.e., gender, smoking status, pathological type and clinical stage. ORs and their corresponding 95% CIs were pooled across studies using random-effects models in the presence of significant heterogeneity, or fixed-effects model in case no significant heterogeneity was detected (12). We used both the I^2 statistic and χ^2 -based Q test to evaluate the heterogeneity, with I^2 higher than 50% or P value of Q statistic's test below 0.10 indicating significant heterogeneity (13,14). Studies in which *ROS1* fusion gene was not detected in any of the two groups were excluded in the analysis. For studies in which *ROS1* fusion gene was not detected in only one of the two groups, we calculated OR and its 95% CI by adding 0.5 to each cell of the 2x2 table for that study (15,16). Publication bias was analyzed by Begg's test and Egger's test (17), and sensitivity analyses by omitting one study at one time. Subgroup analyses were performed according to the ethnicity of NSCLC patients. All analyses were performed with the STATA version 12.0 (STATA Corporation, College Station, TX, USA) software.

Results

Study selection and characteristics

The flow diagram of the meta-analysis was shown in *Figure 1*. Our systematic literature search generated 603

studies. Five hundred and seventy studies were excluded as duplicate publications and reviews etc, leaving 33 studies

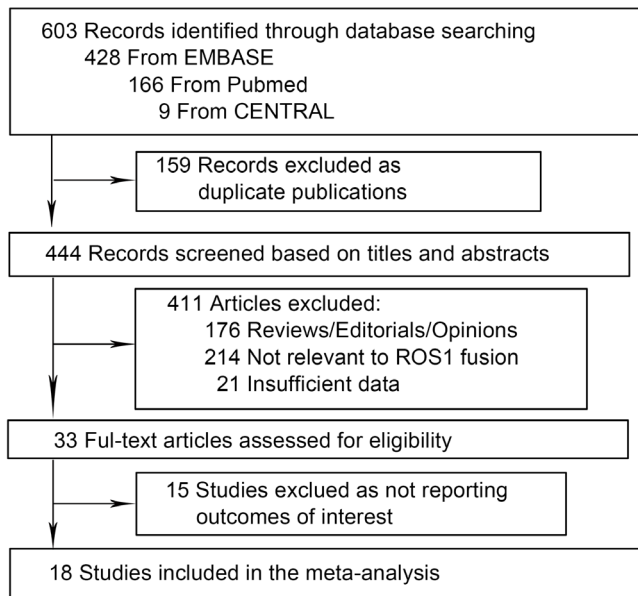


Figure 1 Flow diagram of the study selection in the meta-analysis.

for full-text review. Fifteen studies were further excluded because outcomes of interest were not reported. Thus, 18 studies presented were included in the meta-analysis, encompassing 9,898 NSCLC patients (18-35). Twelve studies were carried out in Asian population (18-29), and six were in Caucasian population (30-35). Baseline characteristics of individual study were shown in *Table 1*. All studies were published between 2011 and 2015. The number of patients ranged from 108 to 1,478, the mean age from 54 to 65 years. The detection methods included fluorescence in situ hybridization (FISH), reverse transcriptase PCR (RT-PCR) and immunohistochemistry (IHC), and FISH was the most commonly used method.

Association between ROS1 fusion gene and gender in NSCLC patients

All 18 studies contributed to the analysis of association between *ROS1* rearrangement and gender. A total of 5,409 male patients and 4,468 female patients were included, and *ROS1* rearrangement was detected in 85 males (1.57%) and

Table 1 Characteristics of included studies

Study/first author	Year	Country	Ethnicity	No. of patients	Age [range]	ROS1 fusion	Detection methods	Tumor type
Pan <i>et al.</i> (27)	2014	China	Asian	1,139	59.4±10.8	11	RT-PCR, FISH	Lung adenocarcinoma
Kim <i>et al.</i> (26)	2014	Korea	Asian	162	60 [42-75]	5	FISH	Lung adenocarcinoma
Chen <i>et al.</i> (24)	2014	China	Asian	492	65 [27-95]	12	IHC	Lung adenocarcinoma
Go <i>et al.</i> (20)	2013	Korea	Asian	451	62 [34-87]	8	FISH	NSCLC
Zhao <i>et al.</i> (28)	2014	China	Asian	108	NA	2	ARMS PCR	Lung adenocarcinoma
Cheng <i>et al.</i> (25)	2014	China	Asian	1,652	60 [31-87]	53	FISH/RT-PCR	NSCLC
Davies <i>et al.</i> (31)	2012	America	Caucasian	447	66 [33-86]	5	FISH	NSCLC
Mescam-Mancini <i>et al.</i> (33)	2014	France	Caucasian	121	62 [31-88]	9	FISH/IHC	Lung adenocarcinoma
Jurmeister <i>et al.</i> (35)	2015	Germany	Caucasian	473	54 [29-75]	4	FISH	NSCLC
Cai <i>et al.</i> (19)	2013	China	Asian	392	60 [27-83]	8	multiplex RT-PCR	NSCLC
Jin <i>et al.</i> (29)	2015	Korea	Asian	375	63 [21-84]	3	FISH	NSCLC
Sholl <i>et al.</i> (32)	2013	America	Caucasian	220	64 [29-94]	9	IHC/FISH	Lung adenocarcinoma
Bergethon <i>et al.</i> (30)	2012	America	Mixed	1,073	62 [32-87]	18	FISH	NSCLC
Yoshida <i>et al.</i> (22)	2013	Japan	Asian	570	61 [28-88]	15	FISH	NSCLC
Cha <i>et al.</i> (23)	2014	Korea	Asian	330	61 [28-86]	13	FISH	Lung adenocarcinoma
Kim <i>et al.</i> (21)	2013	Korea	Asian	208	58 [30-78]	7	FISH	Lung adenocarcinoma
Warth <i>et al.</i> (34)	2014	Germany	Caucasian	1,478	NA	9	FISH	NSCLC
Li <i>et al.</i> (18)	2011	China	Asian	202	57	2	RT-PCR	Lung adenocarcinoma

RT-PCR, reverse transcriptase PCR; FISH, fluorescence in situ hybridization; IHC, immunohistochemistry; NSCLC, non-small cell lung cancer.

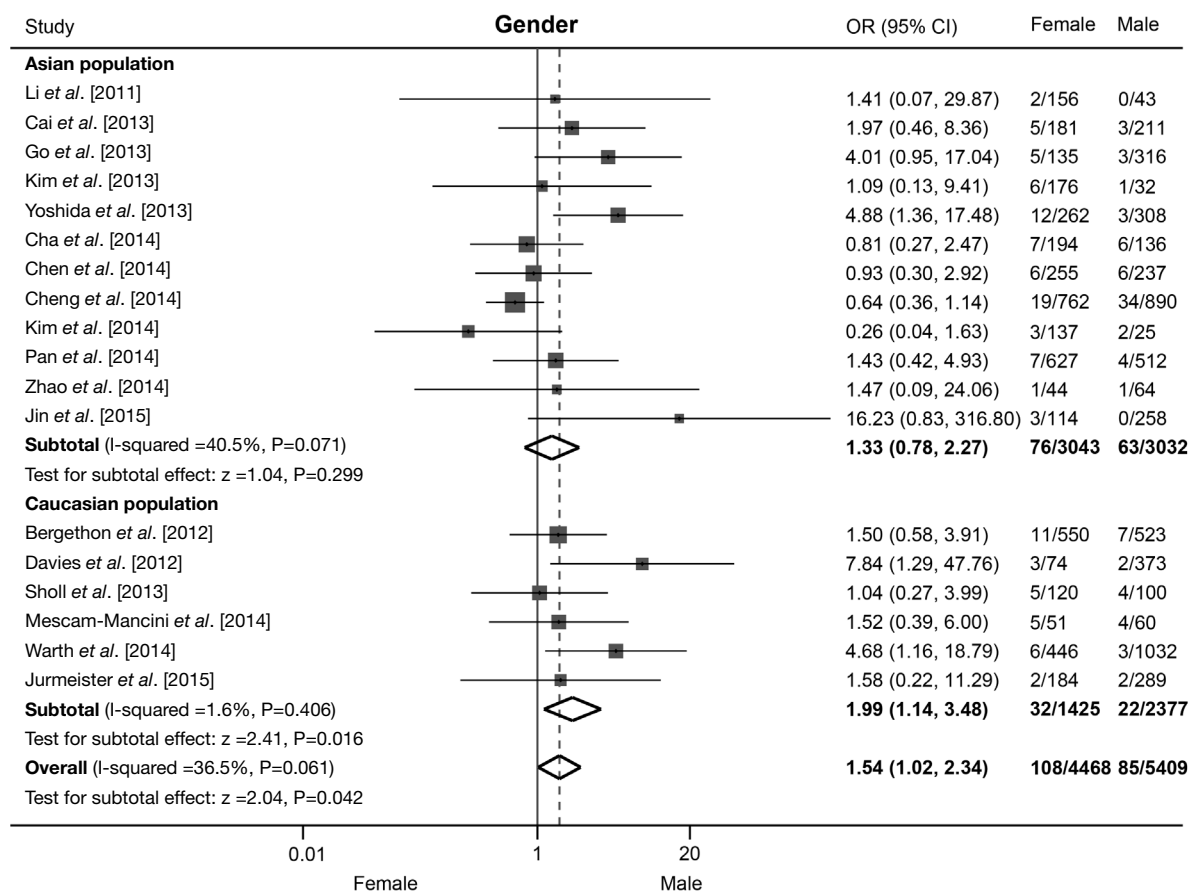


Figure 2 Association between *ROS1* fusion gene and gender in NSCLC patients. Forest plot of odds ratios (ORs) and 95% confidence intervals (CI) from each study, subgroup and overall analysis were shown. Subgroup analyses were stratified by ethnicity. NSCLC, non-small cell lung cancer.

108 females (2.42%) patients. Pooled results showed that female NSCLC patients were associated with an increased rate of *ROS1* fusion gene compared with male patients (OR =1.54, 95% CI: 1.02-2.34, P=0.042; *Figure 2*). There was moderate heterogeneity across these studies ($I^2=36.5%$, P=0.06). We then performed subgroup analyses based on the ethnic origin of participants. Twelve studies including 6,075 patients were involved in the analysis of Asian population, and meta-analysis showed no significant difference in *ROS1* rearrangement rate between male and female patients (OR =1.33, 95% CI: 0.78-2.27, P=0.299; *Figure 2*). Six studies including 3,802 patients were involved in the analysis of Caucasian population, pooled analysis showed a significantly higher rate of *ROS1* fusion gene in female patients (OR =1.99, 95% CI: 1.14-3.48, P=0.016; *Figure 2*). A moderate level of heterogeneity was detected in Asian population ($I^2=40.5%$, P=0.071), but not in Caucasian population ($I^2=1.6%$, P=0.406). No publication bias was

observed in the overall analysis, as demonstrated by Begg’s test (P=0.363).

Association between *ROS1* fusion gene and smoking status in NSCLC patients

Twelve studies presented clinical data for association analysis between *ROS1* fusion gene and smoking status. The pooled frequency of *ROS1* fusion gene was 0.90% (35/3,882) and 3.65% (122/3,340) in smoking and non-smoking patients respectively. Significant heterogeneity was found across these studies ($I^2=73.7%$, P<0.001). Pooled results from random effect model showed that non-smoking NSCLC patients were associated with an increased rate of *ROS1* fusion gene compared with smoking patients (OR =3.27, 95% CI: 1.44-7.45, P=0.005; *Figure 3*). Nine studies including 5,500 patients were involved in the

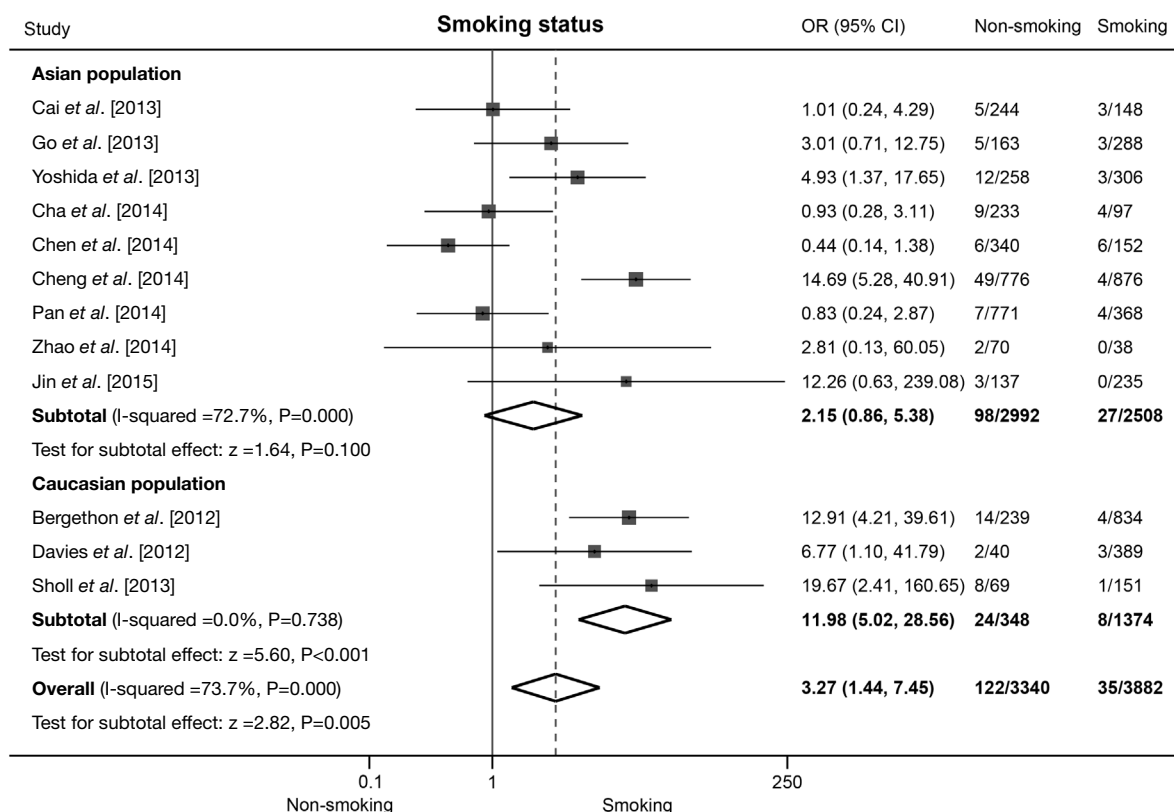


Figure 3 Association between *ROS1* fusion gene and smoking status in NSCLC patients. Forest plot of odds ratios (ORs) and 95% confidence intervals (CI) from each study, subgroup and overall analysis were shown. Subgroup analyses were stratified by ethnicity. NSCLC, non-small cell lung cancer.

analysis of Asian population, and meta-analysis showed no significance but a trend that non-smoking NSCLC patients were associated with increased rate of *ROS1* rearrangement (OR =2.15, 95% CI: 0.86-5.38, P=0.100; *Figure 3*) and the sensitivity analysis showed that the association did become significant (OR =2.70, 95% CI: 1.11-6.67) without the data from Chen et al. (24). Six studies including 1,722 patients were involved in the analysis of Caucasian population, pooled analysis showed a significantly higher rate of *ROS1* fusion gene in non-smoking patients (OR =11.98, 95% CI: 5.02-28.56, P<0.001; *Figure 3*). A significant heterogeneity was detected in Asian population ($I^2=72.7%$, P=0.000), but not in Caucasian population ($I^2=0.0%$, P=0.738). No publication bias was observed in the overall analysis with Begg's test (P=0.837).

Association between *ROS1* fusion gene and pathological type in NSCLC patients

Nine literatures that addressed the frequency of the *ROS1*

fusion gene in adenocarcinomas and non-adenocarcinomas were included, including 3,898 cases of adenocarcinomas and 3,181 cases of non-adenocarcinomas. The pooled frequency of *ROS1* fusion gene was 2.98% (116/3,898) and 0.22% (7/3,181) in adenocarcinomas and non-adenocarcinomas, respectively. No significant heterogeneity was observed ($I^2=24.8%$, P=0.223; *Figure 4*), thus fixed-effects model was chosen. The pooled results showed that adenocarcinomas was associated with a significantly higher *ROS1* fusion gene positivity rate (OR =10.24, 95% CI: 5.13-20.40, P<0.001; *Figure 4*). Five studies including 3,608 patients were involved in the analysis of Asian population, and four studies including 3,471 patients in Caucasian population. The pooled analysis showed a significantly higher rate of *ROS1* rearrangement in adenocarcinomas both in Asian (OR =16.92, 95% CI: 5.90-48.53, P<0.001; *Figure 4*) and Caucasian (OR =4.99, 95% CI: 1.95-12.73, P=0.001; *Figure 4*) populations. No significant heterogeneity was detected in Asian population ($I^2=9.3%$, P=0.353), nor in Caucasian population ($I^2=9.7%$, P=0.345). No publication

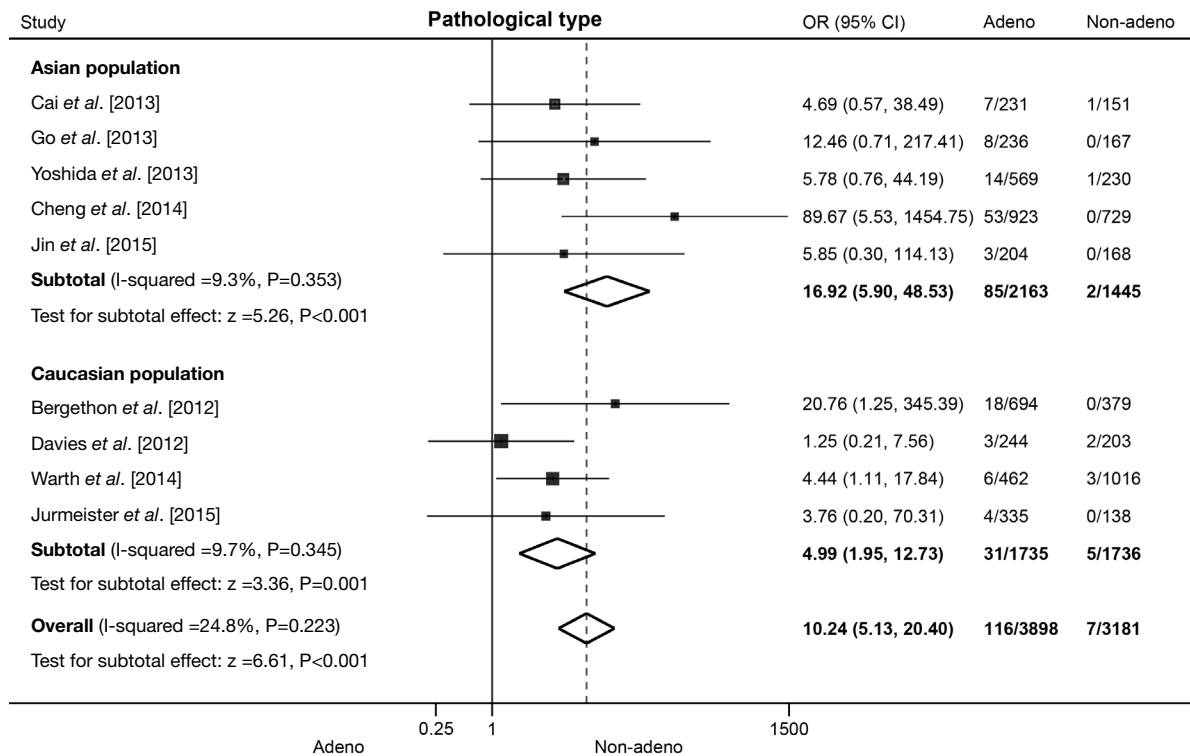


Figure 4 Association between *ROS1* fusion gene and pathological type in NSCLC patients. Forest plot of odds ratios (ORs) and 95% confidence intervals (CI) from each study, subgroup and overall analysis were shown. Subgroup analyses were stratified by ethnicity. NSCLC, non-small cell lung cancer.

bias was observed in the overall analysis, as demonstrated by Begg's test (P=0.466).

Association between *ROS1* fusion gene and clinical stage in NSCLC patients

There are 13 studies detecting the *ROS1* fusion gene in NSCLC patients with clear clinical stage. The pooled frequency of *ROS1* fusion gene was 1.27% (45/3,541) in patients of clinical stage I-II and 3.56% (105/2,949) in stage III-IV. We found no significant heterogeneity ($I^2=0.0%$, P=0.568; *Figure 5*). The pooled results showed that an advanced clinical stage (III-IV) was associated with a significantly higher *ROS1* rearrangement rate (OR =2.57, 95% CI: 1.78-3.71, P<0.001; *Figure 5*). Nine studies including 4,324 patients were involved in the analysis of Asian population, and four studies including 2,166 patients in Caucasian population. The pooled analysis showed a significantly higher rate of *ROS1* fusion gene in advanced stage patients in both Asian (OR =2.44, 95% CI: 1.61-3.69, P<0.001; *Figure 5*) and Caucasian (OR =3.06, 95% CI: 1.39-6.74, P=0.005; *Figure 5*) populations. No

publication bias was observed in the overall analysis, as demonstrated by Begg's test (P=1.000).

Prevalence of *ROS1* fusion gene in NSCLC

We estimated the prevalence of *ROS1* fusion gene based on these 18 studies by taking pathological type into account. A total of 6,868 adenocarcinoma patients were analyzed and 186 were positive for *ROS1* fusion gene. Pooled analysis of all 18 studies showed a prevalence of 2.4% (95% CI: 1.8-3.1%; *Figure 6*) in adenocarcinoma. A relatively higher rate was observed in Asian population (137/4,801, rate =2.6%, 95% CI: 1.7-3.5%; *Figure 6*) than Caucasian population (49/2,066, rate =2.1%, 95% CI: 1.0-3.1%; *Figure 6*). Whereas in non-adenocarcinomas patients, the prevalence was substantially lower, with only 7 of 3,181 (0.2%) patients harboring *ROS1* fusion gene.

Discussion

To our knowledge, this was the first meta-analysis performed to investigate the clinic pathologic characteristics

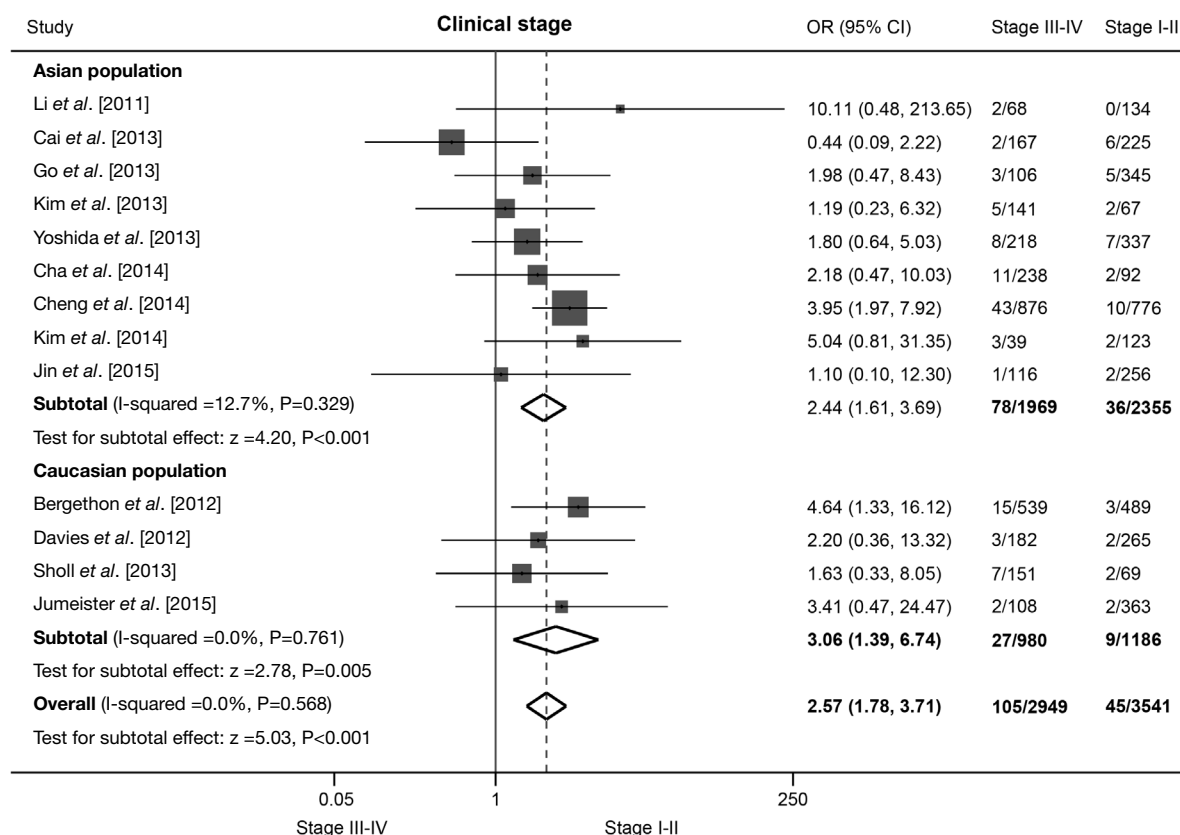


Figure 5 Association between *ROS1* fusion gene and clinical stage in NSCLC patients. Forest plot of odds ratios (ORs) and 95% confidence intervals (CI) from each study, subgroup and overall analysis were shown. Subgroup analyses were stratified by ethnicity. NSCLC, non-small cell lung cancer.

of NSCLC patients with a *ROS1* fusion gene. Our meta-analysis included 18 studies and a total of 9,898 NSCLC patients. Pooled analyses from these studies revealed that *ROS1* rearrangement was more prevalent in female patients, patients without a smoking history, patients with adenocarcinoma, and patients on more advanced stages (stage III to IV). Our meta-analysis also showed a prevalence of 2.4% (95% CI: 1.8-3.1%) of *ROS1* fusion gene in adenocarcinoma and a significantly lower rate (0.2%) in non-adenocarcinoma tumors.

Our study provided evidence to guide the prescreening of NSCLC patients to select a more enriched population who are more likely to harbor this specific rearrangement. The prescreening process is critical and important for several reasons. First, *ROS1* fusion gene was rare in NSCLC patients. In the first study describing *ROS1* fusion gene in NSCLC, Bergethon and colleagues reported 18 of 1,073 (1.7%) NSCLC tumors were *ROS1* rearranged, and all 18 *ROS1*-positive tumors were adenocarcinomas [2.5%,

(18/694)] (30), which was similar to our meta-analysis showing a prevalence of 2.4% in adenocarcinoma and extremely rare in non-adenocarcinoma patients. Therefore, systematic testing of *ROS1* rearrangement was challenging and unreasonable due to extremely high probability of negative results. Second, the detection method of *ROS1* fusion gene remains to be defined. Currently, FISH and next-generation sequencing were the most powerful approach and provided the most solid results, whereas IHC and RT-PCR had a number of limitations (8,23,33). However, FISH and next-generation sequencing were more technically demanding and more expensive. A prescreen process could substantially reduce the number of NSCLC patients enrolling for *ROS1* rearrangement test and therefore reduce the whole health cost. Evaluation of the clinic pathologic features of NSCLC patients was the first step of prescreening. Based on our meta-analysis and other studies, young female patients without a smoking history and having tumors with adenocarcinoma histology

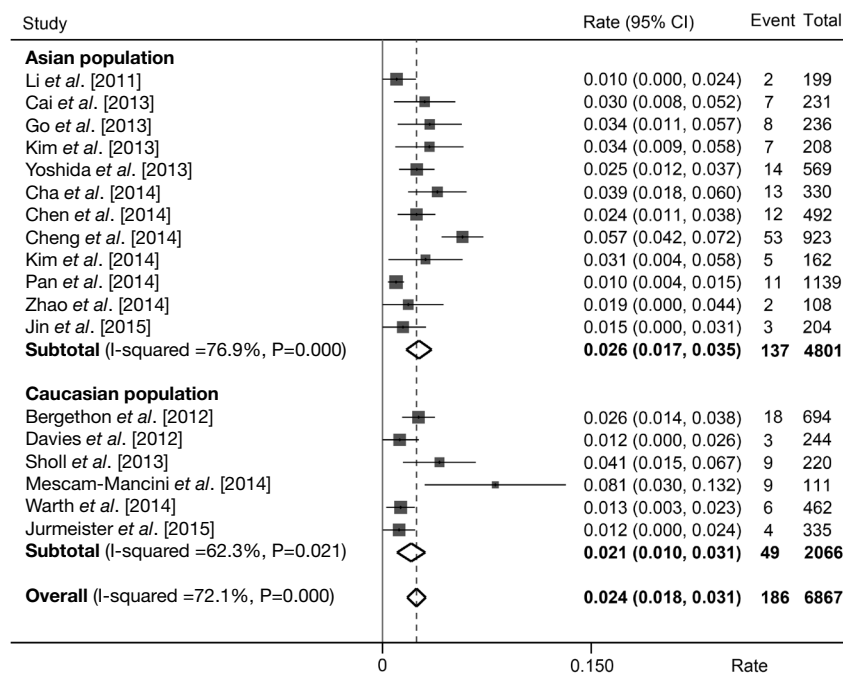


Figure 6 Prevalence of *ROS1* fusion gene in NSCLC patients with adenocarcinoma. Forest plot of rates and 95% confidence intervals (CI) from each study, subgroup and overall analysis were shown. Subgroup analyses were stratified by ethnicity. NSCLC, non-small cell lung cancer.

on advanced clinical stage were more likely to harbor *ROS1* fusion gene and should be genetically tested. IHC could also be used as a prescreening method (9).

It's notable that, according to our meta-analysis and other studies, NSCLC patients with *ROS1* fusion gene shared many clinic pathologic features with patients harboring ALK rearrangements (36,37). Similar pathogenesis might exist in these two subtypes of NSCLCs, supported by both structural and functional evidences: the kinase domains of ALK and *ROS1* share 77% sequence homology (10,38), and *ROS1* signaling and cell viability was substantially inhibited in cell lines expressing *ROS1* fusion genes, by crizotinib, an inhibitor of ALK (30,39). Two independent studies conducted on NSCLC patients harboring *ROS1* fusion gene, one perspective and one retrospective, showed a promising antitumor activity of crizotinib in these molecularly enriched patients: the response rates were 72% and 80% respectively and the median progression-free survival (PFS) was 9 to 19 months (9,10). Other more effective inhibitors of *ROS1* have been developed in the past few years, which were able to overcome crizotinib resistance in vitro and in animal models. A series of clinical trials regarding these inhibitors including crizotinib on *ROS1*-rearrangement NSCLC were ongoing or being planned

(NCT02183870, NCT01970865 and NCT01945021, etc.). Favorable results are expected in near future. Our findings could facilitate the patient selection process for *ROS1* inhibitor targeted therapy.

Several limitations in our study should be considered when interpreting these results. First, potential publication bias could exist in our analysis. Although we performed a systemic search of numerous databases and Begg's test did not shown evidence of significant publication bias, we still could not rule out the possibility that relevant studies might have been missed. Second, although we enrolled 9,898 NSCLC patients in our study, the sample size might still be not large enough provided that the rate of *ROS1* fusion gene was small. However, our meta-analysis remained the most powerful study ever by detecting nearly 200 NSCLC patients with *ROS1* fusion gene. Third, moderate to significant heterogeneity was observed in several comparisons. We attempted to investigate the heterogeneity by performing subgroup analyses, and found that heterogeneity still existed in Asian population. Particularly when comparing *ROS1* fusion gene in patients with different smoking status, sensitivity analysis showed that after omitting study of Chen *et al.*, the direction of pooled results changed in Asian population. Therefore,

results should be interpreted with caution removing study of Chen *et al.*

Conclusions

Our meta-analysis including 9,898 NSCLC patients showed that *ROS1* rearrangement was more prevalent in female patients, patients without a smoking history, patients with adenocarcinoma, and patients on more advanced stages (stage III to IV). The prevalence of *ROS1* fusion gene was 2.4% (95% CI: 1.8-3.1%) in adenocarcinoma and a significantly lower (0.2%) in non-adenocarcinoma tumors.

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