

Instructions

The purpose of this form is to provide readers of your manuscript with information about your other interests that could influence how they receive and understand your work. The form is designed to be completed electronically and stored electronically. It contains programming that allows appropriate data display. Each author should submit a separate form and is responsible for the accuracy and completeness of the submitted information. The form is in six parts.

Identifying information.

The work under consideration for publication.

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Relevant financial activities outside the submitted work.

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Intellectual Property.

This section asks about patents and copyrights, whether pending, issued, licensed and/or receiving royalties.

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Licensed: The patent has been licensed to an entity, whether

earning royalties or not

Royalties: Funds are coming in to you or your institution due to your

patent



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Claudia	2. Surname (Last Name) Henschke	3. Date 12-October-2020
4. Are you the corresponding author?	✓ Yes No	
5. Manuscript Title Implementation of Low-dose CT Screet Phoenix VA Health Care System 6. Manuscript Identifying Number (if you k	ning in Two Different Health Care Systems: Mount Si	inai Healthcare System and
TLCR-20-761		
Section 2. The Work Under C	Consideration for Publication	
Did you or your institution at any time rece	eive payment or services from a third party (government, or government, or govern	
Section 3. Relevant financial	activities outside the submitted work.	
of compensation) with entities as descri	in the table to indicate whether you have financial ribed in the instructions. Use one line for each entity port relationships that were present during the 36 est? Yes Vo	; add as many lines as you need by
Section 4. Intellectual Prope	utu. Datauta 9 Camuninkta	
Intellectual Prope	rty Patents & Copyrights	
Do you have any patents, whether plan	nned, pending or issued, broadly relevant to the wor	k? Yes V No



Section 5.

Relationships not covered above

Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?

✓	Yes, the following relationships/conditions/circumstances are present (explain below):
	No other relationships/conditions/circumstances that present a potential conflict of interest

Dr. Claudia Henschke is a named inventor on a number of patents and patent applications relating to the evaluation of pulmonary nodules on CT scans of the chest which are owned by Cornell Research Foundation (CRF). Since 2009, Dr. Henschke does not accept any financial benefit from these patents including royalties and any other proceeds related to the patents or patent applications owned by CRF.

Dr. Henschke is the President and serve on the board of the Early Diagnosis and Treatment Research Foundation. I receive no compensation from the Foundation. The Foundation is established to provide grants for projects, conferences, and public databases for research on early diagnosis and treatment of diseases. Recipients include, I-ELCAP, among others. The funding comes from a variety of sources including philanthropic donations, grants and contracts with agencies (federal and non-federal), imaging and pharmaceutical companies relating to image processing assessments. The various sources of funding exclude any funding from tobacco companies or tobacco-related sources.

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Section 1.	Identifying Inform	nation				
1. Given Name (F David F.	irst Name)	2. Surname Yankelevitz				3. Date 12-October-2020
4. Are you the co	responding author?	Yes	√ No	Correspond Claudia He	5	or's Name
5. Manuscript Titl Implementation Phoenix VA Hea	of Low-dose CT Scree	ning in Two D	ifferent Hea	alth Care Syste	ms: Moui	nt Sinai Healthcare System and
6. Manuscript Ide TLCR-20-761	ntifying Number (if you k	now it)				
Section 2.	The Work Under (Consideratio	n for Pub	lication		
ŕ	levant conflicts of inter	rest? Yes	s 🗸 No			
Section 3.	Relevant financia	activities o	utside the	e submitted v	work.	
of compensation clicking the "Add Are there any re	n) with entities as desc	ribed in the in eport relations est? Yes	structions. Iships that w	Use one line fo vere present d	r each er	cial relationships (regardless of amountity; add as many lines as you need e 36 months prior to publication.
Name of Entity		Grant	ersonal No	on-Financial Support	Other?	Comments
Accumetra					✓	Equity owner in Accumetra, a privately held technology company committed to improving the science and practice of image-based decision making.
GRAIL					✓	Serves on the advisory board of GRAIL



Section 4. Intellectual	Property -	- Patents	s & Copyri	ghts		
Do you have any patents, wheth If yes, please fill out the appropr Excess rows can be removed by	riate informa	tion belo	w. If you ha	•		Yes No No s the "ADD" button to add a row.
Patent?	Pending?	Issued?	Licensed?	Royalties?	Licensee?	Comments
	V	V				I am a named inventor on a number of patents and patent applications relating to the evaluation of diseases of the chest including measurement of nodules. Some of these, which are owned by Cornell Research Foundation (CRF), are non-exclusively licensed to General Electric. As an inventor of these patents, I am entitled to a share of any compensation which CRF may receive from its commercialization of these patents.
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Are there other relationships or potentially influencing, what yo			•	eive to have	influenced, or th	at give the appearance of
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Dr. Yankelevitz reports other from Accumetra, other from GRAIL, outside the submitted work; In addition, Dr. Yankelevitz is a named inventor on a number of patents and patent applications relating to the evaluation of diseases of the chest including measurement of nodules. Some of these, which are owned by Cornell Research Foundation (CRF), are non-exclusively licensed to General Electric. As an inventor of these patents, I am entitled to a share of any compensation which CRF may receive from its commercialization of these patents. He is also an equity owner in Accumetra, a privately held technology company committed to improving the science and practice of image-based decision making. Dr. Yankelevitz also serves on the advisory board of GRAIL.

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Jirapatnakul 1



Section 1. Identifying Inform	nation	
Given Name (First Name) Artit	Surname (Last Name) Jirapatnakul	3. Date 13-October-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Claudia I. Henschke
5. Manuscript Title Implementation of Low-dose CT Screer Phoenix VA Health Care System	ning in Two Different Healt	h Care Systems: Mount Sinai Healthcare System and
6. Manuscript Identifying Number (if you kr TLCR-20-761	now it)	_
Section 2. The Work Under C	the active to an all	
The work onder C	onsideration for Public	a third party (government, commercial, private foundation, etc.) for
		ta monitoring board, study design, manuscript preparation,
Are there any relevant conflicts of interest	est? Yes Vo	
Section 3. Relevant financial	activities outside the s	submitted work.
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Do you have any patents, whether plan	ned, pending or issued, br	oadly relevant to the work? Yes V No

Jirapatnakul 2



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Dr. Jirapatnakul has nothing to disclose.

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Yip 1



Section 1. Identifying Inform	mation	
1. Given Name (First Name) Rowena	2. Surname (Last Name) Yip	3. Date 12-October-2020
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Claudia Henschke
5. Manuscript Title Implementation of Low-dose CT Scree Phoenix VA Health Care System 6. Manuscript Identifying Number (if you k TLCR-20-761		th Care Systems: Mount Sinai Healthcare System and
Section 2. The Work Under (Consideration for Publi	cation
any aspect of the submitted work (includin statistical analysis, etc.)? Are there any relevant conflicts of inte	ng but not limited to grants, da	n a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
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Yip 2



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1 Reccoppa



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Given Name (First Name) Vivian	Surname (Last Name) Reccoppa	3. Date 13-October-2020
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Dr. Claudia I. Henschke
Phoenix VA Health Care System		ch Care Systems: Mount Sinai Health Care System and
6. Manuscript Identifying Number (if you k TLDR-20-761	now it)	_
Section 2. The Week Under Co	onsideration for Public	Aion
Did you or your institution at any time rece any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of inter	eive payment or services from g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ita monitoring board, study design, manuscript preparation,
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Royalties: Funds are coming in to you or your institution due to your patent

Benjamin 1



Section 1. Identifying Inform	aation	
identifying inform	ation	
1. Given Name (First Name) Charlene	2. Surname (Last Name) Benjamin	3. Date 12-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia I. Henschke
5. Manuscript Title Implementation of Low-dose CT Screer Phoenix VA Health Care System	ning in Two Different Healt	th Care Systems: Mount Sinai Healthcare System and
6. Manuscript Identifying Number (if you kr TLCR-20-761	now it)	_
Continue 2		
Section 2. The Work Under C	onsideration for Public	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Polygant financial		
Relevant financial	activities outside the s	ubmitted work.
of compensation) with entities as descr	ibed in the instructions. Us port relationships that wer	ether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by re present during the 36 months prior to publication.
Section 4. Intellectual Proper	rty Patents & Copyric	ghts
Do you have any patents, whether plan		

Benjamin 2



Section 5.	
Section 5.	Relationships not covered above
	elationships or activities that readers could perceive to have influenced, or that give the appearance of encing, what you wrote in the submitted work?
Yes, the follow	wing relationships/conditions/circumstances are present (explain below):
✓ No other rela	tionships/conditions/circumstances that present a potential conflict of interest
	anuscript acceptance, journals will ask authors to confirm and, if necessary, update their disclosure statements rnals may ask authors to disclose further information about reported relationships.
Coation 6	
Section 6.	Disclosure Statement
Based on the abo	ove disclosures, this form will automatically generate a disclosure statement, which will appear in the box
NP Benjamin has	s nothing to disclose.

Evaluation and Feedback

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patent

I hamo 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Tsering	2. Surname (Last Name) Lhamo	3. Date 13-October-2020	
4. Are you the corresponding author?	☐ Yes 🗸 No	Corresponding Author's Name Claudia I. Henschke	
Phoenix VA Health Care System		th Care Systems: Mount Sinai Healthcare System and	
6. Manuscript Identifying Number (if you kr TLCR-20-761	6. Manuscript Identifying Number (if you know it) TLCR-20-761		
Section 2. The Work Under C			
The Work Under C	onsideration for Public	cation	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes No			
Section 3. Relevant financial	activities outside the s	ubmitted work.	
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Section 4. Intellectual Proper	rty Patents & Copyric	ghts	
Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No	

Lhamo 2



Section 5. Polationships not solvered above
Relationships not covered above
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Yes, the following relationships/conditions/circumstances are present (explain below):
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Williams 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Angel	2. Surname (Last Name) Williams	3. Date 12-October-2020	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia I. Henschke	
Phoenix VA Health Care System		th Care Systems: Mount Sinai Healthcare System and	
6. Manuscript Identifying Number (if you kr TLCR-20-761	6. Manuscript Identifying Number (if you know it) TLCR-20-761		
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Do you have any patents, whether plan	ned, pending or issued, br	roadly relevant to the work? Yes V No	

Williams 2



Section 5. Polationships not sovered phase			
Relationships not covered above			
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patent

Liu 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Simon	2. Surname (Last Name) Liu	3. Date 12-October-2020	
4. Are you the corresponding author?	Yes ✓ No	Corresponding Author's Name Claudia I. Henschke	
5. Manuscript Title Implementation of Low-dose CT Screer Phoenix VA Health Care System	ning in Two Different Healt	th Care Systems: Mount Sinai Healthcare System and	
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Do you have any patents, whether plan	nned, pending or issued, br	roadly relevant to the work? Yes V No	

Liu 2



Section 5. Polotionships not solvered above			
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Max 1



Section 1. Identifying Inform	nation		
1. Given Name (First Name) Daniel	2. Surname (Last Name) Max	3. Date 12-October-2020	
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia I. Henschke	
5. Manuscript Title Implementation of Low-dose CT Screer Phoenix VA Health Care System	ning in Two Different Healt	ch Care Systems: Mount Sinai Healthcare System and	
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Max 2



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Aguayo 1



Section 1.	Identifying Inform	ation		
1. Given Name (Fir Samuel	st Name)	2. Surname (Last Na Aguayo	ime)	3. Date 29-October-2020
4. Are you the corr	responding author?	✓ Yes No		
Mount Sinai Heal	e of Low-dose CT Screen Ithcare System and Pho ntifying Number (if you kn	oenix VA Health Care	•	
Section 2.	The Work Under Co	onsideration for I	Publication	
Did you or your institution at any time receive payment or services from a third party (government, commercial, private foundation, etc.) for any aspect of the submitted work (including but not limited to grants, data monitoring board, study design, manuscript preparation, statistical analysis, etc.)? Are there any relevant conflicts of interest? Yes Volume Yes				
Section 3.	Relevant financial	activities outside	the submitted work.	
of compensation clicking the "Add) with entities as descri	bed in the instruction ort relationships th	ons. Use one line for each e	cial relationships (regardless of amount ntity; add as many lines as you need by e 36 months prior to publication.
Section 4.	Intellectual Proper	ty Patents & Co	ppyrights	
Do you have any	patents, whether plani	ned, pending or issu	ed, broadly relevant to the	work? Yes V No

Aguayo 2



Section 5. Polationships not sovered phase			
Relationships not covered above			
Are there other relationships or activities that readers could perceive to have influenced, or that give the appearance of potentially influencing, what you wrote in the submitted work?			
Yes, the following relationships/conditions/circumstances are present (explain below):			
✓ No other relationships/conditions/circumstances that present a potential conflict of interest			
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Dr. Aguayo has nothing to disclose.			

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patent

Morales 1



Section 1. Identifying Inforn	nation	
1. Given Name (First Name) Providencia	2. Surname (Last Name) Morales	3. Date 28-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia Henschke
5. Manuscript Title Prevalence and predictors of bronchied	ctasis in participants in low	r-dose CT screening for lung cancer
6. Manuscript Identifying Number (if you k	now it)	
		_
Section 2. The Work Under C	onsideration for Publi	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
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Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes No

Morales 2



Section 5. Polotionships not sovered above
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lgel 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Brian	2. Surname (Last Name) Igel	3. Date 28-October-2020
4. Are you the corresponding author?	Yes 🗸 No	Corresponding Author's Name Claudia Henschke
5. Manuscript Title Prevalence and predictors of bronchied	ctasis in participants in low	<i>ı-</i> dose CT screening for lung cancer
6. Manuscript Identifying Number (if you ki	now it)	
		_
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	g but not limited to grants, do	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
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Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes No

lgel 2



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Abbaszadegan 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fir Hamed	rst Name)	2. Surname (Last Name) Abbaszadegan		3. Date 30-October-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Nai	me
5. Manuscript Title Prevalence and p		tasis in participants in lov	v-dose CT screening for lung	cancer
6. Manuscript Ider	ntifying Number (if you kn	now it)		
Section 2.	The Work Under Co	onsideration for Publi	ication	
any aspect of the s statistical analysis,	ubmitted work (including	but not limited to grants, d	n a third party (government, col ata monitoring board, study de	mmercial, private foundation, etc.) for sign, manuscript preparation,
Section 3.	Relevant financial	activities outside the	submitted work.	
of compensation clicking the "Add) with entities as descri	bed in the instructions. Uport relations before the	lse one line for each entity; a	ationships (regardless of amount dd as many lines as you need by nonths prior to publication.
Section 4.	Intellectual Proper	ty Patents & Copyri	ghts	
Do you have any	patents, whether plan	ned, pending or issued, b	roadly relevant to the work?	Yes 🗸 No

Abbaszadegan 2



Section 5. Polotionships not solvered above
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Fredricks 1



Section 1.	Identifying Inform	nation		
1. Given Name (Fi Peter	rst Name)	2. Surname (Last Name) Fredricks		3. Date 28-October-2020
4. Are you the cor	responding author?	Yes ✓ No	Corresponding Author's Nar	me
5. Manuscript Title Prevalence and p		tasis in participants in low	-dose CT screening for lung	cancer
6. Manuscript Ider	ntifying Number (if you kr	now it)		
			_	
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Do you have any	patents, whether plan	ned, pending or issued, br	oadly relevant to the work?	Yes 🗸 No

Fredricks 2



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Garcia 1



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Daniel	2. Surname (Last Name) Garcia	3. Date 03-November-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia Henschke
5. Manuscript Title Prevalence and predictors of bronchied	ctasis in participants in low	y-dose CT screening for lung cancer
6. Manuscript Identifying Number (if you kr	now it)	
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Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes V No

Garcia 2



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1 Permana



Section 1. Identifying Inform	nation	
1. Given Name (First Name) Paska	2. Surname (Last Name) Permana	3. Date 28-October-2020
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia Henschke
5. Manuscript Title Prevalence and predictors of bronchied	ctasis in participants in low	r-dose CT screening for lung cancer
6. Manuscript Identifying Number (if you ki	now it)	
Section 2. The Work Under C	onsideration for Publi	cation
	g but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,
Section 3. Relevant financial	activities outside the	submitted work.
of compensation) with entities as descr	ibed in the instructions. U port relationships that we	nether you have financial relationships (regardless of amount se one line for each entity; add as many lines as you need by tre present during the 36 months prior to publication.
Section 4. Intellectual Prope	rty Patents & Copyri	ghts
Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes No

Permana 2



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Fawcett 1



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Janet	2. Surname (Last Name) Fawcett	3. Date 28-October-2020		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia Henschke		
5. Manuscript Title Prevalence and predictors of bronchied	tasis in participants in low	r-dose CT screening for lung cancer		
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		_		
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Do you have any patents, whether plan	ned, pending or issued, b	roadly relevant to the work? Yes No		

Fawcett 2



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Sultan 1



Section 1. Identifying Inform	nation			
1. Given Name (First Name) Samir	2. Surname (Last Name) Sultan	3. Date 06-November-2020		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia Henschke		
5. Manuscript Title Prevalence and predictors of bronchied	tasis in participants in low	r-dose CT screening for lung cancer		
6. Manuscript Identifying Number (if you kr	now it)			
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Sultan 2



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Murphy 1



Section 1. Identifying Inform	nation			
Given Name (First Name) Lorenza	2. Surname (Last Name) Murphy	3. Date 28-October-2020		
4. Are you the corresponding author?	☐ Yes ✓ No	Corresponding Author's Name Claudia Henschke		
5. Manuscript Title Implementation of Low-dose CT Screening in Two Different Health Care Systems: Mount Sinai Healthcare System and Phoenix VA Health Care System 6. Manuscript Identifying Number (if you know it)				
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any aspect of the submitted work (including statistical analysis, etc.)? Are there any relevant conflicts of intere	but not limited to grants, da	a third party (government, commercial, private foundation, etc.) for ata monitoring board, study design, manuscript preparation,		
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Do you have any patents, whether plan				

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