

# Immune checkpoint inhibitors in elderly patients treated for a lung cancer: a narrative review

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*Contributions:* (I) Conception and design: Both authors; (II) Administrative support: Both authors; (III) Provision of study materials or patients: None; (IV) Collection and assembly of data: Both authors; (V) Data analysis and interpretation: Both authors; (VI) Manuscript writing: Both authors; (VII) Final approval of manuscript: Both authors.

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Abstract: This article is a review of the literature concerning efficacy and safety of immune checkpoint inhibitors (ICIs) in the elderly population. In the past decade, immunotherapy deeply changed the treatment paradigm of lung cancer in particular in advanced non-small cell lung cancer (aNSCLC). Thus, ICIs have successively demonstrated a survival benefit as single agent in second line, and moved in first line as monotherapy for patients with high programmed death protein 1 (PD-L1) expression or in combination with chemotherapy regardless PD-L1 expression. If patients aged 70 years or older represent up to half of our patients in clinical routine, elderly population is significantly under-represented in clinical trials. This leads to a lack of knowledge concerning efficacy and safety of ICIs in a population of patients with frequent comorbidities, organs dysfunctions and a potential immune-senescence due to age. In this review, we described available data evaluating efficacy and safety of ICI either as monotherapy or in combination in elderly population treated for a lung cancer. These data derived from clinical trial evaluating ICIs in aNSCLC as single agent or in combination with chemotherapy or anti-cytotoxic T-lymphocyte antigen 4 (CTLA-4). As monotherapy, older patients seem to derive the same benefit from ICIs than younger patients with no excess of toxicities. In combination with chemotherapy, real impact of ICIs in elderly population is still unclear. Results of dedicated studies evaluating ICIs as single agent or in combination in elderly patients are needed.

**Keywords:** Elderly; lung cancer; non-small cell lung cancer (NSCLC); small cell lung cancer; immune checkpoint inhibitors (ICIs); anti-programmed death protein 1 (anti-PD-1); anti-programmed death ligand 1 (anti-PD-L1); immunotherapy

Submitted Dec 05, 2020. Accepted for publication Jun 08, 2021. doi: 10.21037/tlcr-20-1239 View this article at: http://dx.doi.org/10.21037/tlcr-20-1239

#### Introduction

Lung cancer is the leading cause of cancer-related death worldwide. Most of the time patients are diagnosed with a metastatic disease that explains a poor prognosis. Non-small cell lung cancer (NSCLC), the most common subtype of lung cancer is predominantly diagnosed in elderly patients (1). According to the SEER database, patients aged 70 years or older represented 43% of newly diagnosed NSCLC of whom 14% were 80 years or older (2). Despite this, elderly patients are significantly under-represented in clinical trials. Thereby, in a FDA analysis from a 10-year experience, only 24% of patients enrolled in clinical trials were 70 years or older (3). In 2013, Sacher *et al.* highlighted that the median age of patients with NSCLC enrolled in 248 trials between 1980 and 2010 was 60.9 years and 33% of these trials

especially excluded elderly population (4). That leads to a lack of knowledge in a population of patients with frequent comorbidities and organs dysfunctions (5). In addition, elderly patients enrolled in clinical trials are often fitter than the population treated in daily practice.

In the past decade, immunotherapy deeply changed the treatment paradigm of lung cancer. Chemotherapy has been associated with a low response rate (RR), decrease quality of life and high risk of treatment-related toxicities (6) whereas targeted therapies were limited to a small subset of molecularly selected patients. Immune checkpoints inhibitors (ICI), in particular Abs that target programmed death protein 1 (PD-1) or programmed death ligand 1 (PD-L1) have demonstrated a benefit in term of survivals as monotherapy compared to single agent chemotherapy in pretreated metastatic NSCLC (7-10). More recently, ICI were successfully investigated in frontline as monotherapy in subgroup of advanced NSCLC (aNSCLC) with high PD-L1 expression (11) or in combination with chemotherapy in aNSCLC and extensive-stage small cell lung cancer (ES-SCLC) independently of PD-L1 expression. Targeting the host's immune system in order to restore anti-tumor activity, ICI may have less benefit in the elderly whose aging is associated with a functional decline of immune system called immune-senescence (12). Unfortunately, specific clinical trials using ICI in older-adults with aNSCLC are still lacking.

In this review, we describe available data evaluating efficacy and safety of ICI either as monotherapy or in combination in elderly population treated for a lung cancer. We present the following article in accordance with the narrative review reporting checklist (available at http://dx.doi.org/10.21037/tlcr-20-1239).

#### **Methods**

A PubMed search for English-language articles was performed until November 2020. We focused our research on meta-analysis, phase II trials and phase III randomized clinical trials evaluating ICI in aNSCLC or ES-SCLC that have influenced the standard of care (SoC) in these situations as monotherapy or in combination. We also included clinical trials describing real-world analysis of ICI in lung cancer or Immune related adverse event.

#### Single agent ICI efficacy in aNSCLC

ICI were first evaluated as monotherapy in second or further

line of aNSCLC. The Food and Drug administration (FDA) and the European Medicine Agency (EMA) have approved three drugs (Nivolumab, Atezolizumab and Pembrolizumab) in this situation since 2015. All demonstrated a clear benefit on overall survivals (OSs) compared to SoC (*Table 1*). These drugs have also been investigated in first line compared to platinum-based regimen with more conflicting results (*Figure 1*).

#### Nivolumab

Nivolumab is a fully human IgG4 PD-1 monoclonal antibody (mAb). In CheckMate 063, a single arm phase II study, 117 patients with squamous aNSCLC previously treated received Nivolumab 3 mg/kg every 2 weeks. Median age was 65 years and 14% of patients (n=16/117) were at least 75 years. RR was 14.5% in the overall population, 16% (n=16/101) in patients <75 years compared to 6.2% (n=1/16) in the elderly population (≥75 years) (13).

In CheckMate 057, a phase III trial, 582 previously treated nonsquamous aNSCLC were randomly assigned to Nivolumab 3 mg/kg every 2 weeks (n=292) or Docetaxel 75 mg/m<sup>2</sup> every 3 weeks (n=290). The median age was 62 years and 43 patients (7%) had at least 75 years. In the overall population, Nivolumab demonstrated a benefit in OS with a median OS (mOS) of 12.2 months compared to 9.4 months in control arm [hazard ratio (HR), 0.73; 96% CI, 0.59 to 0.89]. In the subgroup of patients aged at least 75 years, the benefit was uncertain (HR, 0.90; 95% CI, 0.43 to 1.87) (8). The phase III trial CheckMate 017 evaluated Nivolumab in previously treated patient with squamous aNSCLC using the same design than CheckMate 057. This study enrolled 272 patients, 135 patients randomly assigned in Nivolumab group and 137 patients in Docetaxel group. The median age was 63 years with 11% (n=29/272) patients aged at least 75 years. In the overall population, Nivolumab showed a significant improvement of OS with mOS of 9.2 versus 6.0 months (HR 0.59; 95% CI, 0.44 to 0.79), but it seemed to be deleterious for elderly population (HR 1.85; 95% CI, 0.76 to 4.51) in the subgroup analysis (7). CheckMate 078 was a multinational phase III trial evaluating Nivolumab as single agent compared to Docetaxel in pre-treated aNSCLC. In this study, the median age was 60 years and only 2% (n=12/504) of patients were 75 or older. Nivolumab demonstrated an OS benefit in the overall population (HR 0.68; 95% CI, 0.52 to 0, 90) and in the subgroup of patients aged at least 65 years (14).

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Table 1 Phase III studies of anti-PD-1 or PD-L1 mAbs in aNSCLC with subgroup analysis data in the elderly population Histology Author (year) Study Phase Treatment arms No. of patients Median age (years) Age cut-off, older patients No. of older [%] Line Overall HR (95% C Borghaei (2015) CheckMate 057 111 Nonsquamous NSCLC >1 61.0 [37-84]; 64.0 [21-85] 200 [34]; 43 [7] 0.73 (0.59-0.89) Nivo vs. Docetaxel 582 65–74; ≥75 Brahmer (2015) CheckMate 017 Ш Squamous NSCLC >1 Nivo vs. Docetaxel 272 62.0 [39-85]; 64 [42-84] 65–74; ≥75 91 [33]; 29 [11] 0.59 (0.44-0.79) Carbone (2017) CheckMate 026 111 NSCLC 1 Nivo vs. PBCh 423 63 [32-89]; 65 [29-87] ≥65: 65–74: ≥75 260 [48]: 198 [37]; 62 [11] 1.08 (0.87-1.34) Herbst (2016) Keynote-010 11/111 NSCLC >1 Pembro 2 mg/kg vs. Pembro 1,034 63 [56-69]; 63 [56-69]; ≥65 429 [41] 0.71 (0.58-0.88); 0.61 (0.49-0.75) 10 mg/kg vs. Docetaxel 62 [56-69] Reck (2019) Ш NSCLC 0.63 (0.47-0.86) Keynote-024 1 Pembro vs. PBCh 305 64.5 [33-90]; 66.0 [28-85] ≥65 164 [54] Mok (2019) Keynote-042 Ш NSCLC 1 Pembro vs. PBCh 1,274 63.0 [57-69]; 63.0 [57-69] ≥65 567 [44] TPS ≥50%: 0.69 (0.56-TPS ≥20%: 0.77 (0.64-TPS ≥1%: 0.81 (0.71–0 Fehrenbacher (2018) OAK Ш NSCLC >1 Atezo vs. Docetaxel 1.225 63.0 [25-84]; 64.0 [34-85] ≥65 564 [46] 0.80 (0.70-0.92) 111 NSCLC Atezo vs. PBCh 554 103 [50]: 80 [39]; 22 [11] TC3/IC3: 0.59 (0.40-0 Spigel (2019) IMpower-110 1 NA; NA TC3/IC3, ≥65: 65–74; ≥75 Planchard (2020) ARCTIC Study A 111 NSCLC >2 Durva vs. SoC 126 63.5 [35-79]; 62.0 [41-81] ≥65 56 [44] 0.63 (0.42-0.93) 111 NSCLC 0.76 (0.56-1.02); 0.85 Rizvi (2020) MYSTIC 1 Durva vs. Durva + Treme vs. TPS ≥25: 489 64.0 [32-84]; 65.0 [64-87]; ≥65 256 [52] PBCh 64.5 [35-85] 1.17)

aNSCLC, advanced non-small cell lung cancer; N, number; HR, hazard ratio; CI, confidence interval; NSCLC, non-small cell lung cancer; Nivo, Nivolumab; PBCh, platinum-based chemotherapy; Pembro, Pembrolizumab; Atezo Atezolizumab; Durva, Durvalumab; SoC, standard of care; Treme, Tremelimumab; NA, not available; TPS, tumor proportion score.

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	Overall survivals	
CI)	HR for younger (95% CI)	HR for older (95% CI)
)	0.81 (0.62–1.04)	0.63 (0.45–0.89); 0.90 (0.43–1.87)
)	0.52 (0.35–0.75)	0.56 (0.34–0.91); 1.85 (0.76–4.51)
)	1.13 (0.83–1.54)	1.04 (0.77–1.41)
; )	0.63 (0.50–0.79); pooled-analysis	0.76 (0.57–1.02); pooled-analysis
)	0.60 (0.38–0.96)	0.64 (0.42–0.98)
–0.85); –0.92); –0.93)	0.81 (0.60–1.08); 0.84 (0.65–1.08); 0.81 (0.67–0.98)	0.58 (0.42–0.80); 0.71 (0.54–0.92); 0.82 (0.66–1.01)
)	0.84 (0.70–1.01)	0.75 (0.61–0.91)
-0.89)	TC3/IC3: 0.59 (0.34-1.04)	TC3/IC3: 0.63 (0.34–1.19); 1.04 (0.19–5.70)
)	NA	NA
(0.61–	0.86 (0.60–1.24); NA	0.66 (0.45–0.95); NA

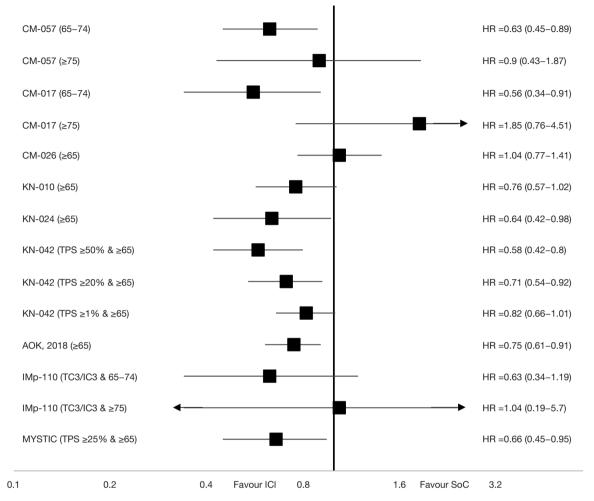


Figure 1 HR for OS in elderly patients from phase III trials testing single agent anti-PD-1 or PD-L1 in aNSCLC. HR, hazard ratio; OS, overall survival; aNSCLC, advanced non-small cell lung cancer; ICI, immune checkpoint inhibitor; SoC, standard of care.

The phase III study CheckMate 026 investigated Nivolumab as monotherapy in untreated aNSCLC with PD-L1 tumor proportion score (TPS)  $\geq 1\%$ . Patients were randomly assigned to Nivolumab 3 mg/kg every 2 weeks (n=271) or platinum-based chemotherapy (n=270) and evaluated on progression-free survival (PFS). Median age was 64 years and 11% (n=62) were at least 75 years. Nivolumab failed to improve PFS in overall population (HR, 1.15; 95% CI, 091 to 1.45) and in the subgroup of older patients ( $\geq 65$  years). OS was also in favour of chemotherapy in both populations (15).

Some studies with Nivolumab have been designed to investigate the efficacy and safety in these frail populations. The single arm phase II study CheckMate 171 enrolled 811 previously treated squamous aNSCLC with broad eligibility criteria to evaluate safety profile in frail populations. Thus, 34% (n=278/811) of patients were at least 70 years and 15% (n=125/811)  $\geq$ 75 years. This study showed similar survivals compared to the pivotal study with respectively mOS of 10.0 and 11.2 months for patients aged  $\geq$ 70 and  $\geq$ 75 years. The overall RR was 12.6% for patients aged  $\geq$ 70 years and 13.6% for those aged  $\geq$ 75 years, lower than in CheckMate 017 but could be due to an early tumor assessment (8/9 weeks) (16). CheckMate 153 was a phase IIIb/IV study of Nivolumab as monotherapy for previously treated aNSCLC leaving in the United States (US) or Canada. From April 2014 to May 2017, 1,426 patients were treated of whom 39% (n=556/1,426) aged at least 70 years. The median OS was 9.1 months in the overall population and 10.3 months in aged population ( $\geq$ 70 years) (17).

#### Pembrolizumab

Pembrolizumab is a humanised IgG4 mAb against PD-1. Drug development was rapidly restricted to aNSCLC with PD-L1-positive tumor expression. In Keynote-010, a phase II/III study, 1,033 previously treated patients with aNSCLC and a PD-L1 TPS ≥1% were randomized between Pembrolizumab 2 mg/kg (n=344), Pembrolizumab 10 mg/kg (n=346) or Docetaxel 75 mg/m<sup>2</sup> (n=343), all given every 3 weeks. The primary endpoint was OS, the median age was 63 years in both experimental arms versus 62 years in the control arm, 41.5% (n=429/1,033) were 65 years or older but no patient older than 70 years were enrolled in this trial. In the total population, Pembrolizumab improved OS in both experimental arms with mOS of 10.4 months (HR, 0.71; 95% CI, 0.58 to 0.88) for Pembrolizumab 2 mg/kg and 12.7 months (HR, 0.61; 95% CI, 0.49 to 0.75) for Pembrolizumab 10 mg/kg compared to 8.5 months for Docetaxel group. In a pooled analysis of both experimental arm, a non-statistically significant benefit was also observed for elderly population aged  $\geq 65$  years (HR, 0.76; 95% CI, 0.57 to 1.02) (10).

Moving to untreated patients with aNSCLC and high PD-L1 expression (TPS  $\geq$ 50%), in the phase III Keynote-024 study, single agent Pembrolizumab at fixed dose (200 mg every 3 weeks) was compared to platinumbased chemotherapy. This study enrolled three hundred five patients with PFS as primary endpoint. The median age was 64.5 years in Pembrolizumab group, 66 years in chemotherapy groups and 53.8% (n=164/305) were at least 65 years. In the overall population, pembrolizumab demonstrated an improvement on the PFS with a median PFS (mPFS) of 10.3 months for Pembrolizumab and 6.0 months for chemotherapy (HR, 0.50; 95% CI, 0.37 to 0.68). This benefit was consistent across all subgroups included elderly population aged at least 65 years (HR, 0.45; 95% CI, 0.29 to 0.70) (11). An updated analysis confirmed this advantage prolonging mOS from 14.2 months for chemotherapy to 30.0 months for Pembrolizumab (HR, 0.63; 95% CI, 0.47 to 0.86). This benefit was also observed in population at least 65 years (HR, 0.64; 95% CI, 0.42 to 0.98) (18).

In the Keynote-042 study, 1,274 patients with untreated aNSCLC and a PD-L1 TPS at least 1% were randomly assigned between Pembrolizumab (200 mg fixed dose every 3 weeks) and a platinum-based chemotherapy. Despite

a high proportion of patients with PD-L1 TPS >50% (47% of patients), cross over was not allowed in this study and only 20% of patients in chemotherapy arm received subsequent immunotherapy. The primary endpoints were OS in different subgroups according to PD-L1 TPS ( $\geq$ 50%,  $\geq 20\%$  and  $\geq 1\%$ ). The median age was 63 years in both arms, and 44.5% (n=567/1,274) were aged at least 65 years. In the overall population, Pembrolizumab significantly improved OS with a mOS of 20.0 versus 12.2 months (HR, 0.69; 95% CI, 0.56 to 0.85) in PD-L1 TPS ≥50% group, 17.7 versus 13 months (HR, 0.77; 95% CI, 0.64 to 0.92) for TPS ≥20% and 16.7 versus 12.1 months (HR, 0.81; 95% CI, 0.71 to 0.96) for PD-L1 TPS  $\geq 1\%$  group. In subgroup analysis, the benefit in elderly population ( $\geq 65$  years) was statistically significant for PD-L1 TPS ≥50% group (HR, 0.58; 95% CI, 0.42 to 0.80) and for PD-L1 TPS ≥20% group (HR, 0.71; 95% CI, 0.54 to 0.92) but not in the PD-L1 ≥1% group (HR, 0.82; 95% CI, 0.66 to 1.01) (19).

A pooled-analysis of the Keynote-010, Keynote-024 and Keynote-042 studies evaluated efficacy of Pembrolizumab as single agent in 264 elderly patients aged at least 75 years. Pembrolizumab statistically improved OSs in PD-L1 TPS  $\geq$ 50% elderly group (n=132, HR, 0.40; 95% CI, 0.25 to 0.64) included untreated patients (HR, 0.41; 95% CI, 0.23 to 0.73) compared to chemotherapy arm. In PD-L1 TPS  $\geq$ 1% group, a non-statistical benefit was observed in favour of Pembrolizumab (HR, 0.76; 95% CI, 0.56 to 1.02) (20).

#### Atezolizumab

Atezolizumab is an engineered, humanised IgG1 monoclonal anti-PD-L1 antibody able to block interactions between PD-L1 and PD-1 or B7.1. A companion test of Atezolizumab has been developed for the testing of PD-L1 expression on tumor cells (TC) and immune cells (IC). The POPLAR trial is an open-label, randomised phase II study evaluating Atezolizumab (1,200 mg fixed dose) compared to Docetaxel (75 mg/m<sup>2</sup>) every 3 weeks in previously treated aNSCLC with a stratification according to PD-L1 tumor and immune expression. Among the 287 patients enrolled, the median age was 62 years in both arms. Atezolizumab statistically improved OS in patients with PD-L1-positive tumor expression compared to chemotherapy. Unfortunately, no aged-specific survival data were available in this trial (21).

In the phase III OAK study, 1,225 previously treated aNSCLC were randomly assigned to Atezolizumab 1,200 mg or Docetaxel (75 mg/m<sup>2</sup>) every 3 weeks. The

median age was 63.0 years in experimental group versus 64.0 years in control arm and 46% (n=564/1,225) were aged at least 65 years. In the first OS analysis after enrolment of 850 patients, Atezolizumab improved OS in the overall population with 13.8 versus 9.6 months in docetaxel group (HR, 0.73; 95% CI, 0.62 to 0.87). This benefit was seen across all PD-L1 expression subgroups and in particular in elderly population (HR, 0.66; 95% CI, 0.52 to 0.83) (22). The updated final analysis with 1,225 patients enrolled confirmed the benefit in the group of patient aged at least 65 years (HR, 0.75; 95% CI, 0.61 to 0.91) (9).

The IMpower110 phase III trial evaluated single agent Atezolizumab in frontline versus platinum-based chemotherapy in PD-L1-positive aNSCLC. Among 554 patients enrolled (277 patients in each arm), half of patients were aged at least 65 years (n=277/554) in the overall population and in the TC3/IC3 subgroup (n=103/205). Atezolizumab significantly improved OS for patients with high-PD-L1 expression (TC3/IC3) with 20.2 versus 13.1 months for control arm (HR, 0.59; 95% CI, 0.40 to 0.89). In subgroups analysis for patients with high-PD-L1 expression, a non-significant benefit in favour of Atezolizumab was found for patient aged between 65 and 74 years (HR, 0.63; 95% CI, 0.34 to 1.19) but no effect in the small sample of older patients (HR, 1.04; 95% CI, 0.19 to 5.70). No aged-specific survival data were available for TC1/2/3 or IC1/2/3 analysis (23).

#### Durvalumab

Durvalumab is a selective, fully humanised IgG1 anti-PD-L1 mAb engineered to reduce antibody-dependent cell mediated cytotoxicity. Single arm phase II study (ATLANTIC) investigated Durvalumab as monotherapy in third-line or later treatment for aNSCLC in three distinct cohorts (cohort 1: patients with EGFR or ALK alteration; cohort 2: patients with wild type for EFGR and ALK; cohort 3: patients with PD-L1 TPS >90%). The median age was respectively 61.0, 62.0 and 61.0 years for cohort 1, 2 and 3. No patient older than 68 years has been included in this study. In the cohort 2, among patients with PD-L1 TPS  $\geq$ 25%, objective response rate (ORR) was 20.3% [13/64] for patients aged at least 65 years versus 13.4% [11/82] in younger. In this same cohort for patients with PD-L1 TPS <25%, ORR was lower for older patients (2.7%; 1/37) than younger (10.7%; 6/56) (24).

ARCTIC is a randomized phase III trial evaluating Durvalumab with or without the anti-CTLA4 antibody Tremelimumab compared to the SoC for patients with aNSCLC after two systemic regimens. In the study A, 126 patients with PD-L1 TPS  $\geq$ 25% were randomly assigned to received Durvalumab (10 mg/kg every 2 weeks) or SoC. The median age was 63.5 years in Durvalumab arm, 62.0 years in SoC arm and 44% [56/126] were aged at least 65 years. An improvement of mOS was observed in favour of Durvalumab with mOS of 11.7 versus 6.8 months (HR, 0.63; 95% CI, 0.42 to 0.93) but unfortunately, no aged-specific survival data were available (25).

The MYSTIC phase III randomized trial investigated Durvalumab alone or in combination with Tremelimumab compared to platinum-based chemotherapy in first-line for patients with aNSCLC. Among 488 patients with PD-L1 TPS  $\geq$ 25%, the median age was 64.0 years in Durvalumab arm, 64.5 years in chemotherapy arm and 49.8% [162/325] was aged at least 65 years. One co-primary endpoint was OS and crossover was not allowed. Durvalumab did not significantly improve OS compared to chemotherapy in the overall population with 16.3 months for Durvalumab and 12.9 months for control arm (HR, 0.76; 95% CI, 0.56 to 1.02). Interestingly, the benefit for Durvalumab was significant in subgroup of patients aged at least 65 years (HR, 0.66; 95% CI, 0.45 to 0.95) (26).

#### **ICI efficacy in real-world studies**

Data based on real-world studies can provide a wider and longitudinal view of newly approved treatments in particular in under-represented subgroups of patients enrolled in pivotal studies as elderly population or patients with poor clinical conditions.

Grossi et al. analysed Nivolumab in elderly patients treated for squamous aNSCLC from the Italian expanded access program (EAP). On the 371 patients, 47% (n=175) were aged between 65 and 74 years and 19% (n=70)  $\geq$ 75 years with a higher proportion of smokers in the later groups compared to the overall population. Efficacy was considered equal in term of ORR, mOS, mPFS despite the same slight difference than in CheckMate 017 study in favour of younger population (<75 years) with respectively mOS of 5.8 versus 7.9 months and mPFS of 3.2 versus 4.2 months (27). Galli et al. led a monocentric retrospective analysis between April 2013 and March 2019 to collect data on elderly patients with aNSCLC treated with ICI. They identified 290 patients, 62% (n=180) younger than 70 years, 32% (n=94) aged between 70 and 79 years and 6% (n=16) at least 80 years. They did not observe

any difference of efficacy in older population compared to younger with respectively a RR of 21.5%, 22.3% and 18.8% and a mOS of 9.1, 11.3 and 9.6 months for patients aged <70 years versus patients aged 70–79 years versus patients  $\geq$ 80 years (28).

Juergens *et al.* collected data from the Canadian registry concerning Nivolumab given as compassionate use for aNSCLC. Among 472 patients identified, 13% were at least 75 years. In the overall population, the mOS was 12.0 months and the median time to treatment discontinuation (TTD) was 3.5 months. No difference was seen across the subgroups according to the age (29). In another analysis, they focused on the Canadian cohort of patients from CheckMate 169 study, an EAP of Nivolumab for patients with previously treated aNSCLC. On 161 patients of whom 30% aged at least 70 years, mOS was 9.1 in the overall population, compared to 8.0 months in the elderly population (30).

A retrospective analysis from the French national hospitals database compared Nivolumab outcomes between octogenarian and younger patients treated for aNSCLC. Among 10,452 patients, 4.9% (n=514) were aged at least 80 years with a median age of 82.5 years versus 62.8 years for the younger population. The survivals were similar between the two groups, especially for mOS with 11.5 months for elderly versus 11.6 months in younger patients (31).

Finally, a US retrospective study collected electronic health records from patients treated with ICI for an aNSCLC between January 2011 and March 2016. Among 1,344 patients identified, 27.2% were aged at least 75 years. The mOS in this latter group was 8.0 versus 7.5 months for younger patients. No difference has been found in term of survivals according to the age of patients (32).

### ICI as monotherapy from meta-analysis and pooled-analysis

Some meta-analyses have investigated efficacy and safety profile of ICIs across different metastatic solid tumors. In 2018, Elias *et al.* conducted a meta-analysis to explore the efficacy of ICIs on elderly population based on nine randomised clinical trials in solid tumors including five studies in lung cancer. Among 5,458 patients from the nine studies, 42.57% were aged at least 65 years. In the overall population, PD-1/PD-L1 inhibitors demonstrated a 31% reduction in the hazard of death compared to SoC. This benefit was consistent across the subgroups with a HR of 0.68 (95% CI, 0.61 to 0.75)

for patients aged <65 years versus 0.64 (95% CI, 0.54 to 0.76) for elderly population. No data were available for patients aged at least 75 years (33). Meta-analysis from Nishijima et al. confirmed these results with an analysis of nine studies for solid tumor including only two clinical trials focused on lung cancer. With an age cut-off of 65-70 years, they observed an OS improvement for ICI therapies compared to SoC in both younger (HR, 0.75; 95% CI, 0.68 to 0.82) and older (HR, 0.73; 95% CI, 0.62 to 0.87) groups. However, in subgroup analysis of four anti-PD-1 therapies trials, they did not observe any OS improvement for patients aged  $\geq$ 75 years (HR, 0.86; 95% CI, 0.41 to 1.83). This result could be due to a heterogeneity of trials and to the small size of the elderly group (34). Landre et al. have also highlighted this latter result in their pooled-analysis of three studies in solid tumors evaluating Nivolumab as single agent (two studies in aNSCLC and one in metastatic renal cell carcinoma). In the subgroup of patients at least 75 years, the benefit from Nivolumab was uncertain (HR, 1.22; 95% CI, 0.80 to 1.85) compared to SoC (35).

Two recent analyses investigated this question especially in aNSCLC. Marur et al. evaluated OS survivals according to the age of patients from four clinical trials using ICIs in previously treated aNSCLC. Among 2,824 patients, 21.5% were aged >70 years and 12% aged >75 years. They concluded that the benefit from ICIs in patients aged 65 years or older was similar than younger patients with respectively mOS of 14.5, 14.2 and 14.7 months for patients younger than 65,  $\geq$ 65 and  $\geq$ 75 years (36). The widest metaanalysis evaluating ICI's efficacy in elderly in lung cancer was conducted by Zhang et al. They selected 12 studies with 8,176 patients, including 46% (n=3,730) aged at least 65 years. Using a cut-off of 65 years, ICIs improved OS compared to SoC in both younger (HR, 0.75; 95% CI, 0.65 to 0.87) and older (HR, 0.81; 95% CI, 0.72 to 0.92) groups. However, after a new subdivision of older group based on 75 years cut-off, compared to SoC, immunotherapy failed to demonstrate a statistical benefit in patients aged at least 75 years (HR, 0.90; 95% CI, 0.64 to 1.25) (37).

## Efficacy of ICI in combination with chemotherapy

If chemotherapy might theoretically enhance the ICI activity through different mechanisms as the release of neoantigens or inhibition protumoral IC, the combination might be more toxic than monotherapy in particular

in elderly patients. Combo ICI + platinum-based chemotherapy has been investigated in untreated patients with aNSCLC regardless PD-L1 expression (*Table 2*).

#### Pembrolizumab

In the double-blind phase III Keynote-189 study, untreated patients with non-squamous aNSCLC were randomly assigned (in a 2:1 ratio) to receive Pemetrexed + platinumbased drug and Pembrolizumab (200 mg fixed dose) or placebo. Among 616 enrolled patients, the median age was 65 years in the experimental arm versus 63.5 years in the control arm and 52% were aged at least 65 years. In the overall population, Pembrolizumab combination dramatically improved both OS (HR, 0.49; 95% CI, 0.38 to 0.64) and PFS (HR, 0.52; 95% CI, 0.43 to 0.64). In the subgroup of elderly patients ( $\geq 65$  years), a significant OS improvement in favour of the Pembrolizumab combination (HR, 0.52; 95% CI, 0.43 to 0.64) was found, but not for the PFS (HR, 0.75; 95% CI, 0.55 to 1.02) (38). In the phase III Keynote-407 study, untreated squamous aNSCLC were randomly assigned to receive Carboplatin and a taxane drug with Pembrolizumab or placebo. On 559 patients included, the median age was 65 years in both arms and 54.5% were at least 65 years. Combo ICI + chemotherapy significantly increased OS (HR, 0.64; 95% CI, 0.49 to 0.85) with mOS of 15.9 months for experimental arm versus 11.3 months for SoC. However, in subgroup analysis of elderly patients  $(\geq 65 \text{ years})$ , the benefit was uncertain (HR, 0.74; 95%) CI, 0.51 to 1.07) (39). For these two phase III studies, no specific-aged survivals data were available for the subgroup of patients aged at least 70 years.

#### Atezolizumab

In the phase III IMpower-130 study, untreated nonsquamous aNSCLC were randomly assigned (in a 2:1 ratio) to receive chemotherapy (carboplatin + taxane drug) with or without Atezolizumab (1,200 mg fixed dose). Among 724 patients, the median age was 64 years in Atezolizumab combination arm versus 65 years in control arm, 38% (n=276) were aged between 65 and 74 and 11% (n=82) aged at least 75 years. Adjunction of Atezolizumab to chemotherapy improved OS compared to control arm with 18.6 versus 13.9 months in favour of Atezolizumab combination (HR, 0.79; 95% CI, 0.64 to 0.98) in the overall wild-type population but not in the subgroup of patients aged at least 65 years (HR, 0.78; 95% CI, 0.58 to 1.05). Concerning PFS, benefit for Atezolizumab combination was seen in the overall population and across all subgroups according to the age (40). Interestingly, the phase III IMpower150 study investigated the role of bevacizumab in combination of chemotherapy and Atezolizumab. In the wild-type population, 1,040 untreated non-squamous aNSCLC were randomly assigned to receive Atezolizumab plus Carboplatin plus Paclitaxel (ACP), Bevacizumab plus Carboplatin plus Paclitaxel (BCP) or Atezolizumab plus Bevacizumab plus Carboplatin plus Paclitaxel (ABCP). In first analysis, ABCP was compared with the BCP group according the PFS. Among 800 patients enrolled in these two latter groups, the median age was 63 years in both groups and respectively 35% (n=281), 9% (n=72) and <1% (n=6) were aged 65–74, 75–84 and ≥85 years. ABCP treatment improved PFS compared to BCP group with mPFS of 8.3 versus 6.8 months in favour of ABCP group (HR, 0.62; 95% CI, 0.52 to 0.74). In subgroup analysis, this benefit is confirmed in younger (<65 years) and intermediate (65-74 years) patients but uncertain for patients at least 75 years (41).

#### Nivolumab

In the wide multi-arm phase III CheckMate 227 study, the part 2 study especially investigated the impact of addition of Nivolumab to chemotherapy in untreated non-squamous aNSCLC with PD-L1 TPS <1%. Patients were randomly assigned to receive chemotherapy with or without Nivolumab (360 fixed dose every 3 weeks). Among 543 included patients, the median age was 63 years in both arms and respectively 35% (n=190) and 9% (n=49) were aged 65 to 74 or  $\geq$ 75 years. This study did not meet the primary endpoint, neither in the overall population (HR, 0.86; 95% CI, 0.69 to 1.08), nor subgroups based on age, in particular in patients aged at least 75 where the combination seemed to be deleterious (HR, 1.21) (42).

#### **Efficacy of combination of ICI**

If combinations ICI plus chemotherapy became the Soc for untreated aNSCLC, an option appeared with the combination of anti-PD-1/PD-L1 and anti-CTLA4.

In the phase III CheckMate 227 study, patients with untreated aNSCLC were randomly assigned according to PD-L1 expression to received Nivolumab versus Nivolumab plus Ipilimumab versus platinum-based chemotherapy in case of PD-L1-positive tumor expression or Nivolumab

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Author (year)	Study	Phase	Histology	Line	e Treatment arms	No. of patients	Median age (years)	Age cut-off, older patients	No. of older [%]	Overall survivals		
	Study									Overall HR (95% CI)	HR for younger (95% CI)	HR for older (95% Cl
Gandhi (2018)	Keynote-189	III	Nonsquamous NSCLC	1	Carbo + Pem + Pembro <i>vs.</i> Carbo + Pem + PCB	616	65.0 [34–84]; 63.5 [34–84]	≥65	304 [49]	0.49 (0.38–0.64)	0.43 (0.31–0.61)	0.64 (0.43–0.95)
Paz-Ares (2018)	Keynote-407	III	Squamous NSCLC	1	Carbo + (nab)Pacli + Pembro vs. Carbo + (nabPacli + PCB	559	65.0 [29–87]; 65.0 [36–88]	≥65	305 [55]	0.64 (0.49–0.85)	0.52 (0.34–0.80)	0.74 (0.51–1.07)
West (2019)	IMpower-130	III	Nonsquamous NSCLC	1	Carbo + (nab)Pacli + Atezo <i>vs.</i> Carbo + (nabPacli + PCB	723	64.0 [18–86]; 65.0 [38–85]	≥65: 65–74; ≥75	361 [50]: 276 [38]; 85 [12]	0.79 (0.64–0.98)	0.79 (0.58–1.08); WT. pop ≥65	0.78 (0.58–1.05); WT. pop ≥65
Socinski (2018)	IMpower-150	III	Nonsquamous NSCLC	1	Carbo + Pacli + Atezo vs. Carbo + Pacli + Beva vs. Carbo + Pacli + Beva + Atezo	1,202	NA; 63.0 [31–90]; 63.0 [31–89]	≥65: 65–74; ≥75	359 [45]: 281 [35]; 78 [10] (on 800)	0.78 (0.64–0.96)	NA	NA
Paz-Ares (2019)	CheckMate 227	III	NSCLC	1	PBCh + Nivo vs. PBCh	755	63.0 [27–84]; 64.0 [31–87]	≥65: 65–74; ≥75	345 [46]: 274 [37]; 71 [9]	0.81 (0.67–0.97)	0.78 (NA)	0.87 (NA); 0.86 (NA)
Paz-Ares (2019)	CheckMate 227	Ш	Nonsquamous NSCLC	1	Plat + Pem + Nivo vs. Plat + Pem	543	63.0 [27–84]; 64.0 [31–83]	≥65: 65–74; ≥75	239 [44]: 190 [35]; 49 [9]	0.86 (0.69–1.08)	0.76 (NA)	0.95 (NA); 1.21 (NA)
Reck (2020)	CheckMate 9LA	Ш	NSCLC	1	Nivo + Ipi + Chemo (2cycles) vs. Chemo	719	65.0 [35–81]; 65.0 [26–86]	≥65: 65–74; ≥75	365 [51]: 295 [41]; 70 [10]	0.66 (0.55–0.80)	0.61 (NA)	0.62 (NA); 1.21 (NA)
Hellman (2019)	CheckMate 227	III	NSCLC	1	Nivo + Ipi vs. PBCh	1,166 Ov pop	64.0 [26–87]; 64.0 [29–87]	≥65; 65–74; ≥75	556 [48]: 442 [38]; 113 [10]	0.73 (0.64–0.84)	0.70 (0.58–0.85)	0.76 (0.61–0.95); 0.84 (0.55–1.29)
Hellman (2019)	CheckMate 227	III	NSCLC	1	Nivo + Ipi vs. PBCh	793 PD-L1+	64.0 [26–84]; 64.0 [29–87]	≥65: 65–74; ≥75	387 [49]: 306 [39]; 81 [10]	0.79 (0.65–0.96)	0.70 (0.55–0.89)	0.91 (0.70–1.19); 0.92 (0.57–1.48)
lellman (2019)	CheckMate 227	III	NSCLC	1	Nivo + Ipi vs. PBCh	373 PD-L1-	63.0 [34–87]; 64.0 [30–80]	≥65: 65–74; ≥75	168 [45]: 136 [36]; 32 [9]	0.62 (0.48–0.78)	0.69 (0.50–0.94)	0.49 (0.32–0.75); 0.75 (0.31–1.82)
Planchard (2020)	ARCTIC Study B	III	NSCLC	>2	Durva + Treme vs. Durva vs. Treme vs. Soc	469	62.5 [26–81]; 63.0 [19–83]; 63.5 [45–81]; 65.0 [42–83]	≥65	221 [47]	0.80 (0.61–1.05); 0.80 (0.59–1.08); 1.02 (0.71–1.46)	NA	NA
Rizvi (2020)	MYSTIC	III	NSCLC	1	Durva vs. Durva + Treme vs. PBCh	TPS ≥25: 489	64.0 [32–84]; 65.0 [64–87]; 64.5 [35–85]	≥65	256 [52]	0.76 (0.56–1.02); 0.85 (0.61–1.17)	0.86 (0.60–1.24); NA	0.66 (0.45–0.95); NA

aNSCLC, advanced non-small cell lung cancer; N, number; HR, hazard ratio; CI, confidence interval; NSCLC, non-small cell lung cancer; Carbo, Carboplatin; Pem, Pemetrexed; Pembro, Pembrolizumab; PCB, placebo; Pacli, Paclitaxel; Atezo, Atezolizumab; Beva, Bevacizumab; Plat, platinum drug; Ipi, Ipilimumab; PBCh, platinum-based chemotherapy; Durva, Durvalumab; Treme, Tremelimumab; SoC, standard of care; NA, not available; Ov pop, overall population; WT. pop, wild-type population.

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plus Ipilimumab versus Nivolumab plus platinum-based chemotherapy versus platinum-based chemotherapy in case of PD-L1-negative tumor expression. For the efficacy analysis of Nivolumab plus Ipilimumab arm, 1,166 were enrolled with a median age of 64 years, 38% (n=442) of patients aged between 65 to 74 years and 9.7% (n=113) patients aged at least 75 years. In the overall population ICIs combination improved OS regardless PD-L1 expression, but in the subgroup of elderly population ( $\geq$ 75 years) the benefit was unclear in PD-L1-positive tumor (HR, 0.92; 95% CI, 0.57 to 1.48) as well as PD-L1 negative tumor (HR, 0.75; 95% CI, 0.31 to 1.82) (43).

In the two phase III studies evaluating Durvalumab in combination with Tremelimumab, either in previously treated aNSCLC (ARCTIC study) or in untreated patients (MYSTIC study), specific-aged survival data are lacking. The both two studies failed to demonstrate an OS improvement in favour of ICI combination (25,26).

Recently, results from the phase III CheckMate 9LA study have been presented at ASCO congress. This trial investigated combination of Nivolumab plus Ipilimumab plus two initial cycles of platinum-based chemotherapy compared to platinum-based chemotherapy in untreated aNSCLC. This trial enrolled 719 patients with a median age of 65 years in both arms, 41% (n=298) aged 65 to 74 years and 9.7% (n=70) aged  $\geq$ 75 years. Combination of Nivolumab plus Ipilimumab plus early chemotherapy improved OS with mOS of 15.6 versus 10.9 month in favour of experimental arm (HR, 0.66; 95% CI, 0.55 to 0.80). In subgroup analysis, elderly population ( $\geq$ 75 years) seemed to not derive a benefit from this experimental arm (HR, 1.21) (44).

#### **ICI efficacy in ES-SCLC**

In ES-SCLC, ICI has been firstly investigated as monotherapy with the hope to change the prognostic of this aggressive disease. Unfortunately, after initial promising results, ICI as single agent has been largely disappointing. However, reflecting the change of SoC in aNSCLC, combination chemotherapy plus ICI has been evaluated in untreated ES-SCLC and modified the clinical practice. First, IMpower133 tested combination Carboplatin plus etoposide with or without Atezolizumab (1,200 mg fixed dose) in first-line treatment for ES-SCLC. This trial enrolled 403 patients with a median age of 64 years in both arms and 46% (n=186) of patients aged  $\geq$ 65 years. Combination chemotherapy plus Atezolizumab improved OS compared SoC with a mOS of 12.3 versus 10.3 months in favour of experimental arm (HR, 0.70; 95% CI, 0.54 to 0.91). Curiously, subgroup analysis showed a stronger benefit in older (HR, 0.53; 95% CI, 0.36 to 0.77) than younger patients (HR, 0.92; 95% CI, 0.64 to 1.32) (45). The second study in the same setting was the phase III CASPIAN study testing Durvalumab with or without Tremelimumab in combination with platinum-etoposide regimen. Among the 537 patients enrolled in two arms of chemotherapy with or without Durvalumab, the median age was 62 years for experimental arm versus 63 years in control arm and 39.7% (n=213) were aged at least 65 years. In the overall population, Durvalumab plus chemotherapy combination improved OS compared to Soc with a benefit of 2.7 months (HR, 0.73; 95% CI, 0.59 to 0.91). In subgroup analysis, this benefit was not significant in elderly population (HR, 0.75; 95% CI, 0.54 to 1.06) (46).

#### **Safety profile**

Safety profile of ICIs among elderly population is another key question. Immune-related adverse events (irAE) are induced by activated T cells infiltrated in normal tissue. If ICIs as single agent demonstrated a very good safety profile in overall population with less than 10% of severe side effects, these toxicities which can affect multiple organs, might be more difficult to manage in frail and elderly population. Despite the same spectrum of toxicities, anti-CTLA4 is more toxic than anti-PD-1/PD-L1 with a higher frequency of severe toxicities (47). Across all pivotal studies evaluating anti-PD-1/PD-L1 as monotherapy, none of them reported a worse safety profile in elderly population. Thus, the FDA analysis of survival in older adults with aNSCLC in randomized trial found a lower proportion of grade 1-4 irAE in patients at least 75 years (15.1%) compared to patient <65 years (30.4%) (36). Nosaki et al. in their pooled analysis of Pembrolizumab showed a better safety profile for ICI than chemotherapy in elderly population (20). In the CheckMate 171 real-world study, safety profile was similar between younger and older patients except for low-grade diarrhoea which was more frequent in elderly population (16). In CheckMate 153, the incidence of highgrade treatment-related adverse events (TRAEs) was similar across all subgroups with respectively 6% of TRAEs in both overall population and elderly group (17). Unfortunately, aged-specific safety data are not available at this time concerning trials with combination chemotherapy plus ICIs or combination of ICIs.

In a meta-analysis of fatal toxic effects related to ICIs, Wang *et al.* observed that patients who died due to ICI side effect were older than those without fatal side effect (median age of 70 versus 62 years). It might be due to complications of hospitalization or complications of immunosuppressive agents used to treat irAE (48).

#### Discussion

Over the past 5 years, immunotherapy has seen a dramatic breakthrough in the lung cancer treatment. ICIs are now an essential treatment for a large part of patients as a single agent or in combination with chemotherapy. Derived from many robust phase III studies, results demonstrated an important survival benefit in favour of ICIs in the overall population. However, the population enrolled in these clinical trials is clearly younger than this met in our dailypractice and it is difficult to extrapolate these results in elderly population.

As monotherapy, older patients seem to derive the same benefit from ICIs than younger patients with no excess of toxicities but some limitations have to be noted. First, age cut-off for definition of elderly population is different across the clinical trials, some studies using 65 years reflecting World Health Organization definition and others analysing subgroups aged at least 70 or 75 years. The cut-off of 65 years increasing the number of aged patients enrolled in clinical trials leads to an important heterogeneity of this subgroup. Heterogeneity of the aged population is driven by a high variability of physiological age reflecting the co-morbidities, organs dysfunction or co-medications with a higher prevalence in the older population than younger. Some clinical parameters can also introduce a misinterpretation of ICIs outcomes. For instance, high performance status or sarcopenia represent two predictive and prognostic factors of poor survivals in particular for patients treated with ICIs even in younger population (49,50). These clinical parameters are more frequent in the elderly population (51). Immunosenescence more likely associated with aging can also negatively impact outcomes of ICIs (12). Finally, a retrospective analysis demonstrated that aging was an independent risk factor of disease hyperprogression for patient treated with ICIs across solid tumor (52).

In combination with chemotherapy, impact of ICIs in elderly population is more doubtful. First, available data are extremely limited in the subgroup of aged patients. However, EMA have signalled according unpublished data that upon the 57 aged-patients ( $\geq$ 75 years) from Keynote-189, combination seems to have deleterious effect on OS (HR, 2.09; 95% CI, 0.84 to 5.23) and PFS (HR, 1.73; 95% CI, 0.77 to 3.90) (53) compared to chemotherapy alone. These results although limited, should lead to use the combination in elderly patients with caution. Second, clinical studies evaluating combination of chemotherapy and ICI did not investigate the Carboplatin and weekly Paclitaxel regimen that represents the first option for treatment of aNSCLC in elderly patients (54).

Dedicated studies evaluating ICIs as single agent or in combination in elderly patients are currently on going. A mono-arm phase II study is evaluating the efficacy, safety and quality of life in aged patients ( $\geq 70$  years) treated with Pembrolizumab as monotherapy in first line for a PD-L1-positive aNSCLC (TPS  $\geq 1\%$ ) (55). The results from the randomized phase III ELDERLY Trial evaluating Carboplatin and weekly Paclitaxel regimens with or without Atezolizumab in 500 elderly patients with untreated aNSCLC will be awaited (56). This trial will clarify the place of combination of ICI plus chemotherapy for the management of elderly patients with aNSCLC. Two others phase II studies will complete data about ICI plus chemotherapy combination in aged patient, one investigating Pembrolizumab plus Pemetrexed in first line aNSCLC with TPS <50% (57) and the other a doublet regimen (Carboplatin + Paclitaxel or Pemetrexed every 3 weeks) with Durvalumab (58). These two latter trials will have a lower impact than ELDERLY study due to smaller populations (50 and 41 patients expected). Finally, eNERGY trial (59), a randomized phase III trial testing Nivolumab + Ipilimumab versus platinum-based doublet regimen in two specific untreated aNSCLC populations (patient aged at least 70 years or with a poor performance status) is ongoing in France. This study should answer about the efficacy and safety profile of this combination in elderly patients.

In conclusion, dedicated studies are urgently needed to clarify the effect of ICIs in the elderly population in particular, since combinations chemotherapy and ICI have become the new SoC of first line treatment in aNSCLC and ES-SCLC.

#### **Acknowledgments**

Funding: None.

#### Footnote

Provenance and Peer Review: This article was commissioned

by the editorial office, *Translational Lung Cancer Research* for the series "Immunotherapy in other thoracic malignancies and uncommon populations". The article has undergone external peer review.

*Reporting Checklist:* The authors have completed the Narrative Review reporting checklist. Available at http://dx.doi.org/10.21037/tlcr-20-1239

Peer Review File: Available at http://dx.doi.org/10.21037/ tlcr-20-1239

Conflicts of Interest: Both authors have completed the ICMJE uniform disclosure form (available at http://dx.doi. org/10.21037/tlcr-20-1239). The series "Immunotherapy in other thoracic malignancies and uncommon populations" was commissioned by the editorial office without any funding or sponsorship. BB served as the unpaid Guest Editor of the series. CN reports personal fees from ASTRA ZENECA, personal fees from MSD, personal fees, nonfinancial support and other from ROCHE, non-financial support and other from AMGEN, non-financial support and other from BMS, from TAKEDA, other from PFIZER, outside the submitted work. BB reports grants from 4D Pharma, grants from Abbvie, grants from Amgen, grants from Aptitude Health, grants from AstraZeneca, grants from BeiGene, from Blueprint Medicines, grants from BMS, grants from Boehringer Ingelheim, grants from Celgene, grants from Cergentis, grants from Cristal Therapeutics, grants from Daiichi-Sankyo, grants from Eli Lilly, grants from GSK, grants from Inivata, grants from Janssen, grants from Onxeo, grants from OSE immunotherapeutics, grants from Pfizer, grants from Roche-Genentech, grants from Sanofi, grants from Takeda, grants from Tolero Pharmaceuticals, outside the submitted work. The authors have no other conflicts of interest to declare.

*Ethical Statement:* The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved

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**Cite this article as:** Naltet C, Besse B. Immune checkpoint inhibitors in elderly patients treated for a lung cancer: a narrative review. Transl Lung Cancer Res 2021;10(6):3014-3028. doi: 10.21037/tlcr-20-1239

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