



Real-world experience of afatinib as first-line therapy for advanced *EGFR* mutation-positive non-small cell lung cancer in Korea

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Background: We investigated the clinical characteristics and treatment outcomes of Korean patients receiving first-line afatinib for advanced epidermal growth factor receptor mutation-positive (*EGFR*^{m+}) non-small cell lung cancer (NSCLC) in a real-world setting.

Methods: Electronic case reports were retrospectively reviewed from patients across 15 sites in South Korea. Outcome measures included baseline characteristics, overall response rate (ORR), time-to-treatment discontinuation (TTD), and overall survival (OS). Subgroups were: presence/absence of brain metastases at baseline, dose reductions, and baseline *EGFR* mutation category.

Results: Among 422 patients, 39.8% had brain metastases and 59.0%/25.1%/10.0%/5.0% had Del19/L858R/compound/uncommon *EGFR* mutations at baseline. ORR was 62.6% overall; responses were observed across all *EGFR* mutation categories, including against compound mutations. Median TTD was 17.8 months; median OS was not reached (NR). Median TTD and OS were longer in patients without versus with brain metastases (TTD: 22.9 vs. 14.8 months, P=0.001; OS: NR vs. 40.3 months, P=0.0009) and patients with versus without dose reductions (TTD: 22.2 vs. 14.2 months, P=0.0004; OS: NR vs. 40.3 months, P=0.0117). Median OS was 30.5/37.7 months in patients receiving chemotherapy/osimertinib as subsequent therapy. The most common treatment-related adverse events (TRAEs; any grade/grade ≥ 3) were diarrhea (31.3%/8.5%) and rash (23.0%/8.1%). Overall, 34 patients (8.1%) discontinued afatinib due to AEs.

Conclusions: Afatinib was well tolerated with no new safety signals, and efficacy was encouraging in Korean patients with *EGFR*^{m+} NSCLC, including those with baseline brain metastases and/or uncommon *EGFR* mutations. AE management with dose reductions facilitated a long TTD, prolonging the chemotherapy-free period for many patients.

Keywords: Afatinib; epidermal growth factor receptor (EGFR); first-line; non-small cell lung cancer (NSCLC); real-world

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Introduction

Lung cancer is the most common cause of cancer-related mortality worldwide (1), and in South Korea, where it is expected to account for approximately 18,796 deaths in 2020 (2). Activating mutations in the epidermal growth factor receptor (EGFR) gene, which lead to dysregulated EGFR signaling, are observed in up to 50% of Asian patients with non-small cell lung cancer (NSCLC) (3,4). *EGFR*-mutated tumors tend to be dependent on EGFR activity, rendering them highly sensitive to targeted inhibition with EGFR tyrosine kinase inhibitors (TKIs) (5). Accordingly, EGFR TKIs are now the first-line treatment of choice for patients with *EGFR* mutation-positive (*EGFRm*⁺) NSCLC, and five such agents are currently approved in this setting. These are: the first-generation reversible EGFR TKIs, gefitinib and erlotinib; the second-generation irreversible ErbB family blockers, afatinib and dacomitinib; and the third-generation irreversible, wild-type sparing EGFR TKI, osimertinib (6).

Afatinib is an ErbB family blocker that irreversibly inhibits EGFR, human epidermal growth factor receptor (HER)2 and HER4, and blocks transphosphorylation of HER3, thereby blocking signaling via all ErbB family homo- and hetero-dimers (7,8). In randomized clinical trials, afatinib demonstrated superior efficacy as first-line treatment versus chemotherapy (LUX-Lung 3 and 6) and gefitinib (LUX-Lung 7) in patients with *EGFRm*⁺ NSCLC (9-11). Across these trials, afatinib was well tolerated, with few treatment discontinuations due to adverse events (AEs). Treatment-related AEs (TRAEs) with afatinib were predominantly class-related gastrointestinal and skin-related toxicities, and these were managed effectively with tolerability-guided dose reductions (9-11).

As well as being highly active against common (Del19 and L858R) *EGFR* mutations, afatinib shows a broad preclinical and clinical inhibitory profile against many uncommon *EGFR* mutations, including those occurring in combination with an independent common or uncommon mutation (known as ‘compound mutations’) (12,13).

Afatinib is clinically active against the most prevalent uncommon mutations, G719X, S768I, and L861Q, in exons 18, 20, and 21, respectively (14), and is approved in many countries for the treatment of NSCLC harboring these activating mutations, as well as for Del19-/L858R-positive NSCLC. In South Korea, afatinib has been approved and reimbursed in this indication since 2014. Evidence also suggests that afatinib can also effectively penetrate the blood-brain barrier, and that it may be effective both in treating existing central nervous system (CNS) metastases and in mitigating the risk of CNS progression (15).

Randomized controlled trials such as the LUX-Lung studies demonstrate the efficacy and safety of study drugs under highly controlled settings, often with strict inclusion criteria. Therefore, it is important to evaluate the efficacy and tolerability of afatinib in real-world studies in broader patient populations, which may better reflect daily clinical practice (16). Real-world data can be used to assess treatment effectiveness in patient populations that are generally under-represented in clinical trials, such as elderly patients, patients with brain metastases, patients with uncommon *EGFR* mutations, and those with comorbidities. Furthermore, clinical trials often have strict discontinuation and stopping criteria, whereas in the real-world setting, treatment may continue beyond radiological progression. So far, available real-world evidence suggests that afatinib is tolerable and effective in diverse patient populations in everyday clinical practice. Several real-world comparative studies also indicate that afatinib is associated with improved efficacy compared with first-generation EGFR TKIs, consistent with the results of LUX-Lung 7 (17). For example, in a recent single-center analysis of 467 patients treated with first-line EGFR TKIs in South Korea, median progression-free survival (PFS) was significantly longer with afatinib versus gefitinib or erlotinib, and the PFS benefit with afatinib was particularly pronounced in subgroups with Del19 or uncommon *EGFR* mutations (18).

In this study, we investigate the clinical characteristics and treatment courses of patients who received afatinib as first-line therapy for advanced *EGFRm*⁺ NSCLC in the

real-world setting in South Korea. We present the following article in accordance with the STROBE reporting checklist (available at <https://dx.doi.org/10.21037/tlcr-21-501>).

Methods

Patients and study design

This was a retrospective, multicenter cohort study of patients with advanced *EGFR*^{m+} NSCLC enrolled in the Korean Academy of Tuberculosis and Respiratory Disease (KATRD) *EGFR* cohort. A total of 15 sites across South Korea participated in patient registration.

Electronic case report forms from patients treated with first-line afatinib in real-world practice were retrospectively reviewed. To reduce bias, a contract research organization (CRO) conducted data management and researchers were not involved. Eligible patients were aged >20 years, with *EGFR*^{m+} TKI-naïve, locally advanced or metastatic NSCLC, who had started on first-line afatinib between April 2007 and December 2018. Patients were required to have absolute neutrophil count $\geq 1,500/\text{mm}^3$, platelet count $\geq 100,000/\text{mm}^3$, hemoglobin ≥ 9 g/dL, total bilirubin $\leq 1.25 \times$ upper limit of normal (ULN), aspartate aminotransferase and alanine aminotransferase $\leq 3.0 \times$ ULN, alkaline phosphatase $\leq 2.5 \times$ ULN and serum creatinine ≤ 1.5 mg/dL. Patients with histologically confirmed small-cell lung cancer or other metastatic tumors were excluded.

The objectives were to assess the clinical characteristics and treatment outcomes of patients who received afatinib as a first-line therapy in the real-world setting.

Outcomes and assessments

Outcome measures included: patient baseline characteristics; objective response [complete response (CR) or partial response (PR)]; disease control [CR, PR, or stable disease (SD)]; time-to-treatment discontinuation (TTD), defined as the time from the first dose of afatinib to the date of treatment discontinuation or death; overall survival (OS), defined as the time from the first dose of afatinib until death; and subsequent treatments. Afatinib dose reductions and treatment switches were also documented. AEs and TRAEs were graded by the National Cancer Institute's Common Terminology Criteria for Adverse Events version 4.03.

Clinical subgroups were defined based on: presence or absence of brain metastases at baseline, afatinib dose

reductions, and baseline *EGFR* mutation type/location. Sites were requested to provide information on mutational status at initial and second biopsy (performed upon disease progression), if available. *EGFR* mutation and T790M status were determined as per local methodology and practice, using direct sequencing, peptide nucleic acid (PNA)-clamp, or other methods.

Statistical analysis

Baseline characteristics, tumor responses, AEs, and dose modifications were descriptive. Kaplan-Meier methodology was used to determine the median TTD and median OS, and a log-rank test and Cox proportional hazards model were used to compare groups. For patients still on treatment, TTD and OS was censored at data cut-off (April 4, 2019). The CRO requested a query from the researcher to minimize missing data. Statistical analyses were performed by using SAS version 9.4. A P value of <0.05 was considered statistically significant.

Ethical statement

The study was conducted in accordance with the guidelines of the Helsinki Declaration (as revised in 2013). The study was approved by the institutional ethics review boards of: Korea University Guro Hospital (2018GR0013), Asan Medical Center (2018-0012), Yonsei University Gangnam Severance Hospital (3-2020-0003), Konkuk University Medical Center (KUH1010909), Catholic University Seoul St. Mary's Hospital (KC20RCDI0129), Wonkwang University Hospital (WKUH-201606-HR-058), Inha University Hospital Institutional Review Board (2020-01-016), Chungnam National University Institutional Review Board (CNUH 2020-02-022-006), Kyungpook National University Chilgok Hospital Institutional Review Board (KNUCH 2020-01-010), Hallym University Sacred Heart Hospital Institutional Review Board (HALLYM 2020-07-041), Chonnam National University Hwasun Hospital Institutional Review Board (CNUHH-2017-179), Daegu Catholic University Medical Center Institutional Review Board (CR-18-097), Institutional Review Board of Severance Hospital (4-2019-1214), Pusan National University Yangsan Hospital Institutional Review Board (05-2020-006), and Kosin University Gospel Hospital Institutional Review Board (KUGH 2017-11-030). Individual consent for this retrospective analysis was waived.

Results

Patients and treatment

In total, 422 patients treated with first-line afatinib were included in the analysis. Baseline demographics and disease characteristics are summarized in *Table 1*. Overall, 54.3% of patients were male, 52.1% had never smoked, 95.3% had adenocarcinoma, and 82.9% received the approved 40 mg starting dose of afatinib.

The method of *EGFR* mutation detection at initial biopsy was recorded for 356 patients. The most commonly used method was PNA-clamp [n=326 (91.6%)], followed by direct sequencing [n=80 (22.5%)], or other methods [n=5 (1.4%)]. For some patient samples, both PNA-clamp and direct sequencing were performed. Initial biopsy was performed most commonly using tissue samples [n=381 (90.3%)], followed by pleural effusion samples [n=15 (3.6%)].

At the start of afatinib treatment, 249 (59.0%) patients had tumors harboring a Del19 mutation and 106 (25.1%) had L858R-positive tumors; the remaining patients had NSCLC with compound mutations [n=42 (10.0%)], uncommon mutations only [n=21 (5.0%)], unknown status [n=3 (0.7%)], or *de novo* T790M mutation only [1 (0.2%)]. Eight (1.9%) patients had tumors harboring a T790M mutation at initial biopsy (*de novo* + compound mutations). Of these, seven patients had compound mutations: three patients also had Del19-positive tumors, two patients had L858R-positive tumors, and two patients had tumors with a G719X mutation. In total, 168 (39.8%) patients had brain metastases at baseline, and among the 145 patients with available data, 60 (41.5%) were treated with afatinib only (i.e., received no brain surgery or radiotherapy), 51 patients (35.2%) underwent whole-brain radiotherapy, 21 patients (14.5%) had gamma-knife radiosurgery, and the remaining 13 patients (9.0%) received other treatments for baseline brain metastases.

At the time of analysis, 273 (64.7%) patients had discontinued afatinib, and 149 (35.3%) remained on treatment. The most common reasons for discontinuation were progressive disease [n=171 (62.6%)], toxicity [n=34 (12.5%)], and loss to follow-up [n=30 (11.0%)].

Tumor response

Across all response-evaluable patients (n=366), six patients

Table 1 Baseline demographics and clinical characteristics

| Characteristic | KATRD <i>EGFR</i> cohort (n=422) |
|--|-------------------------------------|
| Mean age, years (standard deviation) | 63.8 (10.9) |
| Male, n (%) | 229 (54.3) |
| Smoking history, n (%) | |
| Never smoked | 220 (52.1) |
| Ex-smoker | 120 (28.4) |
| Current smoker | 61 (14.5) |
| Unknown | 21 (5.0) |
| Tumor histology, n (%) | |
| Adenocarcinoma | 402 (95.3) |
| Squamous cell carcinoma | 8 (1.9) |
| Adenosquamous carcinoma | 5 (1.2) |
| NSCLC not otherwise specified | 3 (0.7) |
| Sarcomatoid carcinoma | 1 (0.2) |
| Other | 2 (0.5) |
| Unknown | 1 (0.2) |
| Stage, n (%) | |
| Ib–IIIb | 25 (5.9) |
| IIIc | 2 (0.5) |
| IVa | 187 (44.3) |
| IVb | 201 (47.6) |
| Unknown | 7 (1.7) |
| Location of M1 sites at diagnosis ^a , n (%) | |
| Lung/pericardium/pleura | 270 (64.0) |
| Brain/leptomeningeal | 182 (43.1) |
| Bone | 169 (40.0) |
| Liver | 41 (9.7) |
| Adrenal | 28 (6.6) |
| Other | 27 (6.4) |
| Unknown | 38 (9.0) |
| Brain metastases at diagnosis, n (%) | |
| Present | 168 (39.8) |
| Not present | 249 (59.0) |
| Unknown | 5 (1.2) |

Table 1 (continued)

Table 1 (continued)

| Characteristic | KATRD EGFR cohort (n=422) |
|---|---------------------------|
| MRI/CT scan undertaken at baseline, n (%) | |
| Yes | 389 (92.2) |
| No | 18 (4.3) |
| Unknown | 15 (3.6) |
| EGFR mutation type ^b , n (%) | |
| Common | |
| Del19 | 249 (59.0) |
| L858R | 106 (25.1) |
| Compound | 42 (10.0) |
| Uncommon | 21 (5.0) |
| <i>de novo</i> T790M | 1 (0.2) |
| Unknown | 3 (0.7) |
| EGFR mutation location ^c , n (%) | |
| Exon 18 | 22 (5.2) |
| Exon 19 | 258 (61.1) |
| Exon 20 | 18 (4.3) |
| Exon 21 | 140 (33.2) |
| Unknown | 2 (0.5) |
| EGFR mutation location ^b , n (%) | n=420 |
| Exon 18 | 11 (2.6) |
| Exon 18 + Exon 19 | 1 (0.2) |
| Exon 18 + Exon 20 | 5 (1.2) |
| Exon 18 + Exon 21 | 5 (1.2) |
| Exon 19 | 253 (60.2) |
| Exon 19 + Exon 20 | 4 (0.9) |
| Exon 20 | 5 (1.2) |
| Exon 20 + Exon 21 | 3 (0.7) |
| Exon 21 | 130 (30.1) |
| Unknown | 3 (0.7) |
| ALK mutation, n (%) | n=184 |
| | 8 (4.3) |
| KRAS mutation, n (%) | n=25 |
| | 0 (0) |
| Afatinib starting dose, n (%) | |

Table 1 (continued)

Table 1 (continued)

| Characteristic | KATRD EGFR cohort (n=422) |
|----------------|---------------------------|
| 40 mg | 350 (82.9) |
| 30 mg | 55 (13.0) |
| 20 mg | 3 (0.7) |
| Unknown | 14 (3.3) |
| ECOG PS, n (%) | |
| <2 | 306 (72.5) |
| ≥2 | 52 (12.3) |
| Unknown | 64 (15.2) |

^a, patients may have had metastases in more than one location; ^b, patients appear in one EGFR mutation category only, patients with >1 documented EGFR mutation were classed as having compound mutations; ^c, patients may appear in more than one EGFR mutation category. KATRD, Korean Academy of Tuberculosis and Respiratory Disease; EGFR, epidermal growth factor receptor; NSCLC, non-small cell lung cancer; MRI, magnetic resonance imaging; CT, computerized tomography; ALK, anaplastic lymphoma kinase; ECOG PS, Eastern Cooperative Oncology Group performance status.

had a CR and 223 had a PR, for an overall response rate (ORR) of 62.6% (Table 2). A further 107 (29.2%) patients had SD; therefore, the disease control rate (DCR) was 91.8%.

Overall, patients with tumors harboring mutations in exon 20 (alone or as a compound mutation) had a lower ORR [26.7% (1 CR and 3 PRs among 15 patients)] compared with those with tumors harboring mutations in exons 18, 19, and 21 only (Figure 1). Afatinib showed strong activity against compound mutations, including an ORR of 100% in four patients with tumors harboring mutations in both exon 18 and 21 (Figure 1). Patients with *de novo* T790M mutations (either alone or in combination with another common/uncommon EGFR mutation) had the lowest ORR (25.0%, Table S1). Patients with the major uncommon mutation S768I (n=7) had an ORR of 42.9%. Two patients had exon 20 insertions: one of these patients with a V769_D770insASV mutation achieved PR, and another with an Ins.3dup mutation achieved SD.

Of the 168 patients with baseline brain metastases, 122 (72.6%) patients no longer had brain metastases after afatinib treatment, 28 (16.7%) patients still had brain metastases and presence of brain metastases was unknown for the remaining 18 (10.7%) patients. Among the 249

Table 2 ORR in the overall population, and in patient subgroups based on baseline brain metastases, dose modifications, and location of baseline EGFR mutation(s)

| Best overall response, n (%) | All evaluable patients (n=366) | | Baseline brain metastases | | Dose modification | | EGFR mutation location ^a | | | | |
|------------------------------|--------------------------------|------------|---------------------------|------------|-------------------|------------|-------------------------------------|-----------------|----------------|-----------------|---------------|
| | Yes (n=148) | No (n=214) | Yes (n=233) | No (n=133) | Yes (n=233) | No (n=133) | Exon 18 (n=19) | Exon 19 (n=225) | Exon 20 (n=15) | Exon 21 (n=118) | Unknown (n=3) |
| CR | 6 (1.6) | 4 (1.9) | 4 (1.7) | 2 (1.5) | 1 (5.3) | 4 (1.8) | 1 (6.7) | 1 (0.8) | 1 (6.7) | 1 (0.8) | 0 (0) |
| PR | 223 (60.9) | 120 (56.1) | 149 (63.9) | 74 (55.6) | 9 (47.4) | 140 (62.2) | 3 (20.0) | 73 (61.9) | 3 (20.0) | 73 (61.9) | 2 (66.7) |
| SD | 107 (29.2) | 76 (35.5) | 64 (27.5) | 43 (32.3) | 7 (36.8) | 66 (29.3) | 8 (53.3) | 34 (28.8) | 8 (53.3) | 34 (28.8) | 0 (0) |
| PD | 30 (8.2) | 14 (6.5) | 16 (6.9) | 14 (10.5) | 2 (10.5) | 15 (6.7) | 3 (20.0) | 10 (8.5) | 3 (20.0) | 10 (8.5) | 1 (33.3) |
| ORR | 229 (62.6) | 103 (69.6) | 153 (65.7) | 76 (57.1) | 10 (52.6) | 144 (64.0) | 4 (26.7) | 74 (62.7) | 4 (26.7) | 74 (62.7) | 2 (66.7) |
| DCR | 336 (91.8) | 200 (93.5) | 217 (93.1) | 119 (89.5) | 17 (89.5) | 210 (93.3) | 12 (80.0) | 108 (91.5) | 12 (80.0) | 108 (91.5) | 2 (66.7) |

^a, patients may appear in >1 category; specific mutations include: G719X (exon 18), Del19 (exon 19), S7681 (exon 20), L858R and L861Q (exon 21). ORR, overall response rate; EGFR, epidermal growth factor receptor; CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; DCR, disease control rate.

patients without brain metastases at baseline, 44 (17.7%) patients developed brain metastases after starting afatinib treatment, 185 (74.3%) patients remained free from brain metastases, and the remaining 20 (8.0%) patients had unknown status after afatinib treatment.

Dose reduction did not appear to impact efficacy; ORR in patients with a dose reduction was 65.7%, compared with 57.1% in those who did not have a dose reduction (Table 2). Among patients with at least one dose reduction, ORR was highest (69.2%) in those who received a final afatinib dose of 30 mg/day.

TTD

Among 419 patients with available data, median TTD was 17.8 months [95% confidence interval (CI): 15.6–20.5; Figure 2A; Table 3], and the 3- and 5-year TTD rates were 28.7% and 15.9%, respectively.

Patients with a Del19 mutation had significantly longer median TTD than those without Del19 mutations (20.5 *vs.* 15.4 months; P=0.0086; Table 3). Conversely, median TTD was shorter in patients with tumors harboring an L858R mutation than those without L858R mutations (15.4 *vs.* 19.6 months; P=0.0166). Patients with a *de novo* T790M mutation also had significantly shorter TTD than those without T790M at baseline (median 8.1 *vs.* 18.2 months; P=0.0174).

Median TTD was significantly longer in patients without brain metastases versus those with brain metastases at baseline (22.9 *vs.* 14.8 months; P=0.001), and in patients with versus those without dose reductions (22.2 *vs.* 14.2 months; P=0.0004; Table 3). Among the 60 patients with baseline brain metastases who received afatinib as their only first-line treatment (i.e., received no brain surgery or radiotherapy), median TTD was 14.2 months (95% CI: 11.2–19.0).

OS

Median OS was not reached (NR) in the treated set (95% CI: 40.3 months–NR) after a median follow-up of 15.6 months; the 3- and 5-year OS rates were 67.2% and 52.9%, respectively (Figure 2B and Table 3). Median OS was also NR for EGFR mutation type when comparing patients with tumors with Del19, L858R and T790M mutations versus those without those mutations. Additionally, median OS was NR when comparing patients with uncommon mutations (G719X, S7681, and L861Q) versus those

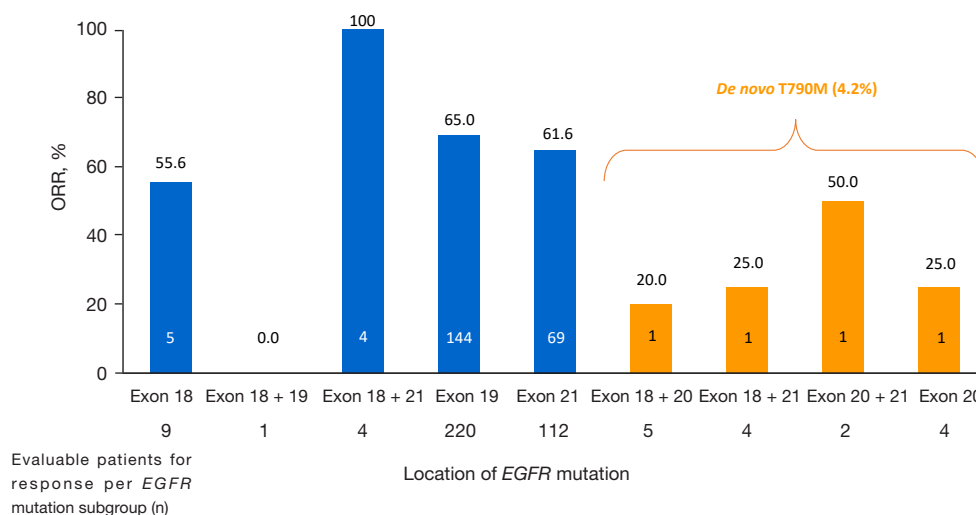


Figure 1 Response rate according to location of *EGFR* mutation(s) at initial biopsy, grouped by single and compound mutations (n=361). Specific mutations include: G719X (exon 18), Del19 (exon 19), S768I (exon 20), L858R and L861Q (exon 21). *EGFR*, epidermal growth factor receptor; ORR, overall response rate.

without these mutations. Similar to TTD, median OS was significantly longer in patients without versus those with brain metastases at baseline (NR vs. 40.3 months; $P=0.0009$) and in patients with versus without dose reductions (NR vs. 40.3 months; $P=0.0117$; Table 3).

Safety

Two-hundred and fifty-three (60.0%) patients had at least one TRAE, and 92 (21.8%) patients had grade ≥ 3 TRAEs (117 events). The most common TRAEs (any grade/grade ≥ 3) were diarrhea (n=132, 31.3%; n=36, 8.5%) and rash (n=97, 23.0%; n=34, 8.1%; Table 4). There were two grade 4 TRAEs (hyperkalemia and acute kidney injury) and four grade 5 TRAEs [pneumonia (n=2), pneumonitis (n=1), and respiratory failure (n=1)].

Dose modifications and discontinuations

Two-hundred and fifty-six (60.7%) patients had afatinib dose modifications, predominantly due to AEs [226 patients (88.3%)]. The two most commonly reported AEs leading to dose modification were diarrhea and rash (Table 4). The remaining patients had dose modifications due to investigator's decision [25 patients (9.8%)] and other reasons [five patients (2.0%)]. Afatinib was reduced to

30 mg in 156 (60.9%) patients, and to 20 mg in 94 (36.7%) patients. Six (2.3%) patients with dose modifications had a final dose of 40 mg (their starting dose of 40 mg was initially reduced and later increased). Of the 273 patients who had discontinued afatinib, 34 (12.5%) discontinued due to AEs, of which 18 (6.6%) switched treatment from afatinib to gefitinib due to toxicity.

Detection of T790M mutation at re-biopsy

In total, 57 patients had *EGFR* mutation data available from a second biopsy performed following progression on afatinib. The most common method for detection of *EGFR* mutations was PNA-clamp (n=50). As with initial biopsies, second biopsies were performed most commonly using tissue samples (n=50); other sample types included pleural effusions (n=3) and cerebrospinal fluid (n=2).

Of 57 patients with *EGFR* mutation status available at both initial biopsy and rebiopsy, the T790M mutation was detected in 18 (31.6%) patients at the second biopsy (Table S2). Two patients with T790M at initial biopsy no longer had T790M at rebiopsy. At the second biopsy, T790M was detected in 14/35 (40.0%) patients who had tumors harboring only Del19 initially, compared with 2/10 (20.0%) patients with tumors harboring only L858R initially and 1/6 (16.7%) patients with L858R compound

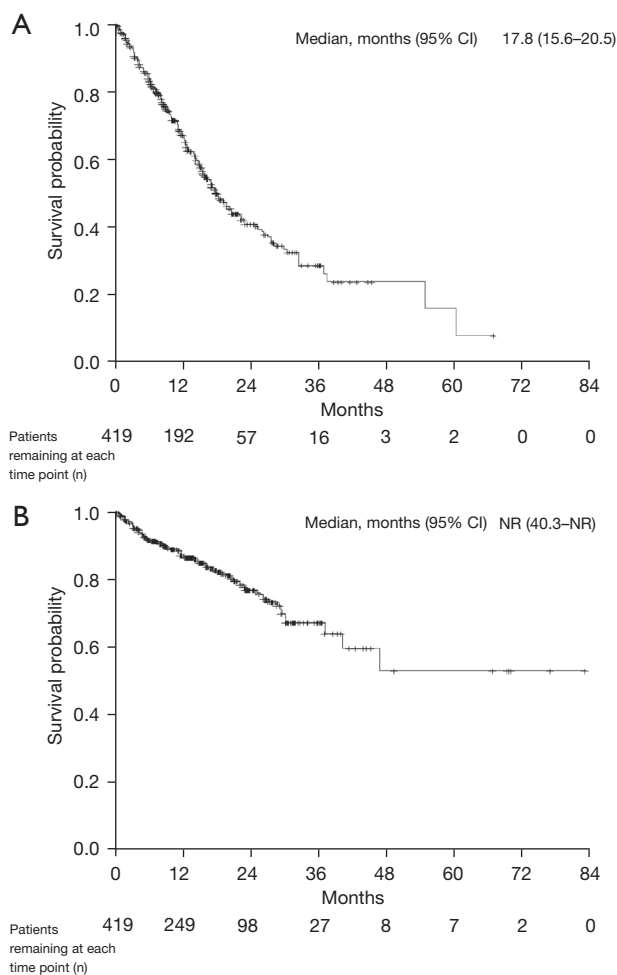


Figure 2 Kaplan-Meier curves of TTD (A) and OS (B) (n=419). CI, confidence interval; NR, not reached; TTD, time-to-treatment discontinuation; OS, overall survival.

mutations at initial biopsy.

Subsequent treatment and outcomes

In total, 138 patients received second-line therapy after progression on afatinib (Table S3). The most common subsequent treatment was pemetrexed [65 patients (47.1%)], followed by osimertinib [21 patients (15.2%)] and gemcitabine [16 patients (11.6%)].

Median OS was 30.5 months (95% CI: 27.5-NR) in patients who received afatinib followed by cytotoxic chemotherapy (n=90); the 3-year survival rate was 49.1%. For patients who received sequential afatinib and osimertinib, median OS was 37.7 months (95% CI: 23.4-47.6), median TTD was 32.0 months (95% CI: 14.6-49.4),

Table 3 TTD and OS in the overall population and in patient subgroups based on baseline brain metastases, dose modifications, and EGFR mutation(s) at initial biopsy

| Efficacy | All evaluable patients (n=419) | Baseline brain metastases ^a | | Dose modification | | EGFR mutation ^b | | | | | |
|-----------------------------|--------------------------------|--|------------------|-------------------|------------------|----------------------------|------------------|------------------|------------------|----------------|------------------|
| | | Yes (n=168) | No (n=246) | Yes (n=253) | No (n=166) | Del19 (n=252) | No Del19 (n=163) | L858R (n=129) | No L858R (n=286) | T790M (n=7) | No T790M (n=408) |
| Median TTD, months (95% CI) | 17.8 (15.6-20.5) | 14.8 (12.5-16.9) | 22.9 (18.7-32.5) | 22.2 (18.2-29.7) | 14.2 (12.5-17.0) | 20.5 (16.9-27.4) | 15.4 (12.4-18.7) | 15.4 (12.4-18.7) | 19.6 (16.9-27.3) | 8.1 (2.3-32.4) | 18.2 (16.0-21.9) |
| P value | - | 0.001 | 0.0004 | 0.0004 | 0.0086 | 0.0166 | 0.0174 | | | | |
| Median OS, months (95% CI) | NR (40.3-NR) | 40.3 (27.1-40.3) | NR (46.9-NR) | NR (NR-NR) | 40.3 (27.1-NR) | NR | NR | NR | NR | NR | NR |
| P value | - | 0.0009 | 0.0117 | 0.0117 | - | - | - | - | - | - | - |

^a, n=414 evaluable patients; ^b, n=415 evaluable patients. CI, confidence interval; EGFR, epidermal growth factor receptor; NR, not reached; OS, overall survival; TTD, time-to-treatment discontinuation;

Table 4 Most common TRAEs (occurring in ≥ 3 patients overall) and AEs leading to dose modification in the overall population (n=422)

| TRAE, n (%) | Any grade | Grade ≥ 3 | Leading to dose modification |
|--------------------------|------------|----------------|------------------------------|
| Diarrhea | 132 (31.3) | 36 (8.5) | 127 (30.1) |
| Rash | 97 (23.0) | 34 (8.1) | 94 (22.3) |
| Paronychia | 63 (14.9) | 10 (2.4) | 63 (14.9) |
| Mucosal inflammation | 48 (11.4) | 10 (2.4) | 48 (11.4) |
| Stomatitis | 15 (3.6) | 0 (0) | 15 (3.6) |
| Pruritus | 12 (2.8) | 0 (0) | 12 (2.8) |
| Drug-induced pneumonitis | 6 (1.4) | 4 (0.9) | 2 (0.5) |
| Folliculitis | 6 (1.4) | 3 (0.7) | 6 (1.4) |
| Decreased appetite | 6 (1.4) | 1 (0.2) | 6 (1.4) |
| Dry skin | 6 (1.4) | 0 (0) | 6 (1.4) |
| Acne | 5 (1.2) | 2 (0.5) | 5 (1.2) |
| Vomiting | 4 (0.9) | 3 (0.7) | 2 (0.5) |
| Nausea | 3 (0.7) | 2 (0.5) | 2 (0.5) |
| Acute kidney injury | 3 (0.7) | 1 (0.2) | 3 (0.7) |
| Pneumonia | 3 (0.7) | 2 (0.5) | 3 (0.7) |
| Asthenia | 3 (0.7) | 0 (0) | 3 (0.7) |

TRAE, treatment-related adverse event; AEs, adverse events.

and the 3-year survival was rate was 72.7%.

Discussion

Real-world studies are increasingly recognized by regulatory bodies as being important for monitoring the safety and effectiveness of approved agents in routine clinical practice and to guide approval decisions of new treatments (16). In this regard, the results of the current study provide valuable insight into the clinical characteristics and treatment outcomes in patients with *EGFR*^{m+} NSCLC treated with first-line afatinib, adding to previous real-world experience of afatinib use in Korean patients (18,19).

In this analysis of the KATRD *EGFR* cohort, patient and clinical characteristics were comparable to those previously reported in patients receiving afatinib in a real-world setting in South Korea (18,19). Patient subgroups included those that are generally excluded from randomized clinical trials, such as those with brain metastases or with tumors harboring uncommon *EGFR* mutations.

Afatinib showed encouraging efficacy in this broad patient population. Response rates were in line with those observed with first-line afatinib in the pivotal LUX-

Lung trials (63% in the KATRD cohort *vs.* 69% in LUX-Lung 3, 67% in LUX-Lung 6, and 70% in LUX-Lung 7) (9-11). Moreover, although not directly comparable due to differences in the study designs, patient populations, and statistical methods, median TTD (17.8 months) compared favorably with the median PFS values of 11.1, 11.0, and 11.0 months observed in LUX-Lung 3, 6, and 7, respectively (9,10,20), and was similar to median PFS times, of 19.1 and 17.0 months, observed in previous real-world studies in Korean patients with *EGFR*^{m+} NSCLC (18,19). OS data were also particularly impressive in the KATRD *EGFR* cohort; median OS was NR after a median follow-up of 15.6 months, and 3- and 5-year OS rates were 67.2% and 52.9%.

In addition to tumors harboring mutations in exons 19 and 21 (including Del19 and L858R mutations), afatinib demonstrated clinical activity against mutations in exon 18, which is consistent with preclinical and clinical evidence that such mutations are sensitive to second-generation *EGFR* TKIs (12,21). This finding is also notable as exon 18 mutations are often present in compound mutations (22). Indeed, afatinib showed evidence of activity against compound mutations, including an ORR of 100%

in patients with tumors harboring mutations in both exon 18 and exon 21, although there were only a small number of patients in this subgroup (n=4).

Compared with other *EGFR* mutation types, patients with tumors harboring mutations in exon 20 had a lower ORR (26.7%). This group included patients with *de novo* T790M mutations (with an ORR of 25%), for whom a shorter TTD was also observed. This is in line with previous findings across the LUX-Lung 2, 3 and 6 trials that clinical benefit with afatinib was lower in patients with T790M mutations (14). Afatinib showed activity against the major uncommon mutation S768I, consistent with previous data (14). Afatinib also showed activity in two patients with tumors harboring exon 20 insertions. Although a post hoc analysis of the LUX-Lung 2, 3, and 6 trials suggested only very limited activity of afatinib against exon 20 insertions (14), the more sensitive variants may not have been highly represented in these trials. *EGFR* exon 20 mutations are structurally and functionally heterogeneous, with such differences having implications for their responses to *EGFR* TKIs and, accordingly, exon 20 mutations should not be considered as a single entity. For example, insertions proximal to codon 769 are predicted to retain sensitivity to *EGFR* TKIs based on *in silico* modeling (23). Furthermore, preclinical data (12,24), together with accumulating real-world evidence (25-27), suggest that afatinib could be clinically active against some specific types of *EGFR* exon 20 insertions.

Additional findings support the use of afatinib as a treatment option for advanced NSCLC in patients with uncommon *EGFR* mutations. In this patient population, afatinib is potentially more effective versus first-generation TKIs (28). In *EGFR* TKI-naïve NSCLC patients (n=315) with uncommon *EGFR* mutations, treatment with afatinib was associated with a median time to treatment failure (TTF) of 10.8 months (95% CI: 8.1–16.6) and an ORR of 60.0% among those with ‘major’ uncommon mutations (G719X, L861Q and S768I, with or without any other mutation except T790M or an exon 20 insertion) (29). For patients with compound mutations in this database analysis, median TTF was 14.7 months (95% CI: 6.8–18.5) and ORR was 77.1% (29). In a recent prospective non-interventional study in NSCLC patients with *EGFR* mutations who received first-line afatinib, median PFS was 10.7 months and ORR was 83.3% in those with uncommon *EGFR* mutations; similar results were reported in those with brain metastases (30).

The finding that TTD was significantly longer for

patients with Del19-positive versus Del19-negative tumors but not for those with L858R-positive versus L858R-negative tumors corroborates previous reports that patients with NSCLC harboring Del19 mutations have consistently shown better outcomes with *EGFR* TKIs than those with L858R-positive tumors (31-33). Although it has been proposed that this may reflect higher rates of *de novo* T790M mutations in L858R-positive tumors (34), we observed a similar prevalence of T790M in patients with Del19- and L858R-positive tumors at initial (pretreatment) biopsy.

Patients with brain metastases showed a decrease in both TTD and OS compared with those without brain metastases, as expected for this group with a generally poor prognosis (15). Nevertheless, at 14.8 and 40.3 months, respectively, TTD and OS were encouraging in patients with brain metastases. Similar results were also observed in 60 patients with baseline brain metastases who received first-line afatinib as their only treatment (median TTD 14.2 months; median OS 40.3 months). Of note, in the LUX-Lung 3, 6 and 7 studies, median PFS with afatinib was numerically shorter in patients with versus without baseline brain metastases, although the magnitude of PFS improvement compared with chemotherapy or gefitinib was similar regardless of the presence of baseline brain metastases (11,35).

One limitation of this study is that intracranial responses to afatinib were not formally evaluated; therefore, it is not possible to draw any definitive conclusions of the effectiveness of afatinib on existing brain metastases. Nonetheless, our finding that 122 patients with baseline brain metastases no longer had brain metastases after afatinib treatment suggests an intracranial response to afatinib and provides evidence of CNS activity. This is consistent with preclinical evidence that afatinib crosses the blood-brain barrier at concentrations sufficient to be pharmacologically active, as well as previous clinical evidence of the activity of afatinib against existing brain metastases (15). Afatinib may also offer protection against CNS progression. In a competing risk analysis of LUX-Lung 3 and 6, *de novo* CNS progression was observed in only 5% of patients after 24 months, compared with a non-CNS progression rate of 71% (15). Overall, these data suggest that, despite the generally poorer prognosis of patients with brain metastases, afatinib shows clinical activity in this patient population.

Afatinib demonstrated a manageable safety profile, consistent with that observed in clinical trials (9-11) and

in the real-world setting (36). The most common TRAEs were diarrhea and rash, and no new safety signals were identified. Data from clinical trials and the real-world setting demonstrate that afatinib-related AEs, including diarrhea and rash, can be managed effectively with tolerability-guided dose adjustment, and, in the majority of cases, dose-reduction and/or supportive care treatments enable patients to continue treatment for as long as they derive clinical benefit (36-38). In the current study, 61% of patients received dose modifications, mainly due to AEs; this is higher than the rate of afatinib dose reductions in LUX-Lung 3, 6 and 7 (9-11), but is in line with the rate observed among patients who started on 40 mg afatinib in the real-world study, RealGiDo (36). These differences may be attributed to increasing awareness among physicians regarding the importance of tolerability-guided dose adjustment in managing afatinib-related AEs, but may also reflect the overall poorer health of patients treated in the real-world practice compared with those enrolled in clinical trials. Consistent with previous results from clinical trials and real-world data (36-38), our analysis showed that efficacy was not adversely impacted by afatinib dose adjustment. In our analysis, TTD and OS were significantly improved in patients with versus those without afatinib dose modifications (Table 3), although it may be that patients who required dose adjustments were receiving afatinib for a longer period of time than those not requiring afatinib dose modifications.

Unfortunately, acquired resistance to EGFR TKIs is almost inevitable, regardless of which TKI is given in first line (39). For first- and second-generation EGFR TKIs, resistance arises predominantly due to the 'gatekeeper' T790M mutation, identified in approximately 50-70% of tumors upon progression (40-42), albeit possibly affecting a slightly lower proportion of afatinib-treated patients than those treated with first-generation TKIs (43). In the current study, among the 57 patients with available data on *EGFR* mutation status at both initial and rebiopsy, T790M was detected in 31.6% (n=18) of patients at second biopsy. This is lower than the rates reported in several previous studies, although detection rates can vary greatly depending on the type of samples and mutation test used, with the highest rates having been observed with sensitive plasma-based assays (40). Of note, most of the re-biopsies (50/57) for patients in the KATRD cohort employed tissue-based samples, which, despite being considered the gold standard for detection of T790M, can be confounded by heterogeneous presence of T790M in the tumor tissue,

meaning that mutations may be missed if tissue biopsy is taken from a single tumor region. Regardless of overall frequency, our finding that T790M was detected more often in patients with Del19-positive tumors than in those with L858R-positive disease is in agreement with reports that patients with NSCLC harboring Del19 mutations have a higher likelihood of acquiring T790M (44).

Subsequent treatment choice depends on a number of criteria. Identification of particular molecular aberrations can guide these decisions, highlighting the importance of testing upon progression. For patients who develop T790M resistance mutations following first-line afatinib, osimertinib, which has shown striking activity in patients with T790M-positive NSCLC after failure of first-line TKIs (45), would represent the optimal subsequent treatment option. Findings of a real-world observational study in NSCLC patients with *EGFR* mutations in Italy indicated that the vast majority of patients (114 of 120; 95.0%) who developed T790M mutations after first-line EGFR TKI treatment (erlotinib, gefitinib or afatinib) received targeted therapy with osimertinib (46). In the current study, the majority of patients went on to receive chemotherapy such as pemetrexed or gemcitabine, while only 15.2% received subsequent osimertinib. Although this may partly reflect the relatively low rate of T790M mutations detected in this study, it must also be considered that osimertinib was not approved in second-line *EGFR*^{m+} NSCLC in South Korea until May 2016, and patients in the KATRD cohort started on first-line afatinib between April 2007 and December 2018. Therefore, the availability of osimertinib will have been limited at the time that many of these patients went on to receive second-line treatment. Of note, in our study, median OS was longer for patients who received osimertinib after discontinuing afatinib than for those who received second-line chemotherapy (37.7 vs. 30.5 months). These results are consistent with data from the observational GioTag study which assessed real-world outcomes among patients with T790M NSCLC who received sequential afatinib and osimertinib (median OS 37.6 months) (47).

Osimertinib is now also established as a first-line treatment option for *EGFR*^{m+} (Del19 or L858R) NSCLC, having demonstrated a significant PFS and OS benefit versus a first-generation EGFR TKI (erlotinib/gefitinib) in the phase III FLAURA trial (48). However, osimertinib did not definitively confirm OS benefit in the Asian subgroup. In addition, mechanisms of resistance to osimertinib are more heterogeneous than for first- and second-generation

TKIs, meaning that there are no clear targeted treatment options following first-line osimertinib, and most patients will receive chemotherapy in second line. There is an argument, therefore, that rather than using osimertinib up front, sequential treatment with afatinib followed by osimertinib may be a better treatment strategy in order to maximize TTD with EGFR TKIs and delay the need for chemotherapy. The use of combination regimens, such as erlotinib plus either ramucirumab or bevacizumab, has also provided encouraging PFS results as a first-line treatment option (49,50). Also of interest are findings from a retrospective cohort study in Japan, which showed a statistically significant survival advantage for first-line treatment with afatinib versus osimertinib among a subgroup of NSCLC patients without brain metastases who had *EGFR* L858R mutations (51).

Our study has several limitations in addition to the retrospective study design and the aforementioned lack of formal evaluation of intracranial responses to afatinib. Although the study was conducted over a period of >11 years, the median follow-up period of 15.6 months was relatively short in comparison. Moreover, the data cut-off was in early April 2019 with the last patient included in December 2018, resulting in a minimal follow-up period of only a few months. The Kaplan-Meier curves of TTD and OS (Figure 2) also show that many patients were censored in the first 24 months. Additionally, the study was limited by the high number of patients for whom the method of *EGFR* mutation detection at initial biopsy was not recorded (66 of 422 patients; 15.6%) and by the high proportion of patients who discontinued afatinib and were lost to follow-up (30 of 273 patients; 11.0%). Arguably, the latter group of patients could have been excluded from the retrospective analysis. The rate of re-biopsy and resistance testing following progression on afatinib in this study was low (T790M mutation detected in 18 of 57 patients at re-biopsy; 31.6%), as was the use of osimertinib as second-line therapy after progression on afatinib (21 of 138 patients; 15.2%). In contrast, a more recent retrospective analysis conducted in Korea identified a much higher number of patients (n=126) who received osimertinib as a second-line treatment and presented T790M mutation after afatinib (52).

Conclusions

Overall, the KATRD *EGFR* cohort provides extensive details of diagnosis, treatment and outcomes in Korean

patients with *EGFRm*⁺ receiving first-line afatinib in a real-world setting. While the results should be considered in the context of the retrospective nature of the trial, afatinib was shown to provide clinical benefit, and was well tolerated, with no new safety signals. Efficacy was encouraging in the overall cohort and across patient subgroups, including those with baseline brain metastases and those with uncommon *EGFR* mutations. Management of AEs with afatinib dose reductions facilitated a long TTD, thus prolonging the chemotherapy-free period for many patients.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the guidelines of the Helsinki Declaration (as revised in 2013). The study was approved by the institutional ethics review boards of: Korea University Guro Hospital (2018GR0013), Asan Medical Center (2018-0012), Yonsei University Gangnam Severance Hospital (3-2020-0003), Konkuk University Medical Center (KUH1010909), Catholic University Seoul St. Mary's Hospital (KC20RCDI0129), Wonkwang University Hospital (WKUH-201606-HR-058), Inha University Hospital Institutional Review Board (2020-01-016), Chungnam National University Institutional Review Board (CNUH 2020-02-022-006), Kyungpook National University Chilgok Hospital Institutional Review Board (KNUCH 2020-01-010), Hallym University Sacred Heart Hospital Institutional Review Board (HALLYM 2020-07-041), Chonnam National University Hwasun Hospital Institutional Review Board (CNUHH-2017-179), Daegu Catholic University Medical Center Institutional Review Board (CR-18-097), Institutional Review Board of Severance Hospital (4-2019-1214), Pusan National University Yangsan Hospital Institutional Review Board (05-2020-006), and Kosin University Gospel Hospital Institutional Review Board (KUGH 2017-11-030). Individual consent for this retrospective analysis was waived.

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Supplementary

Table S1 Treatment outcomes and objective response rate in patients with *de novo* T790M mutation (n=8)

| Response | Mutation type | | | | | Total |
|----------|----------------|---------------|-----------------------|-------|---------------|-------|
| | Exon19 + T790M | L858R + T790M | L858R + T790M + other | T790M | G719X + T790M | |
| CR | 1 | 0 | 0 | 0 | 0 | 1 |
| PR | 0 | 1 | 0 | 0 | 0 | 1 |
| SD | 2 | 0 | 1 | 0 | 2 | 5 |
| PD | 0 | 0 | 0 | 1 | 0 | 1 |
| | | | | | ORR | 25% |

CR, complete response; PR, partial response; SD, stable disease; PD, progressive disease; ORR, overall response rate.

Table S2 *EGFR* mutation types identified at initial biopsy and rebiopsy, among patients with repeated biopsies (n=57)

| <i>EGFR</i> mutation(s) detected at second biopsy, n | <i>EGFR</i> mutation(s) detected at initial biopsy, n | | | | | | | | | Total |
|--|---|-------|-----------------|-------|-----------------|--------------------------|-----------------|-------|---------|-------|
| | Wild type | Del19 | Del19 and T790M | L858R | L858R and T790M | L858R and other mutation | T790M and G719X | Other | Unknown | |
| Wild type | 0 | 3 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 4 |
| Del19 | 0 | 18 | 1 | 0 | 0 | 0 | 0 | 0 | 1 | 20 |
| Del19 and T790M | 0 | 13 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 13 |
| L858R | 0 | 0 | 0 | 7 | 1 | 3 | 0 | 0 | 1 | 12 |
| L858R and T790M | 0 | 0 | 0 | 2 | 0 | 1 | 0 | 0 | 0 | 3 |
| L858R and other mutation | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 2 |
| T790M | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 |
| T790M and G719X | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 1 |
| Other | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |
| Total | 0 | 35 | 1 | 10 | 1 | 6 | 1 | 1 | 2 | 57 |

EGFR, epidermal growth factor receptor.

Table S3 Subsequent treatments after progression on first-line afatinib (n=138)

| Treatment | Patients, n (%) |
|-------------|-----------------|
| Pemetrexed | 65 (47.1) |
| Osimertinib | 21 (15.2) |
| Gemcitabine | 16 (11.6) |
| Cisplatin | 7 (5.1) |
| Olmotinib | 6 (4.3) |
| Gefitinib | 4 (2.9) |
| Afatinib | 3 (2.2) |
| Nivolumab | 2 (1.4) |
| Etoposide | 1 (0.7) |
| Crizotinib | 1 (0.7) |
| Other | 12 (8.7) |