

Multi-omics consensus portfolio to refine the classification of lung adenocarcinoma with prognostic stratification, tumor microenvironment, and unique sensitivity to first-line therapies

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Background: Molecular classification of lung adenocarcinoma (LUAD) based on transcriptomic features has been widely studied. The complementarity of data obtained from multilayer molecular biology could help the LUAD classification via combining multi-omics information.

Methods: We successfully divided samples from the The Cancer Genome Atlas (TCGA) (n=437) into four subtypes (CS1, CS2, CS3 and CS4) by 10 comprehensive multi-omics clustering methods in the "movics" R package. Meanwhile, external validation sets from different sequencing technologies proved the robustness of the grouping model. The relationship between subtypes, prognosis, molecular features, tumor microenvironment and response to first-line therapy was further analyzed. Next we used univariate Cox regression analysis and Lasso regression analysis to explore the application of biomarkers in clinical prognosis and constructed a prognostic model.

Results: CS1 showed the worst overall survival (OS) among all four clusters, possibly related to its poor immune infiltration, higher tumor mutation and worse chromosomal stability. Patients in different subtypes differed significantly in cancer stem cell characteristics, activation of cancer-related pathways, sensitivity to chemotherapy and immunotherapy. The prognostic model showed good predictive performance. The 1-, 2- and 3-year areas under the curve of risk score were 0.779, 0.742 and 0.678, respectively. Seven genes (*DKK1*, *TSPAN7*, *ID1*, *DLGAP5*, *HHIPL2*, *CD40* and *SEMA3C*) used to build the model may be potential therapeutic targets for LUAD.

Conclusions: Four LUAD subtypes with different molecular characteristics and clinical implications were identified successfully through bioinformatic analysis. Our results may contribute to precision medicine and inform the development of rational clinical strategies for targeted and immune therapies.

Keywords: Lung cancer, multi-omics profiles; precision medicine; prognosis model; tumor microenvironment

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Introduction

Lung cancer is currently the second most common cancer in the world in terms of new cases and the number one cause of cancer death. In 2020, approximately 2.2 million new cases of lung cancer are diagnosed worldwide (1). The prognosis of lung cancer is relatively poor, with 5-year survival rates ranging from 4% to 17%, depending on the stage of the disease at the time of diagnosis (2). Lung cancer is a heterogeneous disease (3,4), and includes several subtypes with pathological and clinical relevance. Lung cancer is generally classified into two major groups: small cell lung carcinoma (SCLC, 15% of cases) and non-small cell lung carcinoma (NSCLC, 85% of cases). Of these, lung adenocarcinoma (LUAD) is the most common histological type, accounting for nearly 60% of NSCLCs, and is characterized by genomic instability and a highly pathogenic mutational burden (5).

Molecular analysis helps classify LUAD into multiple molecular subtypes to guide prognosis prediction and treatment selection more precisely. The understanding of LUAD biology has been significantly improved by multiple molecular classifications of various gene expression profiles

Highlight box

Key findings

- Four lung adenocarcinoma subtypes were identified successfully through using a multi-omics approach.
- Four lung adenocarcinoma subtypes showed distinct molecular patterns in transcriptome expression, epigenetic methylation and somatic mutations.
- Seven genes (DKK1, TSPAN7, ID1, DLGAP5, HHIPL2, CD40 and SEMA3C) are closely related to prognosis and may be potential therapeutic targets.

What is known and what is new?

- It is known that classification of lung adenocarcinoma based on transcriptomic features has been extensively studied.
- In this study, we innovatively integrate multi-omics data to refine the classification of lung adenocarcinoma.

What is the implication, and what should change now?

- Application of multi-omics consistent approach will promote the development of precision medicine and clinical strategies.
- What we need to do is to further optimize multi-omics methods so they can be applied from bench to bedside.

at the transcriptome level. The development of malignant transformation requires molecular alterations at many levels. Single-level histological approaches are attempting to unravel the mechanisms of cancer development by interrogating entire genomic libraries, epigenomes, transcripts, proteins, microbiomes, and metabolites through increasingly affordable high-throughput technologies (6). However, there is no single molecule level approach to fully explain the complexity of this problem, such as the cancer genome or pinpointing oncogenic driving mechanisms. Therefore, a multi-omics signature-based classification scheme for LUAD has been proposed, which could potentially reveal the heterogeneity of LUAD. Recently, a multicomponent classification and integration model of LUAD by integrative histology was reported (7), revealing crosstalk features between different molecules, but only KEAP1/NFE2L2/CUL3 alterations in LUAD compromised the normal function of the antioxidant signaling pathway, which hardly explains the heterogeneity of LUAD. A computational machine learning prediction model (PReceur) developed based on genomic molecular features, compared with the TNM system, can better predict LUAD recurrence risk and guide clinical treatment (8). This revealed the promising applications of molecular classifications in the LUAD.

The ability to explain molecular complexity and variation at multiple levels such as genome, epigenome, transcriptome, proteome and metabolome enables us to better understand the occurrence and development of diseases. With the development of sequencing technology, biology increasingly relies on data generated at these levels, which are collectively referred to as "multi-omics" data (9). The Cancer Genome Atlas (TCGA) database has multiomics data of more than 400 LUAD simples. In this study we aimed to identify integration consistency in LUAD by generating consistent sets from classifications generated by multiple algorithms based on mRNA and lncRNA expression, epigenomic DNA methylation profiles and genomic mutations subtypes (IC) to better outline tumor heterogeneity and biological processes. We also constructed a prognostic model based on the marker genes of each subtype. We present the following article in accordance with the TRIPOD reporting checklist (available at https:// tlcr.amegroups.com/article/view/10.21037/tlcr-22-775/rc).

Methods

Multi-omics datasets

The molecular profile of TCGA-NSCLC dataset of TCGA-LUAD cohort was obtained by downloading from TCGA database, including 437 LUAD cases with complete transcriptome expression, somatic mutation, copy number change(CNA), DNA methylation and clinical information. The R package "TCGAbiolinks" is used to process raw sequencing data (10) GENCODE27 mapping was used to transform Ensemble IDs into gene symbols. DNA methylation profile was downloaded from the XENA database (https://xenabrowser.net/). Copy number segment data were downloaded from FireBrowse (http://firebrowse.org/). Somatic mutations and clinical information were downloaded from cBioPortal (https://www.cbioportal.org/). The clinical information is provided in website: https://cdn.amegroups.cn/static/public/tlcr-22-775-01.xlsx.

External transcriptomic datasets

External validation was performed using a microarray dataset with transcriptomic expression profiles and overall clinical outcomes. Lung tumors other than LUAD were not included in this study (e.g., large cell lung cancer, squamous lung cancer, etc.). The microarray dataset (GSE68465, n=442) sequenced by Affymetrix GeneChip was collected from the Gene Expression Omnibus (GEO) database (11). Information on the platform, corresponding sample sizes and clinical characteristics of the dataset are described in detail in website: https://cdn.amegroups.cn/static/public/ tlcr-22-775-02.xlsx. For the microarray data, median values were considered if multi-probe IDs were used to annotate gene symbols. The R package "sva" (12) in an empirical Bayesian framework eliminated potential crossover dataset batch effects and further investigated batch effects using principal component analysis.

Multi-omics integration and visualization

For integrated clustering, the TCGA-LUAD dataset was preprocessed to form four data matrices, where rows corresponded to features and columns corresponded to cases (n=437). We extracted probes located on the promoter CpG island and for genes with multiple probes mapping to their promoters, the beta median was applied to obtain the final genes. For the mutation information, the status of the mutant gene was defined as 1 while the wild-type gene was 0. For CNAs, we condensed the genomic fragments described in the literature (13). Features with flat values were removed to better fit the model and to improve clustering efficiency. Specifically, we selected the top 1,500 most variable mRNAs, lncRNAs, and methylated genes based on the absolute deviation from the median. In addition, genes with mutation rate >3% were selected for subtype analysis. Cluster partitioning of the multi-omics data was completed by the "movics" R package, which provides a unified interface to 10 state-of-the-art multi-omics clustering algorithms and normalizes the output of each (14). The 10 algorithms were cimlr, iclusterbayes, mocluster, coca, consensusclustering, intnmf, lracluster, Nemo, pinsplus, and SNF. Among many algorithms, these algorithms had been evaluated for performance in past researches (15). To find an optimal number of clusters, we calculated the clustering prediction index and gap statistics (14) with reference to the previous molecular subtype numbers of LUAD.

Bioinformatics analysis

We analyzed the mutations using the R package "maftools" and initially removed 100 markers (16). Mutation characteristics were also evaluated by the R package "deconstructSigs" (17). GISTIC2.0 detection and localization of recurrent focal somatic CNAs by genotype (https://www.genepattern.org/) with a copy number amplification/deletion threshold equal to ± 0.3 (q-value <0.05) (18). Individual scores of copy number altered genomes (FGA) in the TCGA-LUAD samples were computed from copy number fragment data:

R = copy number of segments/2 [1]

$$FGA = Br/B$$
^[2]

where FGA is the ratio of genomes with log2 (copy number) >0.3 to genomes analyzed for copy number *Br* denotes genomes with $|\log 2R| >0.3$ and *B* denotes the cardinality in all segments. Methylation analysis between tumor and normal tissue was performed using the R program package "ChAMP" (19); detection of hypermethylated promoters followed the following strict criteria: mean methylation $\beta >0.5$ in tumor samples with false discovery rate (FDR) <0.05 and mean methylation $\beta <0.2$ in adjacent normal samples. In addition to the microarray based predictive analysis (previously identified as TCGA-LUAD subtypes), the R package "consensesmibc" was performed for extensively identifying the individual consensus molecular subtypes (cms). The external cohort was used to verify

the robustness of subtype division by nearest template prediction (NTP) (20).

Calculation of microenvironmental cell abundance and enrichment pathways

To create a summary of the list of genes associated with specific microenvironmental cells, two gene markers [CIBERSORT (21) and MCPcounter (22)] needed to be modified. Because CIBERSORT does not contain signals associated with fibroblasts and endothelial cells, 40 genes (32 endothelial cell genes and 8 fibroblast genes) were added to our compendium, of which 364 genes represented 24 microenvironmental cell types. We then analyzed these gene sets using gene set variation analysis (GSVA) and generated enrichment scores for each cell using the R package "GSVA". The presence of infiltrating immune/ stromal cells in the tumor tissue was estimated by the R package "Estimation" (23). In addition, DNA methylation scores of tumor-infiltrating lymphocytes (MeTIL) in the TCGA-LUAD cohort were calculated separately according to the scheme outlined in the literature (24). Ten oncogenic pathways were enriched using the method of GSVA (25). Replication stress signals were enriched via single-sample gene set enrichment analysis (ssGSEA) (26).

Analysis of chemotherapy sensitivity

Chemosensitivity of LUAD was predicted using the R package pRRophetic (https://www.cancerrxgene.org/) using 727 human cancer cell lines as a training group; IC50 was estimated using ridge regression for each sample treated with a specific chemotherapeutic agent (lower IC50 indicated increased sensitivity to treatment) (27).

Exploration of immunotherapeutic response and stemness features

Tumor Immune Dysfunction and Exclusion (TIDE) uses a set of gene expression markers to evaluate mechanisms of the dysfunction of tumor-infiltrating cytotoxic T lymphocytes (CTLs) and the rejection of CTLs by immunosuppressive factors (28). The higher the TIDE score, the less effective the immune checkpoint blockade (ICB) will be. Potential ICB response was predicted with the TIDE algorithm. Data from The Cancer Immunome Atlas (TCIA) database (https://tcia.at/home) were used to predict the response to CTLA-4 and PD-1 immunotherapy. The occurrence of cancer is usually accompanied by gradual loss of differentiation phenotypes and the acquisition of progenitor and stem cell-like characteristics (29). We used a regression machine learning (OCLR) algorithm to calculate the stemness score of each sample for assessing the degree of oncogenic dedifferentiation (29).

Construction of the prognosis model

Biomarkers represent the molecular characteristics of each subtype to a certain extent. We wanted to deeply study the relationship between prognosis and classification and identify biomarkers related to prognosis to explore relevant clinical applications. In order to increase the accuracy of statistical analysis, cases from TCGA with missing OS values or OS values <30 days, missing clinical data (age, gender, stage and TNM classification) were excluded. The top 50 biomarkers significantly upregulated and downregulated in each subtype were used to construct the prognosis model. First, univariate Cox proportional hazard regression analysis was used to seek genes closely related to prognosis. Next, Lasso regression with 1,000 cycles of 10-fold cross-validation was applied for building the model with this formula:

$$Risk\ score = \sum_{k=1}^{n} coef\left(gene^{k}\right) * expr\left(gene^{k}\right)$$
[3]

where $coef(gene^k)$ is the short form of the coefficient of genes correlated with survival and expr $(gene^k)$ is the expression of genes. We divided the samples into low and high groups based on the median risk score. Overall, 1,000 times of random stimulation in each cycle was performed to avoid overfitting. We established a nomogram that can predict the 1-, 2-, and 3-year survival of LUAD patients. A correction curve according to the Hosmer-Lemeshow test was used to evaluate the accuracy of the nomogram. Meanwhile, immunohistochemical (IHC) results from the HPA database (https://www.proteinatlas.org/) were used to validate the protein level of these gene signatures in normal and tumor tissues.

Statistical analysis

All statistical tests were performed via R software (version:4.0.2), including the two-sample Mann-Whitney test, Fisher's exact test, log-rank test for Kaplan-Meier curves, and Cox proportional hazards regression for estimating hazard ratios (HRs) and 95% confidence intervals (CIs). The treatment effect of immune checkpoint inhibitors was measured by two non-proportional hazards

statistics, namely restricted mean survival and long-term survival extrapolation, using the R packages "survRM2" and "ComparisonSurv" (30). Most of these analytical procedures were embedded in the R package "MOVICS".

Ethical statement

The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

Results

Multi-omics integration of molecular isoforms of LUAD

Based on the two clustering statistics and final calculation results, we identified four optimal clusters (*Figure 1A,1B*), named CS1 (n=77), CS2 (n=146), CS3 (n=125) and CS4 (n=89). They showed distinct molecular patterns (*Figure 1C*). These classifications significantly correlated with age, sex, pathological stage (*Figure 1C*). Notably, CS1 showed the worst OS among the four clusters (*Figure 1D*). The detailed demographics and clinical information are in the Table S1.

Identification of genetic characteristics of subtypes

We found the highest mutation rate of *TP53* and *CSMD3* in CS1 and CS2, and the highest mutation rate of *KRAS* in CS3 and CS4 (*Figure 2A*). In our further investigation of the genomic heterogeneity of LUAD, we found that CS1 and CS2 showed a higher tumor mutation burden (TMB; *Figure 2B*) compared with the other subtypes. We then investigated chromosomal instability by calculating FGA scores and found that CS3 had better chromosomal stability than other isoforms, with significantly lower copy number loss or gain (all P<0.001; *Figure 2C*).

Prognostic value of subtype-specific signatures in the peripheral LUAD cohort

Given that transcriptome-level data are the most commonly used molecular profiles in cancer research, we identified 200 mRNAs with unique and significantly upregulated expression in the TCGA-LUAD cohort as classifiers for each subtype and generated them to individually predict LUAD subtypes identified in the external dataset. The upregulated biomarker heatmap and downregulated biomarker heatmap are shown in *Figure 2D*,2*E*. Each subtype of the validation set had many marker genes consistent with the TCGA cohort, which showed the robustness of the classification method (*Figure 3A*). NTP classified each sample in the external cohort as an already identified subtype (*Figure 3B*). Among all subtypes, CS1 had the worst prognosis (*Figure 3C*) and this was highly consistent with the results of the TCGA cohort.

Immune differences among LUAD subtypes

Combined with the immune difference analysis of TCGA cohort and validation set, we found that immune checkpoint-related genes such as *CTLA4* and *PDCD1LG2* were highly expressed in group CS1 and CS2, which suggested that patients in these subgroups may be more sensitive to the corresponding immunotherapy. CS2 and CS3 had a high immune infiltration environment. Immune-related biological processes were more active in these subgroups. The enrichment degree of endothelial cells and fibroblasts showed the opposite trend (*Figure 3D,3E*), which indicated a close interaction between these cell types.

Cancer-related pathway analysis and chemosensitivity

Biological processes of DNA damage response detection and transcription-coupled nucleotide excision repair were overactivated in CS1 (Figure 4A), which may explain why CS1 had high FGA and fraction of genome gain/loss (FGG/ FGL) values. Numerous immune-related processes, such as response to type I interferon, antigen processing and presentation and the Fcreceptor mediated stimulatory signaling pathway, were overactivated in CS2 (Figure 4A), which was consistent with the analysis of the immune environment. Cell cycle-related processes such as mitotic sister chromatid separation, chromosome segregation and regulation of chromosome separation were inhibited in CS3 (Figure 4B). Treatment targeting cell cycle disorders may be more effective in this subgroup. Many immunerelated processes were inhibited in Group CS4, which was again consistent with the preceding results. We evaluated the sensitivity of the four subtypes to commonly used chemotherapeutic drugs and they showed differing sensitivities (Figure 4C). GSVA enrichment analysis showed that each of the four subtypes had characteristic hallmark pathways (Figure 4D).

Immunotherapeutic response and stemness features

The TIDE scores of each subtype from high to low were



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Figure 1 Classification of LUAD based on multi-omics. (A) Evaluation of a number of multi-omics clusters. (B) Molecular clustering heatmap of the multi-omics analysis. (C) Comprehensive heatmap showing the detailed molecular landscape of four integrative consensus subtypes. (D) Kaplan-Meier curves of overall survival with log-rank test for 437 LUAD patients stratified by integrative consensus subtype. CS, cluster subtype; LA, lung adenocarcinoma (NOS); LAA, lung acinar adenocarcinoma; LAMS, lung adenocarcinoma mixed subtype; LBCM, lung bronchioloalveolar carcinoma mucinous; LBCN, lung bronchioloalveolar carcinoma; LAMS, lung micropapillary adenocarcinoma; LMucA, lung mucinous adenocarcinoma; LPA, lung papillary adenocarcinoma; LSRA, lung signet ring adenocarcinoma; MC, mucinous (colloid) carcinoma; TPM, transcripts per million; LUAD, lung adenocarcinoma; NOS, not otherwise specified.



Figure 2 Genome level analysis of four integrative consensus subtypes. (A) Waterfall plot showing the significant mutations. (B) Analysis of tumor mutation burden among subgroups. (C) Distribution of FGA and FGG/FGL. Bar charts are presented as mean ± standard error of the mean. (D) Upregulated biomarker heatmap for each subgroup. (E) Downregulated biomarker heatmap for each subgroup. ****, P<0.001. CS, cluster subtype; LA, lung adenocarcinoma (NOS); LAA, lung acinar adenocarcinoma; LAMS, lung adenocarcinoma mixed subtype; LBCM, lung bronchioloalveolar carcinoma mucinous; LBCN, lung bronchioloalveolar carcinoma; LAMS, lung adenocarcinoma; LAMS, lung adenocarcinoma; LAMS, lung papillary adenocarcinoma; LSPA, lung solid pattern predominant adenocarcinoma; LSRA, lung signet ring adenocarcinoma; MC, mucinous (colloid) carcinoma; FGA, fraction of genome altered; FGG/FGL, fraction of genome gain/loss; NOS, not otherwise specified.



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Figure 3 Validation of classification to reproduce four integrative consensus subtypes in external cohorts and immune microenvironment analysis. (A) Overlap between NTP and PAM showing the consistency and robustness of the classification. (B) NTP heatmap for GSE68465. (C) Kaplan-Meier curves of overall survival with the log-rank test for LUAD patients in the GSE68465 cohort stratified by integrative consensus subtypes. (D) Immune checkpoint expression and TME enrichment in TCGA cohort. (E) Immune checkpoint expression and TME enrichment in the GSE68465 cohort. CS, cluster subtype; NTP, nearest template prediction; PAM, point accepted mutation; LUAD, lung adenocarcinoma; TME, tumor microenvironment; TCGA, The Cancer Genome Atlas.

CS2, CS3, CS4 and CS1 (*Figure 5A*). Patients in CS1 might gain long-lasting clinical benefit from ICB. For CS1, CTLA-4 immunotherapy may have better therapeutic effect (*Figure 5B-5E*), which was also consistent with the immune analysis results. Combination PD-1 and CTLA-4 immunotherapy might be more effective treatment for

patients in CS2 (*Figure 5D*). Each subtype had distinct differences in stemness features (*Figure 5F*). CS3 had the highest stemness score, which suggested that these tumor cells could be more invasive, making metastasis to distant tissues easier. Anti-metastasis therapy should be considered for patients in CS3.



Figure 4 Enrichment analysis and chemosensitivity. GO enrichment analysis showing the upregulated pathways (A) and the downregulated pathways (B). (C) IC_{50} of common chemotherapy drugs. (D) GSVA showing the hallmark pathways. CS, cluster subtype; LA, lung adenocarcinoma (NOS); LAA, lung acinar adenocarcinoma; LAMS, lung adenocarcinoma mixed subtype; LBCM, lung bronchioloalveolar carcinoma mucinous; LBCN, lung bronchioloalveolar carcinoma nonmucinous; LCCA, lung clear cell adenocarcinoma; LMipA, lung micropapillary adenocarcinoma; LMucA, lung mucinous adenocarcinoma; LPA, lung papillary adenocarcinoma; LSPPA, lung solid pattern predominant adenocarcinoma; LSRA, lung signet ring adenocarcinoma; MC, mucinous (colloid) carcinoma; GO, Gene Ontology; GSVA, Gene Set Variation Analysis; NOS, not otherwise specified.

Construction of the prognosis model

We further explored the relationship between marker genes and disease prognosis. According to univariate Cox regression analysis, a total of 99 genes were associated with disease risk (*Figure 6A*). Among them, 7 (*DKK1*, *TSPAN7*, *ID1*, *DLGAP5*, *HHIPL2*, *CD40* and *SEMA3C*) were most suitable for constructing a prognostic model when the firstrank value of log(λ) was the minimum likelihood of deviance (*Figure 6B*, *6C*). Principal component analysis showed that the model could clearly divide the samples into high- and

low-risk groups (Figure 6D).

The risk score was calculated with this formula:

risk score = exp (*DKK1*) × 0.223536825351734+exp (*TSPAN7*) × (-0.238742797324981)+exp (*ID1*) × 0.215841381833051+exp (*DLGAP5*) × 0.182602422124916+exp (*HHIPL2*) × 0.116091089482409+exp (*CD40*) × (-0.366461553946006)+exp (*SEMA3C*) × 0.180363936710061. There were significant differences in survival time between the two groups in both the training set and test set (*Figure 7A*). The expressions of the 7 genes also showed great differences between the two groups (*Figure 7B*). Univariate Cox and multivariate



Figure 5 Immunotherapeutic response and stemness features. (A) Distribution of patients' immune response scores in the different subtypes. (B) Distribution of patients' immunotherapeutic responses to CTLA-4 positive and PD-1 negative in the different subtypes. (C) Distribution of patients' immunotherapeutic responses to CTLA-4 negative and PD-1 positive in the different subtypes. (D) Distribution of patients' immunotherapeutic responses to CTLA-4 negative and PD-1 positive in the different subtypes. (E) Distribution of patients' immunotherapeutic responses to CTLA-4 positive and PD-1 positive in the different subtypes. (E) Distribution of patients' immunotherapeutic responses to CTLA-4 positive in the different subtypes. (E) Distribution of patients' immunotherapeutic responses to CTLA-4 negative and PD-1 positive in the different subtypes. (E) Distribution of patients' stemness scores in the different subtypes. TIDE, tumor immune dysfunction and exclusion.

Cox regression analyses showed that the risk score could be an independent prognostic factor (*Figure 7C*, 7D). In this model, the 1-year area under the curve (AUC) of the risk score was 0.779, and the AUCs of age, gender and stage were 0.502, 0.589 and 0.655 respectively (*Figure 8A*). The 1-, 2- and 3-year AUCs of the risk score were 0.779, 0.742 and 0.678 (*Figure 8B*). The model had a stable effect on predicting the prognosis of patients with different clinical characteristics (*Figure 8C-8H*). This model also had good performance in the validation test set (*Figure 8I,8f*). From the IHC results, we found that the protein expressions of DLGAP5 and ID1 were higher in tumor tissues while CD40 was lower in tumor tissues than in normal tissues (Figure S1). This was consistent with the prognosis formula.

We built a nomogram to predict the 1-, 3- and 5-year survival probability for patients (*Figure 9A*). A calibration plot was then used to evaluate the accuracy of the nomogram (*Figure 9B*). The results showed that the

nomogram could accurately predict. Additionally, KEGG signal pathways and immune microenvironment were different between the two groups (*Figure 9C-9F*). The information may help us carry out better personalized treatment against LUAD.

Discussion

To refine the classification of LUAD and clinically relevant biomarkers, we performed a comprehensive and consistent integrated analysis of LUAD in a multi-omics framework and defined four subtypes that provide new ideas for stratifying patients for first-line treatment. We developed and validated a single-sample 200-gene signature to refine the classification of LUAD, and notably, we further constructed a 7-gene base classifier capable of distinguishing patients with poor prognosis from those with traditional base class LUAD. Gene signatures were successfully



Figure 6 Construction of the prognostic model. (A) Univariate Cox regression analysis of prognosis. (B) Lasso coefficient profile of selected genes. (C) The 10-fold cross-validation for variable selection in the LASSO model. (D) Principal component analysis for the prognostic model. PC, principal component.



Figure 7 Visualization and analysis of the prognosis model. (A) Kaplan-Meier analysis of the overall survival in this model based on risk scores of the entire, training, and test sets, respectively. (B) Heatmap of the 7 genes for the entire, training, and test sets, respectively. (C) Univariate Cox regression analysis of clinical factors and risk scores with overall survival. (D) Multivariate Cox regression analysis of clinical factors and risk scores with overall survival.

validated in the external cohort. In general, our results suggested that a more detailed classification of patients based on multi-omics was helpful to further study the pathological mechanism and clinical treatment. Although the current subtypes have different molecular patterns and multi-omics perspectives, we suggest the application of transcriptome-based markers or classifiers in the clinic, because expression profiles can directly reflect the biological processes of tumors and possible therapeutic options.

We attempted to analyze the differences between subtypes. The mutation rate of *TP53* and *CSMD3* was high in CS1. TP53 acts as a tumor suppressor in many tumor types. The antiproliferative effect of p53 protein in coping with multiple stress and in physiological processes such as aging makes it one of the main inducements of cell carcinogenesis (31). Studies have found that TP53 is closely related to poor prognosis in many cancers, such as breast cancer (32). TP53 deficiency can strengthen the proliferation and invasive activity of LUAD cells and promote angiogenesis and CD8⁺ T cell failure in the tumor microenvironment through G55-dependent secreted proteins (33). LUAD with TP53 mutations has significantly higher levels of antitumor immune characteristics (34). Additionally, cancers with TP53 mutations are more likely to have higher TMB and tumor aneuploidy level (TAL) (34), which is consistent with our analysis. *CSMD3* is involved in regulation of dendrite development. It is a common mutation gene that may be a potential therapeutic target in pulmonary carcinosarcomas (35). Metastasis of LUAD usually leads to poor prognosis. Compared with the primary



Figure 8 Validation of the prognosis model. (A) The 1-year ROC curves of risk scores and clinical characteristics. (B) The 1-, 2-, and 3-year ROC curves of the risk scores. (C-H) Kaplan-Meier analysis of the overall survival for sex, age, and stage. (I) The 1-, 2-, and 3-year ROC curves of the risk scores in the GSE68465 cohort. (J) Kaplan-Meier analysis of the overall survival for this model in the GSE68465 cohort. AUC, area under the curve; ROC, receiver operating characteristic.

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Figure 9 Nomogram and analysis of differences between the high- and low-risk groups. (A) Nomogram that included the risk score, and tumor stage predicts the probability of 1-, 3-, and 5-year overall survival. (B) Calibration curves for nomogram. (C) GSEA of significantly enriched pathways in the high-risk group. (D) Difference in the immune checkpoints between the two groups. (E) Difference in the immunocyte infiltration of the two groups. (F) Difference in the immune pathways of the two groups. *, P<0.05; **, P<0.01; ***, P<0.001. OS, overall survival; DCs, dendritic cells; aDCs, activated dendritic cells; iDCs, interdigitating dendritic cells; pDCs, plasmacytoid dendritic cells; Tfh, follicular helper T cells, TIL, tumor infiltrating lymphocytes; GSEA, Gene Set Enrichment Analysis.

LUAD, metastases usually show a significantly higher mutation burden and chromosomal instability (36). CS3 had better chromosomal stability and this may become one of the reasons for good prognosis.

Cancer associated fibroblasts (CAFs) are mesenchymal cells with heterogeneous phenotypes. They are the main sources of cytokines and extracellular matrix molecules, which can enhance the invasive activity of tumor cells, help build the vascular system and inhibit antitumor immunity (37). Studies have shown that co-implantation of CAFs and cancer cells can recruit endothelial progenitor cells through SDF-1, which accelerates tumor growth and enhances angiogenesis (38). CAFs also indirectly promote hypoxia in the tumor matrix, resulting in hypoxia-inducible factor (HIF)-1a and vascular endothelial growth factor (VEGF) production and promoting angiogenesis (39). Interestingly, we found that the infiltration abundances of endothelial cells and fibroblasts in the tumor microenvironment were opposite, which suggested a complex regulatory relationship between the two cell populations. Exploring the specific inhibitory mechanism between them may contribute to clinical treatment.

Patients in the high-risk group had lower immune infiltration than those in the low-risk group. High densities of tumor-infiltrating lymphocytes (TILs) are associated with good prognosis (40). Lower densities of TILs in our highrisk group confirmed this. Furthermore, we speculate that the prognosis of the two groups was related to cytokines in the tumor microenvironment. Cytokines play an important role in the pathological process of lung cancer (41). HIF participates in the VEGF pathway regulating angiogenesis (42). Tumor necrosis factor (TNF)- α can cooperate with natural killer cells and CD8⁺ T cells to activate the immune system against the tumor (43). In addition, TNF- α can activate different pathways to induce tumor cell apoptosis and inhibit tumor angiogenesis (44). Interferon gamma (IFN- γ), known as a cancer inhibitor, mediates signaling pathways such as JAK-STAT, PI3K-AKT, MAPK and NF- κ B (45). Transforming growth factor β (TGFβ) is the main immunosuppressive factor secreted by tumor cells and it inhibits the production of interleukin (IL)-2, IL-12 and IFN- γ (46). Many interleukins, such as IL-6, IL-17, IL-8, IL-10, IL-22, IL-1 β , and IL-18, are regarded as potential therapeutic targets for lung cancer (47). Inhibitor of DNA binding 1 (ID1) regulates a variety of cellular processes, including cellular growth, senescence, differentiation, apoptosis, angiogenesis, and neoplastic transformation. ID1, an adverse prognostic marker, is an effector of the p53dependent DNA damage response pathway (48).

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The 7 genes used to construct our model may be closely related to the prognosis of LUAD. Dickkopf-1 (DKK1) is an inhibitor of the Wnt/β-catenin signaling pathway. DKK1 may play a crucial role in the progression of NSCLC (49). Activation of DKK1 is associated with poor prognosis of esophageal cancer via the Dickkopf1-CKAP4 pathway and therefore, targeting CKAP4 may be an effective treatment (50). Tetraspanins are a transmembrane 4 superfamily (TM4SF) of proteins that participate in metastasis and invasion of tumor cells (51). TSPAN7 is a member of TM4SF and it has been found that TSPAN7 is a promising prognostic marker in clear-cell renal cell carcinoma (52). Overexpression of DLGAP5 has been found in many types of human cancers and silencing it could inhibit the proliferation of NSCLC cells (53). HHIPL2 is highly expressed in gastric cancer and its overexpression is associated with copy number gain (54). CD40 is essential for T cell-dependent immunoglobulin class switching, memory B cell development, and germinal center formation. CD40 agonist antibodies could enhance T cell infiltration and change the tumor microenvironment to improve the efficacy of chemotherapy and immunotherapy (55). Such therapy may be especially effective for patients in the highrisk group with low expression of CD40. Overexpression of SEMA3C correlates with an increase in cancer cell invasion and adhesion. In prostate cancer, it can active multiple growth factor receptor tyrosine kinase to drive cancer growth (56). A study also found that SEMA3C was associated with poor prognosis in cervical cancer because of the activation of the p-ERK pathway (57). Taking all these findings together, these 7 genes may be potential therapeutic targets for LUAD.

It was shown that immune infiltration played important role in the prognosis of LUAD so we attempted to explore the correlation between immune infiltration and these genes. The expression level of DKK1 was positively correlated with the infiltration level of myeloid derived suppressor cells (MDSCs) in 20 types of cancers and negatively correlated with CD8+ T cells in 4 of these 20 cancer types (58). Tumor vessels highly expressing TSPAN7 gene are associated with extensive infiltration of T cells and B cells and the occurrence of tertiary lymphoid structures (59). CD40 can authorize dendritic cells to promote anti-tumor T cell activation and reactive macrophages to destroy tumor matrix (55). Although no studies have reported the relationship between the remaining genes and immune infiltration, these genes may still affect tumor immune invasion through indirect biological processes.

We should also acknowledge that this analysis has some limitations. All results were based on bioinformatics analysis in this study. It was better to verify the constructed prognostic model by real world data. It takes a lot of time and research to transform the multi-omics technology in the laboratory into the common medical technology at the bedside. A major obstacle is the uneven maturity of the different histological approaches used for routine clinical applications (6). Therefore, in the future it will be necessary to combine these RNA-based findings with multiplex IHC to study the intrinsic alterations of tumor cells and their interaction with the tumor microenvironment that determines the therapeutic response. The accuracy of data classification depends on sample size, sample type, sample preparation, and omics data type. In addition, environmental parameters including laboratory conditions and the choice of experimental materials can also affect the results of multiomics studies (15). In order to improve the translation of multi-omics, the parameters of the latent variables should be

Conclusions

In a word, through a combined multi-omics approach, we successfully classified LUAD into 4 subtypes, which are closely related to prognosis, tumor microenvironmental features, molecular characteristics and first-line therapies. Our findings may help us better understand and explore the heterogeneity of LUAD and the corresponding pathological mechanism. We hope that this innovative approach for LUAD classification will further aid precision medicine and inform the development of rational clinical strategies for both targeted and immune therapy.

carefully determined and harmonized as much as possible.

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Footnote

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The study was conducted in accordance with the Declaration of Helsinki (as revised in 2013).

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Supplementary



Figure S1 Immunohistochemistry analysis of the protein expression of prognosis-related genes in the Human Protein Atlas database. (A) The protein expression of CD40 in the normal tissue. Antibody: CAB072868. Intensity: Moderate in macrophages (image available from https://www.proteinatlas.org/ENSG0000101017-CD40/tissue/lung#img). (B) The protein expression of CD40 in the tumor tissue. Antibody: CAB072868. Intensity: Negative (image available from https://www.proteinatlas.org/ENSG00000101017-CD40/pathology/ lung+cancer#img). (C) The protein expression of DLGAP5 in the normal tissue. Antibody: HPA005546. Intensity: Weak in alveolar cells; Moderate in macrophages (image available from https://www.proteinatlas.org/ENSG00000126787-DLGAP5/tissue/lung#img). (D) The protein expression of DLGAP5 in the tumor tissue. Antibody: HPA005546. Intensity: strong in tumor cells (image available from https:// www.proteinatlas.org/ENSG00000126787-DLGAP5/pathology/lung+cancer#img). (E) The protein expression of HHIPL2 in the normal tissue. Antibody: HPA059673. Intensity: Negative (image available from https://www.proteinatlas.org/ENSG00000143512-HHIPL2/ tissue/lung#img). (F) The protein expression of HHIPL2 in the tumor tissue. Antibody: HPA059673. Intensity: Negative (image available from https://www.proteinatlas.org/ENSG00000143512-HHIPL2/pathology/lung+cancer#img). (G) The protein expression of ID1 in the normal tissue. Antibody: CAB025915. Intensity: Moderate in alveolar cells; Moderate in macrophages (image available from https:// www.proteinatlas.org/ENSG00000125968-ID1/tissue/lung#img). (H) The protein expression of ID1 in the tumor tissue. Antibody: CAB025915. Intensity: Moderate in tumor cells (image available from https://www.proteinatlas.org/ENSG00000125968-ID1/pathology/ lung+cancer#img). (I) The protein expression of TSPAN7 in the normal tissue. Antibody: CAB068245. Intensity: Negative (image available from https://www.proteinatlas.org/ENSG00000156298-TSPAN7/tissue/lung#img). (J) The protein expression of TSPAN7 in the tumor tissue. Antibody: CAB068245. Intensity: Negative (image available from https://www.proteinatlas.org/ENSG00000156298-TSPAN7/ pathology/lung+cancer#img). Magnification, ×125.

| | Level | CS1 (N=77) | CS2 (N=146) | CS3 (N=125) | CS4 (N=89) |
|----------------------|--|----------------------|----------------------|----------------------|----------------------|
| Fustat (%) | 0 | 34 (44.2) | 93 (63.7) | 92 (73.6) | 60 (67.4) |
| | 1 | 43 (55.8) | 53 (36.3) | 33 (26.4) | 29 (32.6) |
| Futime, median [IQR] | | 624.00 | 654.00 | 629.00 | 626.00 |
| | | [336.00, 1072.00] | [408.75, 1026.25] | [435.00, 929.00] | [426.00, 1194.00] |
| Age, median [IQR] | | 63.00 [57.25, 72.00] | 62.00 [56.00, 72.00] | 69.00 [61.00, 75.00] | 65.00 [60.00, 71.00] |
| Gender (%) | Female | 34 (44.2) | 83 (56.8) | 86 (68.8) | 32 (36.0) |
| | Male | 43 (55.8) | 63 (43.2) | 39 (31.2) | 57 (64.0) |
| Race (%) | Asian | 0 (0.0) | 2 (1.5) | 3 (2.6) | 1 (1.3) |
| | Others | 10 (13.9) | 17 (12.8) | 11 (9.5) | 12 (15.4) |
| | White | 62 (86.1) | 114 (85.7) | 102 (87.9) | 65 (83.3) |
| Pack_years_smoked, | | 40.00 | 40.00 | 30.00 | 39.00 |
| median [IQR] | | [28.00, 51.25] | [25.00, 54.00] | [20.00, 43.00] | [20.75, 50.00] |
| Histology (%) | Lung acinar adenocarcinoma | 0 (0.0) | 3 (2.1) | 7 (5.6) | 8 (9.0) |
| | Lung adenocarcinoma (NOS) | 61 (79.2) | 103 (70.5) | 57 (45.6) | 44 (49.4) |
| | Lung adenocarcinoma mixed subtype | 11 (14.3) | 26 (17.8) | 28 (22.4) | 25 (28.1) |
| | Lung bronchioloalveolar carcinoma mucinous | 0 (0.0) | 0 (0.0) | 5 (4.0) | 0 (0.0) |
| | Lung bronchioloalveolar carcinoma nonmucinous | 0 (0.0) | 6 (4.1) | 11 (8.8) | 1 (1.1) |
| | Lung clear cell adenocarcinoma | 1 (1.3) | 0 (0.0) | 0 (0.0) | 0 (0.0) |
| | Lung micropapillary adenocarcinoma | 0 (0.0) | 1 (0.7) | 1 (0.8) | 0 (0.0) |
| | Lung mucinous adenocarcinoma | 0 (0.0) | 0 (0.0) | 2 (1.6) | 0 (0.0) |
| | Lung papillary adenocarcinoma | 0 (0.0) | 4 (2.7) | 9 (7.2) | 8 (9.0) |
| | Lung signet ring adenocarcinoma | 0 (0.0) | 0 (0.0) | 1 (0.8) | 0 (0.0) |
| | Lung solid pattern predominant adenocarcinoma | 3 (3.9) | 2 (1.4) | 0 (0.0) | 0 (0.0) |
| | Mucinous (colloid) carcinoma | 1 (1.3) | 1 (0.7) | 4 (3.2) | 3 (3.4) |
| Anatomy (%) | L-lower | 11 (14.7) | 16 (11.0) | 28 (22.4) | 14 (16.5) |
| | L-upper | 22 (29.3) | 40 (27.6) | 24 (19.2) | 15 (17.6) |
| | Other (please specify) | 1 (1.3) | 1 (0.7) | 2 (1.6) | 0 (0.0) |
| | R-lower | 12 (16.0) | 27 (18.6) | 24 (19.2) | 18 (21.2) |
| | R-middle | 1 (1.3) | 6 (4.1) | 6 (4.8) | 5 (5.9) |
| | R-upper | 28 (37.3) | 55 (37.9) | 41 (32.8) | 33 (38.8) |
| pStage (%) | Stage I | 37 (48.7) | 73 (50.7) | 82 (66.7) | 45 (50.6) |
| | Stage II | 15 (19.7) | 45 (31.2) | 24 (19.5) | 22 (24.7) |
| | Stage III | 18 (23.7) | 22 (15.3) | 13 (10.6) | 16 (18.0) |
| | Stage IV | 6 (7.9) | 4 (2.8) | 4 (3.3) | 6 (6.7) |
| EGFR (%) | Mutated | 7 (9.1) | 18 (12.3) | 32 (25.6) | 0 (0.0) |
| | Wild | 70 (90.9) | 128 (87.7) | 93 (74.4) | 89 (100.0) |
| KRAS (%) | Mutated | 12 (15.6) | 42 (28.8) | 33 (26.4) | 49 (55.1) |
| | Wild | 65 (84.4) | 104 (71.2) | 92 (73.6) | 40 (44.9) |

Table S1 Summarization of clinical features

IQR, interquartile range.