



# Challenges and considerations in the immunotherapy of DLL3-positive small-cell lung cancer using IL-18 armoured chimeric antigen receptor T-cells

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The development of chimeric antigen receptors (CARs) has undergone remarkable evolution. Starting from first-generation CARs, which lacked co-stimulatory signalling domains and exhibited limited efficacy due to inadequate signalling strength and durability, subsequent developments involved leveraging the intrinsic structural modularity of T-cell receptors (TCRs) and closely mimicking the mechanisms of T cell activation upon ligand binding. Second-generation (2G) CAR designs incorporated one co-stimulatory domain to augment and sustain T-cell activation, most notably CD28-CD3 $\zeta$  and 4-1BB-CD3 $\zeta$ . Building upon these advancements, third-generation CARs further refined this architecture by integrating multiple co-stimulatory domains (1). Given this extensive development, immunotherapy using CAR-engineered T-cells has achieved remarkable success in treating haematological malignancies. However, effectiveness in combating solid tumours such as small-cell lung cancer (SCLC) has been notably limited thus far (1). SCLC represents approximately 15% of lung cancers and is characterized by a rapid rate of proliferation, a strong propensity for early metastasis, and an unfavourable prognosis (2). In fact, SCLC has a notably elevated mortality rate compared to other prevalent solid tumours. Analysing data from the US Surveillance, Epidemiology, and End Results (SEER) registry spanning

from 1983 to 2012, while there was a slight enhancement in the 5-year survival rate, the median survival remained a mere 7 months (2).

Over the past decade, there has been a significant evolution in first-line treatment approaches for SCLC. Immunotherapies which amplify T-cell activity against cancer cells through the blockade of CTLA-4, PD-1, or PD-L1, have demonstrated positive effects in patients with SCLC (3,4). Moreover, a phase II clinical trial (ALTER 0303 trial: NCT02388919) has shown potential benefits of anlotinib, a multi-targeted tyrosine kinase inhibitor, in terms of progression-free survival and overall survival in patients with advanced SCLC (5).

However, despite the elevated tumour mutation burden observed in this tumour type, responsiveness to T-cell checkpoint blockade is restricted to approximately 10–12% of patients (4,6). The limited efficacy of immunotherapies against SCLC may be attributed to multiple mechanisms including lowered tumour cell surface expression of major histocompatibility complex (MHC) class I molecules (7), failure of antigen presentation and substantial heterogeneity within individual tumours (8,9).

Delta-like ligand 3 (DLL3) is an inhibitory Notch ligand which has emerged as an attractive tumour-specific target that is overexpressed on the cell surface of SCLC cells (10).

By contrast, expression in normal tissues is largely restricted to intracellular membranes, most notably the Golgi apparatus (1). Various strategies for targeting DLL3 are currently under investigation, both in the pre-clinical and clinical settings. These include the exploration of antibody-drug conjugates (ADCs), T-cell engager molecules, and CAR-based therapies. Ovalpituzumab tesirine (Rova-T) is an ADC targeting DLL3 and consists of the humanized anti-DLL3 monoclonal antibody SC16LD6.5 conjugated to a pyrrolobenzodiazepine (PBD) dimer toxin that induces DNA damage (8). The phase 3 MERU trial was designed to assess Rova-T as a first-line maintenance therapy for SCLC, but has been concluded prematurely. Disappointingly the trial revealed no survival benefit for patients treated with Rova-T compared to those receiving a placebo (11). A noteworthy advancement in bispecific T-cell engager (BiTE) technology, has led to the development of tarlatamab (AMG 757) (12). This molecule is designed to engage both T-cells and DLL3-expressing cancer cells simultaneously. In patients with relapsed/refractory (R/R) SCLC, it demonstrated manageable safety with encouraging response durability, both in a median duration of response at 12.3 months and in a median overall survival at 13.2 months (13). The positive clinical pharmacology profile of tarlatamab has provided support for the development of CAR-T therapy targeting DLL3 in SCLC. The first clinical report of CAR T-cell therapy targeting DLL3 involves a 4-1BB-containing 2G product known as AMG 119. In a report of five patients with R/R SCLC, AMG 119 has been demonstrated to be well tolerated at the doses tested, with no dose-limiting toxicities and at least one partial response achieved (14,15).

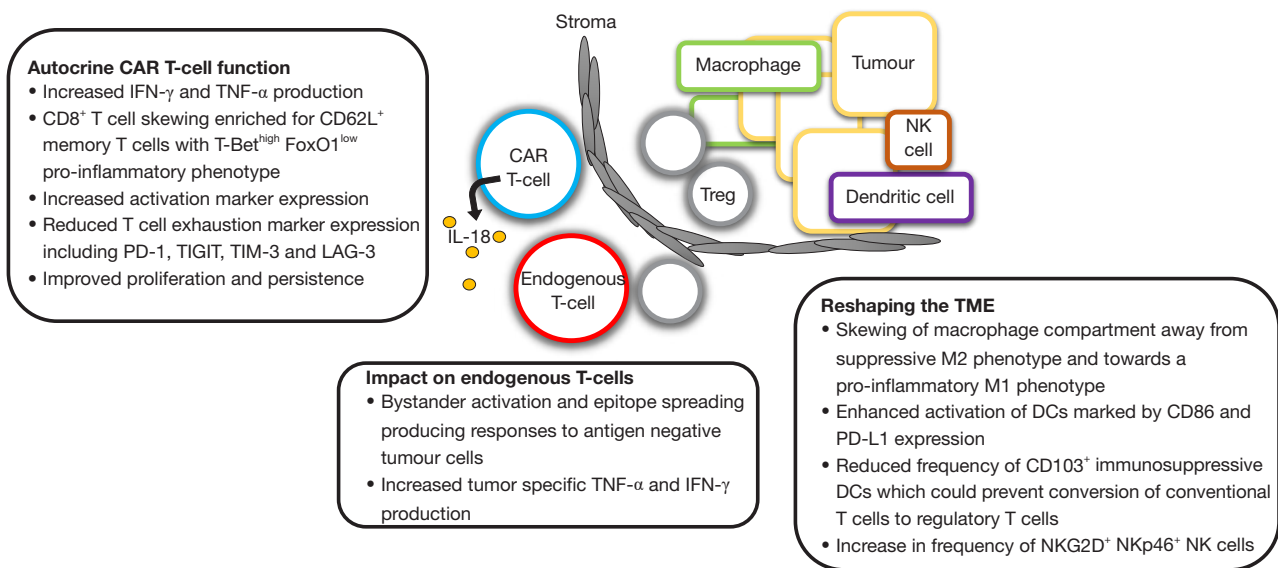
In principle, the efficacy of CAR T-cell immunotherapy of SCLC could be enhanced by implementing approaches that actively reshape the immunosuppressive tumour microenvironment (TME) (16). One such strategy entails the modification of CAR T-cells to release pro-inflammatory cytokines such as interleukin (IL)-12 or members of the IL-1 superfamily (17), including IL-18. These constructs are variously referred to as fourth-generation CARs, armoured CARs or TRUCKs (T-cell redirected for universal cytokine-mediated killing). Jaspers *et al.* have investigated this approach in the context of DLL3-specific CAR T-cell immunotherapy of SCLC (17).

IL-18 is synthesized as an inactive precursor known as pro-IL-18. Activation occurs when the N-terminal pro-peptide of pro-IL-18 is removed by caspase 1 cleavage. Mature IL-18 then binds to target cell receptors, initiating

signalling via MyD88. This pro-inflammatory cytokine acts as a powerful stimulus to both innate and adaptive immune responses. It enables the recruitment of tumour-infiltrating T-cells of both  $\alpha\beta$  and  $\gamma\delta$  subtypes (18), natural killer cells (19), dendritic cells (DCs) (19), and (anti-tumour) M1-polarized macrophages, while reducing pro-tumour regulatory T-cells and immunosuppressive M2-polarized macrophages (20).

Armouring CAR T-cell systems based on IL-18 generally involve the expression of the constitutively active form of this cytokine. This is achieved by replacing the IL-18 pro-peptide with a signal peptide, directing this protein for export via the secretory pathway. Armouring of CAR T-cells to release active IL-18 can reproducibly potentiate anti-tumour activity (20,21), even in the absence of lymphodepletion (22). This is accompanied by favourable modulation of the TME (17,20,22) and amplification of endogenous immune surveillance via epitope spreading (22) (*Figure 1*). On a note of caution however, biologically active IL-18 has been linked to a number of inflammatory pathologies (23). In keeping with this, CAR T-cells that constitutively release IL-18 have caused toxicity in immune-competent mouse models (21).

A particular strength of the study by Jaspers *et al.* is the evaluation of the IL-18 armouring technology in both human and mouse T-cells, respectively, leveraging the choice between CD28 and 4-1BB co-stimulatory domains. Individually, this provides clinical relevance and enables the study of the immunological consequences of the therapy. Having selected DLL3-specific CAR candidates with 4-1BB as a co-stimulatory domain to promote CAR-T cell persistence and enhance memory formation, they first set up an immune-competent mouse model of metastatic SCLC. In this context, non-armoured CAR T-cells achieved dose-dependent anti-tumour activity. Efficacy was further potentiated by pre-conditioning with cyclophosphamide, in keeping with the known importance of lymphodepleting chemotherapy in boosting CAR T-cell expansion *in vivo* (24). Next, they evaluated IL-12 or IL-18 armoured CAR T-cells in this model, omitting preconditioning chemotherapy and employing a modest dose of two million CAR T-cells to compare the efficacy of these approaches. Release of IL-18 by the CAR T-cells led to a sharp increase in serum levels of both interferon (IFN)- $\gamma$  and tumour necrosis factor (TNF)- $\alpha$  for 3 days after treatment, although it is unclear if any toxicity ensued. Only IL-18-secreting CAR T-cells were detected in the blood and effectively shrank SCLC tumours over a period of approximately 15 days, resulting



**Figure 1** Mechanisms that promote tumour clearance by IL-18 secreting CAR T-cells. CAR, chimeric antigen receptor; IFN, interferon; TNF, tumour necrosis factor; IL, interleukin; Treg, regulatory T cell; NK, natural killer; DC, dendritic cell.

in prolonged survival compared to the control or IL-12 armoured DLL3 CAR T-cell group.

IL-18 armoring significantly increased liver-infiltrating CAR T cells by four-fold on day 3, primarily CD8<sup>+</sup> cells, with enhanced activation shown by IFN- $\gamma$  and/or TNF- $\alpha$  expression. This effect was observed in both CAR T cells and to a lesser extent in CAR<sup>-</sup> bystander T-cells, demonstrating the presence of epitope spreading. Moreover, secretion of IL-18 by the CAR T-cells enhanced the migration of tumour-specific CD4<sup>+</sup> T-cells (on day 3) and CD8<sup>+</sup> T-cells (on days 3 and 6).

To potentiate the efficacy of this approach, tumour-bearing mice received cyclophosphamide conditioning 1 day before a low dose of  $0.5 \times 10^6$  armoured CAR T-cells. This led to a substantial increase in the anti-tumour response with some complete responses observed. Next, tumour re-challenge was performed in disease-free mice. Although complete responses were not achieved, investigators observed a delay in tumour outgrowth compared to treatment naïve mice. Importantly, when tumour re-challenge was undertaken with DLL3 knockout tumour cells, a small but significant delay in tumour outgrowth was also observed. Together, these data suggest that, not only had CAR T-cells persisted in the mice, but that bystander CAR<sup>-</sup> T-cells had also acquired anti-tumour activity. As previously reported (22), such epitope spreading is an important effect of IL-18-armoured CAR T-cells since it

facilitates responses against tumour cells that do not express or have downregulated the CAR target.

Next, the authors focussed on the myeloid compartment within the SCLC TME. Analysis of CAR T-cell-treated mice revealed that IL-18 armoring had reprogrammed myeloid cells in the liver, steering them toward a more pro-inflammatory phenotype. Specifically, they found increased number of CD11b<sup>+</sup> Gr1<sup>-</sup> macrophages and CD11c<sup>+</sup> MHC-II<sup>+</sup> DCs at that location. Furthermore, there was a decrease in the anti-inflammatory “M2-like” macrophages with a CD206<sup>+</sup> MHC-II<sup>lo</sup> phenotype. They also demonstrated elevated expression on both macrophages and DCs of the activation marker, CD86, consistent with localized activation of antigen-presenting cells in the liver.

Investigators next switched species to evaluate human IL-18 armoured CAR T-cells. In this context, they replaced the 4-1BB co-stimulatory domain with CD28 to prioritize robust initial activation and proliferation of CAR T-cells in a xenograft mouse model. As expected, IFN- $\gamma$  production by these cells was increased and CAR T-cell proliferation was further enhanced when IL-18 armoured CAR T-cells were cultured with DLL3<sup>+</sup> target cells. When tested in a range of SCLC xenograft models, IL-18-armoured CAR T-cells consistently demonstrated enhanced anti-tumour activity when compared to non-armoured counterparts. This was accompanied by increased CAR T-cell number at the site of disease, with CD8<sup>+</sup> T cell skewing, enhanced

**Table 1** Ongoing CAR T-cell clinical trials directed against DLL3 (<https://www.clinicaltrials.gov/>, assessed 20<sup>th</sup> November 2023)

Disease	Sponsor	Notes	Identifier
SCLC	Tianjin Medical University Cancer Institute and Hospital	Multicentre phase I dose escalation study of CAR-NK cells	NCT05507593
SCLC	Legend Biotech USA	Multicentre phase I CAR T-cell study	NCT05680922
SCLC	Amgen	Single centre phase I CAR T-cell study: AMG 119. Listed as suspended on November 20 <sup>th</sup> , 2023	NCT03392064

CAR, chimeric antigen receptor; DLL3, delta-like ligand 3; SCLC, small-cell lung cancer; NK, natural killer.

activation, maintained memory marker expression and reduced exhaustion. To further enhance therapeutic efficacy, the investigators capitalized on the elevated levels of PD-L1 on tumour cells induced by IL-18. They combined a suboptimal dose of  $0.3 \times 10^6$  IL-18-armoured CAR T-cells with an anti-PD-1 antibody infusion twice weekly in xenograft SCLC models, resulting in a further improvement in disease control.

Moreover, the persistent expression of DLL3 on tumour cells following treatment could suggest the possibility that repeated CAR T-cell infusion perhaps combined with PD-L1 blockade could benefit patients with residual disease or those experiencing relapse after initial CAR T-cell therapy. Combined DLL3 CAR T-cell therapy with PD-L1 blockade is further supported by the fact that anti-PD-L1 therapy has been approved for use in SCLC. However, careful consideration should be given to additional immune escape mechanisms, for example pertaining to the TME, or additional changes in tumour antigenic profile over time.

In conclusion, DLL3 is emerging as a highly attractive target for CAR T-cell immunotherapy of SCLC and the study of Jaspers *et al.* reinforces the tractability of this target. Ongoing CAR T-cell clinical trials directed against this target are listed in *Table 1*. It should also be noted that Novartis have recently licensed DLL3-specific CARs from Legend Biotech, signalling the involvement of large pharma in their future development (<https://www.fiercebitech.com/biotech/novartis-pays-legend-100m-upfront-give-solid-tumor-car-t-t-charge-treatment>, accessed November 20<sup>th</sup>, 2023).

Armouring strategies involving IL-18 appear to be more promising than predecessors based on IL-12, owing to greater safety while maintaining multifaceted beneficial actions on host cells, the TME and endogenous immune surveillance. Although there are conceptual safety concerns arising from autocrine IL-18 stimulation of CAR T-cells, these have not yet materialised in clinical studies involving

this technology (25). Nonetheless, development of technologies that incorporate inducible IL-18 production or which restrict activity of this pro-inflammatory cytokine to the TME could provide an additional safety margin for this approach, allowing for more aggressive dosing regimens (20).

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