



A scoping review of utilisation of the EQ-5D questionnaire to evaluate health-related quality of life following a diagnosis of head and neck cancer

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Abstract: The importance of health-related quality of life (QOL) following diagnosis and treatment of head and neck cancer (HNC) is increasingly recognised. Among the QOL questionnaires available for use the EuroQol 5 Dimensions (EQ-5D) generic QOL index is widely accepted in the literature, but the format, extent of use and reporting of the EQ-5D varies substantially, limiting comparisons across studies and patient cohorts. This scoping review aimed to establish how EQ-5D has been utilised within HNC research and suggest standards for reporting the results of this QOL index. The electronic databases Medline via Ovid, Embase, PsycINFO, CINAHL and HANDLE-on-QOL were searched using MESH terms and keywords (last updated 27/12/21). Studies must have been published in English language and included participants who completed an EQ-5D questionnaire to assess QOL or calculate health utility values following diagnosis of HNC. A comparison to reference populations or sequential EQ-5D questionnaires administered over time was not required for study inclusion but has been explored. Outcomes of included studies may have included EQ-5D or derivative data such as health utility values, although studies which did not report EQ-5D data have been included to aid exploration of current utilisation in research. Case reports, case series and review articles were excluded. Abstract screening and data extraction was undertaken independently by two authors. Results were synthesised by tabulation using a pre-formulated data report form. Fifty-four articles met inclusion criteria and were analysed. Nine studies were randomised controlled trials and 26 were cross-sectional studies. EQ-5D data was frequently utilised to calculate utility/index values. Nineteen studies published EQ-5D results at domain level, while 23 published EQ-5D visual analogue scores (VAS). Nine studies included EORTC QLQ-C30 data. There was large variation in reporting of EQ-5D results. Standardised reporting criteria should be developed. We suggest as a minimum that both VAS and utility values are presented, as these reflect complementary but distinct outcomes. Researchers should consider the feasibility of including HRQOL as an outcome in intervention research in HNC as its importance to holistic patient care is increasingly supported by clinical research.

Keywords: Head and neck cancer (HNC); quality of life (QOL); EQ-5D; EuroQol; questionnaire; oral cancer

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Introduction

Approximately 12,200 patients are diagnosed with head and neck cancer (HNC) in the United Kingdom annually (1). The survival rate of HNC has increased and is currently reported to be 50–60% (1). Treatment of HNC is associated with significant morbidity (2), particularly due to the critical functional and social roles of the face and surrounding structures. The implications of HNC on health-related quality of life (HRQOL) are widely recognised (2,3). As survivorship is increasing, patients are living longer with the impacts of HNC and its treatment, and so a significant emphasis on HRQOL in HNC research in recent years has emerged (2-5).

HRQOL is a multidimensional concept that broadly evaluates positive and negative aspects of a patient's life. It incorporates not only physical and emotional facets, but includes key domains such as cultural, spiritual and financial well-being (5). It is an important outcome set following diagnosis and treatment of HNC. Indeed, from a patient perspective, HRQOL may be a more important factor in treatment decision-making than survival (3,6).

A variety of patient-reported outcome (PRO) tools have been utilised to determine the effect of treatments on patients' HRQOL and guide clinicians regarding the future management and provision of services. The National Health Service (NHS) published a Long Term Plan for Cancer in 2019 (7), which aims to support the development of a world-leading cancer service. An important factor in this planning is a Nationally recognised Cancer Quality of Life Metric (QOL Metric) (8). After extensive pilot work, in December 2020 this QOL Metric was deployed in breast, prostate and colorectal cancer patients in England. From July 2021, this QOL Metric has been used to evaluate other cancers, including a 10% sample of HNC. As the QOL Metric applies to all cancers, two well-established HRQOL instruments are being used; a cancer-specific EORTC quality of life questionnaire (EORTC-QLQ-C30) and a non-disease-specific quality of life questionnaire: EuroQol 5 Dimensions (EQ-5D). The focus of this review is how the EQ-5D has been used and how these data are reported in the HNC literature.

The EQ-5D is a validated HRQOL tool which was first developed between 1987 and 1990. The EQ-5D covers five dimensions of QOL; mobility, self-care, usual activities, pain/discomfort and anxiety/depression, and is available in over 200 languages. There are three versions of EQ-5D including EQ-5D-3L and EQ-5D-Y (both with three

levels of QOL severity) and EQ-5D-5L (with five levels of QOL severity). An EQ-5D visual analogue scale (VAS) is also a key component, which allows patients to express a quantitative measure of their overall self-perceived health status.

The scores selected for each domain in both the EQ-5D 3L and 5L questionnaires can be converted to numerical values by first coding answers between 1 and 5 for each domain. The corresponding codes (e.g., 11111) can produce up to 243 unique health state vectors for the EQ-5D 3L questionnaire and 3,125 unique vectors for the EQ-5D 5L questionnaire. Procedures for calculating health utility values and reference population values for 28 countries are available through the EuroQol website. This methodology is critical for calculation of economic outcomes such as Quality Adjusted Life Years (QALYs).

The aim of this review is to explore how researchers are utilising EQ-5D including what data is reported, serial measurements over time, comparison to reference populations and calculation of health utility values and derivative health economic outcomes. Finally, studies comparing HRQOL outcomes from this questionnaire with other established HRQOL questionnaires (in particular, EORTC-QLQ-C30) will be assessed. This review will help frame the findings of the national QoL Metric in HNC and allow for the opportunity to reflect on how the data could best be utilised to inform HNC research and patient care. This review does not examine specific HRQOL findings in detail, as these are well-reported within the literature (9). We present this article in accordance with the PRISMA-ScR reporting checklist (available at <https://fomm.amegroups.com/article/view/10.21037/fomm-21-91/rc>) (10).

Methods

An electronic search was undertaken on the electronic databases (last updated 27/12/21): Medline via Ovid, Embase, PsycINFO and CINAHL, using a combination of MESH terms and keywords. For full details of the search strategy, see Appendix. Additionally, the HANDLE-on-QOL database was searched for studies under the categories "EQ-5D" and "Euroqol EQ-5D". After initial deduplication of search results, title and abstract screening was undertaken in duplicate, with any uncertainty resolved by discussion among the full author team. The full texts for remaining papers, including supplementary information and appendices, where applicable, were then examined

by two authors independently to determine the final list of included studies. Subsequently, two authors (EW and LT) independently undertook data extraction using a preformulated data extraction form. Any conflicts were discussed with a third author (JT) who arbitrated. Where data were incompletely reported or clarity was needed regarding a study, the corresponding author was contacted.

Studies were included if they evaluated HRQOL, self-perceived health status (by VAS) or calculated health utility values using any form of the EQ-5D questionnaire in HNC patients, or if a subgroup of HNC patients was clearly identified and reported within the study. Study designs eligible for inclusion were: randomised clinical trials, quasi-experimental studies, cohort, case-control, other longitudinal studies, cross-sectional studies or economic evaluations. Case series and case reports, as well as all review articles were excluded. We also excluded studies if they included a HNC subgroup but did not report HRQOL results for this group individually. Only papers published in English language were included.

Results

A total of 54 unique studies were included. We identified no studies published in languages other than English. There were 3 studies for which more than one report was found (5,11-15). In these instances, EQ-5D data were extracted from the study with the most readily available information, or the first published report where identical data was available. Of the 54 included studies, 20 were identified both on the Handle-on-QOL database and through other electronic searches, 24 were identified through electronic database searching only, and 7 were identified on the Handle-on-QOL database only. A further study was identified from reference list searching, and 2 more through personal communication with known experts in the field. A summary of search results, screening and selection of studies is outlined in *Figure 1*.

Nine studies were randomised controlled trials, of which 5 compared different chemotherapy regimens. Eight studies employed a cohort design, with 1 further study using a case-control design. There were 7 longitudinal (uncontrolled) observational studies, and 3 economic evaluations. The most frequently employed study design was cross-sectional (26 studies). The most common countries for studies were: USA (n=11), UK (n=6), The Netherlands (n=6) and Canada (n=5). In addition, 8 studies were multinational. There was 1 study from Africa, based in Egypt, and no studies from

the South American continent.

Sample sizes ranged from 25 to 54,492 in the included studies and were dependent on study design and the specific population included. The mean sample size across all studies was 1,321 (SD =7,674), while the median sample size was 172 (IQR, 84–329); indicating that most studies had a relatively small sample size, with some notable outliers. The characteristics of included studies are summarised in *Table 1*.

Similarly, study populations varied considerably. Most studies included patients treated with curative intent and disease free at the time of inclusion. However, 3 chemotherapy trials specified that patients should have recurrent, metastatic disease deemed untreatable by primary surgery/radiotherapy. Of note, the worst self-perceived health status (VAS scores) was seen in Maximiano, where 27% of patients receiving chemotherapy and 14% of radiotherapy patients were palliative (34). The next lowest VAS scores were seen in Plaschke, who included patients with incurable disease (35); then Singh (57) and Singh (58), whose inclusion criteria specified patients with recurrent/metastatic disease, of stage III or IV. In all 3 studies, chemotherapy was the primary treatment of choice. The EQ-5D index values were typically lowest in studies where patients were treated by primary radio/chemotherapy [e.g., Jones—mean utility value 0.565 in Cetuximab arm (20); Singh—mean utility value 0.57 (57)]. The worst health states occurred in the immediate post-treatment period, and then recovered to baseline values or higher in the following months where repeat measures were shown [e.g., Pottel—mean utility value 0.66 at baseline, 0.42 at 1 month post-treatment, recovering to 0.66 at and 5 months. Note, subsequent drop in utility value is due to mortality over the study observation period, not reduction in QOL (21)]. A number of additional factors were identified for increased risk of reduced QOL, such as sex, age >65, tumour stage, anatomical site and comorbidities, but these were not consistent across studies. Only 4 studies specifically measured EQ-5D at least 18 months post-diagnosis for the whole sample (20-23).

At domain-level, data were reported in 13 studies for EQ-5D 3L, with 6 studies reporting the EQ-5D-5L at domain-level (*Tables 2,3*). In addition, 22 studies reported EQ-5D VAS scores (*Table 4*). Most frequently, the EQ-5D was used to calculate health utility values (23 studies, *Table 5*). Reporting practice varied considerably among studies. Partial domain data were reported in 2 studies, while mean scores were reported in a further 2 studies. In 3 studies, domains were grouped to form dichotomous

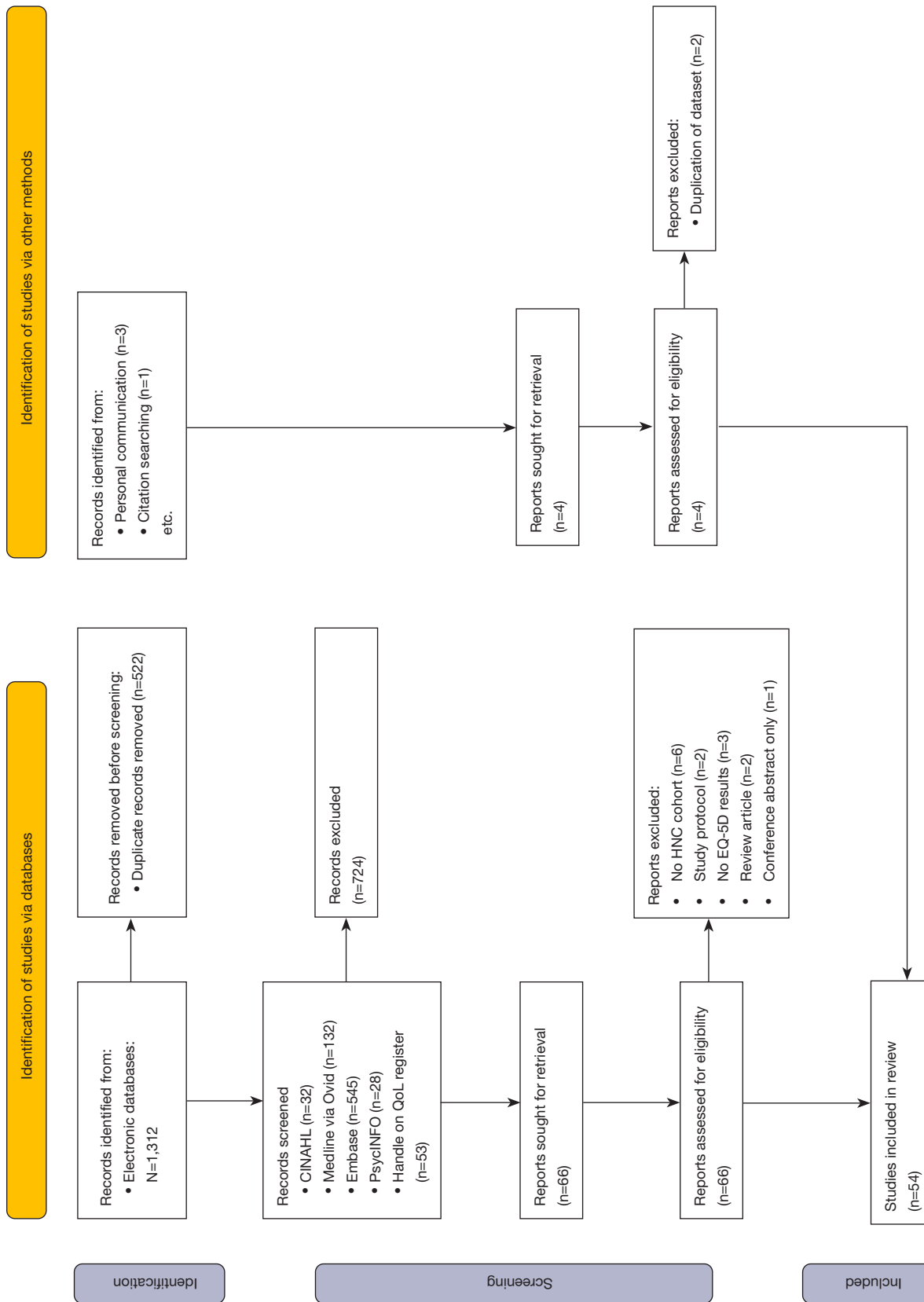


Figure 1 PRISMA diagram for identification/selection of studies. QoL, quality of life; HNC, head and neck cancer; EQ-5D, EuroQol 5 Dimensions.

Table 1 Characteristics of included studies

Author and year of publication	Study design	Participants and setting	Key study outcomes and effect size (95% CI: unless otherwise specified)	EQ-5D questionnaire used and related factors	Key HRQOL findings
Interventional studies					
Del Barco Morillo, 2016 (16)	Phase 2 non-randomised, open label, controlled trial	40 patients with confirmed metastatic and/or recurrent SCCHNC deemed untreatable by surgery or radiotherapy. 12 centres across Spain. Recruited between March 2011 to May 2012	Panitumumab and paclitaxel combination treatment showed a disease control rate of 75%. HRQOL was preserved and there was a favourable safety profile. Progression-free survival was 7.5 months (95% CI: 4.9–8.3). Median overall survival 9.9 months (95% CI: 8.0–16.3)	EQ-5D-3L index score and VAS performed at baseline and every 8 weeks—not reported in full	EQ-5D VAS self-perceived health scores remained stable at all follow up visits (median baseline score 60, subsequent median scores ranged from 57–67). EQ-5D index also stable (median 0.7 at baseline, ranged from 0.6–0.8 at follow ups)
Harrington, 2017 (12); Cocks, 2019 (11)	Non-blinded two arm parallel group randomized controlled trial	361 patients diagnosed with HNC recurrent or metastatic squamous cell carcinoma who progressed within 6 months of platinum-based chemotherapy. 66 sites within 15 countries. Recruited between May 2014 to July 2015	Nivolumab delayed the time to deterioration of HRQOL outcomes compared to single-agent therapy. Nivolumab stabilised symptoms and functioning compared to the control group	EQ-5D-3L at baseline, week 9 and then every 6 weeks during treatment. Post treatment questionnaires at 35 and 80 days. EORTC-QLQ-C30 and EORTC-QLQ-H&N35 were also completed at the same time intervals	No clear trends in EQ-5D data for either group. Significantly larger drop in EORTC-QLQ C30 scores for control group vs. Nivolumab (≥ 10 points) in 8/15 domains. Similar findings for EORTC-QLQ H&N35. EQ-5D VAS significantly better in nivolumab arm
Harrington, 2021 (17)	Two arm parallel group randomized controlled trial	469 patients diagnosed with recurrence or metastatic HNC squamous cell carcinoma. 97 centers across 20 countries. Recruited between December 2014 to May 2016	HRQOL was stable in patients given pembrolizumab but declined within the control group after week 15 of treatment. Function and symptoms remained similar. Median Time to deterioration in global health scores 4.8 months for intervention, 2.8 months for control (HR 0.79, 95% CI: 0.59 to 1.05) at week 15, QoL scores stable for pembrolizumab (LSM 0.39, 95% CI: –3 to 3.78), worse for control (LSM –5.86, 95% CI: –9.68 to –2.04), LSM difference 6.25 points (95% CI: 1.32 to 11.18)	EQ-5D-3L at baseline, week 3, 6 and 9, and every 6 weeks after up to 1 year (51 weeks total), and at 30 days post treatment. ED-5D-3L not fully reported within the article. EORTC QLQ-C30 and EORTC QLQ-H&N35 questionnaires were also completed at the same time periods and reported	HRQOL was stable in patients given pembrolizumab but declined within the control group after week 15 of treatment. Function and symptoms remained similar. Median time to deterioration in global health scores 4.8 months for intervention, 2.8 months for control (HR 0.79, 95% CI: 0.59 to 1.05) at week 15, QoL scores stable for pembrolizumab but worsened for control—least-squared mean difference 6.25 points (95% CI: 1.32 to 11.18)
Jansen, 2021 (18)	Unblinded randomised controlled trial	92 patients within 5 years of total laryngectomy surgery. Five HNC centers, The Netherlands. Recruited between June 2015 to January 2018	In Tune without Cords (a self-help exercise programme) may be an effective manner of maintaining HRQOL, however is more costly than not-utilising a self-help exercise programme	EQ-5D-3L measured at baseline, 3 and 6 months follow up. EORTC QLU-C10D and SWAL-QOL were measured at the same time periods	Mean total costs €1,719 (SD 3,011) for control vs. €1,089 (SD 1,622) for intervention (P=0.22). MD –€685 for intervention, +0.06 QALYs (P<0.05). EQ5D utility values at baseline 0.76 (SD 0.26) in control vs. 0.85 (SD 0.17) in intervention. Assuming €20,000/QALY, adjusted probability of intervention being cost effective =57–64%
Jones, 2020 (19)	Phase 3 open-label randomised controlled trial	334 patients diagnosed with HPV-positive oropharyngeal SCC. 32 centers internationally (1 in Ireland, 1 in The Netherlands and 30 within the UK). Recruited between November 2012 to October 2016	Primary outcomes: 2-year survival 97.5% for cisplatin vs. 90% for cetuximab (HR 3.268, 95% CI: 1.451–7.359); 2-year recurrence rate 6.4% vs. 16% (HR 2.6, 95% CI: 1.38–5.15). Cisplatin chemoradiotherapy provided more QALYs and less costly than cetuximab bio-radiotherapy	EQ-5D-5L at baseline, end of treatment, 3, 6, 12 and 24 months post treatment. Secondary analysis of De-ESCALaTe HPV trial HRQOL data. EQ-5D-5L utility index scores reported only, no further details	No significant difference for EQ-5D utility value between intervention and control arms. Utility values decreased at end of treatment then recovered to at least BL values by 12 months follow-up
Mehanna, 2016 (20)	Unblinded randomised controlled trial	564 HNC SCC patients aged over 18 years with stage 2–3 nodal disease who were eligible for chemoradiotherapy and selective neck dissection. 43 hospitals (37 centres) across the UK. Recruited between 2007 and 2012	PET CT surveillance resulted in fewer neck dissections and saves money. Surgical complication rates were comparable between the two groups	EQ-5D (type unknown) at baseline, 2 weeks, 6 months, 12 months and 24 months. EQ-5D used to calculate QALY. Domains and VAS not reported. EORTC-QLQ-C30 and EORTC-QLQ-H&N35 data not reported	QALY is increased at 6 months for patients undergoing PET CT surveillance compared to selective neck dissection. This increase became insignificant at 24 months. Over a 2-year period PET CT surveillance was more cost efficient than selective neck dissection. Over total duration of trial, PET CT surveillance saved £1,492 per person
Rogers, 2020 (5); Rogers, 2021 (13)	Cluster preference randomised controlled trial	288 patients attending Multidisciplinary Team meetings following head and neck cancer diagnosis. Two centres: Leeds Teaching Hospitals NHS Trust and Aintree NHS Hospital, UK. Recruitment between April 2017 and October 2019	The use of the PCI increased consultation times by a mean of 1 minute (95% CI: from mean was –0.7 to +2.2 min). QoL was significantly improved in the PCI group	EQ-5D-5L at baseline. EQ-5D-5L TTO crosswalk values and VAS, all fully reported. UW-QOL v4 questionnaire and the Distress Thermometer used and fully reported	31% of patients had overall HRQOL that was less than good, whereas 69% had HRQOL that was good or better. The UWQOL revealed salivation, pain, fear of recurrence and taste to be the most dysfunctional items in relation to HRQOL
Sayed, 2019 (21)	Randomised controlled trial	60 HNC SCC patients aged over 18 years eligible for radiotherapy with blood results within certain ranges and not taking anticoagulants or had recent cerebral or retinal haemorrhages. 1 hospital in Egypt. Recruited between May 2015 and March 2018	Intervention reduced incidence of severe (Gr 3/4) dysphagia/OM from 36.7% in control group to 3.3% in intervention group [dysphagia OR 16.22 (95% CI: 1.8–143.6), OM OR 18/86 (2.05–173.73)]. Median onsets of dysphagia 3 weeks/4 weeks in intervention/control groups. Median onsets of oral mucositis 5.5/6 weeks in intervention/control groups. Side-effect-related hospital admission reduced from 30% to 6.7% Median (IQR) duration of dysphagia 1.5 weeks (0–3.25) in intervention group; 5.5 (0–10) in control group. Median (IQR) duration of OM 0 w (0–2) in intervention group, 5 weeks (0–8.25) in control group. No impact on locoregional control	EQ-5D-3L at baseline and 3 months. EQ-5D-3L VAS and utility index reported only	EQ5D index & VAS scores were significantly reduced at 90 days follow up in the intervention group compared with control group

Table 1 (continued)

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Author and year of publication	Study design	Participants and setting	Key study outcomes and effect size (95% CI: unless otherwise specified)	EQ-5D questionnaire used and related factors	Key HRQOL findings
Truong, 2017 (22)	Phase 3 randomised control trial	818 HNC SCC patients with stage 3 or 4 disease pre-treatment. Unknown hospitals in USA. Time period not specified	No statistical difference in QOL or performance status between radiotherapy/cisplatin with or without cetuximab. HPV positive cancer patients have a higher QOL and performance status than HPV negative cancer patients	EQ-5D-3L at baseline, 2 weeks from end of treatment, 3 months, year 1, 2, 3, 4 and 5. EQ-5D-3L utility index not reported for all points in time, domains unreported, VAS not used. FACT G and FACT HN summary reported	Cisplatin improved HQRoL vs. cisplatin & cetuximab at 1 year by FACT-G (3.5% between arm change, +2.88 vs. -0.93, $P<0.001$); largest difference in functional subscale. No difference for EQ5D index score, but usual activities worse for cetuximab group at 1 year ($P=0.016$)
Cohort studies					
Amit, 2019 (23)	Prospective cohort	72 patients diagnosed with oropharyngeal squamous cell carcinoma. MDACC, TX, USA. Recruited between 2014–2016	HRQOL and symptom burden improves over time regardless of treatment modality (primary surgery or non-surgical), although acute symptom profiles differ. MDASI scores improved significantly for patients at 6 months if they were treated with single modality surgery rather than radiation ($P=0.04$). For patients receiving multiple modalities of treatment, MDASI scores were significantly better for the surgical group at treatment completion and 6 weeks, compared to the non-surgical group. However, these differences were not present by 6 months post treatment	EQ-5D utility and VAS at baseline and 3–6 months after treatment. EQ-5D information provided in supplementary table and fully reported. MDASI scores fully reported	HRQOL and symptom burden improves over time regardless of treatment modality (primary surgery or non-surgical), although acute symptom profiles differ
Aoki, 2022 (24)	Prospective cohort	100 patients with oral cancer treated with radical surgical intent. 1 hospital in Japan. Recruited between 1 st May 2018 to 30 th June 2020	See HRQOL column	EQ-5D-5L and FACT-H&N before treatment, and at 1 and 3 months post treatment. Raw data scores were not reported within the text, however, comparative correlations between EQ-5D-5L and FACT-H&N were reported	EQ-5D-5L scores appear to correlate with FACT-H&N scores during the perioperative period ($rs=0.586$, $P<0.01$). There was only a weak correlation between pre-treatment questionnaires ($rs=0.295$, $P<0.05$). Immediately following treatment, HRQOL scores were at their lowest. However, these improved significantly through time post-operatively ($P<0.01$). Anxiety and depression were worst pre-treatment, but improved greatly at 3 months post-operatively
Lango, 2016 (25)	Prospective cohort	84 newly diagnosed HNSCC patients, treated with curative intent by radiotherapy +/- chemotherapy in a single USA oncology department. Recruited between Dec 2006–2013	50% of patients required gastrostomy insertion within 4 months of completing RT. Patient reported symptoms were the strongest predictors of gastrostomy placement, no other factors were associated with this. Greater baseline dysphagia predicted need for gastrostomy	EQ-5D 3L & VAS	EQ-5D VAS, problems with usual activities and pain were all significant predictors of need for gastrostomy placement. Composite dysphagia score via SWAL-QOL also significant predictor
Lango, 2014 (26)	Prospective cohort	159 newly diagnosed HNSCC patients undergoing treatment with curative intent. 1 hospital in USA. Recruited between Dec 2006 to Dec 2012	Dysphagia severity was associated with reduced QOL across all EQ-5D domains. Advanced tumour T stage and weight loss correlated with dysphagia severity and reduced QOL, but no other clinicopathological characteristics. Dysphagia independently predicted all-cause and disease-specific mortality, and disease recurrence	EQ-5D-3L and VAS at single point in time, pre-treatment. Scores for all domains and VAS reported in full for overall study sample, but not by cohort according to dysphagia status	Problems performing usual activities, pain and discomfort, depression and anxiety and VAS scores all associated with decreased survival by univariate analysis. Only pain/discomfort and VAS significant predictors for all-cause mortality in multivariate analysis
Marcellusi, 2015 (27)	Retrospective cohort	79 HPV positive HNC patients and 20 control patients aged between 18–75 treated within the last 18 to 20 months. 465 patients with HPV related cancer groups studied with 135 control patients. Control group matched via characteristics. 6 research centres across Italy. Recruited between October 2008 and July 2012	See HRQOL column. Risk factors associated with high risk of acquiring HPV were: >5 partners ($P=0.004$), sexually active before 18 years ($P=0.034$) and smoking ($P=0.034$). Higher levels of education were found to be protective ($P<0.001$)	EQ-5D-3L completed at a single point in time. Utility index reported by cancer site and for control group. Domains and VAS not reported	For anal and HNC cancer, age and QOL had statistically lower health utilities. The value of utilities lost was higher in women than men
Pottel, 2015 (28)	Prospective cohort	81 HNC patients aged over 65 years undergoing curative intent radiotherapy with or without chemotherapy. 2 Hospitals in Belgium. Recruited between January 2010 and April 2012	Fit patients defined by G-8 had higher QOL scores compared to vulnerable patients and retained their QOL more during and after treatment. 68% vulnerable at baseline, 92% at week 4 post-treatment by G8 (≤ 14 cut off score). Median (IQR) survival 1,095 (1,018–1,095) days for fit, 687 (338–1,095) for vulnerable. Quality adjusted survival (life months in perfect health): Fit 23.3 (18.3–27.4), vulnerable 8.8 (2.8–15.0)	EQ-5D-3L at baseline, 1 and 2 months. EQ-5D-3L utility index only reported	Fit patients regained baseline EQ-5D utility values by end of treatment, while vulnerable patients had significantly lower EQ-5D utility values before, during & after treatment G8 score was significantly correlated with EQ-5D utility values
van Hinte, 2021 (29)	Prospective cohort	69 patients with cT1-2N0 oral cavity squamous cell carcinoma treated with END or SLNB. 1 hospital in The Netherlands. Recruited between January 2014 and June 2020	Ipsilateral shoulder abduction ($P=0.031$) and forward flexion ($P=0.039$) were significantly better for the SLNB group at 6 weeks post-operatively when compared to the END and SLNB + neck dissection group	EQ-5D and EORTC-QLQ-HN35 recorded before surgery, 6 weeks, 6 months and 12 months after surgery. HRQOL data not fully reported within the manuscript	There were no significant HRQOL differences between those patients receiving END or SLNB at any point of time in their surgical journey

Table 1 (continued)

Table 1 (continued)

Author and year of publication	Study design	Participants and setting	Key study outcomes and effect size (95% CI: unless otherwise specified)	EQ-5D questionnaire used and related factors	Key HRQOL findings
Zhang, 2018 (30)	Retrospective cohort	74 Marijuana and 74 control patients with HNC aged over 17 years and undergoing treatment with curative intent. 1 hospital in Canada. Recruited between January 2011 and January 2015	See HRQOL column	EQ-5D-3L at single point in time. EQ-5D-3L and ESAS summary reported	Marijuana users had significantly less pain/discomfort (mean difference 0.29, 95% CI: 0.04–1.54) and anxiety/depression (mean difference 0.74, 95% CI: 0.56–0.93) compared to the control group. They also had more appetite, were less tired, less drowsy and had a better general wellbeing
Case control studies					
Rogers, 2006 (6)	Case control	224 HNC patients disease-free in 2004 compared to national reference data. 1 UK hospital. Recruited between 1992 and 2003	HNC patients under 60 years had worse QOL compared to national reference population	EQ-5D-3L completed at a single point in time. EQ-5D-3L fully reported. UWQOL reported as correlation to EQ-5D-3L	More problems were reported with self-care/usual activities vs. the UK reference population regardless of age. In patients under 60 y there was also more pain/discomfort, anxiety/depression & less mobility reported. There was an overall prevalence of any problem in 70% of HNC cohort vs. 35% in the UK reference population for under 60s. For patients over 60 this disparity was not seen. Utility value was not related to age, nor was VAS scores for HNC cohort. There was good correlation between EQ-5D VAS and UWQOL global health rating
Uncontrolled longitudinal studies					
ACTION study group, 2017 (31)	Prospective longitudinal	5,249 cancer survivors (571 mouths & pharynx), 12 months post-treatment, in 8 low-middle income countries in Southeast Asia. Recruitment period not specified	Mouth and pharynx cancers 3 rd most common. See QOL column	EQ-5D-3L and Index values at a single point in time, 12 months after diagnosis	Lung cancers had lowest global QoL scores by EORTC QLQ-C30 and highest proportion of problems on EQ-5D. Clinically meaningful differences in HRQoL for >65 years old vs. younger patients across multiple domains, alongside measures of deprivation, cancer stage and co-morbidity burden
Aoki, 2019 (32)	Prospective longitudinal	84 patients with oral malignancies undergoing radical treatment. Tokai University Hospital, Japan. Recruited between April 2016 to December 2017	EQ-5D-3L and EQ-5D VAS were strongly correlated with FACT H&N scores	EQ-5D-3L and EQ-5D VAS before treatment, at completion of treatment, 1 and 3 months post treatment. EQ-5D-3L not fully reported numerically. FACT H&N data collected and correlations with EQ-5D data made	Moderate correlation of EQ-VAS and EQ-5D-3L (R^2 0.467); strong correlation of EQ-5D-3L with FACT (R^2 0.621); strong correlation of EQ VAS with FACT (R^2 0.638). Mod correlations for 'high risk group', stronger for 'low risk group' (+ve nodes etc.). Poor correlation of EQ5D3L with EQVAS in high risk group. Correlations between EQ5D3L subscales and FACT except for SWB. Significant changes over time for FACT H&N and EQ5D3L ($P=0.013$, $P<0.01$) but not EQVAS. Lowest at treatment completion, improved over time 25% patients had max score on EQ-5D 3L
Beck, 2019 (33)	Prospective longitudinal	236 patients with primary HNC treated with curative intent. Data collected for the DHNA. Netherlands Cancer Institute and Radboud University Medical Center, The Netherlands. Recruited between Nov 2014 to February 2017	See HRQOL column	EQ-5D-3L at baseline then 3/6/12/24 months following via online survey. EQ-5D-3L utility score reported, further details not included within article. EORTC QLQ-C30 and EORTC QLQ-H&N35 completed and reported. Multiple regression models shown within paper to predict HRQOL of HNC patients	123 (52% of participants) utility values =1. Generic domains of C30 (global health, physical, role, emotional functioning and pain) correlated well with EQ-5D utility values, cancer specific ones did not
Maximiano, 2018 (34)	Prospective longitudinal	315 HNC patients aged over 18 years with moderate to severe pain. 1,711 lung, colorectal, HNC and breast patients recruited. 150 Oncology units across Spain. Recruited between June 2011 and July 2012	See HRQOL column	EQ-5D-3L completed at baseline and 3 months. Data reported in full	Pain and QoL improved from baseline to 3 months with usual pain management for all cancer groups ($P<0.001$). EQ-5D index at baseline for HNC patients impacted ultimate EQ-5D scores. Presence of anxiety/depression at baseline significantly reduced improvements
Plaschke, 2017 (35)	Prospective longitudinal	43 recurrent or metastatic HNC patients aged over 18 years with life expectancy greater than 3 months who have no further standard treatments available and are to be administered bleomycin and electrochemotherapy. 1 Centre from Netherlands, Spain, England, Denmark, Slovenia and Italy. Recruited between November 2011 and October 2015	Complete response seen in 8 patients and partial response seen in 16 patients. Tumour size only character that had statistically significant effect on tumour response. No patients required acute tracheostomy after treatment. Side effects included ulceration, hyperpigmentation, suppuration, nausea, odour, dysphagia, flu, swelling, sensitivity, local defect. At 12 months, survival was 54% (CI: 31–77%)	EQ-5D (type unknown) at baseline, 1, 2, 4, 8 and 12 months. EQ-5D VAS and utility score reported in supplements for 4 of 6 countries at 0, 4 and 8 weeks. EORTC-QLQ-C30 and EORTC-QLQ-H&N35 reported in supplements	Electrochemotherapy was well tolerated by participants. Mean EQ-5D scores improved non-significantly at 2 and 4 months compared to baseline (0.67, SD 0.04 at baseline; 0.67, SD 0.05 at 4 weeks; 0.74, SD 0.05 at 8 weeks; $P=0.51$).

Table 1 (continued)

Table 1 (continued)

Author and year of publication	Study design	Participants and setting	Key study outcomes and effect size (95% CI: unless otherwise specified)	EQ-5D questionnaire used and related factors	Key HRQOL findings
Sprave, 2020 (36)	Retrospective longitudinal	49 HNC patients receiving radiotherapy. 1 Hospital in Germany. Recruited between July 2019 and November 2019	Acute toxicities (CTCAE grade ≥ 3) of high frequency: dermatitis [15]; dysphagia [10]; OM [21]. Chronic toxicities (CTCAE grade ≥ 3) of high frequency: Xerostomia [8]	EQ-5D-5L completed pre and post radiotherapy, 3 and 6 months. EQ-5D-5L fully reported for each time interval	No significant changes in EQ-5D index values in primary/adjuvant RT subgroups were seen over time. Significant changes were seen for EQ-5D VAS in the re-irradiation subgroup. Lower index values were seen for females vs. males
Tsai 2021 (37)	Prospective longitudinal	2,507 locally advanced (stage III or IV) oral SCC patients treated with curative intent (194 patients with QOL data). 1 Hospital in Taiwan. Recruited between January 2007 and December 2017	5 y overall survival rate 54.2%. Mean (SD) survival: 59.1 (0.8) months. Life expectancy: 8.7 (6.3–14.8) years (vs. 27.7 years for reference population). Quality-adjusted life expectancy [7.7 (6.3–14.8) years (vs. 27.7 years for reference population)]	EQ-5D-3L (Taiwanese version) within 1, 1–3 and >3 years after treatment. Utility values presented graphically only, QALYs calculated from EQ-5D but no other data recorded	Loss of 20 QALYs in oral SCC population after curative treatment compared to reference population. Swallow (8.3 years) and social eating (7.5 years) were most persistent problems reported
Cross-sectional studies					
Baxi, 2016 (38)	Cross sectional	102 patients diagnosed with HPV-positive oropharyngeal cancer at least 12 months prior to recruitment, working full time at diagnosis. Memorial Sloan Kettering Cancer Center, New York, USA. Recruited between 2010–2014	Chemotherapy and radiation treatment disrupts the working pattern of patients, however most ultimately return to work. Treatment related toxicities may lead to dissatisfaction with their ability to work	EQ-5D-3L and EQ-5D VAS at a single point in time, at least 12 months following diagnosis. EQ-5D-3L data fully reported within text. EORTC QLQ-H&N35 questionnaire also collected, no comparison between this and EQ-5D-3L scores	55% of patients had no issues in EQ-5D, 85% of patients were able to keep working. Dissatisfaction with work was associated with more problems on EQ-5D and reduced VAS
Baxi, 2018 (39)	Cross sectional	185 patients with HPV-positive oropharyngeal squamous cell carcinoma, at least 12 months following radiation. Large urban cancer centre USA. Recruited between 2010–2016	Despite HRQOL being similar, older survivors have increased mobility and social eating issues than younger survivors	EQ-5D-3L and EQ-5D VAS at a single point in time, at least 12 months following radiotherapy. EQ-5D-3L reported as mean score with standard deviation within each domain. EORTC QLQ-H&N35 questionnaire also collected.	EQ-5D/VAS scores similar for patients regardless of age. Most significant difference between ages for mobility (MD 0.18, $P=0.003$). EQ-5D domains worsened with increase comorbidities—aOR mobility probs 3.14 (CI: 0.93–7.64) for >65 y, 4.58 (CI: 1.13–14.97) for ever smoking, 1.45 (CI: 0.62–7.3) for increased Charlson index vs. <65 y old patients
Broderick, 2020 (40)	Cross sectional	288 patients with HNC treated with curative intent. Liverpool Head and Neck Centre, Aintree, Liverpool. Recruited between April 2017 to October 2019	Post-treatment HNC patients with too much saliva ($n=45$) have comparatively reduced HRQOL scores. Saliva quantity is notably associated with tumour location, stage and treatment	EQ-5D-5L TTO crosswalk and EQ-5D VAS at single point in time post-operatively. EQ-5D data not fully reported; median IQR scores given for TTO and VAS in relation to salivation. UW-QOLv4 and Distress Thermometer scores reported in relation to salivation	Normal/enough saliva consistency for 48% of patients, too little/none for 37%, too much for 16%. EQ-5D VAS decreases 10% for too little saliva and 20% for no saliva, with more problems in every EQ-5D domain with reduced saliva
Cardoso, 2021 (41)	Cross sectional	892 patients diagnosed with oropharyngeal cancer, >18 years old with no evidence of recurrence/metastases or second primary. MDACC, TX, USA. Diagnosed between Jan 2000 to Jan 2016	Trismus was self-reported in 31% of patients and trismus appears to progress over time. Increasing trismus severity negatively impacts HRQOL. Trismus is associated with disease stage, tumour site and exposure to chemotherapy. EQ-5D VAS similarly weakly correlated, 6 patients decrease for mild, 23 patients decrease for severe trismus	EQ-5D VAS distributed via Dillman's method at single point in time post-operatively (at least 12 months). EQ-5D VAS scores reported fully in relation to trismus severity. MDASI-HN and MDADI reported	EQ-5D VAS was weakly correlated with trismus severity; there was a 6-point decrease for mild and 23-point decrease for severe trismus
Davies, 2020 (42)	Cross sectional	2,065 patients diagnosed with HNC between 2011–2014, within the Head and Neck 5000 cohort study	EQ-5D-5L and EORTC-QLQ-C30 HRQOL data was comparable, article suggested EQ-5D-5L be used preferentially in future studies	EQ-5D-5L and EQ-5D VAS at single point in time. EQ-5D-5L scores compared to EORTC-QLQ-C30 questionnaire scores and reported within article	Moderate association between QOL (by EQ-5D score) and self-perceived health (by VAS). Moderate-strong correlation with EORTC QLQ-C30 measures, index score better correlated than VAS score. EQ-5D VAS score correlates strongly with global health score on EORTC QLQ-C30
Giuliani, 2019 (43)	Cross sectional	130 patients diagnosed with any form of HNC, 64 of which were recruited at diagnosis. Princess Margaret Cancer Centre, Canada. Recruited between January 2013 to May 2014	48% of HNC survivors reduced their work capacity and 32.8% did not return to work following treatment. Lower HRQOL scores were associated with those unemployed or who had reduced their work hours	EQ-5D-5L and EQ-5D VAS given at a single point in time. EQ-5D-5L responses not fully reported. FACT-HNv4.0. MDASI-HN and CaSUN questionnaires also utilised	52% of patients able to return to previous level of work after treatment. Return to work post-treatment associated with reduced MDASI-HN symptom interference scores (OR 1.1, 95% CI: 1.02–1.19). Other health utility/QoL scores were not significantly correlated with return to work
Jansen, 2018 (44)	Cross sectional	288 patients who were members of the Dutch Patients' Association of Laryngectomees, The Netherlands. Recruited in November 2014	54% visited GP in past 3 months, 55% visited specialist in academic centre, 42% visited specialist in general practice, total healthcare costs for highest PAM level €1,346 (SD 2,597) vs. lowest €2,282 (SD 3,798). Total societal costs for highest PAM level €1,909 (SD 3,855) vs. lowest €2,627 (SD 4,147). Differences in costs NS after adjusting for confounders & EQ-5D. Patients with better activation reported less costs, even after adjustment for confounding factors	EQ-5D-3L sent by regular post to patients at a single point in time. EQ-5D-3L data not fully reported, mean utility score alone provided	HRQOL measured by EQ-5D utility scores mitigated difference in healthcare costs, showing that this is an important contributor to cost

Table 1 (continued)

Table 1 (continued)

Author and year of publication	Study design	Participants and setting	Key study outcomes and effect size (95% CI: unless otherwise specified)	EQ-5D questionnaire used and related factors	Key HRQOL findings
Kamal, 2018 (45)	Cross sectional	207 survivors of HNC who had received radiation therapy. University of Michigan, USA. Time period not specified	MDASI-HN-DM score correlated with multi-item XQ scores	EQ-5D VAS score at a single point in time via phone call. MDASI-HN-DM, XQ scores and EQ-5D VAS scores were all taken at the same time, at a median of 88 months following the end of radiotherapy treatment	MDASI-HN-DM and EQ-5D VAS had inverse relationships on bivariate analysis (Spearman's $P=-0.31$, $P<0.001$), and XQ with EQ-5D VAS (Spearman's $P=-0.38$, $P<0.001$). Dry mouth scores, captured by any tool, were correlated with reduced HRQOL
Karczewska-Lindinger, 2021 (46)	Cross sectional	64 patients diagnosed with HNC receiving treatment with curative intent. Sahlgrenska University Hospital, Sweden. Time period not specified	HNC patients spent most of their time sedentary. Higher education level, reduced physical function and higher fatigue were associated with lower physical activity levels	EQ-5D-3L at a single point in time—only two domains collected (pain/discomfort, and anxiety/depression). EQ-5D-3L data of chosen two domains reported in full. EORTC QLQ-C30 also collected, however only five domains selected (physical function, role function, pain, fatigue and insomnia)	57% of patients expressed moderate pain/discomfort and 59% expressed moderate anxiety/depression on the EQ-5D domains selected. Physical function was highest reported domain from EORTC-QLQ-C30 with a mean of 88.7 (SD 17.5)
Khadela, 2021 (47)	Cross sectional	104 patients diagnosed with newly diagnosed HNC prescribed a chemotherapy regimen. Private clinic in West India. Recruited between February 2018 to February 2020	Clinical pharmacist services aided identification and understanding of medication-related concerns for HNC patients. It improved QALYs and decreased adverse drug reactions	EQ-5D-5L prior to each chemotherapy cycle until the completion of treatment. EQ-5D-5L data not reported within study	QALYs significantly decreased in the control group (0.012 to 0.005) who did not receive clinical pharmacist services. The QALYs of those in the intervention group stayed relatively stable (0.013 to 0.014) after the completion of six chemotherapy cycles
Kimman, 2015 (48)	Cross sectional	9,153 patients recruited through the Asean CosTs In ONcology (ACTION) study, of which 1,063 had HNC. 47 Hospitals across Cambodia, Indonesia, Laos, Malaysia, Myanmar, Philippines, Thailand and Vietnam. Recruited between March 2012 to Sept 2013	HRQOL impaired significantly with living in poor economic circumstances, regardless of gender, age and level of educational attainment	EQ-5D (unable to ascertain which type) at a single point in time. EQ-5D data not fully reported, sole mean index scores provided in relation to descriptive data. EORTC-QLQ-C30 questionnaire also utilised, no comparisons made between the two HRQOL questionnaires	The mean EQ-5D index score for those with HNC was 0.67 (SD 0.18). HRQOL impaired significantly with living in poor economic circumstances ($P<0.001$), regardless of gender, age and level of educational attainment
Kularatna, 2016 (49)	Cross sectional	151 patients with oral potentially malignant disorders or oral cancer. Six hospitals in Sri Lanka. Time period not specified	EORTC-8D and EQ-5D-3L showed significantly different utility scores and poor correlation between all functions, apart from mobility and physical functions	EQ-5D-3L and EQ-5D VAS at a single point in time. EQ-5D-3L data fully reported. EORTC-QLQ-C30 and EORTC-8D were also reported	The lowest utility score from EQ-5D-3L was -0.72 , whereas it was -0.15 from EORTC-8D. EORTC QLQ-C30 showed those who had received treatment had increased QOL compared with those waiting for treatment
Lastrucci, 2017 (50)	Cross sectional	25 patients who are long term survivors of nasopharyngeal carcinoma treated with convention or intensity modulated radiotherapy. San Donato Hospital, Italy. Recruited in December 2014 (treated between Jan 1990 to December 2014)	HRQOL was found to be lower in older patients, patients who were treated with older techniques, those with xerostomia and hearing loss	EQ-5D-3L completed at a single point in time. EQ-5D-3L, FACT-NP, FACT-G, XEQOLS, PSS-HN all reported in full	Patients over 50 years old had significantly better QOL ($P<0.003$), as did those treated with radiotherapy (PSS-HN $P=0.007$, and EQ-5D-3L $P=0.06$). PSS-HN scoring also revealed lower QoL when late state xerostomia was present ($P<0.009$) or hearing loss ($P=0.06$)
McDowell, 2018 (51)	Cross-sectional	107 patients at least 4-year disease-free after curative IMRT for NPC in a Canadian oncology centre. Recruited between Jun 2015–2016	4 grade 4 adverse events. 47% of patients had at least 1 grade 3 adverse event. 90% of patients required prophylactic gastrostomies, all removed at a median of 115 days after RT	EQ-5D 3L and VAS at a single time at least 4 years post IMRT. Fully reported excluding VAS	QoL by FACT-H&N influenced by marital & employment status, living with others, treatment factors. Strong correlation between QoL and anxiety/depression. Significant correlations between dysphagia, xerostomia, dysarthria and aspiration (measured with MDASI-HN) and physician-reported adverse events. Strong correlations between FACT-H&N, MDASI-HN mean symptoms scores and EQ-5D mean index score
Noel, 2015 (52)	Cross sectional	100 HNC patients treated 3 months to 3 years previously with no recurrence or metastasis. 1 centre in Canada. Recruited between August 2014 and October 2014	Indirect health utility measures may be more accurate than direct health utility measures for the health status of HNC patients	EQ-5D-5L completed at a single point in time. EQ-5D-5L partially reported. HUI3, SG and TTO partially reported	EQ-5D correlated well with VAS and HUI3. SG and TTO correlate poorly. Mean EQ5-D utility scores increased with time after treatment completion
Noel, 2020 (15); Noel, 2021 (14)	Cross sectional	209 HNC patients aged over 18 years who were English speaking and had capacity. 1 centre in Canada. Recruited between November 2017 and March 2018	See HRQOL column	EQ-5D-5L completed at a single point in time. EQ-5D-5L utility index reported only. EORTC-QLQ-C30, EORTC-QLQ-H&N35 and HUI3 partially reported	OLS model for mapping EORTC onto EQ-5D had best predictive validity to enable researchers to translate EORTC to a health utility score. Disease specific domains from HNC-QOL instruments do not correlate strongly to EQ-5D or HUI3

Table 1 (continued)

Table 1 (continued)

Author and year of publication	Study design	Participants and setting	Key study outcomes and effect size (95% CI: unless otherwise specified)	EQ-5D questionnaire used and related factors	Key HRQOL findings
Obeso-Benítez, 2022 (53)	Cross sectional	30 HNC survivors and 30 paired healthy subjects matches with age and gender. 1 Hospital in Spain. Recruited between February and August 2020	HNC patients had worse performance ($P<0.001$) and satisfaction ($P<0.001$) scores (WHO-DAS 2.0 and COPM questionnaires) compared to matched control group. QLQ-30 and QLQ-H&N35 scores were also lower in the HNC group ($P<0.05$). EQ-5D found significant differences between the groups, with worse HRQOL scores in the HNC group ($P<0.05$)	EORTC QLQ-C30 questionnaire and QLQ-H&N35 questions. EQ-5D and VAS score utilised. Raw data not reported in text (both HNC patients and control group) however summary tables published	All aspects of HRQOL were worse when scored by the QLQ-C30, QLQ-H&N35 and EQ-5D groups in the HNC group when compared to the control group. The most statistically significant of these differing outcomes in the EQ-5D questionnaire were pain, anxiety/depression and VAS ($P=0.001$). There was good inverse correlation between WHO-DAS 2.0 functional outcomes when compared with EQ-5D VAS ($r=-0.735$, $P<0.001$)
Pickard, 2016 (54)	Cross sectional	50 HNC patients with stage 3–4 cancer. 534 patients of 11 cancer types studied. Multicentre within USA. Time period not specified	See HRQOL column	EQ-5D-3L completed at a single point in time. EQ-5D-3L partially reported. FACT-G partially reported	QOL varies depending on cancer type. VAS lowest for HNC and breast cancer patients. FACT-G physical well-being scores lowest for HNC, hepatobiliary and kidney cancer patients
Ramaekers, 2011 (55)	Cross sectional	396 HNC patients treated for curative intent with radiotherapy with or without surgery, chemotherapy or both. 2 hospitals in the Netherlands. Recruited between June 2009 and March 2010	Patients with xerostomia or dysphagia have lower QOL-VAS and utility scores	EQ-5D (type unknown) completed at a single point in time. EQ-5D VAS and utility index reported	EQ-5D utility values were significantly different for pts with toxicity vs. without ($P<0.0001$). For no toxicity vs. \geq grade 1 dysphagia multivariate regression showed: grade 3 xerostomia and any level of dysphagia were significant factors associated with EQ5D utility values. Other risk factors: male, oral cavity/pharynx, surgery all associated with reduced EQ5D
Rogers, 2021 (13)	Cross sectional	288 HNC patients (140 in intervention PCI group). 205 HNC patients reported at 12 months. 2 UK hospitals. Recruited between January 2017 and December 2018	Median number of items selected was 5 (IQR, 2–9), 9% selected ≥ 15 items. Fatigue was 6th most frequently selected item. Fatigue was more frequent in advanced tumours and pts receiving radio/chemotherapy. PCI fatigue group had significantly worse QoL scores	EQ-5D-5L at baseline and 12 months—fully reported except for VAS score. UWQOL fully reported	Fatigue was inversely correlated with HRQOL—reported in 11% of patents with very good/outstanding QoL, 55% of pts with poor/very poor overall QoL. Also correlated with EQ-5D VAS and utility values, along with all domain scores
Setiawan, 2018 (56)	Cross sectional	34 nasopharyngeal cancer and 26 HNC patients aged over 18 years with HPV associated cancer and no chronic comorbidities. 116 patients with HPV cancers studied. 1 Hospital in Indonesia. Recruited between 2010 and 2015	EQ-5D is reliable questionnaire for HPV related cancers. HNC patients had the lowest QoL scores of any cancer type	EQ-5D-5L completed at a single point in time. EQ-5D-5L domains reported. EORTC-QLQ-C30 reported as correlation to EQ-5D-5L	Excellent test-retest reliability for each EQ5D subscale (ICC >0.8). Good for VAS (ICC =0.73). Good internal consistency (Cronbach $\alpha=0.84$). Generally good correlation with subdomains of EORTC QLQ-C30 and global health domain. VAS relatively high for all cancers. UV moderately high (0.69 \pm 0.1), lowest value for HNC (0.58 \pm 0.35)
Singh, 2021 (57)	Cross sectional	577 HNC SCC patients aged over 18 years with recurrence or metastasis who are platinum sensitive but naive or previously treated more than 6 months previously. 195 medical/clinical oncologists from France, Germany, Italy, Spain and the UK. Recruited between January 2019 and May 2019	FACT-G, FACT H&N, EQ-5D and VAS were lower for HNC SCC patients than referenced normal values. HNC impacts significantly on a patient's ability to work. Caregiver QoL burden correlated to patients QOL. 5% on sick leave at diagnosis, 28% at time of enrolment. 37% in full/part time employment at diagnosis vs. 14% on enrolment. Work impairment by WPAI of 43% due to disease. 18% work time missed due to R/M HNSCC impaired work 38%. Overall activity impairment—38%	EQ-5D-3L completed at a single point in time. EQ-5D-3L group reported for all domains except mobility. FACT-G and FACT H&N partially reported	Diminished QoL was seen that was significantly lower than national reference values for FACT-G (54.1 vs. 80.9 for all cancers). Lowest scores were seen in France, highest in the UK. The same trend was seen for FACT-H&N. High level of interference with activities of daily living (44% scored 4–7) correlates with HRQOL
Singh, 2021 (58)	Cross sectional	158 HNC aged over 18 years with recurrence or metastasis and post platinum 1L treatment. 82 medical/clinical oncologists from Spain and Italy. Recruited between October 2018 and February 2019	EXTREME or cetuximab-based regimens most common (Italy 42.8%, Spain 63.1%). Whereas platinum and immunotherapy were preferred in Italy vs. Spain. Less than 1/3 of decisions conformed to national guidelines QoL scores were lower for HNC patients with recurrence or metastasis compared to other advanced cancer populations	EQ-5D-5L/3L crosswalk completed at a single point in time. EQ-5D-5L/3L crosswalk fully reported. FACT-G and FACT H&N fully reported	QoL considered for 30% of pts in Spain & 19% in Italy. EQ-5D utility values were higher in Italy vs. Spain. Spain also had more problems with usual activities, pain/discomfort, anxiety/depression. EQ-5D VAS was also significantly higher in Italy vs. Spain. All values were significantly lower than reference norm values. FACT-G scores were lower than the reference population and patients with other advanced cancers
Stephens, 2020 (59)	Cross sectional	209 HNC patients aged over 18 years, English speaking with no recurrence or for palliation. 1 hospital in Canada. Recruited between November 2017 and March 2018	UWQOL scores mapped best onto EQ-5D	EQ-5D-5L/3L crosswalk. Completed at a single point in time. EQ-5D-5L/3L utility index only reported. EORTC-QLQ-C30, EORTC-QLQ-H&N35, HUI3 and UWQOL all reported	10 UWQOL domains could be mapped to EQ-5D. $R^2=0.628$ for this reduced model. Global QoL question correlated strongest with HUI-3. $R^2=0.628$ for reduced model. EQ5D was better than HUI-3—able to discriminate between several indices of disease severity by subgroup analysis

Table 1 (continued)

Table 1 (continued)

Author and year of publication	Study design	Participants and setting	Key study outcomes and effect size (95% CI: unless otherwise specified)	EQ-5D questionnaire used and related factors	Key HRQOL findings
Thankappan, 2022 (60)	Cross sectional	144 internal validation and 80 external validation patients with HNC aged over 18 years and at least 6 months post treatment with no signs of recurrence. Unknown hospitals across India. Time period not specified	Their developed OLS model is a reliable tool in mapping non QOL PCI onto EQ-5D utility index in order to estimate cost utility in economic evaluation studies.	EQ-5D-5L completed for internal and external at single point in time, 3 months apart -fully reported	Most patients had no/some problems for all domains. Reduced model with 5 PCI domains was the best predictor ($R^2=0.295$). More items being selected on PCI resulted in lower HRQoL/EQ-5D utility value. For the external validation sample the R^2 was 0.327
Tyler, 2020 (61)	Cross sectional	114 HNC patients aged over 18 years with sinonasal or nasopharyngeal malignancies whom completed curative treatment greater than 12 months prior with no signs of recurrence. 1 Hospital in USA. Recruited between 2001 and 2013	Strong correlation between 3 QoL tools. There was no statistical difference between QoL for sinonasal and nasopharyngeal cancers or between treatment modalities. VAS QOL approximated to reference values for USA population	EQ-5D-5L completed at single point in time. EQ-5D-5L VAS score only used and fully reported. MDASI-HN and anterior skull base questionnaire summary reported	Negative correlation between MDASI-22 & ASBQ sum scores (Spearman's Rho 0.74). Negative correlation between MDASI-22 & EQ5D VAS (Spearman's Rho 0.65). Advanced Stage of tumour = worse ASBQ scores
Economic evaluations					
Lai, 2021 (62)	Economic evaluation	875 patients diagnosed with non-metastatic nasopharyngeal cancer. Four Hospitals within Taiwan. Diagnosed between June 2009 to June 2013. Recruited between October 2013 to December 2017	See HRQOL outcomes column	EQ-5D-3L at a single point in time. EORTC-QLQ-C30 and EORTC-QLQ-H&N35 also completed. EQ-5D-3L not reported	Estimated life expectancy and quality-adjusted life expectancy is 15.5 and 14.3 years respectively following NPC diagnosis. This is significantly less than the reference population (29.5 and 29.5 years)
Lai, 2021 (63)	Economic evaluation	42,938 oral cancer patients and 11,554 nasopharyngeal cancer patients from the Taiwan Cancer Registry. Nationwide data collection within Taiwan. Recruited between 2011–2018	HRQOL utility values vary between types of cancer (14 recorded within this study). Successful prevention of liver, lung, oesophagus or NPC would save >10 QALY years and \$USD21,000 per case	EQ-5D at a single point in time. Specific EQ-5D questionnaire utilised is not reported. EQ-5D and WHOQOL-BREF questionnaires utilised. Scores not reported	Sex, age, stage of cancer all have significant impact on HRQOL ($P<0.0001$). The lifetime cost of oral cancer in males was 48.7, SD 47.3–50.1 and in females was 44.8, SD 40.1–49.6 (95% CI: $\times 10^3$ USD)
van der Linden, 2016 (64)	Economic evaluation	62 HNC patients with T1-2, N0 disease. 4 Centres in the Netherlands. Time period not specified	Sentinel lymph node biopsy has the biggest gain in QALYs compared to ultrasound guided fine needle aspiration cytology over a 5–10-year period. Over a lifetime, elective selective neck dissection may be preferred treatment modality. See HRQOL column	EQ-5D (type unknown) at single point in time. EQ-5D data taken from Flach <i>et al.</i> (9). Utility index partially reported. QALYs fully reported	Sentinel lymph node biopsy with neck dissection and/or radiotherapy in positive cases, watchful wait in negative cases resulted in the highest QALY gain over 5–10 years. However, over a lifetime, elective neck dissection resulted in the highest QALY gain

SCC, squamous cell carcinoma; HNC, head and neck cancer; MDACC, MD Anderson Cancer Centre; HNSCC, head and neck squamous cell carcinoma; HPV, human papilloma virus; END, elective neck dissection; SLNB, sentinel lymph node biopsy; DHNA, Dutch Head and Neck Audit; IMRT, intensity-modulated radiotherapy; NPC, nasopharyngeal cancer; PCI, patient concerns inventory; 95% CI, 95% confidence interval; HRQOL, health-related quality of life; HR, hazard ratio; LSM, least squares mean; QALY, quality-adjusted life year; PET, positron emission tomography; CT, computed tomography; OM, oral mucositis; OR, odds ratio; IQR, interquartile range; MDASI, MD Anderson Symptom Inventory; RT, radiotherapy; EQ-5D, Euroqol 5 dimensions; VAS, visual analogue scale; FACT H&N, functional assessment of cancer therapy-head and neck; CTCAE, common terminology criteria for adverse events; SD, standard deviation; GP, general (medical) practitioner; PAM, plasma activated medium; MDASI-HN-DM, MD Anderson symptom inventory-head and neck-dry mouth; XQ, Xerostomia questionnaire; COPM, Canadian Occupational Performance Measure; WPAI, work productivity and activity impairment; R/M, recurrent/metastatic; UWQOL, University of Washington Quality of Life; OLS, ordinary least squares; TTO, time trade-off; MDASI-HN, MD Anderson symptom inventory-head and neck; MDADI, MD Anderson dysphagia inventory; MD, mean difference; SWB, social wellbeing; aOR, adjusted odds ratio; probs, problems; PSS-HN, performance status scale-head and neck cancer patients; SG, standard gamble; ICC, intraclass correlation coefficient.

Table 2 EQ-5D 3L questionnaire domain scores (EQ-5D questionnaire type)

	Total sample size	Mobility			Self-care			Usual activities			Pain/discomfort			Anxiety/depression			
		No Problems	Some problems	Unable	No Problems	Some problems	Unable	No Problems	Some problems	Unable	None	Moderate	Extreme	None	Moderate	Extreme	
EQ-5D 3L																	
Kularatna, 2016 (49)	151	76.2	22.5	1.3	86.1	12.6	1.3	70.9	27.1	2.0	41.7	52.3	6	60.3	37.1	2.7	
Baxi 2016 (38)	102	55	13	32	55	3	42	55	12	23	55	30	15	55	22	23	
Karczewska-Lindinger, 2021 (46)	64	-	-	-	-	-	-	-	-	-	39	57	4	33	59	9	
Lastrucci 2017 (50)	25	88	12	0	84	12	4	72	24	4	52	36	12	64	32	4	
Maximiano, 2018 (34)	BL 315	80	18.7	1.3	67	30.1	2.9	28.9	61.6	9.5	2.9	50.8	46.3	29.8	55.6	14.6	
Pickard, 2016 (54)	3-month 315	83.2	15.2	1.6	70.5	27.6	1.9	45.1	49.5	5.4	39.7	53.7	6.7	55.6	38.1	6.3	
Rogers, 2006 (6)	50	64	36	-	94	6	6	26	74	74	26	74	26	42	58		
Singh, 2021 (57)	224	60	39	0.5	77	23	0.5	56	37	7	50	48	2	67	31	2	
Zhang, 2018 (30)	577	-	-	-	59	41	41	36	62	62	22	78	29	29	71		
Baxi, 2018 (39)	74	Marijuana: mean 1.22 (SD 0.41)	Marijuana: mean 1.26 (SD 0.47)	Marijuana: mean 1.22 (SD 0.41)	Marijuana: mean 1.11 (SD 0.16)	Marijuana: mean 1.12 (SD 0.37)	Marijuana: mean 1.31 (SD 0.48)	Marijuana: mean 1.03 (SD 0.21); >65 years old: mean 1.00 (SD 0)	Marijuana: mean 1.36 (SD 0.59)	Marijuana: mean 1.53 (SD 0.58)	Marijuana: mean 1.34 (SD 0.53)	Marijuana: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)	Marijuana: mean 1.268 (SD 0.487); >65 years old: mean 1.268 (SD 0.497)	Marijuana: mean 1.268 (SD 0.487); >65 years old: mean 1.268 (SD 0.497)	Marijuana: mean 1.268 (SD 0.487); >65 years old: mean 1.268 (SD 0.497)	Marijuana: mean 1.268 (SD 0.487); >65 years old: mean 1.268 (SD 0.497)	Marijuana: mean 1.268 (SD 0.487); >65 years old: mean 1.268 (SD 0.497)
Lango 2014 (26)	74	Control: mean 1.26 (SD 0.47)	Control: mean 1.12 (SD 0.37)	Control: mean 1.26 (SD 0.47)	Control: mean 1.12 (SD 0.37)	Control: mean 1.12 (SD 0.37)	Control: mean 1.36 (SD 0.59)	Control: mean 1.03 (SD 0.21); >65 years old: mean 1.00 (SD 0)	Control: mean 1.36 (SD 0.59)	Control: mean 1.82 (SD 0.66)	Control: mean 1.82 (SD 0.66)	Control: mean 1.82 (SD 0.66)	Control: mean 1.82 (SD 0.66)	Control: mean 1.82 (SD 0.66)	Control: mean 1.82 (SD 0.66)	Control: mean 1.82 (SD 0.66)	Control: mean 1.82 (SD 0.66)
ACTION study group 2017 (31)*	185	<65 years old: mean 1.078 (SD 0.269); >65 years old: mean 1.258 (SD 0.445)	<65 years old: mean 1.078 (SD 0.269); >65 years old: mean 1.258 (SD 0.445)	<65 years old: mean 1.078 (SD 0.269); >65 years old: mean 1.258 (SD 0.445)	<65 years old: mean 1.03 (SD 0.21); >65 years old: mean 1.00 (SD 0)	<65 years old: mean 1.03 (SD 0.21); >65 years old: mean 1.00 (SD 0)	<65 years old: mean 1.143 (SD 0.387); >65 years old: mean 1.097 (SD 0.301)	<65 years old: mean 1.143 (SD 0.387); >65 years old: mean 1.097 (SD 0.301)	<65 years old: mean 1.143 (SD 0.387); >65 years old: mean 1.097 (SD 0.301)	<65 years old: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)	<65 years old: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)	<65 years old: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)	<65 years old: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)	<65 years old: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)	<65 years old: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)	<65 years old: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)	<65 years old: mean 1.281 (SD 0.465); >65 years old: mean 1.226 (SD 0.497)
McDowell 2018 (51)	159	91	9	0	98	2	0	86	14	0	50	45	5	60	37	3	
	571	82	18	18	86	14	14	77	23	23	51	49	49	53	47		
	102	70	30	0	96	4	0	70	30	0	60	37	3	65	29	6	

*, estimated from figures, numerical data not available. SD, standard deviation. BL, baseline.

Table 3 EQ-5D 5L questionnaire domain scores (EQ-5D questionnaire type)

Domain	Total sample size	Mobility					Self-care					Usual activities					Pain/discomfort					Anxiety/depression					
		1	2	3	4	5	1	2	3	4	5	1	2	3	4	5	1	2	3	4	5	1	2	3	4	5	
EQ-5D 5L																											
Davies, 2020 (42)	2,065	71	9.5	15.7	3.5	0.3	90.3	3.9	4.9	0.6	0.36	66.4	12.4	17.7	1.6	1.9	33.4	25.4	32.9	5.1	3.2	46.4	23.2	25.6	2.6	2.2	
Rogers, 2021 (13)	288	62.5	14.9	14.6	6.9	1	77.1	11.8	10.1	7	0.3	49.7	25.7	17.7	4.2	2.8	36.1	33.3	23.3	6.6	0.7	50.3	33	13.2	1.4	2.1	
Setiawan, 2018 (56)	205	65.9	16.6	12.7	3.4	1.5	82.9	9.3	5.3	2	0.4	65.0	20.5	9.4	4.5	0.5	46.8	33.7	14.2	4.9	0.4	51.7	30.7	14.6	2.4	0.4	
	34	NPC:	NPC: 23.53					NPC: 8.82					NPC: 55.88					NPC: 67.65					NPC: 55.88				
	76.47	HNC:	HNC: 19.23					HNC: 7.69					HNC: 19.23					HNC: 46.15					HNC: 53.85				
	26	HNC:	HNC: 19.23					HNC: 7.69					HNC: 19.23					HNC: 46.15					HNC: 53.85				
	80.77	HNC:	HNC: 19.23					HNC: 7.69					HNC: 19.23					HNC: 46.15					HNC: 53.85				
	90	Italy:	Italy: 19.23					Italy: 7.69					Italy: 19.23					Italy: 46.15					Italy: 53.85				
Singh, 2021 (58)	69	26	4	1	0	68	25	6	1	0	47	42	9	2	0	20	42	33	2	1	24	35	27	8	7		
	68	Spain:	Spain: 19.23					Spain: 7.69					Spain: 19.23					Spain: 46.15					Spain: 53.85				
	65	29	6	0	0	78	19	3	0	0	34	50	7	7	1	10	38	43	9	0	22	31	25	19	3		
Sprave, 2020 (36)	BL 49	69.4	12.2	12.2	4.1	2	81.6	12.2	4.1	0	2	57.1	22.4	12.2	6.1	2	34.7	22.4	32.7	10.2	0	59.2	32.7	6.1	2	0	
	RT end	63.3	18.4	14.3	4.1	0	81.6	12.2	4.1	0	2	32.7	44.9	14.3	6.1	2	28.6	24.5	32.7	14.3	0	61.2	26.5	8.2	4.1	0	
	49																										
	3-mo	49	71.4	16.3	4.1	8.2	0	73.5	22.4	2	2	49	34.7	10.2	6.1	0	42.9	20.4	26.5	10.2	0	69.4	22.4	2	6.1	0	
	6-mo	49	65.3	18.4	12.2	4.1	0	77.6	16.3	4.1	2	49	32.7	12.2	6.1	0	40.8	24.5	26.5	8.2	0	65.3	20.4	10.2	4.1	0	
Thankappan, 2022 (60)	144	Int:	10.4	1.4	0.7	0	97.2	2.8	0	0	95.1	3.5	1.4	0	0	83.3	13.9	1.4	1.4	0	84.7	13.2	2.1	0	0		
	87.5	Ext:	7.5	6.3	0	0	96.3	2.5	1.3	0	0	90	5	5	0	72.5	20	6.3	1.3	0	90	5	2.5	1.3	1.3		
	86.3																										

For functional domains: 1, no problems; 2, slight problems; 3, moderate problems; 4, severe problems; 5, unable. For symptom domains: 1, none; 2, slight; 3, moderate; 4, severe; 5, extreme. NPC, nasopharyngeal cancer; HNC, head and neck cancer; BL, baseline; RT, radiotherapy; mo, months; Int, internal (validation cohort); Ext, external (validation cohort).

Table 4 EQ5D VAS scores

Study, date	Number of participants	Mean (unless otherwise stated)	SD (range)
Amit, 2019 (23)	72	Baseline surgical: 81.36; baseline non-surgical: 82.37 6 months post-Tx surgical: 89.9; 6 months post-Tx non-surgical: 83.9	6.08; SD 6.45 4.80; 4.80
Cardoso, 2021 (41)	892	81.05	16.72
Davies, 2020 (42)	2,065	Median 75	IQR 60–90 (0–100)
Giuliani, 2019 (43)	130	Median 0.96	IQR 0.92–0.99
Baxi, 2016 (38)	102	86	13
Baxi, 2018 (39)	185	85	14
Aoki, 2019 (32)	84	70	SD 18.22
Del Barco Morillo, 2016 (16)	40	Median 60	NR
Maximiano 2018 (34)	Baseline: 315	Baseline: 49.3; 3 months follow up: 65.7	18.8; 18.4
Noel, 2015 (52)	100	0.76	0.19
Pickard, 2016 (54)	50	61.8	21.7
Plaschke, 2017 (35)	Baseline: 30; 4 weeks follow up: 23; 8 weeks follow up: 21	58.8; 55; 53.9	3.5; 4; 4.2
Ramaekers, 2011 (55)	396	75	15
Rogers, 2006 (6)	224	74	1
Sayed, 2019 (21)	60	Baseline intervention: median 70; baseline control: median 70 3 months post intervention: median 80; 3 months control: median 70	IQR 60–80; IQR 57.5–80 IQR 70–90; IQR 50–80
Setiawan, 2018 (56)	NPC: 34 HNC: 26	75.47 76.03	16.99 15.59
Singh, 2021 (57)	577	56.4	–
Singh, 2021 (58)	Italy : 90 Spain: 68	57.6 50.7	17.5 18.2
Sprave, 2020 (36)	49	Baseline: 63.88; end of radiotherapy: 63.67; 3 months follow up: 63.67; 6 months follow up: 65.2	20.72; 20.72; 21.81; 22.41
Tyler, 2020 (61)	114	74	21
Lango, 2014 (26)	159	Median 85	IQR 70–90
Lango, 2016 (25)	84	Median 80	IQR 70–90
Obeso-Benítez, 2022 (53)	30	71.62	20.67

VAS, visual analogue scale; Tx, treatment; SD, standard deviation; IQR, interquartile range; NR, not reported.

Table 5 EQ-5D Utility/Index values

Study, date	Total number of participants	Time	Mean	Standard deviation	Algorithm used
Davies, 2020 (42)	2,065	Single point	0.87* (0.77–0.94); N<0: 10	–	EUROQOL value set for England
Beck, 2019 (33)	236	Single point	0.83	0.18	EUROQOL
Del Barco Morillo, 2016 (16)	40	Baseline	0.7*	–	Unspecified
		Follow ups	0.6–0.8*		
Lai, 2021 (63)	54,492	Single point	Male oral: 0.9; Male NPC: 0.82	–	Unspecified
Jones, 2020 (19)	334	Baseline	Cisplatin: 0.836; Cetuximab: 0.812	0.147; 0.153	EUROQOL
		Post treatment	Cisplatin: 0.606; Cetuximab: 0.565	0.223; 0.231	
		3 months	Cisplatin: 0.797; Cetuximab: 0.757	0.145; 0.173	
		6 months	Cisplatin: 0.827; Cetuximab: 0.784	0.153; 0.176	
		12 months	Cisplatin: 0.862; Cetuximab: 0.8.25	0.144; 0.194	
		24 months	Cisplatin:0.867; Cetuximab: 0.846	0.139; 0.144	
Jansen, 2021 (18)	92	baseline	Intervention: 0.85; Control: 0.76	0.17; 0.26	Dutch algorithm (not EUROQOL)
		3 months	Intervention: 0.86; Control: 0.78	0.21; 0.25	
		6 months	Intervention: 0.88; Control: 0.77	0.19; 0.26	
Maximiano, 2018 (34)	315	Baseline	0.51	0.1	Spanish algorithm (not EUROQOL)
		3 months	0.72	0.23	
Noel, 2020 (15)	209	Single point	0.84	0.12	Canada algorithm (not EUROQOL)
Noel, 2021 (14)	209	Single point	0.84	0.12	“US population tariffs” unreferenced
Noel, 2015 (52)	100	Single point	0.82	0.18	Algorithm reported in full in text
Pickard, 2016 (54)	50	Single point	0.76	0.15	USA algorithm (not EUROQOL)
Plaschke, 2017 (35)	30	Baseline	0.67	0.04	Unspecified
		4 weeks	0.67	0.05	
		8 weeks	0.74	0.05	

Table 5 (continued)

Table 5 (continued)

Study, date	Total number of participants	Time	Mean	Standard deviation	Algorithm used
Pottel, 2015 (28)	81	Reported for all patients (also subdivided for fit/vulnerable)			Belgium algorithm (not EUROQOL)
		baseline	0.66 (0.55–0.76)*	–	
		1 month	0.42 (0.26–0.73)*	–	
		2 months	0.66 (0.29–0.76)*	–	
		5 months	0.66 (0.27–0.76)*	–	
		12 months	0.64 (0.00–0.76)*	–	
		24 months	0.29 (0.00–0.76)*	–	
		36 months	0.00 (0.00–0.67)*	–	
Ramaekers, 2011 (55)	396	Single point	0.85	0.18	UK algorithm (not EUROQOL)
Sayed, 2019 (21)	60	Baseline	Intervention: 0.833 (0.776–0.857)* Control: 0.79 (0.744–0.893)*		Zimbabwe algorithm (not EUROQOL)
		3 months	Intervention: 0.8555 (0.79–1)* Control: 3 months 0.79 (0.674–0.845)*		
Setiawan, 2018 (56)	34	Single point	NPC 0.75	0.3	Thailand algorithm (not EUROQOL)
	26	Single point	HNC 0.58	0.33	
Singh, 2021 (57)	577	Single point	0.57	–	Unspecified
Singh, 2021 (58)	90	Single point	Italy: 0.82	–	UK algorithm (not EUROQOL)
	68	Single point	Spain: 0.69	–	
Sprave, 2020 (36)	49	Baseline	0.837	0.17	Unspecified
		Post radiotherapy	0.828	0.16	
		3 months	0.855	0.15	
		6 months	0.856	0.14	
Stephens, 2020 (59)	209	Single point	0.838	–	UK EUROQOL
Thankappan, 2022 (60)	144	Single point	Internal: 0.911	0.146	Thailand algorithm (not EUROQOL)
	80	Single point	External: 0.889	0.172	
Truong, 2017 (22)	818	3 months	Cetuximab: 0.77; control: 0.78	0.15; 0.18	USA algorithm (not EUROQOL)
		1 year	Cetuximab: 0.84; control: 0.84	0.16; 0.17	
McDowell, 2018 (51)	102	Single point	0.85	0.16	USA algorithm (not EUROQOL)

*, median and IQR displayed. N, number; NPC, nasopharyngeal carcinoma; HNC, head and neck cancer; IQR, interquartile range.

outcomes (problems *vs.* no problems). Only 8 studies included the EORTC QLQ-C30 index (*Figure 2*).

Studies excluded following full text appraisal are summarised in *Table 6*.

Discussion

With recent improvements in survival of HNC patients following treatment, HRQOL is increasingly recognised as an important metric, both for patient-centred treatment outcomes and economic utility (13). The EQ-5D is one of the most frequently employed generic QoL indices in medicine, likely due to its extensive validation in a variety of settings (including cancer) and the potential to calculate cost-utility values and QALYs. To our knowledge, this review is the first systematic examination of the use of this tool in HNC patients.

Given the versatility of the EQ-5D and multiple components/versions, it is unsurprising that its use was highly variable. However, a number of studies which specified that EQ-5D was used did not report the results of this index. We tried to contact authors for this information in these instances, or where data reporting was incomplete, but response rates were low. Several studies preferentially reported cancer-specific QOL tools such as MDASI, UW-QOL, EORTC-QLQ-C30 or EORTC-QL-H&N35 and only partially reported EQ-5D. However, these tools lack the facility to produce health index values and economic outcomes. Where multiple tools were used, EQ-5D appeared to be at least as effective at detecting global QOL and health states and discriminated between objective levels of disease severity. However, by design, the generic nature of the EQ-5D domains meant that some cancer-specific concerns and problems were not detected. It is therefore advisable to include both a cancer-specific QOL index combined with the EQ-5D to maximise the value of study data.

A number of studies only reported VAS scores or dichotomised responses into 'problems' and 'no problem' groups. While VAS gives an excellent indication of a patient's self-perceived health status, inclusion of utility values or full domain-specific responses helps to identify discrepancies between self-perceived health status and arguably more objective functional/symptom burden. Similarly, a number of studies recorded full 5-domain data but then dichotomised this into 'problems' and 'no problems'. This can be useful, particularly for simplifying the conduct and interpretation of statistical testing but

means that the severity of problems cannot be extrapolated and limits comparisons between studies. It seems counterintuitive to use a tool featuring a likert-scale to indicate the burden of HNC, only to discard this feature in the analysis and presentation of results. Where such reduction of domains is necessary, we feel that inclusion of the full domain scores as supplementary data may be helpful to complement the primary analysis. Utility values, presented alone, also result in loss of granularity. Where full domain data is available (*Table 2*), we find that the burden following HNC treatment is symptom-related, with a large number of patients having only mild or no functional limitations, but persistent moderate-severe pain and anxiety. This information is important as it indicates where interventions to improve QOL should be targeted for maximum impact, and has implications for commissioning support services for HNC patients. Further, because a number of studies demonstrated a ceiling effect for utility values (i.e., median scores of 1 indicating optimal health). Domain-level data would help to distinguish subgroups with persistent symptom burden despite overall good health utility.

While some trends in QOL relating to clinicopathological, sociodemographic and other factors were identified in studies, interpretation requires contextualising against the reference population. For this reason, health utility values offer insights that may otherwise be missed. For example, as was discussed by Rogers (6), while a comparison between different age groups may appear to show no difference in utility values within study cohorts, the UK reference population shows higher utility values in younger patients. Therefore, comparisons only make sense when the disparity in HRQOL between HNC cohorts and an age-matched reference population is also evaluated, as real reductions in QOL may otherwise be underestimated or missed entirely.

The majority of studies were cross-sectional in nature, with timing of HRQOL assessment varying between: at diagnosis, immediately after treatment, and various post-treatment timepoints. This makes comparisons between such studies challenging, and due to the temporal changes observed in longitudinal studies that measure across different pre and post-treatment intervals (21,24), may limit the value of such studies to inform clinical practice. The UK-wide EUROQOL assessment assesses QOL at 18 months post-diagnosis, giving a reasonable indication of the long-term HRQOL burden following treatment for HNC, but we found few studies that selected this timepoint. Although the same limitations described for cross-sectional

Domain	Dyspnoea	Insomnia	Appetite loss	Constipation	Diarrhoea	Financial difficulties	Physical functioning	Role functioning	Emotional functioning	Social functioning	Cognitive functioning	Pain	Fatigue	Nausea and vomiting	Global health score
EORTC-QLQ-C30															
Davies, 2020 (42), median (IQR)	0.0 (0–33.3)	33.3 (0–66.7)	0.0 (0–33.3)	0.0 (0–33.3)	0.0 (0.0–0.0)	0.0 (0–33.3)	93.3 (80.0–100.0)	100.0 (66.7–100.0)	75.0 (58.3–91.7)	83.3 (66.7–100.0)	83.3 (66.7–100.0)	16.7 (0.0–50.0)	22.2 (11.1–44.4)	0.0 (0.0–0.0)	66.7 (50–83.3)
Kularatna, 2016 (49)	16 [25]	26 [32]	24 [31]	14 [26]	11 [24]	32 [34]	80 [21]	73 [30]	78 [23]	89 [25]	81 [22]	27 [23]	23 [20]	8 [20]	61 [23]
Karczewska-Lindinger 2021 (46)	–	34.0 (37.1)	–	–	–	–	88.7 (17.5)	80.5 (30.0)	–	–	–	19.1 (26.2)	31.2 (27.3)	–	–
Beck 2019 (33)	10.25 (20.1)	23.00 (27.06)	17.17 (26.77)	8.77 (19.72)	6.37 (16.27)	11.08 (22.64)	87.37 (16.27)	79.13 (24.53)	80.06 (20.86)	84.11 (21.05)	87.35 (16.99)	20.18 (24.75)	26.72 (22.87)	4.76 (13.31)	73.87 (18.42)
Lai 2021 (62)	10 [19]	22 [28]	26 [31]	17 [22]	9 [16]	26 [32]	88 [16]	88 [24]	83 [20]	78 [25]	82 [19]	20 [26]	30 [23]	10 [19]	60 [22]
Noel, 2020 (15)	12.2 (9.8–14.7)	29.7 (25.7–34)	17 (13.6–20.8)	11.7 (9–14.9)	5.2 (3.5–7.8)	20 (16.2–24.6)	83.4 (80.7–86)	81.7 (78.3–85)	79.8 (77–82.5)	79.8 (76.4–82.9)	83.8 (80.9–86.3)	22.2 (19–25.5)	27.2 (24–30.3)	3.7 (2.4–5.4)	72.1 (69.2–75.2)
Tsai 2021 (37)															
<1 year	15 [23]	35 [36]	28 [32]	17 [22]	13 [23]	46 [39]	73 [24]	75 [34]	72 [26]	59 [35]	78 [24]	35 [31]	41 [27]	10 [19]	51 [22]
1–3 years	15 [22]	24 [30]	13 [22]	17 [27]	7 [15]	44 [35]	80 [21]	80 [31]	78 [23]	65 [29]	75 [20]	18 [22]	27 [26]	3 [13]	58 [20]
>3 years	15 [23]	26 [30]	11 [19]	12 [19]	10 [17]	36 [36]	87 [15]	88 [23]	79 [24]	72 [32]	77 [19]	14 [20]	25 [23]	4 [11]	60 [22]
Plaschke 2017 (35)															
Baseline	20.7 (5.3)	31 (5.2)	27.6 (6.3)	23 (5.3)	3.5 (3.7)	23 (6.3)	70.3 (4.6)	71.8 (5.6)	65.5 (4.5)	78.2 (5.3)	78.2 (3.7)	31.6 (5.5)	32.9 (4.4)	5.7 (2)	50.6 (4.4)
4 weeks	21.7 (5.9)	31.9 (5.9)	43.5 (7)	27.5 (5.9)	18.8 (4.1)	21.7 (7.1)	69.6 (5.1)	62.3 (6.3)	72.1 (5.1)	76.8 (6)	82.6 (4.2)	48.6 (6.2)	43 (4.9)	7.3 (2.2)	52.5 (5)
8 weeks	17.5 (6.5)	26.3 (6.5)	17.5 (7.7)	19.3 (6.5)	7 (4.5)	28.1 (7.8)	76.1 (5.6)	78.1 (6.9)	73.2 (5.6)	72.8 (6.6)	84.2 (4.6)	37.7 (6.8)	39.8 (5.4)	0.9 (2.5)	54.8 (5.5)
ACTION study group 2017 (31)*	13	20	22	8	5	–	82	78	80	72	87	21	22	9	66

Domain	Pain	Swallowing	Senses (taste/smell)	Speech	Social eating	Social contact	Sexuality	Teeth	Opening mouth	Dry mouth	Sticky saliva	Coughing	Feeling ill	Pain killers	Nutritional	Feeding tube	Weight loss	Weight gain
EORTC QLQ-H&N35																		
Lai 2021 (62)	16 [20]	24 [22]	32 [28]	16 [20]	25 [26]	9 (16)	23 [29]	29 [31]	18 [23]	53 [34]	40 [34]	26 [23]	29 [29]	–	–	–	–	–
Noel, 2020 [15]	16.8 (14.3–19.9)	16.7 (13.8–20)	21.8 (18.3–25.8)	79.6 (73.2–86.4)	19 (15.5–22.6)	11.5 (9.1–14.3)	25 (20.7–30)	16.3 (12.9–20.1)	21.5 (17.2–26)	45.5 (40.3–50.2)	31.6 (27.1–36.2)	25.7 (22.5–29.5)	13.9 (11–17.5)	36.4 (29.7–42.7)	38.8 (31.6–45)	7.7 (4.3–11)	15.3 (10.5–20.1)	26.3 (20.2–32.1)
Tsai 2021 (37)																		
<1 year	29 [26]	45 [28]	30 [29]	34 [29]	48 [30]	26 [27]	33 [34]	33 [37]	49 [35]	48 [37]	50 [35]	33 [30]	45 [35]	–	–	–	–	–
1–3 years	18 [24]	38 [25]	32 [33]	29 [28]	42 [31]	22 [25]	29 [32]	42 [41]	47 [36]	53 [35]	34 [33]	31 [23]	26 [26]	–	–	–	–	–
>3 years	12 [14]	44 [26]	17 [27]	35 [30]	47 [34]	27 [28]	24 [28]	44 [34]	54 [40]	48 [34]	33 [35]	26 [25]	21 [25]	–	–	–	–	–
Plaschke, 2017 (35)																		
Baseline	38.5 (5.4)	37.9 (5)	20.7 (5.8)	34.1 (5.1)	39.1 (5.9)	20.9 (4.8)	56.7 (8)	38.1 (6.5)	59.8 (6.7)	43.7 (7)	47.1 (7.7)	26.2 (5.7)	34.5 (5.4)	57.1 (8.9)	41.4 (9.3)	27.6 (9)	51.7 (9.4)	17.2 (7)
4 weeks	55.8 (6.1)	55.4 (5.6)	28.3 (6.5)	38.2 (5.7)	46.4 (6.6)	27 (5.5)	51.6 (8.7)	21.1 (7.3)	56.5 (7.5)	43.5 (7.9)	52.2 (8.7)	21.7 (6.3)	30.4 (6.1)	78.3 (9.8)	56.5 (10.4)	39.1 (10.1)	65.2 (10.5)	4.3 (7.9)
8 weeks	46.1 (6.7)	53.7 (6.3)	21.1 (7.1)	42.7 (6.3)	49.1 (7.5)	29.1 (6)	63.9 (9.4)	29.8 (7.9)	52.6 (8.2)	38.6 (8.7)	56.1 (9.5)	22.8 (6.9)	29.6 (6.8)	73.7 (10.8)	31.6 (11.4)	36.8 (11.1)	47.4 (11.6)	26.3 (8.7)

Domain	Physical functioning	Role functioning	Pain	Emotional functioning	Social functioning	Fatigue	Nausea	Constipation and diarrhoea
EORTC-8D								
Kularatna 2016 (49)	Level 1 =71 (49%)	75 (50%)	69 (46%)	93 (62%)	86 (57%)	106 (70%)	121 (80%)	105 (70%)
	Level 2 =48 (32%)	45 (30%)	58 (39%)	43 (28%)	51 (34%)	36 (24%)	19 (13%)	32 (21%)
	Level 3 =19 (13%)	18 (12%)	18 (12%)	8 (5%)	8 (5%)	6 (4%)	4 (3%)	8 (5%)
	Level 4 =6 (4%)	12 (8%)	5 (3%)	7 (5%)	6 (4%)	3 (2%)	7 (5%)	6 (4%)
	Level 5 =4 (3%)	-	-	-	-	-	-	-

Figure 2 EORTC-QLQ questionnaire scores (EORTC-QLQ C30). *, estimated from figures, numerical data not available. The data are expressed as median (IQR) or mean [SD] or n (%). SD, standard deviation; IQR, interquartile range.

Table 6 Characteristics of excluded studies

Author and year of publication	Study design	Reason for exclusion
Bäuerle, 2021 (65)	Cross sectional	No head and neck cancer cohort with quality of life data
Chan, 2014 (66)	Mathematical modelling	No EQ-5D quality of life data reported
Deckard, 2015 (67)	Cohort study	No head and neck cancer cohort with quality of life data
Gao, 2009 (68)	Cross sectional	No head and neck specific quality of life EQ-5D data reported
Heutte, 2014 (69)	Review article	Review article
Jansen, 2017 (70)	Study protocol	No head and neck cancer cohort with quality of life data Protocol paper
Krebber, 2012 (71)	Study protocol	Protocol paper
Meregaglia and Cairns, 2017 (72)	Systematic review	Review article
Mozzanica, 2021 (73)	Cohort study	No EQ-5D quality of life data reported
Nichols, 2020 (74)	Study protocol	Protocol paper
Ryu, 2019 (75)	Secondary data analysis	No head and neck cancer cohort with quality of life data
Schwarzinger, 2019 (76)	Cross sectional	No EQ-5D given to study participants

analyses apply, a consistent post-treatment interval as is used by the EUROQOL group does provide a useful framework for establishing longer-term patient outcomes and identifying potentially unmet needs, particularly if the assessment is repeated at regular intervals to assess the impact in any changes to service provision, diagnostic or treatment pathways.

Most of the included studies evaluated patients with a primary diagnosis of HNC who were treated with curative intent, excluding those with recurrent, metastatic or palliatively treated HNC. However, we found that these latter cohorts had the greatest HRQOL deficit and are likely under-represented in research. Although recruitment of such participants may pose additional challenges and

analysis can be complicated by a high mortality rate, these especially vulnerable patient cohorts represent an important subset of the HNC population and should only be excluded where justifiable and necessary. Similarly, only Davies (42) specifically enumerated the number of patients whose health utility value was <0 (i.e. a health state deemed worse than death) (25), while several studies provided ranges that clearly included <0 utility values. This cohort, although fortunately a very small proportion of HNC patients could be important to evaluate in more detail, as they are likely not appropriate for curative treatment, and so effective palliation methods to improve HRQOL are an important objective for which there is a dearth of evidence specific to HNC (25).

Perhaps surprisingly, in 12 of the 23 studies that reported health utility values, the mean plus one standard deviation includes 1 (i.e., perfect health), indicating a ceiling effect. While this is a positive finding, showing that health after HNC can return to a state equivalent to matched reference populations without HNC, it may be reflective of tendency to sample/recruit participants with less advanced disease more suitable to local surgical excision without extensive reconstruction or adjuvant treatments. In these instances, the importance of domain-specific analyses is highlighted, as further improvements can only come from the subsets of patients with problems and should be tailored towards those with the more extreme functional/symptom deficits to maximise impact. This finding may also reflect the low specificity of the EQ-5D for HNC, and it may be that the VAS offers better sensitivity for detecting the impact of these problems on patients' QOL.

Despite being one of the most widely used HRQOL indices in cancer, few papers reported the EORTC-QLQ-C30. This index is included in the current EUROQOL national HRQOL assessment and complements the EQ-5D by including the more cancer-specific issues and concerns patients may experience, regardless of whether these appear to impact overall health or global QOL. This large-scale assessment will also enable more thorough validation of the EQ-5D and EORTC-C30 in HNC populations and specific subgroups, such as those treated palliatively, or HPV-related oropharyngeal cancers, whose demographic features differ from the majority of HPV negative HNC patients. Further implementation of these indices should be undertaken alongside HNC-specific assessments to ensure that outcomes are an accurate reflection of specific patient subsets' needs and concerns.

This review is limited to tabular and narrative evidence synthesis, due to the high variability of studies and characteristics found. If more interventional studies are undertaken in well-defined HNC cohorts, with EQ-5D assessments undertaken at consistent intervals, it may be possible to compare these studies by meta-analysis. We also only included studies published in English language, although it should be noted that no potentially relevant studies were identified that were not available in English.

Recommendations for future research

We suggest that future research should aim to evaluate the EQ-5D alongside cancer and HNC-specific QOL indices [or alternatives to QOL indices such as the Patient

Concerns Inventory (13,77)] in specific HNC cohorts, controlling for demographic, clinicopathological and treatment factors. Further validation of these disease-specific indices in different languages and cultures is important to enable better global engagement with HRQOL research in HNC patients. We observed that patient populations from Africa and South America were underrepresented in this review.

There is clear evidence that HRQOL is an important outcome for HNC research, and we see little justification to avoid including this as at least a secondary outcome in any interventional study within the field. Future researchers should plan for this either within the main patient cohort or as a sub-study.

Analysis of extreme outcomes should be considered where these exist, even if numbers are low—both indices indicating near perfect or very poor HRQOL may enable a transition toward more individualised patient-centred care and may identify important protective or deleterious factors that may influence choice of treatment, or indeed help inform clinicians and patients whether treatment is the correct option.

We found that use and reporting of the EQ-5D QOL questionnaire varied widely between studies, making comparisons between different HNC cohorts difficult. Standardised reporting criteria for EQ-5D should be developed. We recommend as a minimum that both VAS and utility values are presented, as these reflect complementary but distinct outcomes. Inclusion of full domain-level data may be appended as supplementary information, alongside more detailed analysis of extreme results, particularly if these are unexpected. The authors of this review appreciate that it may not always be feasible for these values to be presented within main study publications and would consider supplementary published material containing this data to be a valuable asset for future research.

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