



# Computed tomography lung-cancer screening: does iterative reconstruction matter?

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**Background:** By reviewing image quality and diagnostic perception, the suitability of a statistical model-based iterative reconstruction algorithm in conjunction with low-dose computed tomography for lung cancer screening is investigated.

**Methods:** Artificial lung nodules shaped as spheres and spiculated spheres made from material with calibrated Hounsfield units were attached on marked positions in the lung structure of anthropomorphic phantoms. The phantoms were scanned using standard high contrast, and two low-dose computed tomography protocols: low-dose and ultra-low-dose. For the reconstruction, the filtered back projection and the iterative reconstruction algorithm ADMIRE at different strength levels (S1–S5) and the kernels B157, Br32, Br69 were used. Expert radiologists assessed image quality by performing 4-field-ranking tests and reading all image series to examine the aptitude for the detectability of lung nodules. Signal-to-noise ratio was investigated as objective image quality parameter.

**Results:** In ranking tests for lung foci detection expert radiologists prefer medium to high iterative reconstruction strength levels. For the standard clinical kernel B157 and varying phantom diameter, a noticeable preference for S4 was detected. Experienced radiologists graded filtered back projection reconstructed images with the highest perceptibility. Less experienced readers assessed filtered back projection and iterative reconstruction equally with the highest grades for the B157 kernel. Independently of the dose protocol, the signal-to-noise ratio increases with the iterative reconstruction strength level, specifically for Br69 and B157.

**Conclusions:** Subjective image perception does not significantly correlate with the experience of the radiologist, which presumably mirrors reader's training and accustomed reading adjustments. Regarding signal-to-noise ratio, iterative reconstruction outperforms filtered back projection for spheres and spiculated spheres. Iterative reconstruction matters. It promises to be an alternative to filtered back projection allowing for lung-cancer screening at markedly decreased radiation exposure but comparable or even improved image quality.

**Keywords:** Lung-cancer screening; low-dose computed tomography (LDCT); iterative reconstruction; anthropomorphic phantoms; image perception

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## Introduction

In the United States, lung cancer disease causes more deaths than other cancer type (1). The Lung Cancer Screening Trial NLST showed a possible relative risk reduction of dying from lung cancer in the risk group by 20% by performed repeated low-dose computed tomography (LDCT) screening procedures, which corresponds to an absolute risk reduction of 0.3% (2,3). The Belgian-Dutch randomized-controlled NELSON Trial demonstrated a reduction of lung cancer mortality with LDCT for high-risk patients over a 10-year period: men by 26% and women between 39% and 61% (4,5). These results have provided sufficient evidence for the European Union to draft a policy statement on lung cancer screening (LCS) using LDCT (5,6). The majority of detected cancer cases found in the NLST and NELSON screening studies were stage I cancers (2,7-10). This shows the ability of LDCT to detect lung cancer early and so potentially enable cure.

The use of LDCT for LCS has inherent risks, which were identified in the different trials and the following evaluation processes (11). First, the computed tomography (CT) associated risk of radiation induced morbidity, which not only derives from the repeated LDCT scans, but especially from the diagnostic work-up of incidental findings. According to (12) the necessary diagnostic radiation exposure would cause one radiation-induced major cancer for every 108 (259/2.4) lung cancers detected through screening. Second, risks come from adverse events during diagnostic procedures after screening, overdiagnosis and negative effects of screening on smoking cessation (13). There are various approaches in discussion to overcome these risks. Among others the optimal screening interval is important for harm minimization (14). But the reduction of radiation dose by maintaining a sufficient diagnostic performance will be paramount for the acceptance of screening programs and implementing LCS in general population.

A recently published systematic review and meta-analysis of randomized controlled trials of LCS with LDCT in (former) smokers revealed a significant reduction (12%) of lung cancer mortality and estimated the risk of overdiagnosis between 19% and 69% (15). As of March 2021, the U.S. Preventive Services Task Force recommends annual screening for lung cancer with LDCT in adults aged 50 to 80 years who have a 20 pack-year smoking history and currently smoke or have quit within the past 15 years (16).

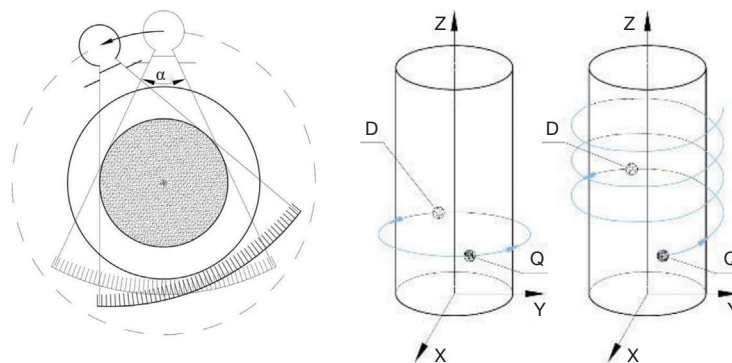
Since its introduction in 1970's, CT technology has undergone numerous developments, from single-section

CT scanners to multi-detector systems that can acquire entire chest in only few seconds. Technology progresses in X-ray tubes, e.g., flying focus, shortage in rotation time, enlargement of detector size, advanced reconstruction algorithms had become available. To meet high quality standards in terms of dose reduction and image quality, manufacturers created multiple solutions, e.g., reduction of tube voltage or automatic tube current modulation (17). Dose reduction, however, causes noisy images, which can be partly improved by using vendor's dose-management LDCT protocols.

The NELSON and the NLST investigations are based on repeated CT examinations with low-dose protocols and filtered back projection (FBP) reconstruction (1,4-6). Although high contrast LDCT protocols can be applied for lung foci detection, a disadvantage is the usage of the historically evolved FBP. Iterative reconstruction (IR), specifically model-based IR, offers a promising alternative and complement with the potential of higher image fidelity. An advantage of IR is its inherent discrete formulation which allows to model the complete CT acquisition process, including detector geometry and sensitivity, beam shape, physics of the X-ray quanta as well as the use of prior knowledge like electronic noise. Statistical IR reconstruction may incorporate statistics of detected photons and can be used in the raw, in the image data domain or during the reconstruction process. Model-based IR even extends beyond by embedding geometric and physical models of the components of the CT scanner (18).

The effective dose and convolution kernels effects on the detection of pulmonary nodules in IR were investigated in studies with anthropomorphic lung phantoms by quantifying the perception of expert radiologists' at a Likert scale, contrast-to-noise and signal-to-noise ratio (SNR) (19-21). Other studies evaluated machine learning (ML), computer-aided detection (CAD) software systems or commercially available deep learning ML based CAD systems by investigating receiver-operating-curve measures in artificial or *ex-vivo* lung phantoms (22-25). In the future ML algorithms might enable risk assessment to determine the indication for lung scans in clinical routine and even define CT imaging parameters. Beside the detection of pulmonary nodules, diagnostic applications may include automatic lesion segmentation or quantification of parenchymal changes like fibrosis or emphysema.

The aim of this work is twofold. Firstly, based on a short review of principles of CT and FBP reconstruction compared to IR—as far as possible in non-mathematical



**Figure 1** Schematics of the third-generation fan-beam geometry computed tomography (CT) with a curved detector (left) and circular and spiral mode trajectories.

terms—we will, secondly, present CT phantom studies for the pulmonary nodule detection using FBP and IR to address the interdependence between IR strength levels, kernels and LDCT protocols.

## Methods

The system hardware of a radiological CT is divided into the scanner equipment and the display or operating hardware (26). The scanner design of standard CT systems used clinically is assigned to the development stage of the third CT generation, shown in *Figure 1*. The technical realisation of this development stage amounts to three main components. The X-ray tube (source) for radiation generation with the coupled measuring unit (detector), as well as the positioning device (table). The source-detector combination is carried by the CT gantry. During the CT experiment, the recording geometry rotates on a defined circular path around the isocentre in the measurement field.

A specific feature of the third CT generation represents a source with an upstream collimator, through whose aperture in the axial plane a fan beam with an aperture angle between  $40^\circ$  and  $60^\circ$  is generated. Spiral mode has revolutionized CT imaging (24), the table is continuously advanced during the measurement forming a helical trajectory. Schematic representations of the circular and spiral trajectories are shown in *Figure 1*.

Modern X-ray tubes have a periodically z-flying focal spot (27,28). In fan-beam or single-line CT, the detector array consists of a straight or curved detector row (line) of several individual detector elements (26). State-of-the-art CT systems contain multi-row detectors providing multi-slice images. Here, the collimator system on the source side

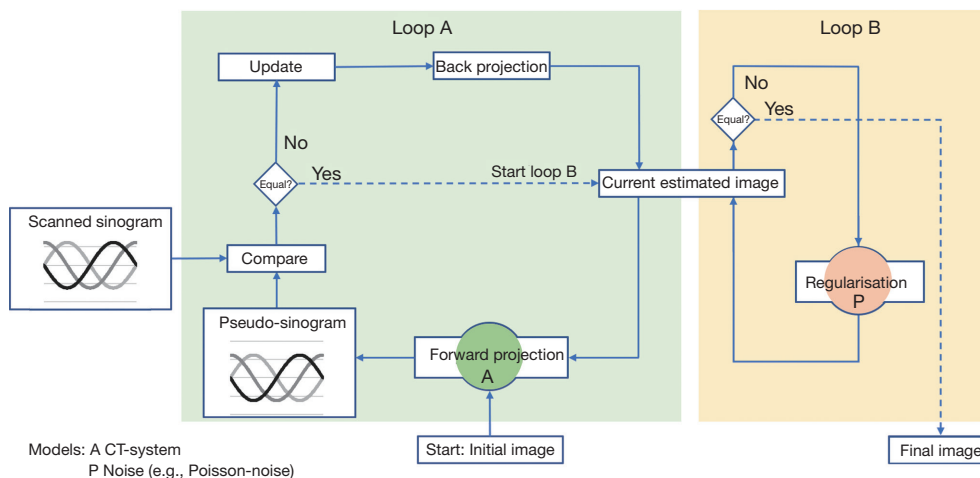
is additionally opened in the z-direction and a cone beam geometry is generated.

CT system variants with two source-detector combinations implemented as dual-source CT exist for the third CT generation (29). Future CT systems will, among others, include photon counting detectors enabling energy resolved CT scanning, low-kV scanning, and sparse acquisition (30).

The result of the CT scanning are projections, i.e., logarithm of detected and normalized intensity, called sinogram. The fundamental task of CT reconstruction is to solve an inverse problem, i.e., calculate the attenuation coefficients from acquired projections. FBP is an analytical reconstruction method widely implemented on state-of-the-art CT scanners. The attenuation coefficient is obtained by back projecting filtered sinogram using a dedicated filter suitable for the anatomy under investigation (18). An edge-emphasising filter is called hard, and this applies analogously to associated convolution kernels. Filters and kernels that have strong smoothing properties are called soft.

Today's IR is based on a statistical approach. The number of detected quanta is assumed to be Poisson distributed. The extension towards model-based IR considers the specific scanner geometry and its components, i.e., the entire process of acquisition. Also, prior knowledge about the anatomy may be implemented in the reconstruction for the purpose of noise reduction (18).

In this work, the Advanced Modeled Iterative Reconstruction (ADMIRE), a Siemens Healthineers (15) implementation of a model-based IR was used (18). It comprises two loops schematically shown in *Figure 2*. Loop A works across the raw and image data space and mainly removes spiral artefacts. Loop A starts from the initial



**Figure 2** Schematic overview of the iterative reconstruction (IR) algorithm ADMIRE (17) comprising the projection loop A and noise correction loop B. The IR starts with an initial image in loop A and forward projection, which contains the computed tomography (CT) system model. Iteratively, the loop compares the pseudo-raw data calculated in forward projection, to the acquired raw-data sinogram and back-projects the updated sinogram to an estimate image. New pseudo-raw sinogram is generated with the forward projection until an equality criterion initiates loop B. The image is successively optimised using a regularisation functional and the Poisson-distribution-noise model until fulfilment of the final termination criterion in loop B.

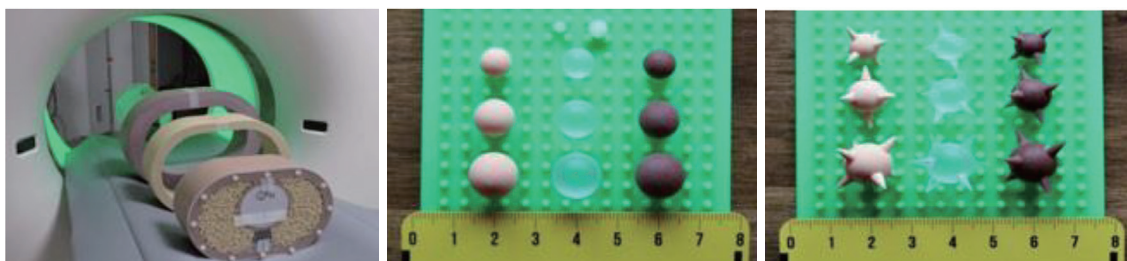
image volume with the forward projection to calculate the pseudo-sinogram. Loop B corrects for noise and optimises the estimated image in image space by constraining an oversmoothed image texture. Loop B optimises the image step by step using the noise model and the regularisation function until an a-priori termination criterion is met and the reconstruction is completed with the final image volume.

ADMIRE offers image-noise control at five strengths levels, S1–S5. The number of iterations in the raw data space as well as the calculation speed are not influenced by the choice of the strength. The recommended noise strength is S3. Finally, the operator preselects the reconstruction kernel (17). ADMIRE reconstruction works efficiently with low numbers of detected X-ray photons and low signal levels, it reduces patient's dose for a fixed CT dose protocol by up to 60% compared to FBP reconstruction while providing comparable image quality (19).

In general, dose reduction approaches are subject to a broad spectrum. There are software and hardware approaches by the manufacturers, which can be supplemented by radiation protection measures on the part of the user. The latter refer to patient preparation, centring, and positioning aspects. Particularly, exact patient centring in the isocentre and an efficient use of tube-side-form filters prevents image quality losses. Overhead positioning

of the arms during CT of the thorax will decrease photo absorption in the examination area. This may include the avoidance of metallic objects during active tube current modulation. Scan length reduction, selection of appropriate scan parameters, combined imaging methods, the use of radiation protection agents and the saving of partial examinations by dual-energy CT techniques are other measures to manage dose. For example, one CT scanner vendor modulates the tube voltage based on the topogram, specifically, patient diameter profile, system performance and the selected CT dose protocol (17). Other vendors offer similar dose management.

In this investigation, raw data acquisitions of phantoms placed supine head-first were acquired on a Somatom Force CT equipped with a Vectron<sup>TM</sup> X-ray tube and a StellarInfinity detector with anti-scatter 3D collimator grid (17). The detector acquisition configuration was 192×0.6 mm and the z-flying focal spot technique was enabled. Three dose protocols were selected: (I) standard high contrast (SH) 120 kV/dose modulation, (II) low-dose 120 kV/40 mAs and (III) ultra-low-dose 120 kV/20 mAs. Phantoms were scanned using a field of view of 300 mm, rotation time 0.5 s, delay 2 s, beam collimation 192×0.6 mm, deactivated CARE Dose4D, slice thickness 1 mm, increment 1 mm. Depending on the specific investigation the following IR kernels were used: Bl57 as standard for lung



**Figure 3** The QRM Phantom (31) within the computed tomography (CT) gantry (left). Artificial lung nodules with calibrated Hounsfield unit (HU) values equal to  $-690/-50/+100$  HU (beige/brown/opaque): spheres with diameters 3/5/8/10/12 mm (left) and spiculated nodules with diameters 16/20/24 mm.

node detection, Br32 as a soft, and Br69 as a hard kernel. The images were reconstructed with the IR strength levels S1, S3–S5 and axial slices of 5 mm thickness, 5 mm reconstruction increment, and with parenchymal lung window ( $-600/1,200$  HU) (17–19). HU denotes Hounsfield units.

CT images were reconstructed using FBP and IR algorithm ADMIRE and classified according to their suitability for lung node detection. To this end, statistical and subjective tests were conducted on CT images of artificial lung nodules in two anthropomorphic phantom bodies acquired using above mentioned dose protocols and kernels.

Pulmonary lesions modelled by artificial lung nodules were inserted to the phantoms. One nodules set is shaped as spheres with diameters 3/5/8/10/12 mm. Another nodule set is shaped as spicules with total diameters 16/20/24 mm. Both sets are manufactured, customized on purpose, and calibrated to  $-690/-50/+100$  HU at 120 kV by QRM GmbH, Moehrendorf, Germany (31).

The anthropomorphic QRM phantom was equipped artificial lung nodules to simulate an adult human chest (Figure 3). It consists of calibrated Hounsfield values components representing tissue. The chest size was defined by extension rings, M (medium) and L (large), with effective height of 25/30 cm and width of 35/40 cm (31).

### Statistical analysis

From a total number of 36 images four randomly selected images with IR strength S1, S3–S5 were randomly placed in a 4-field graphic interface. Sample criteria were: QRM phantom with ring L, variable kernels B157, Br32, Br69 and IR strength S1, S3–S5. To study body diameter, the second sample of images was varied to include B157 kernel reconstructions with ring L and M. Each sample contains

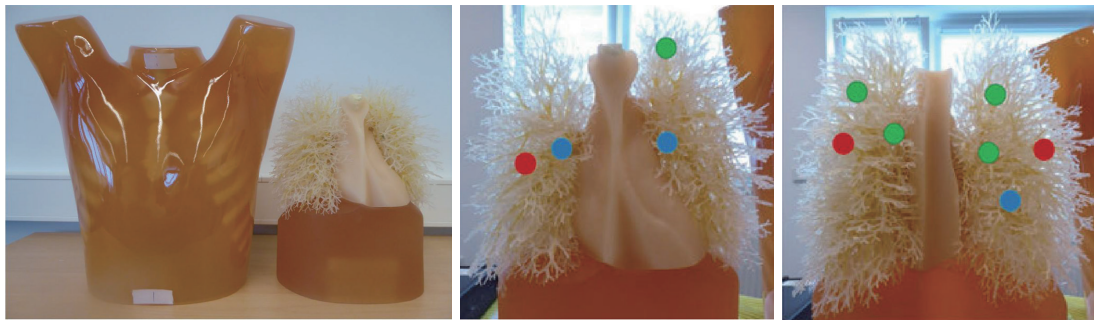
36 reconstructions, which were anonymised. The 4-field panel is presented to six expert radiologists asking to rank the images according to perception in a descending order. A Kappa and a modified Kappa test as well as Friedman values (F-values) were performed, and the later compared to a critical value, i.e., representing error probability at  $\alpha=5\%$  level (19–21).

The Lungman N1 phantom manufactured by Kyoto Kagaku, Kyoto, Japan, is a multipurpose life-size anatomical model that replicate an adult human chest (Figure 4) (32). It consists of components with calibrated Hounsfield values (HU) of human tissue: Chest wall with synthetic bones, pulmonary vasculature with mediastinum (trachea and heart) and diaphragm abdominal block. Tissue equivalent materials for the human habitus, inner components and nodes are made from polyurethane, urethane foam, epoxy resin and calcium carbonate. Previously mentioned artificial lung nodules are glued on positions selected by distinguished radiologists and are displayed in Figure 4.

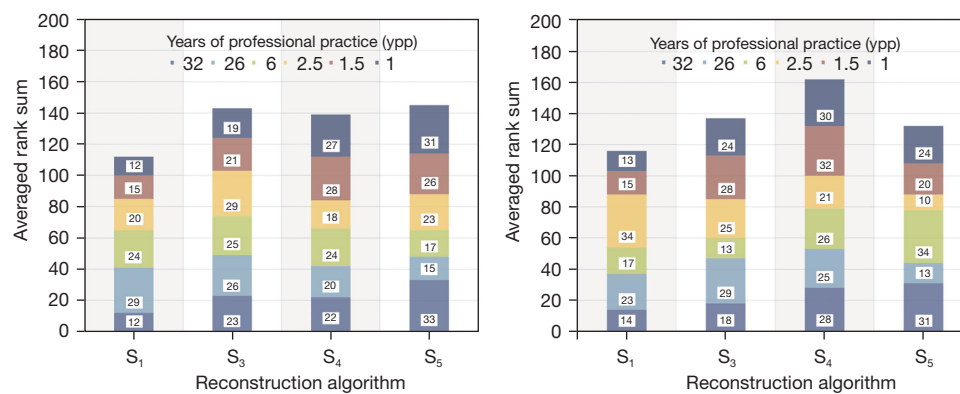
### Results

Radiologist's perception rankings of IR reconstructed QRM phantom images in the 4-field panel were averaged. Averaged rank sums over all test sets are displayed in Figure 5. The collective result shows that medium to high IR strength ( $\geq$  S3) were generally rated higher, S1 received lowest ranking. The assessment of the radiologist with 26 years of professional practice (ypp) does not follow the cumulative result, whereas the most (32 ypp) and less experienced observers (1 ypp) rated in accordance with the overall result.

Ranking tests of iterative CT reconstructions show that radiologists consider medium to high IR strength more suitable for lung foci detection. If clinically applied



**Figure 4** The Lungman phantom (32) and positions of artificial lung nodules in ventral (middle) and dorsal (right-hand side) view of the pulmonary vasculature. Colour assignment: 100 HU (green); -50 HU (blue); -690 HU (red).



**Figure 5** Cumulative and individual results of averaged rankings in a 4-field ranking test. The radiologists' subjectively perceived suitability of the computed tomography (CT) images regarding pulmonary nodule detection is represented by the averaged rank sums for iterative reconstruction with strength S<sub>1</sub>, S<sub>3</sub>–S<sub>5</sub>. Left-hand side: the phantom diameter is fixed, but not the kernel. Right-hand side: kernel BI57 is used, phantom diameter is variable.

parameters were used (BI57, varying phantom diameter), the experiment reveals a noticeable preference of S<sub>4</sub>.

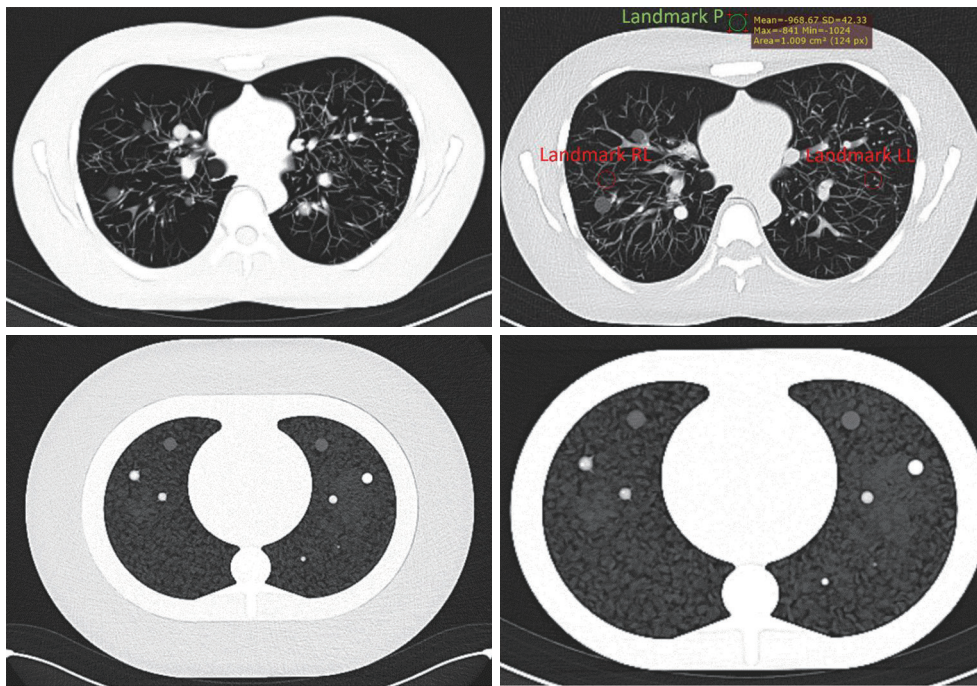
For the Lungman phantom eight medical technology engineers performed SNR measurements in the 72 test images to determine objective image quality parameters. One region of interest (ROI) was placed in the right lung (landmark RL) and one in the left lung (landmark LL), as shown in *Figure 6*.

The mean SNR and the standard deviation were calculated from all measurements. The results have been sorted by geometry (sphere *vs.* spicule) and landmark LL *vs.* RL. This was followed by statistical analysis with a one-tailed *t*-test for paired samples. The results are displayed in *Figure 7*.

The subjective perception of the image quality was carried out by three expert radiologists with different previous professional experience. The 72 test series of the Lungman

phantom were evaluated regarding the detectability of the artificial pulmonary nodules at a Likert scale. The six levels represented percentage ranges with assigned classification: 100%= excellent, 99–80%= good, 79–65%= moderate, 64–50%= adequate, 49–20%= poor and 19–0%=unacceptable.

*Table 1* shows the number of radiologists' individual maximum scores for each reconstruction algorithm and kernel. The ranking of the reconstruction method—regardless of kernels and nodule's geometry—obtained by summing the maximum scores per line reveals FBP (with 33) on top followed by IR (with S<sub>3</sub>: 26, and S<sub>1</sub>: 25). The totals of the maximum scores per row—regardless of reconstruction method—demonstrate higher perception for spheres compared to spicules. Specifically, the BI57 kernel outperforms Br69 and Br32 for spheres and spicules. These findings are consistent with the analysis of the number of radiologists' individual minimum scores.



**Figure 6** Examples of Lungman-phantom slices (low-dose, BI57, S3, slice 29) used to score perception of expert radiologist (top left). To access objective image quality parameters, e.g., signal-to-noise ratio (SNR), the landmark RL and LL of the right and left lung (red) were used (top right). The mean HU value, the standard deviation (SD), and the pixel value [area (px)] were recorded. The landmark P in air (green) is used for contrast-noise measurements presented in (20,21). Slices of the QRM phantom with spiculated nodules in the right lung lobe and spheres in the left lung lobe (low-dose, BI57, S3, 5 mm, slice 17) with and without the L ring (right) are shown in the bottom row.

## Discussion

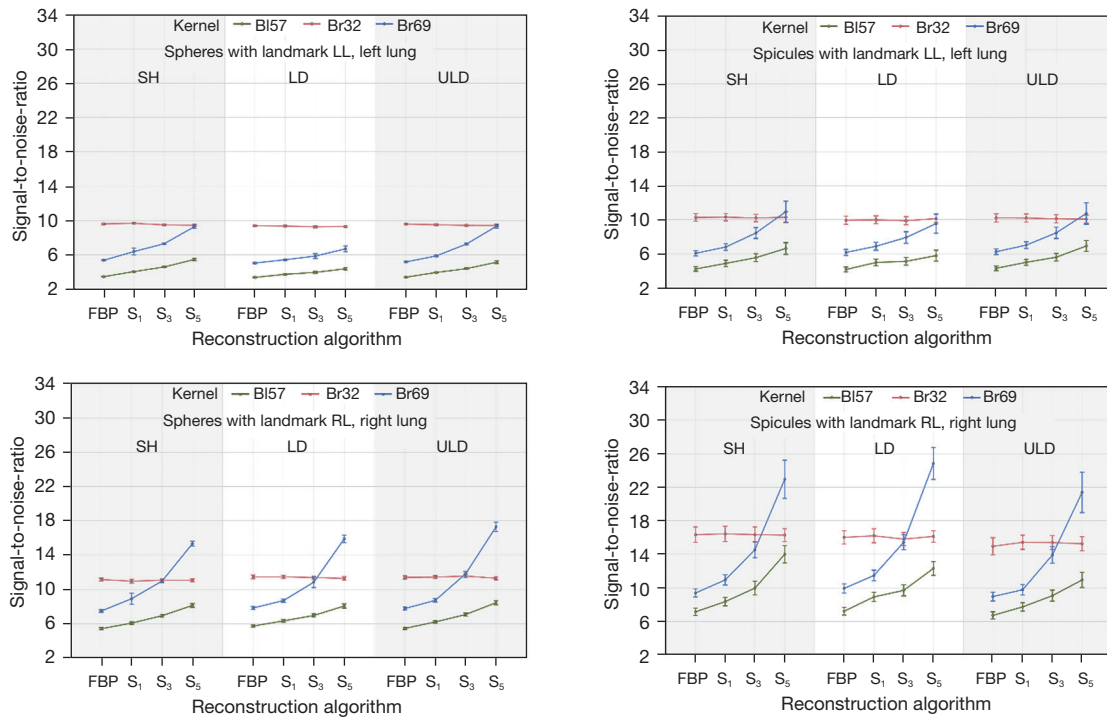
Ranking tests with the QRM phantom images suggest radiological preference of medium to high strength levels of the IR for lung foci detection. If clinically applied parameters were used (BI57, varying phantom diameter), the experiment reveals a noticeable preference for S4. We hypothesise, that in the subjective image quality analysis the assessment habits of radiologists are dichotomous—or multiple stage—categorical. Despite differences in specialties and diagnostic habituation, most experienced and less experienced observers scored similarly. All observers have confidently selected rank positions 1 and 4, i.e., the best and the worst image. The intra-observer reliability for the rank positions 2 and 3 was significantly lower (20,21).

Lungman phantom images reconstructed with FBP were rated with highest perception by experienced radiologists. Less experienced readers assessed FBP and IR equally with the highest grades for IR with the BI57 kernel. Scores for the IR depend on the dose protocol and kernel. The SNR is higher in low-dose and ultra-low-dose protocols with the

BL57 kernel and high IR strength level. SNR differences between spheres and spicules could be substantiated by slightly different placement of spheres and spicules.

Due to the steepest increase on ML-based image interpretation studies in recent years, sometimes ML-approaches using CAD need to be distinguish from ML prototypes (24). A severe limitation to traditional CAD approaches is an inability to acquire knowledge from new information. Thus, various research groups investigate deep learning ML approaches (23-25). In a proof-of-concept study reported in (25), the potential of deep learning ML software system has been investigated with the conclusion that results strongly agree with expert radiologist determination of lung nodule detection. Diagnosis of lung nodules on a per-nodule basis is highly sensitive, but poorly specific, with false-positive rates like those of radiologists.

In this investigation we specifically focused on the qualitative and quantitative impact of the statistical model-based IR in various LDCT protocols being measured by expert radiologist in terms of perception and SNR. This study has been conducted with a limited number of readers



**Figure 7** Mean signal-to-noise ratio (SNR) from the objective image quality tests with spheres (left) and spicules (right) setups, respectively, and landmark LL in the left lung and landmark RL in the right lung. The results are shown for the filtered back projection (FBP) and the iterative reconstruction (IR) algorithm ADMIRE with S1, S3, S5 level strength. In addition, the SNR is grouped by the dose protocols standard high contrast (SH), low-dose (LD) and ultra-low-dose (ULD), and kernels. The error bars represent the standard deviations of the mean values.

**Table 1** The number of maximum scores assigned by the expert radiologists on the Likert scale in dependence of nodule’s geometry and reconstruction kernels. Total sum per line (right column) ranks the perception of reconstruction methods. The sum per column (bottom line) demonstrates the perception of the reconstruction kernels for spheres and spicules, respectively

Reconstruction/Kernel	Spheres			Spicules			Sum
	BI57	Br32	Br69	BI57	Br32	Br69	
FBP	8	3	7	7	3	5	33
IR/S1	5	4	5	5	2	5	25
IR/S3	7	4	6	5	1	3	26
IR/S5	5	1	3	2	4	2	17
Sum	24	12	21	19	10	15	

FBP, filtered back projection; IR, iterative reconstruction.

and one single CT scanner. This limitation is due to our today’s availability of state-of-the-art scanners equipped with model-based IR and low-dose lung protocols and will be certainly lifted in the future. Image quality assessments with lung phantoms are favorable as the impact of

breathing, which may decorrelate the results, is explicitly excluded. Although both anthropomorphic phantoms used in this work are accurately calibrated to lung tissue, patient images might reveal additional crucial aspects, calling for detailed and advanced investigations.



We have detected that the model-based IR is suitable for lung-nodule detection in phantoms at markedly decreased radiation exposure. The CT protocols in the NELSON and in the NLST trial are based on repeated CT examination with low-dose protocols without IR, since this was introduced in clinical routine after 2010. The impact of IR was also demonstrated in (33), who reported ultra-low-dose with model-based IR of 0.13 mSv. This ranges in the dose of a standard chest radiograph, demonstrating the future potential of IR-based CT for a wide implementation of LCS. Subjective image perception of expert radiologist does not significantly correlate with their experience, which presumably mirrors reader's experience. In a SNR investigation, IR outperforms filtered-back projection for spheres and spiculated nodules.

In conclusion, in the future the statistical model-based IR will be an indispensable alternative to FBP. It will improve the standard imaging methods, specifically for lung-cancer screening, and will remove drawbacks of FBP-based CT screening programs.

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*Ethical Statement:* The authors are accountable for all

aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. The procedures performed in study involved phantoms, but no human participants and no animals.

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